

Baldwin County Commission



Baldwin County Commission Regular Meeting Agenda

**Tuesday, January 7, 2020
8:30 AM**

Baldwin County Administration Building
County Commission Chambers
322 Courthouse Square
Bay Minette, Alabama 36507

District 1 – Commissioner James E. Ball
District 2 – Commissioner Joe Davis, III
District 3 – Commissioner Billie Jo Underwood
District 4 – Commissioner Charles F. Gruber

Wayne A. Dyess, County Administrator

Public hearings commence at 8:30 AM.

All individuals wishing to speak must fill out a speaking request form. Speakers are asked to limit comments to 3 minutes. Groups are asked to select a spokesperson to speak on behalf of the group with time allotted to the spokesperson being limited to 5 minutes.

All supporting documentation for the agenda can be viewed in the File ID link of each item. Revisions to agenda items or supporting documentation made after the initial publication are denoted by an asterisk.

The public may submit comments or questions to the County Commissioners by email or by telephone at 251.937.0264.

James E. Ball, Dist. 1 - jeb.ball@baldwincountyal.com
Joe Davis, III, Dist. 2 - joe.davis@baldwincountyal.gov
Billie Jo Underwood, Dist. 3 - bunderwood@baldwincountyal.gov
Charles F. Gruber, Dist. 4 - cgruber@baldwincountyal.gov

A WELCOME, INVOCATION AND PLEDGE OF ALLEGIANCE

Welcome by Billie Jo Underwood, Chairman
Invocation by Dr. Paul Matthews, Jubilee Baptist Church, Daphne, AL
Pledge of Allegiance

ADOPTION OF MINUTES

December 17, 2019, Regular Meeting

B CONSENT

BA ADMINISTRATION

- | | | |
|------------|---|--------------------------------|
| BA1 | Alabama Department of Economic and Community Affairs (ADECA) - Alabama Counts 2020 Census Grant Agreement for 2020 Census Outreach for Baldwin County | <u>20-0411</u> |
| BA2 | Alabama Department of Senior Services/Senior Community Service Employment Program Host Agency Agreement and South Alabama Regional Planning Commission South Alabama RSVP Program | <u>20-0489</u> |
| BA3 | Baldwin County Animal Control Advisory Board - Board Appointment(s) | <u>20-0473</u> |
| BA4 | Baldwin County Commission District No. 4 Planning (Zoning) District Board of Adjustment (Greater South Baldwin County Area) - Board Resignation | <u>20-0460</u> |

BA5 Resolution #2020-050 of the Baldwin County Commission - Amending and Reconfirming the "Divisional Resolution of the Baldwin County Commission" [20-0350](#)

BE BUDGET/PURCHASING

BE1 Competitive Bid #WG20-15 - Provision of Roadway Pipe for the Baldwin County Commission [20-0378](#)

BE2 Competitive Bid #WG20-06 - Provision of Crushed Aggregates for the Baldwin County Commission [20-0456](#)

BQ PERSONNEL

BQ1 Highway Department (Construction Engineering) - Employment of One (1) Engineering Technician I Position [20-0478](#)

BQ2 Highway Department (Foley) - Personnel Changes [20-0477](#)

BQ3 Juvenile Detention Center - Employment of One (1) Part-Time Detention Technician Position [20-0479](#)

BQ4 Parks Department - Promotion of Employee into Mechanic I Position [20-0480](#)

BQ5 Probate Office - Position Changes [20-0481](#)

BQ6 Solid Waste Department - Personnel Changes [20-0476](#)

C PRESENTATIONS

D PUBLIC HEARINGS

DA ADMINISTRATION

DA1 Case No. LV-19004 - Alcohol License Application for Riverside Properties, LLC d/b/a Riverside Grocery [20-0393](#)

E COMMITTEE REPORTS

EA FINANCE/ADMINISTRATION DIVISION

EA1 Payment of Bills [20-0482](#)

EA2 Notification of Interim Payments Approved by Clerk/Treasurer as Allowed Under Policy 8.1 [20-0483](#)

EB ROAD AND BRIDGE DIVISION

F ELECTED OFFICIAL REQUESTS

G OTHER STAFF RECOMMENDATIONS

GA ADMINISTRATION

- GA1** *Resolution #2020-049 and Correspondence to the Office of United States Trade Representative Related Tariffs on Aircraft Parts and Components to Airbus [20-0484](#)

GE BUDGET/PURCHASING

- GE1** Selection of a Bond Underwriter for General Obligation Warrants Funding (Jail Expansion) for the Baldwin County Commission [20-0462](#)

H COMMISSIONER REQUESTS

I ADDENDA

J ADMINISTRATIVE REPORT

K COUNTY ATTORNEY'S REPORT

L ANNOUNCEMENTS

M PUBLIC COMMENTS

N PRESS QUESTIONS

O COMMISSIONER COMMENTS

P ADJOURNMENT



Baldwin County Commission

Agenda Action Form

File #: 20-0411, **Version:** 1

Item #: BA1

Meeting Type: BCC Regular Meeting

Meeting Date: 1/7/2020

Item Status: New

From: Sherry-Lea Bloodworth Botop, PIO/CEM

Submitted by: Sherry-Lea Bloodworth Botop, PIO/CEM

ITEM TITLE

Alabama Department of Economic and Community Affairs (ADECA) - Alabama Counts 2020 Census Grant Agreement for 2020 Census Outreach for Baldwin County

STAFF RECOMMENDATION

Approve Grant Agreement Number: AL-CG-20-006 between the Baldwin County Commission and Alabama Department of Economic and Community Affairs in the amount of \$20,000 for the Baldwin County 2020 Census Outreach project. The agreement is effective on January 1, 2020 and will expire June 20, 2020.

BACKGROUND INFORMATION

Previous Commission action/date: October 15, 2019

Background: On October 15, 2019 the Commission took the following action to confirm, ratify, and approve the submission of the Alabama Counts 2020 Census Grant Program application and the execution of related documents to Alabama Department of Economic and Community Affairs (ADECA), requesting grant funds in the amount of \$20,000 with up to \$20,000 match of either cash or in-kind services. This grant application is in partnership with the Baldwin County Economic Development Alliance (BCEDA) and will be for 2020 Census outreach activities and materials for Baldwin County.

At this time staff has received the grant agreement from ADECA and is requesting commission approval to accept the funds.

FINANCIAL IMPACT

Total cost of recommendation: Incoming revenue \$20,000.

Budget line item(s) to be used: N/A

If this is not a budgeted expenditure, does the recommendation create a need for funding? N/A

LEGAL IMPACT

Is legal review necessary for this staff recommendation and related documents?

County Attorney will review before prior to commission approval.

Reviewed/approved by: N/A

Additional comments: N/A

ADVERTISING REQUIREMENTS

Is advertising required for this recommendation? N/A

If the proof of publication affidavit is not attached, list the reason: N/A

FOLLOW UP IMPLEMENTATION

For time-sensitive follow up, select deadline date for follow up: N/A

Individual(s) responsible for follow up: Sherry-Lea Bloodworth Botop, Administration Staff and Wanda Gaultney.

Action required (list contact persons/addresses if documents are to be mailed or emailed):

Administration staff will have Chairman Underwood sign the agreement and mail to:

Director Kenneth W. Boswell
ADECA
Post Office Box 5690
Montgomery, Alabama 36103-5690

Cc: Sherry-Lea Bloodworth Botop
Wayne Dyess
Ron Cink
Christie Davis
Lee Lawson
Tanner Jones

Additional instructions/notes: Sherry-Lea Bloodworth Botop will follow-up with budget purchasing and finance departments. Sherry-Lea Bloodworth Botop will keep finance updated.

OFFICE OF THE GOVERNOR

KAY IVEY
GOVERNOR



STATE OF ALABAMA

4BCC
WD
RC
ALABAMA DEPARTMENT OF ECONOMIC
AND COMMUNITY AFFAIRS SLB
CD
KENNETH W. BOSWELL
DIRECTOR

Mr. Charles F. Gruber, Chairman
Baldwin County Commission
Baldwin County Administration Bldg
312 Courthouse Square, Suite 12
Bay Minette, Alabama 36507

RECEIVED
DEC 19 2019
BY: Blate

Dear Mr. Gruber:

RE: Project Number: AL-CG-20-006
\$20,000

It is my pleasure to confirm the above-referenced funds appropriated by the Alabama Education Trust Fund. Enclosed, please find two copies of the Grant Agreement for the referenced project. Please sign both copies and return one original to ADECA, attention Evelyn Terry.

To ensure the grant is implemented in a timely manner and in compliance with applicable rules, please make note of the following:

1. Based on the amount awarded, please provide an itemized list of cost estimates and projected timeline.
2. For Non-Profits, unless already provided, please provide a copy of your 501(c)(3) approval letter from the IRS.
3. Please provide an E-Verification Memorandum of Understanding (MOU) completed at www.dhs.gov/E-Verify.
4. Please complete and submit an *ORIGINAL* of the Certificate of Compliance with the Beason-Hammon Alabama Taxpayer and Citizen Protection Act. (Act 2011-535, as amended by Act 2012-491) (Form enclosed).
5. Unless already provided, please provide a completed Form W-9 (Form enclosed).

Mr. Charles F. Gruber, Chairman

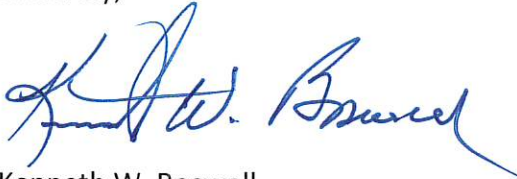
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December 9, 2019

6. If not already registered, please complete Registration with STAARS at the following website: <https://procurement.staars.alabama.gov>.
7. The project will be subject to State and Local bid laws and may be subject to monitoring by ADECA staff and an audit by the State Examiners.
8. Please submit a Request for Funds Form (Form enclosed) when requesting payment of funds. All "request for payment" must identify items for which funds are requested. Please allow 10-14 days to process payments.
9. A final report will be due within 30 days after completion of the project and must include copies of invoices and cancelled checks. ADECA will proceed to close the grant upon receipt of final report and verification of grant activities.
10. All financial, procurement, and programmatic records must be retained for a period of six years from the date of ADECA's close-out letter.

ADECA will provide guidance at a later date for the disposition of property acquired with census grant funds. We wish you success in implementing this grant. Please contact Evelyn Terry of our staff at (334)353-5360 if you have any questions or need assistance.

Sincerely,

A handwritten signature in blue ink, appearing to read "Kenneth W. Boswell".

Kenneth W. Boswell
Director

KWB:SAO:emt
Enclosures

GRANT AGREEMENT

This Grant Agreement is entered into by and between the Alabama Department of Economic and Community Affairs (hereinafter called the "Department") and the **Baldwin County Commission**, (hereinafter called the "Subrecipient").

1. PURPOSE: The purpose of this grant is to increase awareness of the 2020 Census in hard-to-count Alabama Communities.
2. FUNDING AND COMPENSATION: This project is awarded **\$20,000**. Payment will be made upon submission of a "payment request". Department reserves the right to withhold payments or reduce grant in the absence of appropriate expenditure rate. Payment is subject to change if proration of the State General Fund is declared.

Department, at its discretion, may cancel any warrant issued to Subrecipient pursuant to this agreement and not cashed by Subrecipient within forty-five days of the issue date of the warrant. **Payment requests must be received on or before June 30, 2020. Any funds not requested by June 30, 2020, will not be paid.**

Payments made by Department under the terms of this Agreement shall not constitute final approval of documents submitted or procedures used in formulating requests for payment.

3. GRANT PERIOD: This Agreement is effective as of **January 1, 2020**, and unless authorized in writing by the Department Director will end **June 30, 2020**.
4. AMENDMENTS: The Department or the Subrecipient may, from time to time, request amendment to various provisions of this Grant Agreement. Such amendments, which are mutually agreed upon by the Department and the Subrecipient, must be incorporated as written amendments to this Grant Agreement and approved by all signatory authorities prior to implementation.
5. REPORTING: Within 30 days after the final expenditure of funds, the Subrecipient must provide a final report documenting full expenditures and grant accomplishments. Any unexpended funds must be remitted to the Department with the final report.
6. TERMINATION: The following provisions apply to termination under this grant agreement, whether termination by the Department or by the Subrecipient.

The performance of work under this agreement may be terminated in whole or in part for the following circumstances:

Termination for Convenience. This agreement may be terminated by either party with thirty (30) days written notice. Said notice shall specify the reasons for requesting such termination. If the Department determines that continuation of the work will serve no useful public purpose, this Agreement may be terminated by the Department and the Subrecipient shall be entitled to necessary expenses incurred through the date of termination or the date services are last provided, whichever occurs first.

Termination for Cause. If, through any cause, the Subrecipient shall fail to fulfill in a timely manner its obligations under this Agreement, or if the Subrecipient shall violate any of the covenants, agreements or stipulations of this Agreement, and such failure or violation is not corrected within fifteen (15) days after such notice is given by the Department to the Subrecipient, the Department shall thereupon have the right to immediately terminate or suspend this Agreement by giving written notice to the Subrecipient of such termination or suspension and specifying the effective date thereof.

In the event of termination, for either convenience or cause, all property, finished or unfinished documents, data, studies, surveys, drawings, maps, models, photographs, computer tapes, computer programs, and reports prepared by the Subrecipient under this Agreement shall, at the option of the Department, and if in accordance with applicable State and Federal regulations, become the property of the Department. The Subrecipient shall be entitled to receive just and equitable compensation for any satisfactory work completed on such documents and other materials.

Notwithstanding the above, the Subrecipient shall not be relieved of liability to the Department for damages sustained by the Department by virtue of any breach of the Agreement by the Subrecipient and the Department may withhold any payments to the Subrecipient for the purpose of setoff until such time as the exact amount of damages due the Department from the Subrecipient is determined.

7. **DEBARMENT AND SUSPENSION:** The Subrecipient is prohibited from using any contractor or subcontractor that has been debarred, suspended, or otherwise excluded from participation in federal assistance programs (Executive Orders 12549 and 12689).

The Subrecipient shall require participants in lower tier covered transactions to include the certification on Government-wide Debarment and Suspension (Non-Procurement) for it and its principals in any proposal submitted in connection with such lower tier covered transactions (See Code of Federal Regulations, 2 CFR Part 180.300). The Excluded Parties List System is available for access from the System of Award Management website at <https://www.SAM.gov>.

The Subrecipient certifies, by entering into this Agreement, that neither it nor its principals nor any of its subcontractors are presently debarred, suspended, proposed from debarment, declared ineligible, or voluntarily excluded from entering into this Agreement by any federal agency or by any department, agency, or political subdivision of the State. The term "principal" for purposes of this Agreement means an officer, director, owner, partner, key employee, or other person with primary management or supervisory responsibilities, or a person who has a critical influence on or substantive control over the operations of the Recipient.

The Subrecipient certifies that it has verified the suspension and debarment status for all subcontractors receiving funds under this Agreement and shall be solely responsible for any recoupments or penalties that might arise from non-compliance. Subrecipients shall immediately notify the Department if any subcontractor becomes debarred or suspended, and shall, at the Department's request, take all steps required by the Department to terminate its contractual relationship with the sub-contractor for work to be performed under this Agreement.

8. NOT TO CONSTITUTE A DEBT OF THE STATE: It is agreed that the terms and commitments contained herein shall not be constituted as a debt of the State of Alabama in violation of Article 11, Section 213 of the Constitution of Alabama, 1901, as amended by Amendment No. 26.
9. CONFLICTING PROVISION: If any provision of this Agreement shall contravene any statute or Constitutional provision or amendment, either now in effect or which may, during the course of this Agreement, be enacted, then that conflicting provision in the Agreement shall be deemed null and void.
10. IMMUNITY AND DISPUTE RESOLUTION. The parties to this agreement recognize and acknowledge that ADECA is an instrumentality of the State of Alabama, and as such, is immune from suit pursuant to Article I, Section 14, Constitution of Alabama 1901. It is further acknowledged and agreed that none of the provisions and conditions of this Agreement shall be deemed to be or construed to be a waiver by ADECA of such Constitutional Immunity.

In the event of any dispute between the parties, senior officials of both parties shall meet and engage in a good faith attempt to resolve the dispute. Should that effort fail and the dispute involves the payment of money, a party's sole remedy is the filing of a claim with the Board of Adjustment of the State of Alabama.

For any and all other disputes arising under the terms of this agreement which are not resolved by negotiation, the parties agree to utilize appropriate forms of non-binding alternative dispute resolution including, but not limited to, mediation.

Such dispute resolution shall occur in Montgomery, Alabama, utilizing where appropriate, mediators selected from the roster of mediators maintained by the Center for Dispute Resolution of the Alabama State Bar.

11. DISCLAIMER: ADECA specifically denies liability for any claim arising out of any act or omission by any person or agency receiving funds from ADECA whether by contract, grant, loan, or by any other means.

No Subrecipient, Contractor, or agency performing services under any agreement, contract, grant or any other understanding, oral or written, other than an actual employee of ADECA, shall be considered an agent or employee of the State of Alabama or ADECA or any division thereof. The State of Alabama, ADECA, and their agents and employees assume no liability to any Subrecipient, contractor or agency, or any third party, for any damages to property, both real and personal, or personal injuries, including death, arising out of or in any way connected with the acts or omissions of any Subrecipient, contractor or agency, or any other person.

12. ACCESS TO RECORDS: The Director of the Department, the Comptroller General of the United States (if Federal funds), the Chief Examiner of Public Accounts, or any of their duly authorized representatives shall have the right of access to any pertinent books, documents, papers, and records of the Subrecipient for the purpose of making audits, financial reviews, examinations, excerpts and transcripts. This right also includes timely and reasonable access to Subrecipient personnel for the purpose of interview and discussion related to such agreement. This right of access is not limited to the required retention period, but shall last as long as the records are retained.

13. ASSIGNABILITY: The Subrecipient shall not assign any interest in this Agreement, and shall not transfer any interest in the same (whether by assignment or novation) without the prior written consent of the Department thereto. Provided, however, that claims for money due, or to become due to the Subrecipient from the Department under this Agreement may be assigned to a bank, a trust company, or other financial institution through a valid court order and without such approval. Notice of such assignment or transfer shall be furnished promptly to the Department.

14. CONTINGENCY CLAUSE: It is expressly understood and mutually agreed that any Department commitment of funds herein shall be contingent upon receipt and availability by the Department of funds under the program for which this Grant Agreement is made. If this agreement involves Federal funds, the amount of this Grant Agreement will be adjusted by the amount of any federal recessions and/or deferrals. Payments made by the Department under the terms of this Agreement shall not constitute final approval of documents submitted by the Subrecipient or of procedures used in formulating requests for payment to the Subrecipient. Funds appropriated and obligated to this award are available for reimbursement of costs until the end of the performance period set forth in the Grant Agreement.

