

PART I MESC/Dauphin Island Sea Lab – Subrecipient Commitment Form

Please complete and return with the **required** proposal documents: Statement of Work, Budget, and Budget Justification.

DISL Information			
DISL PI:		PI EMAIL	
PROPOSAL TITLE:			
PERIOD OF PERFORMANCE:		PRIME SPONSOR:	
Subrecipient Information			
Subrecipient Organization:			
Principal Investigator (name & email):			
Administrative Contact (name & email):			
Period of Performance:		Proposed Sub Amount:	
Subrecipient Address with ZIP + 4:		Performance Site Address with ZIP + 4:	
Congressional District - Organization:		Congressional District – Performance Site:	
DUNS:	SAM UEI:	SAM.gov expiration date:	EIN:
Required Subrecipient Certifications			
Do you have a negotiated Indirect Cost Rate Agreement with a U.S. cognizant agency?			
YES: Provide the URL or a copy with this form:			
NO: Unless other restrictions or sponsor conditions exist, the Uniform Guidance 15% de minimis rate will apply. Where the sponsor or funding opportunity restricts indirect costs, the proposed budget should use the sponsor/opportunity rate.			
Proposed Cost Sharing: YES NO Amount: \$			
If applicable, cost sharing amounts and justification must be included in the Subrecipient budget			
Human Subjects	Yes	No	If Yes: FWA#
Human Stem Cells	Yes	No	
Animal Subjects	Yes	No	IF Yes: Assurance#
Animals Euthanized?	Yes	No	
Please note: Copies of IRB/IACUC approval must be provided before a subaward will be issued.			
Subrecipient or Subrecipient Principal Investigator Debarred or Suspended			Yes No
FCOI: Subrecipient has implemented a written policy for Investigator Financial Disclosure and Conflict of Interest (FCOI) consistent with agency requirements.			Yes No N/A
RCR: Subrecipient certifies that a Responsible Conduct of Research (RCR) Training Plan Is in place consistent with agency requirements.			Yes No N/A
Export Control: Subrecipient certifies that an Export Control Office, or other authorized Person, has reviewed the Subrecipient proposal for compliance with Federal Export Control Laws.			Yes No N/A
Audit: Is Subrecipient subject to Uniform Guidance 2 CFR 200.331 Subpart F – Audit Requirements?			
YES: Most recent fiscal year audit completed:			
NO: DISL requires Subrecipient to complete a financial status questionnaire before a subaward will be issued.			
Authorized Official Approval			
Approved by Subrecipient:			
I certify that my organization is correctly categorized as a Subrecipient and not a Contractor. The information and certifications above have been read, signed and made by an authorized official of the Subrecipient named herein. The appropriate programmatic and administrative personnel involved in this application are aware of the prime agency's policy in regard to subawards and are prepared to establish the necessary inter-institutional agreements consistent with those policies. Any work begun and/or expenses incurred prior to execution of a subrecipient agreement are at the subrecipients's risk.			
_____ Signature of Subrecipient's Authorized Official		_____ Date	
_____ Name and Title of Authorized Official			

PART II – REQUIRED SUBRECIPIENT CERTIFICATION

SUBRECIPIENT CERTIFICATIONS-- CHIPS

The Subrecipient Institution, through its Authorized Official Representative, certifies its adherence to the training requirements of Section 10634 of the CHIPS and Science Act of 2022 (42 U.S.C. § 19232), and that all personnel to work on this proposal who are required to complete the training are in compliance with such training requirements.

- Yes
- No. Please explain: _____

In accordance with Sections 10638 of the CHIPS and Science Act of 2022 (42 U.S.C. § 19232), the Authorized Official Representative certifies that all individuals identified as senior/key personnel have been made aware of the requirements and have complied with their responsibility under that section to certify annually that such individuals are not a party to a Malign Foreign Talent Recruitment Program.

- Yes
- No. Please explain: _____

Subrecipient Institution, through its Authorized Official Representative, certifies that the institution is aware of the Foreign Talent Recruitment Program requirements of the CHIPS and Science Act of 2022 (Subtitle D Research Security, Sections 10631, 10632, and 10638 of the U.S. Public Law 117-167), and are in compliance with such requirements.

- Yes
- No. Please explain: _____

Signature of Subrecipient's Authorized Official

Date

Name and Title of Authorized Official