15. CONFLICT OF INTEREST: A conflict of interest, real or apparent, will arise when any of the following has a financial or other interest in the firm or organization selected for award: (1) the individual, (2) any member of the individual's immediate family, (3) the individual's partner, or (4) an organization which employs or is about to employ any of the above. The Subrecipient certifies by signing this agreement that no person under its employ or control who presently performs functions, duties, or responsibilities in connection with the Department of grant-funded projects or programs has any personal and/or financial interest, direct or indirect, in this agreement nor will the Subrecipient hire any person having such conflicting interest. The Subrecipient further certifies that it will maintain a written code of standards governing the performance of persons engaged in the award and administration of contracts and subgrants.
16. AUDIT REQUIREMENTS: All Subrecipients of federal funds must follow the Audit requirements identified in the Office of Management and Budget Uniform Administrative Requirements, 2 CFR Part 200, Subpart F – Audit Requirements. Additionally, if any Subrecipient receives more than \$500,000, collectively, in State General Fund appropriations in their fiscal year, from ADECA, they must have an audit in accordance with Government Auditing Standards (the Yellow Book) and Generally Accepted Auditing Standards established by the AICPA.

Nothing contained in this agreement shall be construed to mean that ADECA cannot utilize its auditors regarding limited scope audits of various ADECA funds. Audits of this nature shall be planned and carried out in such a way as to avoid duplication or not to exceed the audit coverage limits as stated in the Uniform Administrative Requirements.

Copies of all required audits must be submitted to:

Alabama Department of Economic and Community Affairs (ADECA)
ATTENTION: Chief Audit Executive
401 Adams Avenue
P.O. Box 5690
Montgomery, Alabama 36103-5690

And an additional copy to:
Alabama Department of Examiners of Public Accounts
ATTENTION: Audit Report Repository
P. O. Box 302251
Montgomery, Alabama 36130-2251

All entities that have a single audit must submit the reporting package and data collection form to the Federal Audit Clearinghouse in accordance with 2 CFR Part 200, Subpart F §200.512.

17. AUDIT EXCEPTIONS/UNRESOLVED QUESTIONED COSTS/OUTSTANDING DEBTS: The Subrecipient certifies by signing this agreement that it does not have any unresolved audit exceptions, unresolved questioned costs or finding of fiscal inadequacy as a result of project monitoring. It further certifies that no money is owed to any division of ADECA or to the Federal government under any program where it has not arranged a repayment plan.

18. SUSPENSION OF PAYMENTS: Payments under this Agreement may be suspended in the event that there is an outstanding audit exception under any program administered by any division of ADECA, or in the event there is an amount owing to any division of ADECA, or an amount owing to the Federal government under any program administered by any division of ADECA that is not received in a reasonable and timely manner.

Should the Subrecipient incur an unresolved audit exception or have unresolved questioned costs or finding of inadequacy as a result of any project monitoring by any division of ADECA, then ADECA shall not enter into any other contract, agreement, grant, etc., with said Subrecipient until the audit exception or questioned cost or finding of fiscal inadequacy has been resolved.

ADECA shall not enter into another contract, agreement, grant, etc., with any individual, agency, company, or government under any program administered by any division of ADECA that has not arranged a repayment schedule.

19. COMPLIANCE WITH FEDERAL, STATE AND LOCAL LAWS: In addition to the provisions provided herein, the Subrecipient shall be responsible for complying with any and all other applicable laws, ordinances, codes and regulations of the Federal, State and local governments, including, but not limited to, the Alabama Competitive Bid Law (§ 41-16-1 *et seq*, Code of Alabama 1975), the Alabama Public Works Law (§ 39-1-1 *et seq*, Code of Alabama 1975), any State permitting requirements, the Alabama Open Meetings Act (§ 36-25a-1 *et seq*, Code of Alabama 1975), and the Beason-Hammon Alabama Taxpayer and Citizen Protection Act (§ 31-13-1, *et seq*, Code of Alabama 1975).

For all contracts governed by the Alabama Public Works Law (§ 39-1-1 *et seq*, Code of Alabama 1975) or the Alabama Competitive Bid Law (§ 41-16-1 *et seq*, Code of Alabama 1975), the following shall apply: In compliance with Act 2016-312, the contractor hereby certifies that it is not currently engaged in, and will not engage in, the boycott of a person or an entity based in or doing business with a jurisdiction with which this state can enjoy open trade. By signing this grant, the parties affirm, for the duration of the agreement, that they will not violate federal immigration law or knowingly employ, hire for employment, or continue to employ an unauthorized alien within the State of Alabama. Furthermore, a contracting party found to be in violation of this provision shall be deemed in breach of the agreement and shall be responsible for all damages resulting therefrom.

20. NONDISCRIMINATION: The Subrecipient shall be and is prohibited from discriminating based on race, color, religion, sex, age, handicap, or national origin.

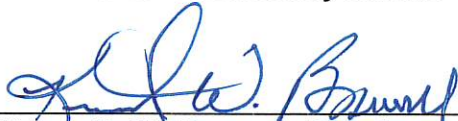
IN WITNESS WHEREOF, The Department and the Subrecipient have executed this Grant Agreement as evidenced by their signatures below:

ADECA

Subrecipient:

**Alabama Department of Economic
and Community Affairs**

Baldwin County Commission


Kenneth W. Boswell, Director

Chairman

12/12/19

(Date)

(Date)

ATTEST:


(Name)

ATTEST:

(Name)

Administrative Support Assistant I
(Title)

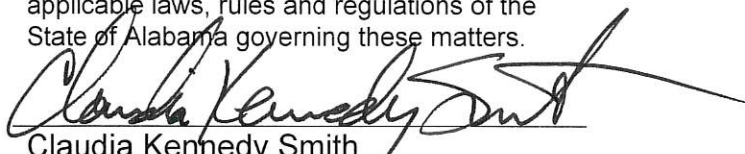
(Title)

12 Dec 2019

(Date)

(Date)

This contract/grant has been reviewed for content, legal form, and complies with all applicable laws, rules and regulations of the State of Alabama governing these matters.


Claudia Kennedy Smith
General Counsel for ADECA

State of _____)

County of _____)

CERTIFICATE OF COMPLIANCE WITH THE BEASON-HAMMON ALABAMA TAXPAYER AND CITIZEN PROTECTION ACT (ACT 2011-535, as amended by Act 2012-491)

DATE: _____

RE Contract/Grant/Incentive (describe by number or subject):

_____ by and between
_____ (Contractor/Grantee) and
_____ (State Agency, Department or Public Entity)

The undersigned hereby certifies to the State of Alabama as follows:

1. The undersigned holds the position of _____ with the Contractor/Grantee named above, and is authorized to provide representations set out in this Certificate as the official and binding act of that entity, and has knowledge of the provisions of THE BEASON-HAMMON ALABAMA TAXPAYER AND CITIZEN PROTECTION ACT (ACT 2011-535 of the Alabama Legislature, as amended by Act 2012-491) which is described herein as "the Act".
2. Using the following definitions from Section 3 of the Act, select and initial either (a) or (b), below, to describe the Contractor/Grantee's business structure.

BUSINESS ENTITY. Any person or group of persons employing one or more persons performing or engaging in any activity, enterprise, profession, or occupation for gain, benefit, advantage, or livelihood, whether for profit or not for profit. "Business entity" shall include, but not be limited to the following:

- a. Self-employed individuals, business entities filing articles of incorporation, partnerships, limited partnerships, limited liability companies, foreign corporations, foreign limited partnerships, foreign limited liability companies authorized to transact business in this state, business trusts, and any business entity that registers with the Secretary of State.
- b. Any business entity that possesses a business license, permit, certificate, approval, registration, charter, or similar form of authorization issued by the state, any business entity that is exempt by law from obtaining such a business license, and any business entity that is operating unlawfully without a business license.

EMPLOYER. Any person, firm, corporation, partnership, joint stock association, agent, manager, representative, foreman, or other person having control or custody of any employment, place of employment, or of any employee, including any person or entity employing any person for hire within the State of Alabama, including a public employer. This term shall not include the occupant of a household contracting with another person to perform casual domestic labor within the household.

_____(a)The Contractor/Grantee is a business entity or employer as those terms are defined in Section 3 of the Act.

_____(b)The Contractor/Grantee is not a business entity or employer as those terms are defined in Section 3 of the Act.

3. As of the date of this Certificate, Contractor/Grantee does not knowingly employ an unauthorized alien within the State of Alabama and hereafter it will not knowingly employ, hire for employment, or continue to employ an unauthorized alien within the State of Alabama;
4. Contractor/Grantee is enrolled in E-Verify unless it is not eligible to enroll because of the rules of that program or other factors beyond its control.

Certified this _____ day of _____, 20____.

Name of Contractor/Grantee/Recipient

By: _____

Its _____

The above Certification was signed in my presence by the person whose name appears above, on

this _____ day of _____, 20____.

WITNESS: _____

Printed Name of Witness

Quarterly Report Expenditure Detail Sheet

Grant Number

Grant Amount

Grantee

Page ____ of ____

[illegible]

Attach additional pages as necessary. If one check is written to pay several invoices, please indicate this in the "Notes" section. Please staple all receipts to the appropriate canceled check(s).

TRANSFER TO YOUR LETTERHEAD

REQUEST FOR PAYMENT

TO: Alabama Dept. of Economic & Community Affairs
Attn: State Grants
P. O. Box 5690
Montgomery, AL 36103

FROM: _____
(Entity name)

(Street or PO box)

(City, State, Zip)

DATE: _____

GRANT #: _____

REQUEST #: _____

AMOUNT
REQUESTED: _____

I certify that this Request for Payment has been drawn in accordance with the terms and conditions of the referenced grant/contract/agreement cited above and that the amount requested will be used for the purpose(s) specified in said grant/contract/agreement.

AUTHORIZED SIGNATURE (as specified in grant/contract/agreement)

DATE

FOR ADECA USE ONLY

	Original	Concur	Concur	Concur	Concur
Initial					
Date					

Voucher #: _____

Date: _____

By: _____

Request for Taxpayer Identification Number and Certification

► Go to www.irs.gov/FormW9 for instructions and the latest information.

Give Form to the
requester. Do not
send to the IRS.

Print or type. See Specific Instructions on page 3.	1 Name (as shown on your income tax return). Name is required on this line; do not leave this line blank.	
	2 Business name/disregarded entity name, if different from above	
	3 Check appropriate box for federal tax classification of the person whose name is entered on line 1. Check only one of the following seven boxes. <input type="checkbox"/> Individual/sole proprietor or single-member LLC <input type="checkbox"/> Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=Partnership) ► _____ Note: Check the appropriate box in the line above for the tax classification of the single-member owner. Do not check LLC if the LLC is classified as a single-member LLC that is disregarded from the owner unless the owner of the LLC is another LLC that is not disregarded from the owner for U.S. federal tax purposes. Otherwise, a single-member LLC that is disregarded from the owner should check the appropriate box for the tax classification of its owner. <input type="checkbox"/> Other (see instructions) ► _____	4 Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3): Exempt payee code (if any) _____ Exemption from FATCA reporting code (if any) _____ <i>(Applies to accounts maintained outside the U.S.)</i>
	5 Address (number, street, and apt. or suite no.) See instructions.	Requester's name and address (optional)
	6 City, state, and ZIP code	
	7 List account number(s) here (optional)	

Part I Taxpayer Identification Number (TIN)

Enter your TIN in the appropriate box. The TIN provided must match the name given on line 1 to avoid backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the instructions for Part I, later. For other entities, it is your employer identification number (EIN). If you do not have a number, see *How to get a TIN*, later.

Note: If the account is in more than one name, see the instructions for line 1. Also see *What Name and Number To Give the Requester* for guidelines on whose number to enter.

Social security number									
				-				-	
or									
Employer identification number									
				-					

Part II Certification

Under penalties of perjury, I certify that:

1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
3. I am a U.S. citizen or other U.S. person (defined below); and
4. The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

Certification instructions. You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions for Part II, later.

Sign Here	Signature of U.S. person ►	Date ►
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General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

Future developments. For the latest information about developments related to Form W-9 and its instructions, such as legislation enacted after they were published, go to www.irs.gov/FormW9.

Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following.

- Form 1099-INT (interest earned or paid)

- Form 1099-DIV (dividends, including those from stocks or mutual funds)
- Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
- Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
- Form 1099-S (proceeds from real estate transactions)
- Form 1099-K (merchant card and third party network transactions)
- Form 1098 (home mortgage interest), 1098-E (student loan interest), 1098-T (tuition)
- Form 1099-C (canceled debt)
- Form 1099-A (acquisition or abandonment of secured property)

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.

If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See What is backup withholding, later.

State of Alabama

Disclosure Statement

(Required by Act 2001-955)

ENTITY COMPLETING FORM

Agreement Number

ADDRESS

CITY, STATE, ZIP

TELEPHONE NUMBER

()

STATE AGENCY/DEPARTMENT THAT WILL RECEIVE GOODS, SERVICES, OR IS RESPONSIBLE FOR GRANT AWARD

ADDRESS

CITY, STATE, ZIP

TELEPHONE NUMBER

()

This form is provided with:

☐ Contract

☐ Proposal

☐ Request for Proposal

☐ Invitation to Bid

☐ Grant Proposal

Have you or any of your partners, divisions, or any related business units previously performed work or provided goods to any State Agency/Department in the current or last fiscal year?

☐ Yes

☐ No

If yes, identify below the State Agency/Department that received the goods or services, the type(s) of good or services previously provided, and the amount received for the provision of such goods or services.

Have you or any of your partners, divisions, or any related business units previously applied and received any grants from any State Agency/Department in the current or last fiscal year?

☐ Yes

☐ No

If yes, identify the State Agency/Department that awarded the grant, the date such grant was awarded, and the amount of the grant.

1. List below the name(s) and address(es) of all public officials/public employees with whom you, members of your immediate family, or any of your employees have a family relationship and who may directly personally benefit financially from the proposed transaction. Identify the State Department/Agency for which the public officials/public employees work. (Attach additional sheets if necessary.)

2. List below the name(s) and address(es) of all family members of public officials/public employees with whom you, members of your immediate family, or any of your employees have a family relationship and who may directly personally benefit financially from the proposed transaction. Identify the public officials/public employees and State Department/Agency for which the public officials/public employees work. (Attach additional sheets if necessary.)

If you identified individuals in items one and/or two above, describe in detail below the direct financial benefit to be gained by the public officials, public employees, and/or their family members as the result of the contract, proposal, request for proposal, invitation to bid, or grant proposal. (Attach additional sheets if necessary.)

Describe in detail below any indirect financial benefits to be gained by any public official, public employee, and/or family members of the public official or public employee as the result of the contract, proposal, request for proposal, invitation to bid, or grant proposal. (Attach additional sheets if necessary.)

List below the name(s) and address(es) of all paid consultants and/or lobbyists utilized to obtain the contract, proposal, request for proposal, invitation to bid, or grant proposal:

By signing below, I certify under oath and penalty of perjury that all statements on or attached to this form are true and correct to the best of my knowledge. I further understand that a civil penalty of ten percent (10%) of the amount of the transaction, not to exceed \$10,000.00, is applied for knowingly providing incorrect or misleading information.

Signature

Date

Notary's Signature

Date

Date Notary Expires

Act 2001-995 requires the disclosure statement to be completed and filed with all proposals, bids, contracts, or grant proposals to the State of Alabama in excess of \$5,000.

OFFICE OF THE GOVERNOR

KAY IVEY
GOVERNOR



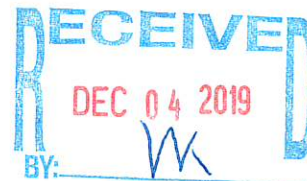
STATE OF ALABAMA

ALABAMA DEPARTMENT OF ECONOMIC
AND COMMUNITY AFFAIRS

KENNETH W. BOSWELL
DIRECTOR

4BCC
WD
RC
SLBP
Z. Hood

December 2, 2019



Mr. Charles F. Gruber, Chairman
Baldwin County Commission
Baldwin County Administration Bldg
312 Courthouse Square, Suite 112
Bay Minette, Alabama 36507

Dear Mr. Gruber:

RE: **Census Grant -- \$20,000**
Marketing/Printed Materials

I am pleased to provide you with this letter that certifies approval of the above grant which will be funded by the Alabama Education Trust Fund. This grant will be administered by the Alabama Department of Economic and Community Affairs (ADECA). You will soon receive notification from ADECA advising how to proceed with the grant.

I commend you on your efforts to improve census participation by the residents of your community and wish you every success in implementing the program. Thank you for your interest and cooperation with this project.

Sincerely,

A handwritten signature in black ink that reads "Kay Ivey".

Kay Ivey
Governor

KI:KWB:emt



Baldwin County Commission

Agenda Action Form

File #: 20-0489, **Version:** 1

Item #: BA2

Meeting Type: BCC Regular Meeting

Meeting Date: 1/7/2020

Item Status: New

From: Wayne Dyess, County Administrator; Sherry-Lea Bloodworth Boto, PIO/Community Engagement Mgr.

Submitted by: Sherry-Lea Boto, PIO/Community Engagement Mgr.

ITEM TITLE

Alabama Department of Senior Services/Senior Community Service Employment Program Host Agency Agreement and South Alabama Regional Planning Commission South Alabama RSVP Program

STAFF RECOMMENDATION

Approve the authorize the Chairman to execute the following two documents:

- 1) Alabama Department of Senior Services (ADSS), Senior Community Service Employment Program (SCSEP) Agreement between the Baldwin County Commission and South Alabama Regional Planning Commission (SARPC) for the provision of two Information Attendant (IA) positions to the Baldwin County Commission. The Commission's contribution for the program will be \$1,150.00 per Senior Aide per contract year. The term of this agreement shall commence on July 1, 2019 and shall terminate on June 30, 2020.
- 2) Memorandum of Understanding between the Baldwin County Commission and South Alabama RSVP (Retired Senior Volunteer Program) for the provision of volunteer information attendant staff for the Baldwin County Commission. The term of this MOU shall commence on the date of full execution and renew annually until cancelled by either party.

BACKGROUND INFORMATION

Previous Commission action/date: n/a

Background: The Senior Community Service Employment Program (SCSEP) provides training and experience for older workers. SCSEP pays wages and places qualified seniors in positions with government or non-profit agencies.

The Alabama Department of Senior Services/Senior Community Service Employment Program Host Agency Agreement will provide two Information Attendant (IA) positions to the Baldwin County Commission. The IAs will play a key role as ambassadors and disseminators of information for

Baldwin County.

One IA will be based in the lobby of the Fairhope Satellite Courthouse and one IA will be based in the lobby of the Central Annex. Primarily, the IA serves to welcome, greet, direct, and attend to visitors' basic inquiries related to the location and hours of offices in Baldwin County is to provide information to the public about services.

SARPC asks host agencies to contribute \$1,150.00 per Senior Aide per contract year to be used as a match for this Department of Labor grant through the SCSEP program.

The RSVP South Alabama Regional Planning Commission (SARPC) & Retired Senior Volunteer Program (RSVP), one of the largest volunteer networks in the nation for people ages 55 & over, will provide RSVP volunteers for the County which will be scheduled to cover hours not covered by SCSEP.

FINANCIAL IMPACT

Total cost of recommendation: \$1,150.00 per senior aide for a total of \$2,300.00. ADD Equipment costs. One reception desk for the Fairhope Satellite Courthouse @ \$736.75

Budget line item(s) to be used: 51992/51994

If this is not a budgeted expenditure, does the recommendation create a need for funding?
N/A

LEGAL IMPACT

Is legal review necessary for this staff recommendation and related documents?
Needs legal review.

Reviewed/approved by: N/A

Additional comments: N/A

ADVERTISING REQUIREMENTS

Is advertising required for this recommendation? N/A

If the proof of publication affidavit is not attached, list the reason: N/A

FOLLOW UP IMPLEMENTATION

For time-sensitive follow up, select deadline date for follow up: N/A

Individual(s) responsible for follow up: Administration - Send agreement for signatures and

oversee office furniture details; CIS/Brian Peacock for equipment

Action required (list contact persons/addresses if documents are to be mailed or emailed):

Mail Agreement and MOU to:

Terri L. Pringle, Director
Employment and Economic Development Services
Post Office Box 1665
Mobile, Alabama 36602

cc:

Sherry-Lea Bloodworth Botoy
Brian Peacock

FYI:
Keri Green
Michelle Howard

Additional instructions/notes: N/A



ADSS SCSEP Host Agency Agreement

To comply with the requirements of the Alabama Department of Senior Services (ADSS), Senior Community Service Employment Program (SCSEP), operated under Title V of the Older Americans Act, this Agreement is voluntarily entered into by: **Baldwin County Commission** hereinafter referred to as the Host Agency, and **SOUTH ALABAMA REGIONAL PLANNING COMMISSION**, hereinafter referred to as the Sponsor Agency.

1. The Sponsor Agency agrees to recruit, enroll, and assign a participant to the Host Agency for the purpose of engaging in productive community service employment. The Sponsor Agency will provide to the Host Agency a detailed written training plan in the participant's Individual Employment Plan (IEP) and Community Service Assignment Description, which will include skills to be attained and timelines for achieving the goal. The Community Service Assignment Description must specify the nature of the assignment, the hours each participant will train, specific duties and tasks to be performed.

2. The Host Agency agrees to provide a safe work site for each participant, to provide adequate orientation and training necessary to perform assigned duties in accordance with a written community service assignment description from the Sponsor Agency, and, to the extent possible, to provide additional training as opportunities occur and to treat each participant as though they are a regular member of the Host Agency staff.

3. The Host Agency agrees to consider each participant for regular employment, either full-time or part-time, when vacancies occur in the Host Agency staff or when new positions are created but makes no assurance or guaranty of employment. The Host Agency will also recommend suitable training for unsubsidized placement of the participant.

4. The Host Agency understands that the length of time that a participant may remain in the same assignment will be determined in their IEP. The Host Agency understands that the Sponsor Agency may reassign any participant at any time that reassignment will increase the participant's opportunities for training or unsubsidized employment, or will otherwise serve the best interests of the participant.

5. The Host Agency agrees that no other national Title V project sponsor will be provided a community service assignment while this Agreement is in effect.

6. The Host Agency agrees to abide by the hours and work schedules mutually agreed to for each participant and to provide time sheets, periodic performance evaluations, and other documents which may reasonably be required. In addition, the Host Agency agrees and understands that each participant will be required to attend periodic SCSEP meetings during regular working hours.

7. The Host Agency agrees that the community service assignments for any participant are to be similar to "in demand" or "growth industries" private sector jobs, such as health care; child day care; education; or green jobs. However, these assignments not result in the displacement of currently employed workers; or in a reduction in non-overtime hours of work, wages, or benefits; will not impair any existing contract for service or result in the substitution of the wages of the participant for other funds in connection with work which otherwise would be performed; will not be a substitution for any existing federally assisted job; and will not be a position which is the same as or substantially the same as that occupied by any other person who is on lay-off or absent due to labor disputes. Further, the Host Agency agrees that it will not discriminate against a participant on the grounds of race, color, age, religion, sex, national origin, age, or disability.

8. Host Agency supervisor's meetings will be held annually to acquaint all concerned with the SCSEP goals and objectives. The Host Agency agrees to send a representative to a host agency supervisor's meeting. The Host Agency agrees to participate in the DOL Customer Satisfaction Survey if solicited.

9. The Host Agency agrees to provide documentation of any in-kind contributions. Further, it is understood by the Host Agency and the Sponsor Agency that any contribution, whether cash or in-kind, by the Host Agency is purely voluntary and is not a condition for the assignment of any participant.

10. The Host Agency certifies by this Agreement that it is a governmental agency or is a non-profit agency which is currently certified as a Section 501(c)(3) organization under the Internal Revenue Code. In addition, the Host Agency will provide its Federal Employer Identification Number (FEIN). Further, if the Host Agency is certified as a Section 501(c)(3) agency, a copy of that certification is attached or is on file with the Sponsor Agency and is still in effect. The Host Agency agrees to inform the Sponsor Agency immediately if the Section 501(c)(3) certification is revoked.

11. The Sponsor Agency hereby agrees to indemnify, defend and hold the Host Agency, its elected officials, officers, agents, and employees, harmless from and against any and all claims, costs, expenses, losses and liabilities, including reasonable attorneys' fees and expense suffered, paid or incurred by the Host Agency arising out of or by virtue of this Agreement, the participant's participation pursuant to this Agreement or the Host Agency's actions or omissions related to this Agreement, including any injury or damage to property or to person, including death; and those resulting from the Sponsor Agency's failure to pay any bills, invoices, costs, wages, taxes, or other charges owed by it to a participant or another. The foregoing indemnification

obligation shall not apply to any claims, liabilities or expenses arising from intentional acts or intentional misrepresentations of the Host Agency. These indemnification obligations shall survive the expiration of or termination of this Agreement.

12. The Sponsor Agency agrees to be responsible for all administrative and fiscal controls of the SCSEP and for paying wages and providing fringe benefits to each participant. The Host Agency does not provide Workers' Compensation insurance for participants.

13. Miscellaneous.

a. Amendment. This Agreement may not be amended except upon written agreement between the parties.

b. Law, Venue and Jurisdiction. All the provisions of this Agreement shall be governed, construed by and administered in accordance with the laws of the State of Alabama. The parties agree that any legal action brought to enforce the terms of this Agreement shall be instituted and maintained only in the Circuit Court of Baldwin County, Alabama.

c. Severability. All the terms, provisions, and conditions of this Agreement shall be deemed to be severable in nature. If for any reason the provisions hereof are held to be invalid or unenforceable by a court of competent jurisdiction, then to the extent that such provisions are valid and enforceable, such court shall construe and interpret this Agreement to provide for maximum validity and enforceability.

This Agreement is in effect from July 1, 2019 - June 30, 2020

Signed — Host Agency

Host Agency: _____

Representative Name: _____

Representative Signature: _____

Host Agency Title: _____

Host Agency Supervisor: _____

Address: _____

Phone: _____ Fax: _____

Email: _____ Date: _____

Signed— SCSEP SPONSOR AGENCY

SCSEP Sponsor: SOUTH ALABAMA REGIONAL PLANNING COMMISSION

Representative Name: Terri L. Pringle

Representative Signature: _____

Title: Director, Employment and Economic Development Services

Address: 110 Beauregard Street, Mobile, Alabama 36602
P.O. Box 1665, Mobile, 36633

Phone: 251-652-0585

Fax: 251-433-0148

Email: tpringle@sarpc.org

Date: 10/17/19

Definition of Host Agency Status

(Check one)

☐ This host agency is a government agency. FEIN_____ (Required by USDOL).

☐ This host agency is a certified non-profit agency under Section 501 (c)(3) of the United States Internal Revenue Code. FEIN_____ (Required by USDOL).

_____ 501 (c) (3) documentation is attached.

_____ 501(c) (3) documentation is already on file with the sponsor.



MEMORANDUM OF UNDERSTANDING (MOU)

VOLUNTEER INFORMATION

ATTENDANT: Baldwin County Commission

ADDRESS: Fairhope Satellite Courthouse
Robertsdale Central Annex

This memorandum contains an outline of necessary provisions applicable to both the South Alabama Regional Planning Commission South Alabama RSVP program and the Baldwin County Commission Volunteer Information Attendant Station:

A. The Volunteer Station will:

1. Designate a coordinator to serve as liaison with RSVP office.
Coordinator Names: Michelle Howard (Fairhope), Keri Green (Robertsdale)
2. Religious/Political Activities: The Volunteer Station will not request or assign RSVP volunteers to conduct or engage in religious, sectarian or political activities.
3. Displacement of Employees: The Volunteer Station will not assign RSVP volunteers to any assignment which would displace employed workers or impair existing contracts for services.
4. Maintain an RSVP file with a copy of this agreement and copies of volunteer position description for each RSVP volunteer.
5. Assure and instruct volunteer(s) about health and safety procedures for this station.
6. In consultation with RSVP staff, make investigation and reports regarding accidents and injuries involving any RSVP volunteer(s).
7. Provide adequate orientation, in-service instruction, or special training for the volunteer(s).
8. Furnish volunteer(s) with any material required for an assignment.
9. Collect and validate volunteer(s) signed time sheet by the end of each month.
10. Have the right to request removal of a volunteer(s) from service.

11. Provide some kind of support for the volunteer(s).
- ☐ a. Recognition (cards, awards, parties, luncheons, etc.)
 - ☐ b. Special Parking Area
 - ☐ c. Meal
 - ☐ d. Other

B. The Retired and Senior Volunteer Program will:

1. Provide necessary information to Volunteer Station staff prior to placement of volunteer(s) and at other times as the need arises.
2. Review acceptability/accessibility of volunteer(s) assignments and refer interested volunteer(s) to Volunteer Station.
3. Furnish time sheets for recording volunteer(s) hours.
4. Furnish copies of volunteer position description to Volunteer Station and RSVP file.
5. Furnish adequate accident, public and excess automobile liability insurance coverage as required by program policy.
6. Regularly confer with Volunteer Station to assess progress and needs of the program and volunteer(s).
7. Withdraw volunteer(s) from Volunteer Station upon volunteer(s), Volunteer Station or program request.
8. Understand this Memorandum of Understanding is good for one year from date on this form and may be automatically renewed or cancelled upon notice of either party..

C. Special Provisions

1. This memorandum may be amended at any time by the parties.
 2. A signed copy of the Memorandum of Understanding will be supplied to the appropriate office.
 3. Neither volunteer(s) nor beneficiaries served will be discriminated against on the basis of handicap regarding employment or volunteer service practices, building/site access for program or the work station programs or activities. No one will be denied opportunities on the basis of sex, color, race, creed, national origin, religious persuasion, marital status or political belief.
-

By signing this MOU, the Volunteer Station Representative certifies that the Volunteer Station is a:

___public non-profit organization, ___private non-profit organization, or ___proprietary health care agency

Volunteer Station Representative
Billie Jo Underwood, Chairman, Baldwin County Commission

Date

RSVP Project Director or Volunteer Coordinator

Date



Baldwin County Commission

Agenda Action Form

File #: 20-0473, **Version:** 1

Item #: BA3

Meeting Type: BCC Regular Meeting

Meeting Date: 1/7/2020

Item Status: New

From: Commissioner Joe Davis III, District 2

Submitted by: Michelle Howard, Commission Executive Assistant

ITEM TITLE

Baldwin County Animal Control Advisory Board - Board Appointment(s)

STAFF RECOMMENDATION

Related to the Baldwin County Animal Control Advisory Board, take the following actions:

- 1) Accept the resignation of Ms. Cathy Gray, effective September 24, 2019, and thank her for her service on the board; and
- 2) Appoint Mr. John Richard Sute as a member of the board (as District II Commissioner's designee) to fill the vacant place seat formerly held by Ms. Cathy Gray for a pro-rata reduced four (4) year term, said term to commence on January 7, 2020, and expire on February 7, 2023.

BACKGROUND INFORMATION

Previous Commission action/date: July 16, 2019 - Last BCC appointment to the board.

Background: The Baldwin County Animal Control Advisory Board was established by the Baldwin County Commission at its August 1, 2017, regular meeting by the adoption of Resolution #2017-122. The Board serves only in an advisory capacity to make advisory recommendations to the Commission.

All eleven (11) Members of the Board shall be appointed by the Baldwin County Commission.

Board members shall serve for a term which shall end on the first Tuesday in February following each regular election of the Commission, with each member serving until his or her successor is appointed by the Commission.

Any Board member may be removed by the Commission at any time, with or without cause, at its discretion.

The Board shall be composed of the following:

Eight (8) members designated by the Baldwin County Commission, with each Commissioner to recommend two (2) members; One (1) member designated by the Baldwin County Sheriff; One (1) member designated by the Baldwin County District Attorney; and One (1) practicing veterinarian licensed by the Alabama State Board of Veterinary Medical Examiners, designated by the Baldwin County Commission.

Qualifications for Board members:

1. Be a qualified elector (i.e. registered voter) in Baldwin County; and
2. Be a resident of Baldwin County.

Staff has verified Mr. Sute meets the qualifications to be appointed to the board.

FINANCIAL IMPACT

Total cost of recommendation: N/A

Budget line item(s) to be used: N/A

If this is not a budgeted expenditure, does the recommendation create a need for funding? N/A

LEGAL IMPACT

Is legal review necessary for this staff recommendation and related documents?
N/A

Reviewed/approved by: N/A

Additional comments: N/A

ADVERTISING REQUIREMENTS

Is advertising required for this recommendation? N/A

If the proof of publication affidavit is not attached, list the reason: N/A

FOLLOW UP IMPLEMENTATION

For time-sensitive follow up, select deadline date for follow up: N/A

Individual(s) responsible for follow up: Administration - Appointment letters to:

Appointment letter to:

Mr. John Richard Sute
10275 County Road 64
Daphne, Alabama 36526

Thank you letter to:

Ms. Cathy Gray
7183 Saluda Boulevard
Spanish Fort, Alabama 36527

cc: Wayne Dyess
Christie Davis
Kim Peacock

Action required (list contact persons/addresses if documents are to be mailed or emailed): N/A

Additional instructions/notes: N/A

From: Cathy Gray [<mailto:catrgray@gmail.com>]
Sent: Tuesday, September 24, 2019 3:04 PM
To: Christie Davis <CDavis@baldwincountyal.gov>
Subject: Re: FW: <EXTERNAL> Re: Advisory Board Meeting

Christy,

Hi, thanks for your reply. I regretfully would like to resign. I thank you for the opportunity to be on the board and you have a great team.

Thanks,

Cathy Gray

BALDWIN COUNTY ANIMAL CONTROL ADVISORY BOARD

Baldwin County Animal Control Center
15050 County Road 49 North, Summerdale, Alabama 36580
Gina Jones, Baldwin County Humane Officer
Tel: (251) 972-6834 Email: gina.jones@baldwincountyal.gov

Board Information:

Board established by the Baldwin County Commission (BCC) at its regular meeting of August 1, 2017, by adoption of Resolution #2017-122. The Board serves only in an advisory capacity to make advisory recommendations to the BCC.

Eleven (11) member board, all members shall be appointed by the BCC.

Each member shall be a qualified elector in and resident of Baldwin County, Alabama.

Board members shall serve for a term which shall end on the first Tuesday in February following each regular election of the Commission, with each member serving until their successor is appointed by the BCC.

Any Board member may be removed by the BCC at any time, with or without cause, in its discretion.

The Board shall be composed of the following:

Eight (8) members designated by the BCC, with each Commissioner to recommend two (2) members; and

One (1) member designated by the Baldwin County Sheriff; and

One (1) member designated by the Baldwin County District Attorney; and

One (1) practicing veterinarian licensed by the Alabama State Board of Veterinary Medical Examiners designated by the BCC.

DESIGNATED BY	MEMBERS	APPOINTED/REAPPOINTED	TERM OF OFFICE	EXPIRATION DATE
Dist. 1 Commissioner	Kim Gantt 601 Hilton Avenue Bay Minette, AL 36507	Re-appointed 04/16/2019 for a pro-rata reduced term to continue from 02/05/2019	Term of BCC	02/07/2023
Dist. 1 Commissioner	Joseph Palmer, DVM Post Office Box 576 Bay Minette, AL 36507	Re-appointed 04/16/2019 for a pro-rata reduced term to continue from 02/05/2019	Term of BCC	02/07/2023
Dist. 2 Commissioner	Kathy Gray 7183 Saluda Boulevard Spanish Fort, AL 36527	Appointed 04/16/2019 for a pro-rata reduced term to replace Beverly Allen 04/16/2019 Thanked Beverly Allen for her prior service	Term of BCC	02/07/2023
Dist. 2 Commissioner	David Campbell, DVM c/o Central Baldwin Veterinary Hospital 23355 Pet Drive Robertsdale, AL 36567	Appointed 04/16/2019 for a pro-rata reduced term to replace Dusty Feller 04/16/2019 Thanked Dusty Feller for his prior service	Term of BCC	02/07/2023
Dist. 3 Commissioner	Tom Hayden 16358 Tempest Drive Foley, AL 36535	Appointed 04/16/2019 for a pro-rata reduced term to replace Beth Taylor 04/16/2019 Thanked Beth Taylor for her prior service	Term of BCC	02/07/2023
Dist. 3 Commissioner	Shannon Hesse 19246 Fairfax Drive Fairhope, AL 36532	Appointed 04/16/2019 for a pro-rata reduced term to replace Jennifer M. Blanchard 04/16/2019 Thanked Jennifer M. Blanchard for her prior service	Term of BCC	02/07/2023

BALDWIN COUNTY ANIMAL CONTROL ADVISORY BOARD – Cont.

DESIGNATED BY	MEMBERS	APPOINTED/REAPPOINTED	TERM OF OFFICE	EXPIRATION DATE
Dist. 4 Commissioner	Jan Brereton 8064 Bayview Drive Foley, AL 36535	Re-appointed 04/16/2019 for a pro-rata reduced term to continue from 02/05/2019	Term of BCC	02/07/2023
Dist. 4 Commissioner	Mike Graham 559 South Section Street Fairhope, AL 36532	Appointed 04/16/2019 for a pro-rata reduced term to replace Abby Pruet 04/16/2019 Thanked Abby Pruet for her prior service	Term of BCC	02/07/2023
BC Sheriff	Derek Boone 274 Ogden Court Foley, AL 36535	Re-appointed 04/16/2019 for a pro-rata reduced term to continue from 02/05/2019	Term of BCC	02/07/2023
BC District Attorney	Amy Jernigan Parlier 21786 County Road 87 Robertsdale, AL 36567	Appointed 07/16/2019 for a pro-rata reduced term to replace Lauren Ollinger	4 Years	02/07/2023
At Large Appointment (Practicing Veterinarian)	Dr. Babette Dixon c/o Colony Animal Clinic 359 Morphy Avenue Fairhope, AL 36532	Re-appointed 04/16/2019 for a pro-rata reduced term to continue from 02/05/2019	Term of BCC	02/07/2023

REVISED: 07/16/2019 met



Baldwin County Commission

Agenda Action Form

File #: 20-0460, **Version:** 1

Item #: BA4

Meeting Type: BCC Regular Meeting

Meeting Date: 1/7/2020

Item Status: New

From: Wayne Dyess, County Administrator; Linda Lee, Planner

Submitted by: Tawanda Gulley, Administrative Support Specialist

ITEM TITLE

Baldwin County Commission District No. 4 Planning (Zoning) District Board of Adjustment (Greater South Baldwin County Area) - Board Resignation

STAFF RECOMMENDATION

Related to the Baldwin County Commission District No. 4 Planning (Zoning) District Board of Adjustment (Greater South Baldwin County Area), take the following action:

1) Accept the resignation of Ms. JoAnn Bodree, effective December 10, 2019, and thank her for her former civic service as a regular member (Planning District 29 designee) of the board.

BACKGROUND INFORMATION

Previous Commission action/date: November 5, 2019 - Last BCC action.

Background: Section 45-2-261 through Section 45-2-261.28 of the Code of Alabama 1975 (Baldwin County's enabling planning and zoning local legislation), sets forth, among other numerous things, the background on, and authority of, Board(s) of Adjustment. The last amendments to the aforementioned law were adopted by the Alabama Legislature through Act No. 2017-719 during the 2010 Regular Session of the Alabama Legislature.

The appointment processes related to the Boards of Adjustment as set forth in the aforementioned Alabama law are as follows:

Establishment: "The Baldwin County Commission shall provide for the appointment of boards of adjustment...[to,] ...in appropriate cases and subject to appropriate conditions and safeguards... make special exceptions to the terms of the ordinances and regulations..."

Number/Geographic Boundaries: "Four district boards of adjustment shall be appointed by the Baldwin County Commission with the same boundaries as the county commission districts as currently provided for by law..."

Jurisdiction: "If a planning district is in more than one commission district, the board of adjustment for the planning district shall be the board of adjustment for the county commission district which contains the greatest part of the planning district."

Composition: "Each board of adjustment shall consist of not less than three and not more than the same number of regular members as the number of planning districts within the jurisdiction of a board of adjustment."

"The board of adjustment for each county commission district shall be composed of at least one member from each planning district and shall reflect as nearly as practical the diversity of land use in the commission district."

Qualifications: "Each member of a board of adjustment shall be qualified elector of a planning district within the territory of the respective board of adjustment."

"Not more than one member of a board of adjustment shall be directly engaged in real estate sales, development, or construction or a directly related field."

Terms of Office: (Regular Members) "Each regular member shall be appointed for a term of three years in such manner to serve staggered terms. A regular member shall continue service until a successor is duly appointed."

(Alternate Members) "In addition to the regular members provided for in this section, one alternate member for each regular member shall be appointed to serve on each board of adjustment only in the absence of the regular member for the place for which he or she was appointed an alternate and while serving shall have and exercise authority if a regular member. The alternate member shall have the same qualifications as a regular member and shall serve for a term concurrent with the regular member for the district."

Removal: "Members of each board of adjustment may be removed for cause by the Baldwin County Commission upon written chargers and after a public hearing."

Vacancies: "Vacancies shall be filled for the unexpired term of any member whose term becomes vacant."

Initial Appointment: "The initial appointment of members of boards of adjustment and the alternates provided by Act 2006-609 shall be from nominations by the boards of adjustment of the local planning districts on April 26, 2006."

This requirement only applies to the initial appointment of the boards of adjustment. After the terms expire, the Baldwin County Commission may appoint persons meeting the appropriate qualifications.

FINANCIAL IMPACT

Total cost of recommendation: N/A

Budget line item(s) to be used: N/A

If this is not a budgeted expenditure, does the recommendation create a need for funding?

N/A

LEGAL IMPACT

Is legal review necessary for this staff recommendation and related documents?

N/A

Reviewed/approved by: N/A

Additional comments: N/A

ADVERTISING REQUIREMENTS

Is advertising required for this recommendation? N/A

If the proof of publication affidavit is not attached, list the reason: N/A

FOLLOW UP IMPLEMENTATION

For time-sensitive follow up, select deadline date for follow up: N/A

Individual(s) responsible for follow up: Administration

Action required (list contact persons/addresses if documents are to be mailed or emailed):

Send correspondence to:

Ms. JoAnn Bodree
9613 Soldiers Creek Road
Lillian, Alabama 36549

cc: Linda Lee
Vince Jackson

Additional instructions/notes: N/A

Tawanda Gulley

From: Linda Lee
Sent: Monday, December 16, 2019 10:42 AM
To: Tawanda Gulley
Cc: Anu Gary; Monica English; Victoria Key; Miranda N. McKinnon; Barbara Pate
Subject: BCC #4 BOA Thank You
Attachments: JoAnn Bodree Resignation Email.pdf

Please place the item below on the next available agenda for consideration.

Planning District 29

Please send a thank you letter to JoAnn Bodree – resignation email attached

JoAnn Bodree
9613 Soldiers Creek Road
Lillian, AL 36549

Thank you,

Linda Lee

Planner
Baldwin County Planning & Zoning Dept.
201 East Section Avenue
Foley, AL 36535
Tel: (251) 972-8523
Fax: (251) 972-8520
E-mail: llee@baldwincountyal.gov

From: [Joann Bodree](#)
To: [Linda Lee](#)
Subject: <EXTERNAL> December meeting
Date: Tuesday, December 10, 2019 1:59:26 PM

Linda,

I will not be able to attend the meeting as my son is having Mohs surgery and I will have to go with him and drive home. Also I will not be attending meetings any more after the first of the year. I have decided to devote all of my volunteer time to reading at school and working with RIF. Thank you
Joann Bodree

Sent from my iPhone

BALDWIN COUNTY COMMISSION DISTRICT #4 PLANNING (ZONING) DISTRICT BOARD OF ADJUSTMENT [Greater South Baldwin County Area]

General Board Information:

Appointed by Baldwin County Commission.

Board of Adjustment shall consist of not less than three Regular members (and three corresponding Alternate members) and not more than the same number of Regular members (and corresponding number of Alternate members) as the number of zoned Planning Districts within the jurisdiction of the Board of Adjustment. *Note: as of June 23, 2006, as amended on November 21, 2006, the jurisdiction of this Board of Adjustment includes Planning Districts 20, 21, 22, 23, 24, 25, 27, 29, 30, 32 and 33; however, as of June 23, 2006, as amended on November 21, 2006, and of said aforementioned Planning Districts, only the following are zoned Planning Districts at this time: 20, 21, 22, 23, 24, 25, 29, 30, 32 and 33.*

At least one Regular member (and corresponding Alternate member) shall be from each Planning District within the jurisdiction of the Board of Adjustment.

Term of each Regular member (and corresponding Alternate member) is three (3) years with each respective term of office corresponding exactly for each Planning District representative (i.e. each corresponding Planning District Regular and Alternate member has the exact same term of office). *Note: Upon the initial appointment of members at the June 20, 2006, regular meeting, many membership slots on this Board of Adjustment were staggered as required by Act No. 2006-609.*

All members must be qualified electors of their respective Planning Districts within the territory of the Board of Adjustment and shall reflect as nearly as practical the diversity of land use in County Commission District No. 4.

No more than one (1) member of the Board of Adjustment shall be directly engaged in real estate sales, development or construction or a directly related field.

Statutory Authority - Act No. 91-719, as amended by Act No. 93-668, as amended by Act No. 98-665, as amended by Act No. 2006-609, as amended by Act No. 2010-719.

PLANNING DISTRICT	MEMBERS	APPOINTED/REAPPOINTED	TERM OF OFFICE	EXP. DATE
REGULAR Planning District 20 Designee	Samuel Sterling Mitchell, III Post Office Box 533 Magnolia Springs, AL 36555 REGISTERED VOTER NOT INVOLVED IN REAL ESTATE, DEVELOPMENT OR CONSTRUCTION	Reappointed 10/16/2018 as regular member, said term continuing from 06/20/2018	3 years	06/20/2021
ALTERNATE Planning District 20 Designee	VACANT	Vacant due to the appointment of Samuel Sterling Mitchell, III, as a regular member on 03/01/2016	3 years	06/20/2015
REGULAR Planning District 21 Designee	James Koeppen 17158 Cold Mill Loop Foley, AL 36535 REGISTERED VOTER NOT INVOLVED IN REAL ESTATE, DEVELOPMENT OR CONSTRUCTION	Appointed 10/16/2018 as a regular member to the place seat formerly held by Janet M. Lipscomb for a pro-rata reduced term 10/16/2018 Accepted the resignation of Janet M. Lipscomb as a regular member and thanked her for her prior civic service	3 years	02/17/2021
ALTERNATE Planning District 21 Designee	Michael G. Swansburg Post Office Box 711 (Mailing Address) Foley, AL 36536 REGISTERED VOTER NOT INVOLVED IN REAL ESTATE, DEVELOPMENT OR CONSTRUCTION	Appointed 11/05/2019 to fill the vacant place-seat formerly held by James Edward Sullivan, Jr. for a pro-rata reduced term	3 years	02/17/2021

BALDWIN COUNTY COMMISSION DISTRICT #4 PLANNING (ZONING) DISTRICT BOARD OF ADJUSTMENT – Cont.

PLANNING DISTRICT	MEMBERS	APPOINTED/REAPPOINTED	TERM OF OFFICE	EXP. DATE
REGULAR Planning District 22 Designee	VACANT	06/19/2018 Accepted the resignation of Van McCamish and thanked him for his prior civic service	3 years	06/20/2018
ALTERNATE Planning District 22 Designee	Jack Danley 26188 Chatelaine Road Elberta, AL 36530 REGISTERED VOTER NOT INVOLVED IN REAL ESTATE, DEVELOPMENT OR CONSTRUCTION	Appointed 03/01/2016 to fill the place seat formerly held by Van McCamish for a pro-rata reduced term	3 years	06/20/2018
REGULAR Planning District 23 Designee	Robert E. Broseus 1876 Princess Lane Lillian, AL 36549 REGISTERED VOTER NOT INVOLVED IN REAL ESTATE, DEVELOPMENT OR CONSTRUCTION	Reappointed 07/16/2019 term continuing from 06/20/2019	3 years	06/20/2022
ALTERNATE Planning District 23 Designee	VACANT	Vacant due to James H. Compton's term expiring 06/20/2013	3 years	06/20/2013
REGULAR Planning District 24 Designee	Stuart Paul Arnold P.O. Box 399 Orange Beach, AL 36561 REGISTERED VOTER NOT INVOLVED IN REAL ESTATE, DEVELOPMENT OR CONSTRUCTION	Reappointed 10/16/2018 as a regular member, term continuing from 06/20/2018	3 years	06/20/2021
ALTERNATE Planning District 24 Designee	Theron I. V. Mashburn 30730 River Road Orange Beach, AL 36561 REGISTERED VOTER NOT INVOLVED IN REAL ESTATE, DEVELOPMENT OR CONSTRUCTION	Reappointed 10/16/2018 as an alternate member (term to coincide with the term of Stuart Paul Arnold), term continuing from 06/20/2018	3 years	06/20/2021
REGULAR Planning District 25 Designee	Ernest Church 5601 State Highway 180 #4 Gulf Shores, AL 36542 REGISTERED VOTER NOT INVOLVED IN REAL ESTATE, DEVELOPMENT OR CONSTRUCTION	Reappointed 10/03/2017 for a pro-rata reduced term	3 years	06/20/2020
ALTERNATE Planning District 25 Designee	Vicki Matranga 402 Veterans Road Gulf Shores, AL 36542 REGISTERED VOTER NOT INVOLVED IN REAL ESTATE, DEVELOPMENT OR CONSTRUCTION	Reappointed 10/03/2017 for a pro-rata reduced term	3 years	06/20/2020

BALDWIN COUNTY COMMISSION DISTRICT #4 PLANNING (ZONING) DISTRICT BOARD OF ADJUSTMENT – Cont.

PLANNING DISTRICT	MEMBERS	APPOINTED/REAPPOINTED	TERM OF OFFICE	EXP. DATE
REGULAR Planning District 29 Designee	JoAnne Bodree 9613 Soldiers Creek Road Lillian, AL 36549 REGISTERED VOTER AT 8393 HIGHWAY 91	Reappointed 10/03/2017 for a pro-rata reduced term	3 years	06/20/2020
ALTERNATE Planning District 29 Designee	VACANT	07/16/2019, removed the name of Hugh William Helms from the board	3 years	06/20/2020
REGULAR Planning District 30 Designee	VACANT	10/01/2019, Accepted the resignation of Onva Younnie Veal, effective August 4, 2019, and thanked her for her prior civic service	3 years	06/20/2020
ALTERNATE Planning District 30 Designee	Harold M. Stephens 9408 Clarke Ridge Road Foley, AL 36535 REGISTERED VOTER NOT INVOLVED IN REAL ESTATE, DEVELOPMENT OR CONSTRUCTION	Reappointed 10/03/2017 for a pro-rata reduced term	3 years	06/20/2020
REGULAR Planning District 32 Designee	Johanna W. Moloney 6587 County Road 95 South Elberta, AL 36530 REGISTERED VOTER NOT INVOLVED IN REAL ESTATE, DEVELOPMENT OR CONSTRUCTION	Reappointed 07/16/2019 term continuing from 06/20/2019	3 years	06/20/2022
ALTERNATE Planning District 32 Designee	VACANT	Vacant due to Johanna W. Moloney being appointed as Regular Member on 09/03/2013	3 years	06/20/2013
REGULAR Planning District 33 Designee	VACANT	10/01/2019, Accepted the resignation of John Paul Hilderbrandt, effective September 13, 2019, and thanked him for his prior civic service	3 years	06/20/2022
ALTERNATE Planning District 33 Designee	VACANT	Accepted the resignation of Arthur Stallings 09/03/2013	3 years	06/20/2013

*The original members of the Baldwin County Commission District No. 4 Planning (Zoning) District Board of Adjustment were formally appointed during the June 20, 2006, BCC Meeting.

*During the June 20, 2006, BCC Meeting, the Commission also acknowledged for the record of the official minutes that, by operation of Act No. 2006-609 and on April 26, 2006, the individual Planning Zoning) District Boards of Adjustment for Planning (Zoning) Districts 20, 22, 23, 24, 25, 29, 30, 32 and 33, stand dissolved.

REVISED: 11/05/2019 met



Baldwin County Commission

Agenda Action Form

File #: 20-0350, **Version:** 1

Item #: BA5

Meeting Type: BCC Regular Meeting

Meeting Date: 1/7/2020

Item Status: New

From: Wayne Dyess, County Administrator

Submitted by: Anu Gary, Administrative Services Manager

ITEM TITLE

Resolution #2020-050 of the Baldwin County Commission - Amending and Reconfirming the "Divisional Resolution of the Baldwin County Commission"

STAFF RECOMMENDATION

Take the following actions:

- 1) Adopt Resolution #2020-050 (Divisional Resolution of the Baldwin County Commission), which amends Resolution #2019-084 of the Baldwin County Commission, said amendment related to cancelling the Baldwin County Commission Department Head meetings; and
- 2) Reconfirm the "Divisional Resolution of the Baldwin County Commission," codified as Resolution #2020-050 of the Baldwin County Commission which effected certain procedural requirements and prescribed other permitted duties as enumerated at Act No. 239 (1931), as amended, and other applicable law.

BACKGROUND INFORMATION

Previous Commission action/date:

November 14, 2018 - Resolution #2019-001 was adopted during the Baldwin County Commission's first Regular (Organizational) Meeting for the 2018-2022 Term.

January 2, 2019 - Due to scrivener's error in the "Divisional Resolution of the Baldwin County Commission," codified as Resolution #2019-001 of the Baldwin County Commission, which was adopted November 14, 2018, corrected certain dates (month/year) in the rotation schedule listed for the Baldwin County Commission Work Session meetings; and Reconfirmed the "Divisional Resolution of the Baldwin County Commission," codified as Resolution #2019-001 of the Baldwin County Commission which effected certain procedural requirements and prescribed other permitted duties as enumerated at Act No. 239 (1931), as amended, and other applicable law.

May 7, 2019 - Adopted Resolution #2019-084 (Divisional Resolution of the Baldwin County

Commission), which amends Resolution #2019-001 of the Baldwin County Commission, said amendment related to revising the location where the Baldwin County Commission Road and Bridge Division meetings shall be held.

Background: The "Divisional Resolution of the Baldwin County Commission" provides for certain designations of Baldwin County Commissioner duties and other procedural requirements found in Alabama law (whether Baldwin County local law or general Alabama law).

This request is for the Baldwin County Commission to amend the Divisional Resolution (Resolution #2019-084), said amendment cancelling the Baldwin County Commission Department Head meetings; and in addition, reconfirming the instrument known as the "Divisional Resolution" is to recognize the applicable 1931 Baldwin County local law which established the Baldwin County Commission as it envisioned the annual designation of the four "Divisions" (i.e. Chairman and Purchasing Division, Road and Bridge Division, Finance and Taxation Division and Industrial and Civic Division) to be made in January of each year; therefore, the "Divisional Resolution" is placed on the agenda each January to reconfirm said designations.

FINANCIAL IMPACT

Total cost of recommendation: N/A

Budget line item(s) to be used: N/A

If this is not a budgeted expenditure, does the recommendation create a need for funding?
N/A

LEGAL IMPACT

Is legal review necessary for this staff recommendation and related documents?
N/A

Reviewed/approved by: N/A

Additional comments: N/A

ADVERTISING REQUIREMENTS

Is advertising required for this recommendation? N/A

If the proof of publication affidavit is not attached, list the reason: N/A

FOLLOW UP IMPLEMENTATION

For time-sensitive follow up, select deadline date for follow up: N/A

Individual(s) responsible for follow up:

1) Administration (Tawanda Gulley):

Send Public Notice to Media/BCC Contacts

Upload Notice to county website

Post Notices at courthouse and facilities

Update Legistar calendar/Insite online meetings calendar (remove meetings)

Update Upload Log

Update 4BCC Outlook Calendar (remove meetings, send cancellation notice)

Email Facility Coordinator (Lisa Sangster) for meeting room

2) Upload fully executed Resolution to BCAP (Monica English)

3) Revised 2020 BCC Meetings Notice (Miranda McKinnon)

Send Public Notice to Media/BCC Contacts

Upload Notice to county website

Post Notices at courthouse and facilities

Action required (list contact persons/addresses if documents are to be mailed or emailed):

N/A

Additional instructions/notes: N/A

STATE OF ALABAMA)
COUNTY OF BALDWIN)

**RESOLUTION #2020-050
OF THE
BALDWIN COUNTY COMMISSION**

AMENDING RESOLUTION #2019-084 OF THE BALDWIN COUNTY COMMISSION (ADOPTED AT THE MAY 7, 2019, REGULAR MEETING), SAID AMENMENT RELATED TO CANCELLING THE BALDWIN COUNTY COMMISSION DEPARTMENT HEAD MEETINGS.

WHEREAS, at this time, the Baldwin County Commission desires to update the Divisional Resolution of the Baldwin County Commission to cancel certain meetings; now therefore BE IT RESOLVED, BY THE BALDWIN COUNTY COMMISSION, IN REGULAR SESSION ASSEMBLED, that:

1) We hereby amend Resolution #2019-084 thereby repealing with deletions delineated by being ~~STRUCK THROUGH~~ and inclusions delineated by being UNDERLINED, as follows:

STATE OF ALABAMA)
COUNTY OF BALDWIN)

**RESOLUTION #2019-084
OF THE
BALDWIN COUNTY COMMISSION**

AMENDING RESOLUTION #2019-001 OF THE BALDWIN COUNTY COMMISSION (ADOPTED AT THE NOVEMBER 14, 2018, REGULAR MEETING), SAID AMENMENT RELATED TO REVISING THE LOCATION WHERE THE BALDWIN COUNTY COMMISSION ROAD AND BRIDGE DIVISION MEETINGS SHALL BE HELD.

WHEREAS, at this time, the Baldwin County Commission desires to update the Divisional Resolution of the Baldwin County Commission to revise the location of certain Meetings; now therefore BE IT RESOLVED, BY THE BALDWIN COUNTY COMMISSION, IN REGULAR SESSION ASSEMBLED, that:

1) We hereby amend Resolution #2019-001 thereby repealing with deletions delineated by being ~~STRUCK THROUGH~~ and inclusions delineated by being UNDERLINED, as follows:

STATE OF ALABAMA)
COUNTY OF BALDWIN)

**RESOLUTION #2019-001
OF THE
BALDWIN COUNTY COMMISSION**

**ENACTING AN INSTRUMENT TO BE COMMONLY KNOWN AS THE
"DIVISIONAL RESOLUTION OF THE BALDWIN COUNTY COMMISSION" TO
AUTHORIZE CERTAIN PROCEDURAL REQUIREMENTS AND PRESCRIBE
OTHER PERMITTED AND AUTHORIZED DUTIES, AS ENUMERATED AT ACT NO.
239 (1931), AS AMENDED, AND OTHER APPLICABLE LAW.**

KNOW ALL MEN BY THESE PRESENTS THAT WHEREAS, the Baldwin County Commission was established by a 1931 Act of the Legislature of Alabama, as amended by said Legislature of Alabama from time-to-time in subsequent years thereafter, which, collectively, are commonly referred to as Act No. 239, [Local Acts Alabama, 1931, p. 100] as amended [hereinafter referred to as "Act No. 239 (1931), as amended"]; and

WHEREAS, in addition to the duties, powers, jurisdiction, authority and privileges set forth in Act No. 239 (1931), as amended, the Baldwin County Commission exercises other additional authority as provided by the Constitution of Alabama 1901, General Laws of the State of Alabama and applicable Baldwin County Local Laws; and

WHEREAS, pursuant to §11-3-1 (e) of the Code of Alabama 1975, the Baldwin County Commission is required to meet on the Wednesday following the election and tabulation of provisional ballots pursuant to §17-10A-2 of the Code of Alabama 1975 of any one of its members whose term commences at 12:00 a.m. on the second Wednesday following the general election at which he or she is elected; and

WHEREAS, in Baldwin County, Alabama, during the November 6, 2018, General Election, County Commissioners were elected to compose the Baldwin County Commission requiring said honorable county governing body to convene as required by the aforementioned Alabama law; and

WHEREAS, on this date, November 14, 2018, during the regular meeting required by the aforementioned Alabama law, the Baldwin County Commission, respecting Act No. 239 (1931), as amended, coupled with the decision of the Supreme Court of the State of Alabama as found at *State ex rel. Whetstone v. Baldwin County*, 686 So.2d 220 (Ala.1996) and §45-2-72.01 of the Code of Alabama 1975 hereby adopts this instrument in order to authorize certain procedural requirements, and prescribe other permitted and authorized duties, as enumerated at Act No. 239 (1931), as amended, and other applicable law; now therefore

BE IT RESOLVED, BY THE BALDWIN COUNTY COMMISSION, IN REGULAR SESSION ASSEMBLED, that, pursuant to Section 7 of Act No. 239 (1931), as amended, and for the convenience and efficiency in the exercise and execution of the duties, powers, jurisdiction, authority and privileges, as enumerated in Act No. 239 (1931), as amended, the Baldwin County Commission is required to provide for the "Divisions," of the Baldwin County Commission, as follows:

FIRST DIVISION
Chairman and Purchasing Division Commissioner

SECOND DIVISION
Road and Bridge Division Commissioner

THIRD DIVISION

Finance and Taxation Division Commissioner

FOURTH DIVISION
Industrial and Civic Division Commissioner

FURTHER, BE IT RESOLVED, that, pursuant to Section 8 of Act No. 239 (1931), as amended, the duties required of each "Division," of the Baldwin County Commission, are as follows:

FIRST DIVISION
Chairman and Purchasing Division Commissioner

- (a) Shall be the presiding officer of the Baldwin County Commission; and
- (b) Shall sign the minutes of the proceedings of the Baldwin County Commission; and
- (c) Shall sign all warrants drawn on the County Treasury and all orders drawn on funds or disbursements of the County, except as otherwise directed by the Baldwin County Commission; and
- (d) Shall be such Office's duty to prepare the order of business and obtain information for the sessions of the Baldwin County Commission and see that all orders thereof are properly executed, except as otherwise directed by the Baldwin County Commission; and
- (e) Shall act as the Purchasing Agent for the County and all purchases for and on behalf of Baldwin County and the Baldwin County Commission or by any Division or employee of the Baldwin County Commission, and all purchases by and for any office, officer or employee of Baldwin County, except those under the jurisdiction of and acting as for the Baldwin County Board of Education and paid out of school funds, shall be made through the Chairman and Purchasing Commissioner; and
- (f) Such Office is directed and required to use all proper care in purchasing to the best interest of Baldwin County; and
- (g) Shall perform such services only under the direction and control of the entire Baldwin County Commission and in the manner directed by the Baldwin County Commission; and
- (h) In addition thereto, such Office shall perform all duties and have all rights, powers, jurisdiction, authority and privileges that may be granted to and required of the Chairman and Purchasing Division Commissioner by the Baldwin County Commission, and all duties, powers, jurisdiction, authority and privileges that are now or may hereafter be granted to and required by the presiding officer of the Courts of County Commissioners, Boards of Revenue, or other governing bodies of the Counties by the General Laws of the State of Alabama, except as otherwise provided in this act; and
- (i) Shall give bond in the sum of \$5,000 payable to Baldwin County, conditioned upon the faithful performance of such Office's duties with

lawful sureties, to be approved by the Judge of Probate of Baldwin County; and

- (j) Shall, upon requisition from any officer, Division or employee of Baldwin County, issue a purchase order for equipment, supplies and materials necessary and proper for the functioning of the county business, and attend the purchase of the same; and
- (k) At each meeting of the Baldwin County Commission, such Office shall have available for the Baldwin County Commission the details of all purchases made since the previous meeting, show the articles purchased, from who purchased, the price paid therefor, the quantity purchased, for what office, officer for Division the same was purchased, and the object for which said purchase was made and the requisition therefor to the end that the Baldwin County Commission as a whole and individually shall at all times be fully advised as to the expenditures made since the last preceding meeting.

SECOND DIVISION
Road and Bridge Division Commissioner

- (a) Shall have jurisdiction over the location, construction, maintenance and repair of the roads and bridges in Baldwin County under the jurisdiction of the Baldwin County Commission; and
- (b) Shall render all necessary and proper assistance to the State Highway Department in its operation in Baldwin County; and
- (c) Shall make requisition upon the Chairman and Purchasing Division Commissioner for all necessary and proper purchases of supplies, material, and equipment for the location, construction, maintenance and repair of the roads, provided, however, it shall not be necessary for such Office to make requisition for repair parts and for minor equipment before purchasing the same when the same is needed for immediate use, nor shall it be necessary for such Office to make requisition for authority to have minor repairs made on County road equipment and machinery, when to make such requisition would cause unnecessary and expensive delay; and
- (d) Shall budget the funds available for road location, construction, maintenance, and repair and allocate the fair and reasonable amount of such funds to the location, maintenance and repair and shall maintain necessary and proper labor and technical employees and proper equipment within the funds available for the proper location, construction, repair and maintenance of the roads and bridges in every section of Baldwin County, and such Office shall report to the Baldwin County Commission such budget and allocation of the funds, equipment, labors and employees, so that the Baldwin County Commission may pass upon the same and make such recommendations, changes and directions as it shall deem to the interest of Baldwin County.

THIRD DIVISION
Finance and Taxation Division Commissioner

- (a) Shall be charged with the duty of acquiring all necessary and proper information for the Baldwin County Commission as to the financial condition of Baldwin County, and at each meeting have available for the Baldwin County Commission a complete statement showing all funds available and for what purpose created, all claims against Baldwin County and on which fund the same are chargeable, and shall from time to time prepare a general budget for Baldwin County so as to fairly and equitably allocate and apportion the funds of Baldwin County to its several services and shall from time to time determine the probable expenditures and the probable income of Baldwin County from all available sources; and
- (b) Shall gather all necessary and proper information as to the assessed valuation of property in Baldwin County; and
- (c) Shall in the exercise and discharge of such Office's duties report to the Baldwin County Commission at each meeting his actions and make recommendations relating to Baldwin County's finances to the end that Baldwin County's expenditures shall not exceed its income.

FOURTH DIVISION
Industrial and Civic Division Commissioner

- (a) Shall make a survey of the resources of Baldwin County and have the same available for the Baldwin County Commission from time to time, to the end that the agricultural, mineral, timber, water, labor and all other resources of Baldwin County shall be fully developed; and
- (b) Shall cooperate with the Department of Public Health, the Department of Public Welfare, the Agricultural Experiment Station, the Agricultural Extension Work Services, and the Federal and State Reforestation Services, as well as with all other services for the promotion of all its resources and render to them all proper assistance in their respective spheres of operation, and keep the Baldwin County Commission advised as to the activities and needs of the several departments and services; and
- (c) Shall cooperate with the several municipalities and communities in Baldwin County in the development of such communities and municipalities, and keep the Baldwin County Commission advised as to such Offices' activities relative thereto.

FURTHER, BE IT RESOLVED, that, as authorized at Section 11-3-20 of the Code of Alabama 1975 and pursuant to Section 7 of Act No. 239 (1931), as amended, and for the convenience and efficiency in the exercise and execution of the duties, powers, jurisdiction, authority and privileges, as enumerated in Act No. 239 (1931), as amended, the Baldwin County Commission, further, invokes Section 11-3-20 of the Code of Alabama 1975, and said Section 7 of Act No. 239 (1931), as amended, and, collectively, authorizes and directs, as follows:

The Chairman and Purchasing Division Commissioner shall serve as signatory for the Baldwin County Commission on all appropriate documents, such as resolutions, orders, contracts or directives as are necessary to carry out the official actions as adopted by the Baldwin County Commission in regular or special session assembled.

FURTHER, BE IT RESOLVED, that, while Section 7 of Act No. 239 (1931), as amended, requires the Baldwin County Commission to designate its members into the aforementioned Division(s) ***in January of each year***, the Baldwin County Commission, pursuant to the decision of the Supreme Court of the State of Alabama as found at *State ex rel. Whetstone v. Baldwin County*, 686 So.2d 220 (Ala.1996) and §45-2-72.01 of the Code of Alabama 1975 shall authorize the initial application of said designations on November 14, 2018, which, in fact, causes the Baldwin County Commission to invoke Section 7 of Act No. 239 (1931), as amended, which provides that said Baldwin County Commission retains the authority, ***from time to time***, to change the "Division(s)" of the specific duties, powers, jurisdiction, authority and privileges within each of such "Division(s)" and, furthermore pursuant to said Section 7, preserves the authority to change, ***at will***, the Commissioner from one "Division" to another, as limited by §45-2-72.01 of the Code of Alabama 1975 and, to this end, the Baldwin County Commission exercises its collective will to change the Commissioners, from one "Division" to another, to be effected per the time schedule as follows:

YEAR 1 - Divisional Composition of the Members of the Baldwin County Commission November 14, 2018 - November 4, 2019
<u>FIRST DIVISION</u> Chairman and Purchasing Division Commissioner District 4 Commissioner, Charles F. Gruber CHAIR
<u>SECOND DIVISION</u> Road and Bridge Division Commissioner District 1 Commissioner, James E. Ball
<u>THIRD DIVISION</u> Finance and Taxation Division Commissioner District 3 Commissioner, Billie Jo Underwood VICE CHAIR
<u>FOURTH DIVISION</u> Industrial and Civic Division Commissioner District 2 Commissioner, Joe Davis, III
YEAR 2 - Divisional Composition of the Members of the Baldwin County Commission November 5, 2019 - November 2, 2020
<u>FIRST DIVISION</u> Chairman and Purchasing Division Commissioner District 3 Commissioner, Billie Jo Underwood CHAIR
<u>SECOND DIVISION</u> Road and Bridge Division Commissioner District 4 Commissioner, Charles F. Gruber
<u>THIRD DIVISION</u> Finance and Taxation Division Commissioner District 2 Commissioner, Joe Davis, III VICE CHAIR

<p style="text-align: center;"><u>FOURTH DIVISION</u> Industrial and Civic Division Commissioner District 1 Commissioner, James E. Ball</p>
<p style="text-align: center;">YEAR 3 - Divisional Composition of the Members of the Baldwin County Commission November 3, 2020 - November 1, 2021</p>
<p style="text-align: center;"><u>FIRST DIVISION</u> Chairman and Purchasing Division Commissioner District 2 Commissioner, Joe Davis, III CHAIR</p>
<p style="text-align: center;"><u>SECOND DIVISION</u> Road and Bridge Division Commissioner District 3 Commissioner, Billie Jo Underwood</p>
<p style="text-align: center;"><u>THIRD DIVISION</u> Finance and Taxation Division Commissioner District 1 Commissioner, James E. Ball VICE CHAIR</p>
<p style="text-align: center;"><u>FOURTH DIVISION</u> Industrial and Civic Division Commissioner District 4 Commissioner, Charles F. Gruber</p>
<p style="text-align: center;">YEAR 4 - Divisional Composition of the Members of the Baldwin County Commission November 2, 2021 - November 8, 2022</p>
<p style="text-align: center;"><u>FIRST DIVISION</u> Chairman and Purchasing Division Commissioner District 1 Commissioner, James E. Ball CHAIR</p>
<p style="text-align: center;"><u>SECOND DIVISION</u> Road and Bridge Division Commissioner District 2 Commissioner, Joe Davis, III</p>
<p style="text-align: center;"><u>THIRD DIVISION</u> Finance and Taxation Division Commissioner District 4 Commissioner, Charles F. Gruber VICE CHAIR</p>
<p style="text-align: center;"><u>FOURTH DIVISION</u> Industrial and Civic Division Commissioner District 3 Commissioner, Billie Jo Underwood</p>

FURTHER, BE IT RESOLVED, that, as required by Section 11 of Act No. 239 (1931), as amended, any three of said Commissioners shall constitute a quorum for the transaction of business which is compliant to Section 11-3-7 of the Code of Alabama 1975 which requires a majority of members of the Baldwin County Commission to constitute a quorum.

FURTHER, BE IT RESOLVED, that, pursuant to, but not limited to, a decision by the honorable Supreme Court of the State of Alabama as found at *Ex parte Meeks*, 682 So.2d 423

(Ala.1996), the "Chairman and Purchasing Division Commissioner" is entitled to vote on all matters coming before the Baldwin County Commission.

FURTHER, BE IT RESOLVED, that, as required by §45-2-72.01 of the Code of Alabama 1975, the Office of "Chairman and Purchasing Division Commissioner" shall rotate in the chronological sequence as provided for in this resolution; however, notwithstanding the chronological sequence provided for by this instrument setting forth the rotation with the Office of "Chairman and Purchasing Division Commissioner" and pursuant to §45-2-72.01 of the Code of Alabama 1975 a member may decline to serve in the Office of "Chairman and Purchasing Division Commissioner" during all or part of the period of his or her rotation by the submission of a written statement to the Baldwin County Commission, and the Baldwin County Commission shall select a member to serve as chair during the rotation period of the member.

FURTHER, BE IT RESOLVED, that, as authorized at §11-3-20 of the Code of Alabama 1975 and as directed by this instrument, and, further, pursuant to Section 7 of Act No. 239 (1931), as amended, and for the convenience and efficiency in the exercise and execution of the duties, powers, jurisdiction, authority and privileges, as enumerated in Act No. 239 (1931), as amended, the Baldwin County Commission, further, invokes §11-3-20 of the Code of Alabama 1975 and Section 7 and, collectively, authorizes, directs and elects one member of Baldwin County Commission to serve, ***only in the temporary absence of the "Chairman and Purchasing Division Commissioner,"*** as "Chairman and Purchasing Division Commissioner" and at all other times shall be styled, in addition to their prescribed "Division" Commissioner duties, as "**Vice Chairman of the Baldwin County Commission**" per the following time schedule, as follows:

November 14, 2018, through November 4, 2019 District 3 Commissioner, Billie Jo Underwood
November 5, 2019, through November 2, 2020 District 2 Commissioner, Joe Davis, III
November 3, 2020, through November 1, 2021 District 1 Commissioner, James E. Ball
November 2, 2021, through November 8, 2022 District 4 Commissioner, Charles F. Gruber

and, to such end, said member of the Baldwin County Commission, in the aforementioned time schedule, acting as "Chairman and Purchasing Division Commissioner" and only in the temporary absence of the "Chairman and Purchasing Division Commissioner," is authorized to exercise any and all duties related to said "Chairman and Purchasing Division Commissioner;" notwithstanding the aforementioned, said member of the Baldwin County Commission, while acting in the temporary absence of the "Chairman and Purchasing Division Commissioner" and as acting "Chairman and Purchasing Division Commissioner," shall, also, accomplish any and all duties required of such "Division" Commissioner as primarily designated by this instrument.

FURTHER, BE IT RESOLVED, that, with respect for §36-25A-1, et seq., Code of Alabama 1975 and as required by Section 11-3-8 (a), Code of Alabama 1975, the Baldwin County Commission at this first county commission meeting held pursuant to Section 11-3-1 of the Code of Alabama 1975, establishes the days of the month on which **REGULAR MEETINGS** of the Baldwin County Commission shall be held, as follows:

For the purpose of conducting the public business affairs of the Baldwin County Commission, said Baldwin County Commission shall meet, in regular session

assembled, in the County Commission Chambers, at the Baldwin County Administration Building, located at 322 Courthouse Square, at the County Seat, in Bay Minette, Alabama, on the **first and third Tuesdays of each month**, and on the Wednesday following the general election and tabulation of provisional ballots pursuant to Section 17-10A-2 of any one of its members beginning at **8:30 a.m.**

FURTHER, BE IT RESOLVED, that, as authorized at Section 11-3-8 (b), Code of Alabama 1975, the Baldwin County Commission shall exercise said Section 11-3-8 (b), Code of Alabama 1975, to convene **SPECIAL MEETINGS**, emergency or other, of the Baldwin County Commission.

FURTHER, BE IT RESOLVED, that, with respect for §36-25A-1, et seq., of the Code of Alabama 1975, the Baldwin County Commission establishes the days of the month on which **WORK SESSIONS** of the Baldwin County Commission shall be held, as follows:

For the purpose of conducting the working sessions, or what are commonly referred to as work sessions, of the Baldwin County Commission, said Baldwin County Commission shall meet, on the **second and fourth Tuesdays of each month** beginning at **8:30 a.m.**, in working session assembled at:

Baldwin County Administration Building in Bay Minette , Alabama 322 Courthouse Square County Commission Conference Room or Meeting Chambers
--

November 2018
March 2019
July 2019
September 2019
March 2020
July 2020
September 2020
March 2021
July 2021
September 2021
March 2022
July 2022

Baldwin County Satellite Courthouse at Fairhope , Alabama 1100 Fairhope Avenue County Commission Conference Room

December 2018
April 2019
August 2019
December 2019
April 2020
August 2020
December 2020
April 2021
August 2021
December 2021
April 2022
August 2022

Baldwin County Central Annex Building in **Robertsdale**, Alabama
22251 Palmer Street
Auditorium or Large Conference Room or County Commission Conference Room

January 2019
May 2019
November 2019
January 2020
May 2020
November 2020
January 2021
May 2021
November 2021
January 2022
May 2022
September 2022

Baldwin County Satellite Courthouse at **Foley**, Alabama
201 East Section Avenue
Large Meeting Hall

February 2019
June 2019
October 2019
February 2020
June 2020
October 2020
February 2021
June 2021
October 2021
February 2022
June 2022
October 2022

FURTHER, BE IT RESOLVED, that, with respect for §36-25A-1, et seq., of the Code of Alabama 1975, the Baldwin County Commission establishes the days of the month on which **ROAD AND BRIDGE DIVISION MEETINGS** of the Baldwin County Commission shall be held, as follows:

For the purpose of conducting the Road and Bridge Division meetings of the Baldwin County Commission, said Baldwin County Commission shall meet, in Road and Bridge Division session assembled, in the Auditorium at the Baldwin County Central Annex Building, located at 22251 Palmer Street, in Robertsdale, Alabama, **quarterly on the third Thursday of January, April, July, and October** beginning at **8:30 a.m.**

~~FURTHER, BE IT RESOLVED, that, with respect for §36-25A-1, et seq., of the Code of Alabama 1975, the Baldwin County Commission establishes the days and months on which **DEPARTMENT HEAD MEETINGS** of the Baldwin County Commission shall be held, as follows:~~

~~For the purpose of conducting the Department Head meetings of the Baldwin County Commission, said Baldwin County Commission shall meet, in Department Head meeting~~

~~assembled in the 3rd Floor Conference Room, at the Baldwin County Central Annex II Building, located at 22070 Highway 59, in Robertsdale, Alabama, quarterly on the second Thursday of March, June, September, and December, beginning at 8:30 a.m.~~

FURTHER, BE IT RESOLVED, that, pursuant to Section 7 of Act No. 239 (1931), as amended, and for the convenience and efficiency in the exercise and execution of the duties, powers, jurisdiction, authority and privileges, as enumerated in Act No. 239 (1931), as amended, the Baldwin County Commission, further, invokes said Section 7 and, collectively, authorizes and directs that the Baldwin County Commission shall conduct its public business affairs in regular session and special session assembled pursuant to Robert's Rules of Order, and Act No. 239, (1931), as amended, and applicable Alabama law.

FURTHER, BE IT RESOLVED, that, pursuant to the authority conveyed at Section 23-1-80, Code of Alabama 1975, the Baldwin County Commission, for the purposes of constructing and maintaining all county roads/highways, requires all county roads/highways to be constructed and maintained on the basis of the county as a unit with funds to accomplish said construction / maintenance as provided by the Baldwin County Commission.

FURTHER, BE IT RESOLVED, that pursuant to §45-2-72.01 of the Code of Alabama 1975 the Baldwin County Commission shall **adopt this instrument during the first meeting of newly-elected Baldwin County Commissioners**, said meeting required pursuant to §11-3-1 (e), Code of Alabama 1975 coupled with the decision of the Supreme Court of the State of Alabama as found at *State ex rel. Whetstone v. Baldwin County*, 686 So.2d 220 (Ala.1996), on November 14, 2018, and **reconfirm said instrument**, respecting Section 7 of Act No. 239 (1931), as amended, providing amendments if so authorized, **in January of each year** or from time to time, as set forth at Section 7 of Act No. 239 (1931), as amended, to provide for "Division" designations setting forth the "Division" Commissioners from one "Division" to another, as limited by §45-2-72.01 of the Code of Alabama 1975, as per the following time schedule with respect to §36-25A-1, et seq., Code of Alabama 1975 and §45-2-72.01 of the Code of Alabama 1975 in regular meeting assembled on the dates, chronologically prescribed as follows:

First Regular Meeting on November 14, 2018 for 2018-2022 Baldwin County Commission.
First Regular Meeting in January, 2019.
First Regular Meeting in January, 2020.
First Regular Meeting in January, 2021.
First Regular Meeting in January, 2022.

FURTHER, BE IT RESOLVED, that if any one or more of the provisions contained herein shall for any reason be held to be invalid, illegal or unenforceable in any respect, then such provision or provisions shall be deemed severable from the remaining provisions hereof, and such invalidity, illegality or unenforceability shall not affect any other provision hereof, and this instrument shall be construed as if such invalid, illegal or unenforceable provision had never been contained herein.

DONE, AS RESOLVED AND ORDERED, BY THE COUNTY COMMISSION OF BALDWIN COUNTY, ALABAMA, and under the Seal of the County Commission of Baldwin County, Alabama, on this the 14th day of November, 2018.

s/s

CHARLES F. GRUBER
Chairman and Purchasing Division Commissioner

ATTEST:

s/s

RONALD J. CINK
County Administrator/Budget Director

Note: January 2, 2019, Baldwin County Commission took action to correct scrivener's errors in the resolution (originally adopted during the Baldwin County Commission's Organizational Meeting on November 14, 2018) to certain dates on the work session meeting rotation schedule.

DONE, AS RESOLVED AND ORDERED, BY THE COUNTY COMMISSION OF
BALDWIN COUNTY, ALABAMA, and under the Seal of the County Commission of Baldwin
County, Alabama, on this the 7th day of May, 2019.

s/s

CHARLES F. GRUBER
Chairman and Purchasing Division Commissioner

ATTEST:

s/s

WAYNE DYESS
County Administrator

DONE, AS RESOLVED AND ORDERED, BY THE COUNTY COMMISSION OF
BALDWIN COUNTY, ALABAMA, and under the Seal of the County Commission of Baldwin
County, Alabama, on this the 7th day of January, 2020.

BILLIE JO UNDERWOOD
Chairman and Purchasing Division Commissioner

ATTEST:

WAYNE DYESS
County Administrator



Baldwin County Commission

Agenda Action Form

File #: 20-0378, **Version:** 1

Item #: BE1

Meeting Type: BCC Regular Meeting

Meeting Date: 1/7/2020

Item Status: New

From: Wanda Gautney, Purchasing/Joey Nunnally, County Engineer/Frank Lundy,

Submitted by: Wanda Gautney, Purchasing Director

ITEM TITLE

Competitive Bid #WG20-15 - Provision of Roadway Pipe for the Baldwin County Commission

STAFF RECOMMENDATION

Take the following actions:

- 1) Approve the specifications and authorize the Purchasing Director to place a competitive bid for the Provision of Roadway Pipe; and
- 2) Further, authorize the Chairman/Purchasing Division Commissioner for the Baldwin County Commission to approve any necessary addendums or clarifications if required after the bid is advertised.

BACKGROUND INFORMATION

Previous Commission action/date: N/A

Background: This is an annual bid. Recommend the Commission approve the specifications and authorize the Purchasing Director to place a competitive bid for the Provision of Roadway Pipe.

FINANCIAL IMPACT

Total cost of recommendation: N/A

Budget line item(s) to be used: N/A

If this is not a budgeted expenditure, does the recommendation create a need for funding?
N/A

LEGAL IMPACT

Is legal review necessary for this staff recommendation and related documents?

N/A

Reviewed/approved by: N/A

Additional comments: N/A

ADVERTISING REQUIREMENTS

Is advertising required for this recommendation? N/A

If the proof of publication affidavit is not attached, list the reason: N/A

FOLLOW UP IMPLEMENTATION

For time-sensitive follow up, select deadline date for follow up: 01/07/2020

Individual(s) responsible for follow up: Wanda Gautney, Purchasing Director

Action required (list contact persons/addresses if documents are to be mailed or emailed):

Wanda Gautney, Purchasing Director

Additional instructions/notes: N/A

BID #WG20-15 ROADWAY PIPE SPECIFICATIONS
PIPE, CONCRETE - CIRCULAR & ARCH

Roadway and/or side drain pipe shall be in accordance with the "Latest Edition of the Alabama Department of Transportation Specifications for Highway Construction for Roads and Bridges, Section 850."

Manufacturer shall furnish test reports when requested.

Prices per linear foot shall be submitted for circular and arch shapes for standard manufactured sizes. Prices per linear foot shall also be submitted for Beveled End Sections for Circular and Arch shapes for standard.

Prices shall include all costs, including freight and delivered to various locations within Baldwin County as directed when pipe is ordered.

Bidders shall also submit prices to include all costs, excluding freight, and shall be for less than truck load quantities F.O.B. County vehicle at bidder's supply location. Specify supply location.

Prices bid shall be applicable for material to be ordered for a one (1) calendar year. Calendar year will begin the day of bid award.

Prices bid shall be per linear foot. Prices bid shall be quoted with Gaskets and POPITS, (one per lifting eye).

Bidders to provide beveled ends as either cut or scored at no extra charge. (Typical slopes of 2:1, 3:1, 4:1, horizontal to vertical).

It is the intent of the Commission to award to one (1) bidder for each group/category.

A BID GUARANTEE OF \$500.00 WILL BE INCLUDED WITH THE BID.

BID #WG20-15 RESPONSE FORM
Pipe, Concrete - Circular & Arch

Date: _____

Company Name _____

Address _____

Phone Number () _____

Fax Number () _____

Authorized Signature _____

(please print or type Name)

Position _____

Supply Location _____

BID #WG20-15 RESPONSE FORM
Pipe, Concrete - Circular & Arch

Please include this completed form with your list of sizes and prices bid.

Description	Delivered	Picked Up
15" RCP C-3	_____	_____
15" BEVELED END SECTION	_____	_____
18" RCP C-3	_____	_____
18" BEVELED END SECTION	_____	_____
21" RCP C-3	_____	_____
24" RCP C-3	_____	_____
24" BEVELED END SECTION	_____	_____
27" RCP C-3	_____	_____
30" RCP C-3	_____	_____
30" BEVELED END SECTION	_____	_____
36" RCP C-3	_____	_____
36" BEVELED END SECTION	_____	_____
42" RCP C-3	_____	_____
48" RCP C-3	_____	_____
54" RCP C-3	_____	_____
60" RCP C-3	_____	_____
66" RCP C-3	_____	_____
72" RCP C-3	_____	_____
84" RCP C-3	_____	_____
96" RCP C-3	_____	_____
18"x11" RCP C-3 ARCH	_____	_____
18"X11" BEVELED END SECTION	_____	_____
22"X13" RCP C-3 ARCH	_____	_____
22"X13 BEVELED END SECTION	_____	_____
29"X18" RCP C-3 ARCH	_____	_____
29"x18" BEVELED END SECTION	_____	_____
36"x23" RCP C-3 ARCH	_____	_____
36"X23" BEVELED END SECTION	_____	_____
44"x27" RCP C-3 ARCH	_____	_____
44"X27" BEVELED END SECTION	_____	_____
51"x31" RCP C-3 ARCH	_____	_____
58" X 36" RCP C-3 ARCH	_____	_____
65" X40" RCP C-3 ARCH	_____	_____
73"X45" RCP C-3 ARCH	_____	_____
88"X54" RCP C-3 ARCH	_____	_____

BID #WG20-15 ROADWAY PIPE SPECIFICATIONS
HIGH DENSITY POLYETHYLENE CORRUGATED PIPE

Manufacturer shall furnish test reports upon request of the County Engineer or his designated representative.

Pipe shall include all costs, including freight, and shall be quoted per foot of pipe, delivered to Baldwin County. Each pipe shall contain one (1) coupling which will be included in the price of the pipe.

Pipe shall also be quoted excluding freight and shall be quoted per foot of pipe, picked up by Baldwin County from the supply location. Specify supply location. Each pipe will contain one (1) coupling which will be included in the price of pipe.

The use of specific names and numbers in the specifications is not intended to restrict the bidder or any seller or manufacturer's, but is solely for the purpose of indicating the type, size and quality of equipment considered best adapted to Baldwin County.

Prices bid shall be applicable for material to be ordered for a one (1) calendar year. Calendar year will begin the day of bid award.

It is the intent of the Commission to award to one (1) bidder for each group/category.

A BID GUARANTEE OF \$500.00 WILL BE INCLUDED WITH THE BID.

This specification applies to high density polyethylene corrugated pipe with an integrally formed smooth waterway. Nominal sizes for which this specification is applicable are 4-48-inch diameter. Sizes 4-36 inch (n-12) shall have a full circular cross-section, with an outer corrugated pipe wall and an essentially smooth inner wall (waterway). Corrugations for these sizes may be either annular or spiral. Sizes 42 and 48 inch (n12 HC) shall consist of an essentially smooth waterway braced circumferentially with circular ribs which are formed simultaneously with an essentially smooth outer wall. All sizes shall conform to the ASSHTO classification "Type S" (which describes pipe with a smooth waterway).

All pipe joints are to be 20 feet long unless indicated otherwise by the County Engineer or his designated representative. In the event that the pipe must be less than 20 feet then it shall be cut by the supplier at no extra charge.

Pipe manufactured for these specifications shall comply with the requirements for test methods, dimensions, and markings found in ASSHTO designation M252 and M294*. Pipe and fittings shall be made from virgin PE compounds which conform with the requirements of cell class 324420C as defined and described in ASTM D3350.

High density corrugated polyethylene plastic pipe with an integral smooth interior wall meeting AASHTO M294 Type S.

The minimum paralleled plate stiffness values when tested in accordance with ASTM D2412 shall be as follows:

BID #WG20-15 ROADWAY PIPE SPECIFICATIONS

HDPE Pipe

<u>DIAMETER</u>	<u>PIPE STIFFNESS</u>
4" (100 mm)	50 psi (340 kPa)
6" (150 mm)	50 psi (340 kPa)
8" (200 mm)	50 psi (340 kPa)
10" (250 mm)	50 psi (340 kPa)
12" (300 mm)	50 psi (340 kPa)
15" (250 mm)	42 psi (290 kPa)
18" (450 mm)	34 psi (240 kPa)
24" (600 mm)	34 psi (240 kPa)
30" (750 mm)	28 psi (200 kPa)
36" (900 mm)	22 psi (150 kPa)
42" (1050 mm)	19 psi (140 kPa)
48" (1200 mm)	17 psi (120 kPa)

The fittings shall not reduce or impair the overall integrity or function of the pipe line. Fittings may be either molded or fabricated. Common corrugated fittings include in-line joint fittings, such as couplers and reducers, and branch or complimentary assembly fittings such as tees, wyes, and end caps. These fittings may be installed by various methods, such as snap-on, screw-on, bell and spigot, and wrap around. Couplings shall provide sufficient longitudinal strength to preserve pipe alignment and prevent separation at the joints. Only fittings supplied or recommended by the pipe manufacturer shall be used. Where designated on the plans, a neoprene or rubber gasket shall be supplied.

Couplings must provide for covering at least two (2) corrugations on either side of connecting sections.

Installation of the pipe specified above shall be in accordance with ASTM recommended practice D2321 as covered elsewhere in these specifications.

Polyethylene Pipe

Out of State or If yes, Registration Number

Address: _____

(Rep. Name Typed or Printed)

Phone: _____

Financing through another agency beside yourself or
Yes No

Financing Agency Authorized Signature

Pipe Dia. Size	Delivered To County	Picked Up By County
4"	\$ _____	\$ _____
6"	\$ _____	\$ _____
8"	\$ _____	\$ _____
10"	\$ _____	\$ _____
12"	\$ _____	\$ _____
15"	\$ _____	\$ _____
18"	\$ _____	\$ _____
24"	\$ _____	\$ _____
30"	\$ _____	\$ _____
36"	\$ _____	\$ _____
42"	\$ _____	\$ _____
48"	\$ _____	\$ _____

BID #WG20-15 ROADWAY PIPE SPECIFICATIONS
HIGH PERFORMANCE POLYPROPYLENE PIPE (PP)

Manufacturer shall furnish test reports upon request of the County Engineer or his designated representative.

Pipe shall include all costs, including freight, and shall be quoted per foot of pipe, delivered to Baldwin County.

Pipe shall also be quoted excluding freight and shall be quoted per foot of pipe, picked up by Baldwin County from the supply location. Specify supply location.

The use of specific names and numbers in the specifications is not intended to restrict the bidder or any seller or manufacturer's, but is solely for the purpose of indicating the type, size and quality of equipment considered best adapted to Baldwin County.

Prices bid shall be applicable for material to be ordered for a one (1) calendar year. Calendar year will begin the day of bid award.

It is the intent of the Commission to award to one (1) bidder for each group/category.

A BID GUARANTEE OF \$500.00 WILL BE INCLUDED WITH THE BID.

This specification applies to High Performance Polypropylene Pipe (PP) with a smooth interior and annular exterior corrugations. Nominal sizes for which this specification is applicable are 12-60-inch diameter. PP pipes shall meet the requirements of AASHTO M 330 and the latest addition of the ALDOT Standard Specifications for Highway Construction.

All pipes shall have a gasketed integral bell & spigot joint included.

All pipe joints are to be 20 feet long unless indicated otherwise by the County Engineer or his designated representative. In the event that the pipe must be less than 20 feet then it shall be cut by the supplier at no extra charge.

BID #WG20-15 RESPONSE FORM
High Performance Polypropylene

Date: _____

Out of State _____ or _____ If yes,
Yes No Registration Number

Company Name: _____

Address: _____

Company Rep _____

(Rep. Name Typed or Printed)

Position: _____

Phone: _____

Fax: _____

Financing through another agency beside yourself _____ or _____
Yes No

If yes, must attach a copy of the financing agreement and all conditions to this response from.

Financing Agency Authorized Signature

Please include this completed form with your list of sizes and prices bid.

Pipe Dia. Size	Delivered To County	Picked Up By County
12"	\$ _____	\$ _____
15"	\$ _____	\$ _____
18"	\$ _____	\$ _____
24"	\$ _____	\$ _____
30"	\$ _____	\$ _____
36"	\$ _____	\$ _____
42"	\$ _____	\$ _____
48"	\$ _____	\$ _____
60"	\$ _____	\$ _____

BID #WG20-15 ROADWAY PIPE SPECIFICATIONS

PIPE - CORRUGATED ROUND AND ARCH BITUMINOUS COATED (METAL)

Roadway and/or side drain pipe shall be in accordance with Section 850.02 of Alabama Highway Department Standard Specifications for Roads and Bridges.

Manufacturer shall furnish test reports when requested.

Prices shall be submitted for both round and arch pipe, Bituminous coated in standard manufacturer sizes plus bands.

Prices shall include all costs, including freight, and shall be for truck load quantities delivered to various locations within Baldwin County as directed when pipe is ordered. Specify total pounds per truck load.

Also, prices shall include all costs, excluding freight, and shall be for less than truck load quantities F.O.B. County vehicle at bidder's supply location. Specify supply location.

Prices bid shall be applicable for material to be ordered for a one (1) calendar year. Calendar year will begin the day of bid award.

It is the intent of the Commission to award to one (1) bidder for each group/category.

A BID GUARANTEE OF \$500.00 WILL BE INCLUDED WITH THE BID.

BID #WG20-15 RESPONSE FORM

Pipe - Corrugated Round and Arch Bituminous Coated (Metal)

Date: _____

Company Name _____

Address _____

Phone Number () _____

Fax Number () _____

Authorized Signature _____

(please print or type Name)

Position _____

Supply Location _____

Please include this completed form with your list of sizes and prices bid.

BID #WG20-15 ROADWAY PIPE

Pipe – Corrugated Round & Arch Bituminous Coated (Metal)
Bid Response

Corrugated Metal Culvert Pipe (2.66")

Diameter	Gage	Picked up Amount	Delivered Amount
6"	16	_____	_____
8"	16	_____	_____
10"	16	_____	_____
12"	16	_____	_____
15"	16	_____	_____
18"	16	_____	_____
21"	16	_____	_____
24"	16	_____	_____
30"	16	_____	_____
36"	16	_____	_____
42"	16	_____	_____
48"	16	_____	_____
15"	14	_____	_____
18"	14	_____	_____
21"	14	_____	_____
24"	14	_____	_____
30"	14	_____	_____
36"	14	_____	_____
42"	14	_____	_____
48"	14	_____	_____
54"	14	_____	_____
21"	12	_____	_____
24"	12	_____	_____
30"	12	_____	_____
36"	12	_____	_____
42"	12	_____	_____
48"	12	_____	_____
54"	12	_____	_____
60"	12	_____	_____
66"	12	_____	_____
72"	12	_____	_____
78"	12	_____	_____
36"	10	_____	_____
42"	10	_____	_____
48"	10	_____	_____
54"	10	_____	_____
60"	10	_____	_____

66"	10	_____	_____
72"	10	_____	_____
78"	10	_____	_____
84"	10	_____	_____
90"	10	_____	_____
96"	10	_____	_____

48"	8	_____	_____
54"	8	_____	_____
60"	8	_____	_____
66"	8	_____	_____
72"	8	_____	_____
78"	8	_____	_____
84"	8	_____	_____
90"	8	_____	_____
96"	8	_____	_____

Size	Gage	Picked up Amount	Delivered Amount
17x13	16	_____	_____
21x15	16	_____	_____
24x18	16	_____	_____
28x20	16	_____	_____
35x24	16	_____	_____
42x29	16	_____	_____
49x33	16	_____	_____
57x38	16	_____	_____

17x13	14	_____	_____
21x15	14	_____	_____
24x18	14	_____	_____
28x20	14	_____	_____
35x24	14	_____	_____
42x29	14	_____	_____
49x33	14	_____	_____
57x38	14	_____	_____
64x43	14	_____	_____

24x18	12	_____	_____
28x20	12	_____	_____
35x24	12	_____	_____
42x29	12	_____	_____
49x33	12	_____	_____
57x38	12	_____	_____
64x43	12	_____	_____
71x47	12	_____	_____
77x52	12	_____	_____

42x29	10	_____	_____
49x33	10	_____	_____
57x38	10	_____	_____
64x43	10	_____	_____
71x47	10	_____	_____
77x52	10	_____	_____
83x57	10	_____	_____

57x38	8	_____	_____
64x43	8	_____	_____
71x47	8	_____	_____
77x52	8	_____	_____
83x57	8	_____	_____

Corrugated Metal Culvert Pipe (5"x1" Corrugation)

Diameter	Gage	Picked up Amount	Delivered Amount
36"	16	_____	_____
42"	16	_____	_____
48"	16	_____	_____
54"	16	_____	_____
60"	16	_____	_____
66"	16	_____	_____
72"	16	_____	_____
78"	16	_____	_____
84"	16	_____	_____
96"	16	_____	_____

36"	14	_____	_____
42"	14	_____	_____
48"	14	_____	_____
54"	14	_____	_____
60"	14	_____	_____
66"	14	_____	_____
72"	14	_____	_____
78"	14	_____	_____
84"	14	_____	_____
90"	14	_____	_____
96"	14	_____	_____

36"	12	_____	_____
42"	12	_____	_____
48"	12	_____	_____
54"	12	_____	_____
60"	12	_____	_____

66"	12	_____	_____
72"	12	_____	_____
78"	12	_____	_____
84"	12	_____	_____
90"	12	_____	_____
96"	12	_____	_____
102"	12	_____	_____
108"	12	_____	_____
114"	12	_____	_____
120"	12	_____	_____

48"	10	_____	_____
54"	10	_____	_____
60"	10	_____	_____
66"	10	_____	_____
72"	10	_____	_____
78"	10	_____	_____
84"	10	_____	_____
90"	10	_____	_____
96"	10	_____	_____
102"	10	_____	_____
108"	10	_____	_____
114"	10	_____	_____
120"	10	_____	_____
126"	10	_____	_____
132"	10	_____	_____
138"	10	_____	_____
144"	10	_____	_____

60"	8	_____	_____
66"	8	_____	_____
72"	8	_____	_____
78"	8	_____	_____
84"	8	_____	_____
90"	8	_____	_____
96"	8	_____	_____
102"	8	_____	_____
108"	8	_____	_____
114"	8	_____	_____
120"	8	_____	_____

Corrugated Metal Culvert Pipe (5"x1" Corrugation)

Size	Gage	Picked up Amount	Delivered Amount
40x31	16	_____	_____
46x36	16	_____	_____
53x41	16	_____	_____
60x46	16	_____	_____
66x51	16	_____	_____
73x55	16	_____	_____
81x59	16	_____	_____
87x63	16	_____	_____
95x64	16	_____	_____
103x71	16	_____	_____
112x75	16	_____	_____
40x31	14	_____	_____
46x36	14	_____	_____
53x41	14	_____	_____
60x46	14	_____	_____
66x51	14	_____	_____
73x55	14	_____	_____
81x59	14	_____	_____
87x63	14	_____	_____
95x64	14	_____	_____
103x71	14	_____	_____
112x75	14	_____	_____
53x41	12	_____	_____
60x46	12	_____	_____
66x51	12	_____	_____
73x55	12	_____	_____
81x59	12	_____	_____
87x63	12	_____	_____
95x64	12	_____	_____
103x71	12	_____	_____
112x75	12	_____	_____
117x79	12	_____	_____
128x83	12	_____	_____
137x87	12	_____	_____
142x91	12	_____	_____
81x59	10	_____	_____
87x63	10	_____	_____
95x64	10	_____	_____
103x71	10	_____	_____
112x75	10	_____	_____

117x79	10	_____	_____
128x83	10	_____	_____
137x87	10	_____	_____
142x91	10	_____	_____
66x51	8	_____	_____
73x55	8	_____	_____
81x59	8	_____	_____
87x63	8	_____	_____
95x64	8	_____	_____
103x71	8	_____	_____
112x75	8	_____	_____
117x79	8	_____	_____
128x83	8	_____	_____
137x87	8	_____	_____
142x91	8	_____	_____



Baldwin County Commission

Agenda Action Form

File #: 20-0456, **Version:** 1

Item #: BE2

Meeting Type: BCC Regular Meeting

Meeting Date: 1/7/2020

Item Status: New

From: Wanda Gautney, Purchasing Director

Submitted by: Loren B. Lucas, Assistant Purchasing Director

ITEM TITLE

Competitive Bid #WG20-06 - Provision of Crushed Aggregates for the Baldwin County Commission

STAFF RECOMMENDATION

Award the bid per the attached Award Listings for each category of headings to **C.R. Pate Logging, Inc.; Graestone Aggregates, LLC; Martin Marietta; and Vulcan Materials Company**, for the Provision of Crushed Aggregates.

BACKGROUND INFORMATION

Previous Commission action/date:

11/19/2019 meeting: 1) Approved the specifications and authorized the Purchasing Director to place a competitive bid for the Provision of Crushed Aggregates; and 2) Further, authorized the Chairman/Purchasing Division Commissioner for the Baldwin County Commission to approve any necessary addendums or clarifications if required after the bid is advertised.

Background: Bids were opened in the Purchasing Conference Room on December 6, 2019, at 1:30 P.M. Four (4) bids were received. Bids were tabulated and the award recommendations are attached for review. Award recommendations are based on supply location and transportation cost in determining the award recommendations. Award Listings attached.

FINANCIAL IMPACT

Total cost of recommendation: Variable

Budget line item(s) to be used: Various Highway Budgets

If this is not a budgeted expenditure, does the recommendation create a need for funding?

N/A

LEGAL IMPACT

Is legal review necessary for this staff recommendation and related documents?

N/A

Reviewed/approved by: N/A

Additional comments: N/A

ADVERTISING REQUIREMENTS

Is advertising required for this recommendation? N/A

If the proof of publication affidavit is not attached, list the reason: N/A

FOLLOW UP IMPLEMENTATION

For time-sensitive follow up, select deadline date for follow up: 01/07/2020

Individual(s) responsible for follow up: Wanda Gautney, Purchasing Director

Action required (list contact persons/addresses if documents are to be mailed or emailed):
Letter to Bidders

Additional instructions/notes: N/A

CRUSHED AGGREGATES BID WG20-06

AREA 100

Bid Tabulation

	C.R. Pate Logging, Inc.		Graestone		Martin Marietta				Vulcan			
	PICK-UP	DELIVERY	PICK-UP	DELIVERY	PICK-UP	DELIVERY	PICK-UP	DELIVERY	PICK-UP	DELIVERY	PICK-UP	DELIVERY
Location	Andalusia, AL		Mobile, AL		Saraland, AL		Theodore, AL		Bay Bridge, Mobile		Blakeley, Mobile	
CRUSHED GRAVEL												
ALDOT #467	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid
ALDOT #5	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid
ALDOT #57	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid
ALDOT #78	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid
CRUSHED LIMESTONE												
ALDOT #467	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid
ALDOT #4	No Bid	No Bid	26.99	35.00	32.00	41.25	No Bid	No Bid	28.00	36.25	No Bid	No Bid
ALDOT #57	No Bid	No Bid	26.99	35.00	32.00	41.25	No Bid	No Bid	28.00	36.25	No Bid	No Bid
ALDOT #67	No Bid	No Bid	No Bid	No Bid	32.00	41.25	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid
ALDOT #78	No Bid	No Bid	No Bid	No Bid	32.00	41.25	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid
ALDOT #89	No Bid	No Bid	No Bid	No Bid	32.00	41.25	No Bid	No Bid	33.00	41.25	No Bid	No Bid
ALDOT #5	14.00	26.50	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid
CRUSHED RIP-RAP & GABION												
ALDOT CLASS 1	26.00	38.00	42.00	50.00	48.00	58.25	No Bid	No Bid	43.00	52.25	No Bid	No Bid
ALDOT CLASS 2	26.00	38.00	42.00	50.00	48.00	58.25	No Bid	No Bid	43.00	52.25	No Bid	No Bid
ALDOT CLASS 3	26.00	39.50	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	46.00	55.25	No Bid	No Bid
ALDOT 3X6 GABION STONE	No Bid	No Bid	No Bid	No Bid	48.00	58.25	No Bid	No Bid	43.00	52.25	No Bid	No Bid
CRUSHED CONCRETE PROD.												
2" X 0 BASE	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid
6" x 2" COBBLES	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid
RUBBLE RIP-RAP	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid
CRUSHED LIMESTONE BASE												
825-A	No Bid	No Bid	25.00	33.00	32.00	41.25	No Bid	No Bid	27.00	35.25	No Bid	No Bid
825-B	14.00	26.50	25.00	33.00	32.00	41.25	25.50	39.25	No Bid	No Bid	23.90	32.15
2" MINUS SCREENED LIMESTONE												
2" MINUS	6.00	18.00	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	19.00	27.25
WHITE SAND - WASHED	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid

Low Bid Price is highlighted in yellow

Location and transportation cost to the County was used in determining the prime bidder.

CRUSHED AGGREGATES BID WG20-06

AREA 200

Bid Tabulation

	C.R. Pate Logging, Inc.		Graestone		Martin Marietta				Vulcan			
	PICK-UP	DELIVERY	PICK-UP	DELIVERY	PICK-UP	DELIVERY	PICK-UP	DELIVERY	PICK-UP	DELIVERY	PICK-UP	DELIVERY
Location	Andalusia, AL		Mobile, AL		Saraland, AL		Theodore, AL		Bay Bridge, Mobile		Blakeley, Mobile	
CRUSHED GRAVEL												
ALDOT #467	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid
ALDOT #5	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid
ALDOT #57	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid
ALDOT #78	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid
CRUSHED LIMESTONE												
ALDOT #467	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid
ALDOT #4	No Bid	No Bid	26.99	37.00	32.00	40.50	No Bid	No Bid	28.00	35.25	No Bid	No Bid
ALDOT #57	No Bid	No Bid	26.99	37.00	32.00	40.50	No Bid	No Bid	28.00	35.25	No Bid	No Bid
ALDOT #67	No Bid	No Bid	No Bid	No Bid	32.00	40.50	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid
ALDOT #78	No Bid	No Bid	No Bid	No Bid	32.00	40.50	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid
ALDOT #89	No Bid	No Bid	No Bid	No Bid	32.00	40.50	No Bid	No Bid	33.00	40.25	No Bid	No Bid
ALDOT #5	14.00	29.50	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid
CRUSHED RIP-RAP & GABION												
ALDOT CLASS 1	26.00	40.00	42.00	52.00	48.00	57.50	No Bid	No Bid	43.00	51.25	No Bid	No Bid
ALDOT CLASS 2	26.00	40.00	42.00	52.00	48.00	57.50	No Bid	No Bid	43.00	51.25	No Bid	No Bid
ALDOT CLASS 3	26.00	42.00	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	46.00	54.25	No Bid	No Bid
ALDOT 3X6 GABION STONE	No Bid	No Bid	No Bid	No Bid	48.00	57.50	No Bid	No Bid	43.00	51.25	No Bid	No Bid
CRUSHED CONCRETE PROD.												
2" X 0 BASE	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid
6" X 2" COBBLES	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid
RUBBLE RIP-RAP	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid
CRUSHED LIMESTONE BASE												
825-A	No Bid	No Bid	25.00	35.00	32.00	40.50	No Bid	No Bid	27.00	34.25	No Bid	No Bid
825-B	14.00	28.00	25.00	35.00	32.00	40.75	25.50	36.25	No Bid	No Bid	23.90	31.15
2" MINUS SCREENED LIMESTONE												
2" MINUS	6.00	20.50	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	19.00	26.25
WHITE SAND - WASHED	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid

Low Bid Price is highlighted in yellow

Location and transportation cost to the County was used in determining the prime bidder.

CRUSHED AGGREGATES BID WG20-06

AREA 300

Bid Tabulation

	C.R. Pate Logging, Inc.		Graestone		Martin Marietta				Vulcan			
	PICK-UP	DELIVERY	PICK-UP	DELIVERY	PICK-UP	DELIVERY	PICK-UP	DELIVERY	PICK-UP	DELIVERY	PICK-UP	DELIVERY
Location	Andalusia, AL		Mobile, AL		Saraland, AL		Theodore, AL		Bay Bridge, Mobile		Blakeley, Mobile	
CRUSHED GRAVEL												
ALDOT #467	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid
ALDOT #5	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid
ALDOT #57	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid
ALDOT #78	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid
CRUSHED LIMESTONE												
ALDOT #467	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid
ALDOT #4	No Bid	No Bid	26.99	41.00	32.00	42.50	No Bid	No Bid	28.00	38.25	No Bid	No Bid
ALDOT #57	No Bid	No Bid	26.99	41.00	32.00	42.50	No Bid	No Bid	28.00	38.25	No Bid	No Bid
ALDOT #67	No Bid	No Bid	No Bid	No Bid	32.00	42.50	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid
ALDOT #78	No Bid	No Bid	No Bid	No Bid	32.00	42.50	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid
ALDOT #89	No Bid	No Bid	No Bid	No Bid	32.00	42.50	No Bid	No Bid	33.00	43.25	No Bid	No Bid
ALDOT #5	14.00	30.50	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid
CRUSHED RIP-RAP & GABION												
ALDOT CLASS 1	26.00	42.00	42.00	46.00	48.00	59.75	No Bid	No Bid	43.00	54.25	No Bid	No Bid
ALDOT CLASS 2	26.00	42.00	42.00	46.00	48.00	59.75	No Bid	No Bid	43.00	54.25	No Bid	No Bid
ALDOT CLASS 3	26.00	44.00	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	46.00	57.25	No Bid	No Bid
ALDOT 3X6 GABION STONE	No Bid	No Bid	No Bid	No Bid	48.00	59.75	No Bid	No Bid	43.00	54.25	No Bid	No Bid
CRUSHED CONCRETE PROD.												
2" X 0 BASE	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid
6" X 2" COBBLES	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid
RUBBLE RIP-RAP	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid
CRUSHED LIMESTONE BASE												
825-A	No Bid	No Bid	25.00	39.00	32.00	42.50	No Bid	No Bid	27.00	37.25	No Bid	No Bid
825-B	14.00	30.00	25.00	39.00	32.00	42.50	25.50	36.25	No Bid	No Bid	23.90	34.15
2" MINUS SCREENED LIMESTONE												
2" MINUS	6.00	22.50	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	19.00	29.25
WHITE SAND - WASHED	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid

Low Bid Price is highlighted in yellow

Location and transportation cost to the County was used in determining the prime bidder.

CRUSHED AGGREGATES BID WG20-06

AREA 100

Total Pick-Up Cost Calculations

AREA 100 (1 of 2)

C.R. Pate Logging, Inc. ANDALUSIA		CRUSHED GRAVEL	ALDOT #467	ALDOT #5	ALDOT #57	ALDOT #78	CRUSHED LIMESTONE	ALDOT #467	ALDOT #4	ALDOT #57	ALDOT #67	ALDOT #78	ALDOT #89	ALDOT #5	CRUSHED RIP- RAP & GABION	ALDOT CLASS 1	ALDOT CLASS 2
	TON		No Bid	No Bid	No Bid	No Bid		No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	\$ 14.00		\$ 26.00	\$ 26.00
	LABOR COST (HR)		\$ 18.24	\$ 18.24	\$ 18.24	\$ 18.24		\$ 18.24	\$ 18.24	\$ 18.24	\$ 18.24	\$ 18.24	\$ 18.24	\$ 18.24		\$ 18.24	\$ 18.24
	EQUIPMENT COST (HR)		\$ 75.50	\$ 75.50	\$ 75.50	\$ 75.50		\$ 75.50	\$ 75.50	\$ 75.50	\$ 75.50	\$ 75.50	\$ 75.50	\$ 75.50		\$ 75.50	\$ 75.50
	ROUND TRIP TO PLANT (HR)		2.50	2.50	2.50	2.50		2.50	2.50	2.50	2.50	2.50	2.50	2.50		2.50	2.50
	TOTAL COST (25-TONS)		No Bid	No Bid	No Bid	No Bid		No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	\$ 584.35		\$ 884.35	\$ 884.35

Graestone - MOBILE		CRUSHED GRAVEL	ALDOT #467	ALDOT #5	ALDOT #57	ALDOT #78	CRUSHED LIMESTONE	ALDOT #467	ALDOT #4	ALDOT #57	ALDOT #67	ALDOT #78	ALDOT #89	ALDOT #5	CRUSHED RIP- RAP & GABION	ALDOT CLASS 1	ALDOT CLASS 2
	TON		No Bid	No Bid	No Bid	No Bid		No Bid	\$ 26.99	\$ 26.99	No Bid	No Bid	No Bid	No Bid		\$ 42.00	\$ 42.00
	LABOR COST (HR)		\$ 18.24	\$ 18.24	\$ 18.24	\$ 18.24		\$ 18.24	\$ 18.24	\$ 18.24	\$ 18.24	\$ 18.24	\$ 18.24	\$ 18.24		\$ 18.24	\$ 18.24
	EQUIPMENT COST (HR)		\$ 75.50	\$ 75.50	\$ 75.50	\$ 75.50		\$ 75.50	\$ 75.50	\$ 75.50	\$ 75.50	\$ 75.50	\$ 75.50	\$ 75.50		\$ 75.50	\$ 75.50
	ROUND TRIP TO PLANT (HR)		1.75	1.75	1.75	1.75		1.75	1.75	1.75	1.75	1.75	1.75	1.75		1.75	1.75
	TOTAL COST (25-TONS)		No Bid	No Bid	No Bid	No Bid		No Bid	\$ 838.80	\$ 838.80	No Bid	No Bid	No Bid	No Bid		\$ 1,214.05	\$ 1,214.05

Martin Marietta - SARALAND		CRUSHED GRAVEL	ALDOT #467	ALDOT #5	ALDOT #57	ALDOT #78	CRUSHED LIMESTONE	ALDOT #467	ALDOT #4	ALDOT #57	ALDOT #67	ALDOT #78	ALDOT #89	ALDOT #5	CRUSHED RIP- RAP & GABION	ALDOT CLASS 1	ALDOT CLASS 2
	TON		No Bid	No Bid	No Bid	No Bid		No Bid	\$ 32.00	\$ 32.00	\$ 32.00	\$ 32.00	\$ 32.00	No Bid		\$ 48.00	\$ 48.00
	LABOR COST (HR)		\$ 18.24	\$ 18.24	\$ 18.24	\$ 18.24		\$ 18.24	\$ 18.24	\$ 18.24	\$ 18.24	\$ 18.24	\$ 18.24	\$ 18.24		\$ 18.24	\$ 18.24
	EQUIPMENT COST (HR)		\$ 75.50	\$ 75.50	\$ 75.50	\$ 75.50		\$ 75.50	\$ 75.50	\$ 75.50	\$ 75.50	\$ 75.50	\$ 75.50	\$ 75.50		\$ 75.50	\$ 75.50
	ROUND TRIP TO PLANT (HR)		1.25	1.25	1.25	1.25		1.25	1.25	1.25	1.25	1.25	1.25	1.25		1.25	1.25
	TOTAL COST (25-TONS)		No Bid	No Bid	No Bid	No Bid		No Bid	\$ 917.18	\$ 917.18	\$ 917.18	\$ 917.18	\$ 917.18	No Bid		\$ 1,317.18	\$ 1,317.18

Martin Marietta - THEODORE		CRUSHED GRAVEL	ALDOT #467	ALDOT #5	ALDOT #57	ALDOT #78	CRUSHED LIMESTONE	ALDOT #467	ALDOT #4	ALDOT #57	ALDOT #67	ALDOT #78	ALDOT #89	ALDOT #5	CRUSHED RIP- RAP & GABION	ALDOT CLASS 1	ALDOT CLASS 2
	TON		No Bid	No Bid	No Bid	No Bid		No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid		No Bid	No Bid
	LABOR COST (HR)		\$ 18.24	\$ 18.24	\$ 18.24	\$ 18.24		\$ 18.24	\$ 18.24	\$ 18.24	\$ 18.24	\$ 18.24	\$ 18.24	\$ 18.24		\$ 18.24	\$ 18.24
	EQUIPMENT COST (HR)		\$ 75.50	\$ 75.50	\$ 75.50	\$ 75.50		\$ 75.50	\$ 75.50	\$ 75.50	\$ 75.50	\$ 75.50	\$ 75.50	\$ 75.50		\$ 75.50	\$ 75.50
	ROUND TRIP TO PLANT (HR)		2.00	2.00	2.00	2.00		2.00	2.00	2.00	2.00	2.00	2.00	2.00		2.00	2.00
	TOTAL COST (25-TONS)		No Bid	No Bid	No Bid	No Bid		No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid		No Bid	No Bid

Vulcan - BAY BRIDGE		CRUSHED GRAVEL	ALDOT #467	ALDOT #5	ALDOT #57	ALDOT #78	CRUSHED LIMESTONE	ALDOT #467	ALDOT #4	ALDOT #57	ALDOT #67	ALDOT #78	ALDOT #89	ALDOT #5	CRUSHED RIP- RAP & GABION	ALDOT CLASS 1	ALDOT CLASS 2
	TON		No Bid	No Bid	No Bid	No Bid		No Bid	\$ 28.00	\$ 28.00	No Bid	No Bid	\$ 33.00	No Bid		\$ 43.00	\$ 43.00
	LABOR COST (HR)		\$ 18.24	\$ 18.24	\$ 18.24	\$ 18.24		\$ 18.24	\$ 18.24	\$ 18.24	\$ 18.24	\$ 18.24	\$ 18.24	\$ 18.24		\$ 18.24	\$ 18.24
	EQUIPMENT COST (HR)		\$ 75.50	\$ 75.50	\$ 75.50	\$ 75.50		\$ 75.50	\$ 75.50	\$ 75.50	\$ 75.50	\$ 75.50	\$ 75.50	\$ 75.50		\$ 75.50	\$ 75.50
	ROUND TRIP TO PLANT (HR)		1.25	1.25	1.25	1.25		1.25	1.25	1.25	1.25	1.25	1.25	1.25		1.25	1.25
	TOTAL COST (25-TONS)		No Bid	No Bid	No Bid	No Bid		No Bid	\$ 817.18	\$ 817.18	No Bid	No Bid	\$ 942.18	No Bid		\$ 1,192.18	\$ 1,192.18

Vulcan - BLAKELEY		CRUSHED GRAVEL	ALDOT #467	ALDOT #5	ALDOT #57	ALDOT #78	CRUSHED LIMESTONE	ALDOT #467	ALDOT #4	ALDOT #57	ALDOT #67	ALDOT #78	ALDOT #89	ALDOT #5	CRUSHED RIP- RAP & GABION	ALDOT CLASS 1	ALDOT CLASS 2
	TON		No Bid	No Bid	No Bid	No Bid		No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid		No Bid	No Bid
	LABOR COST (HR)		\$ 18.24	\$ 18.24	\$ 18.24	\$ 18.24		\$ 18.24	\$ 18.24	\$ 18.24	\$ 18.24	\$ 18.24	\$ 18.24	\$ 18.24		\$ 18.24	\$ 18.24
	EQUIPMENT COST (HR)		\$ 75.50	\$ 75.50	\$ 75.50	\$ 75.50		\$ 75.50	\$ 75.50	\$ 75.50	\$ 75.50	\$ 75.50	\$ 75.50	\$ 75.50		\$ 75.50	\$ 75.50
	ROUND TRIP TO PLANT (HR)		1.25	1.25	1.25	1.25		1.25	1.25	1.25	1.25	1.25	1.25	1.25		1.25	1.25
	TOTAL COST (10-TONS)		No Bid	No Bid	No Bid	No Bid		No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid		No Bid	No Bid

CRUSHED AGGREGATES BID WG20-06

AREA 100

Total Pick-Up Cost Calculations

AREA 100 (2 of 2)

C.R. Pate Logging, Inc. ANDALUSIA		CRUSHED RIP- RAP & GABION	ALDOT CLASS 3	ALDOT 3X6 GABION STONE	CRUSHED CONCRETE PROD.	2" X 0 BASE	6" x 2" COBBLES	RUBBLE RIP-RAP	CRUSHED LIMESTONE BASE	825-A	825-B	2" MINUS SCREENED LIMESTONE	2" MINUS	WHITE SAND - WASHED
	TON		\$ 26.00	No Bid		No Bid	No Bid	No Bid		No Bid	\$ 14.00		\$ 6.00	No Bid
	LABOR COST (HR)		\$ 18.24	\$ 18.24		\$ 18.24	\$ 18.24	\$ 18.24		\$ 18.24	\$ 18.24		\$ 18.24	\$ 18.24
	EQUIPMENT COST (HR)		\$ 75.50	\$ 75.50		\$ 75.50	\$ 75.50	\$ 75.50		\$ 75.50	\$ 75.50		\$ 75.50	\$ 75.50
	ROUND TRIP TO PLANT (HR)		2.50	2.50		2.50	2.50	2.50		2.50	2.50		2.50	2.50
	TOTAL COST (25-TONS)		\$ 884.35	No Bid		No Bid	No Bid	No Bid		No Bid	\$ 584.35		\$ 384.35	No Bid

Graestone - MOBILE		CRUSHED RIP- RAP & GABION	ALDOT CLASS 3	ALDOT 3X6 GABION STONE	CRUSHED CONCRETE PROD.	2" X 0 BASE	6" x 2" COBBLES	RUBBLE RIP-RAP	CRUSHED LIMESTONE BASE	825-A	825-B	2" MINUS SCREENED LIMESTONE	2" MINUS	WHITE SAND - WASHED
	TON		No Bid	No Bid		No Bid	No Bid	No Bid		\$ 25.00	\$ 25.00		No Bid	No Bid
	LABOR COST (HR)		\$ 18.24	\$ 18.24		\$ 18.24	\$ 18.24	\$ 18.24		\$ 18.24	\$ 18.24		\$ 18.24	\$ 18.24
	EQUIPMENT COST (HR)		\$ 75.50	\$ 75.50		\$ 75.50	\$ 75.50	\$ 75.50		\$ 75.50	\$ 75.50		\$ 75.50	\$ 75.50
	ROUND TRIP TO PLANT (HR)		1.75	1.75		1.75	1.75	1.75		1.75	1.75		1.75	1.75
	TOTAL COST (25-TONS)		No Bid	No Bid		No Bid	No Bid	No Bid		\$ 789.05	\$ 789.05		No Bid	No Bid

Martin Marietta - SARALAND		CRUSHED RIP- RAP & GABION	ALDOT CLASS 3	ALDOT 3X6 GABION STONE	CRUSHED CONCRETE PROD.	2" X 0 BASE	6" x 2" COBBLES	RUBBLE RIP-RAP	CRUSHED LIMESTONE BASE	825-A	825-B	2" MINUS SCREENED LIMESTONE	2" MINUS	WHITE SAND - WASHED
	TON		No Bid	\$ 48.00		No Bid	No Bid	No Bid		\$ 32.00	\$ 32.00		No Bid	No Bid
	LABOR COST (HR)		\$ 18.24	\$ 18.24		\$ 18.24	\$ 18.24	\$ 18.24		\$ 18.24	\$ 18.24		\$ 18.24	\$ 18.24
	EQUIPMENT COST (HR)		\$ 75.50	\$ 75.50		\$ 75.50	\$ 75.50	\$ 75.50		\$ 75.50	\$ 75.50		\$ 75.50	\$ 75.50
	ROUND TRIP TO PLANT (HR)		1.25	1.25		1.25	1.25	1.25		1.25	1.25		1.25	1.25
	TOTAL COST (25-TONS)		No Bid	\$ 1,317.18		No Bid	No Bid	No Bid		\$ 917.18	\$ 917.18		No Bid	No Bid

Martin Marietta - THEODORE		CRUSHED RIP- RAP & GABION	ALDOT CLASS 3	ALDOT 3X6 GABION STONE	CRUSHED CONCRETE PROD.	2" X 0 BASE	6" x 2" COBBLES	RUBBLE RIP-RAP	CRUSHED LIMESTONE BASE	825-A	825-B	2" MINUS SCREENED LIMESTONE	2" MINUS	WHITE SAND - WASHED
	TON		No Bid	No Bid		No Bid	No Bid	No Bid		No Bid	\$ 25.50		No Bid	No Bid
	LABOR COST (HR)		\$ 18.24	\$ 18.24		\$ 18.24	\$ 18.24	\$ 18.24		\$ 18.24	\$ 18.24		\$ 18.24	\$ 18.24
	EQUIPMENT COST (HR)		\$ 75.50	\$ 75.50		\$ 75.50	\$ 75.50	\$ 75.50		\$ 75.50	\$ 75.50		\$ 75.50	\$ 75.50
	ROUND TRIP TO PLANT (HR)		2.00	2.00		2.00	2.00	2.00		2.00	2.00		2.00	2.00
	TOTAL COST (25-TONS)		No Bid	No Bid		No Bid	No Bid	No Bid		No Bid	\$ 824.98		No Bid	No Bid

Vulcan - BAY BRIDGE		CRUSHED RIP- RAP & GABION	ALDOT CLASS 3	ALDOT 3X6 GABION STONE	CRUSHED CONCRETE PROD.	2" X 0 BASE	6" x 2" COBBLES	RUBBLE RIP-RAP	CRUSHED LIMESTONE BASE	825-A	825-B	2" MINUS SCREENED LIMESTONE	2" MINUS	WHITE SAND - WASHED
	TON		\$ 46.00	\$ 43.00		No Bid	No Bid	No Bid		\$ 27.00	No Bid		No Bid	No Bid
	LABOR COST (HR)		\$ 18.24	\$ 18.24		\$ 18.24	\$ 18.24	\$ 18.24		\$ 18.24	\$ 18.24		\$ 18.24	\$ 18.24
	EQUIPMENT COST (HR)		\$ 75.50	\$ 75.50		\$ 75.50	\$ 75.50	\$ 75.50		\$ 75.50	\$ 75.50		\$ 75.50	\$ 75.50
	ROUND TRIP TO PLANT (HR)		1.25	1.25		1.25	1.25	1.25		1.25	1.25		1.25	1.25
	TOTAL COST (25-TONS)		\$ 1,267.18	\$ 1,192.18		No Bid	No Bid	No Bid		\$ 792.18	No Bid		No Bid	No Bid

Vulcan - BLAKELEY		CRUSHED RIP- RAP & GABION	ALDOT CLASS 3	ALDOT 3X6 GABION STONE	CRUSHED CONCRETE PROD.	2" X 0 BASE	6" x 2" COBBLES	RUBBLE RIP-RAP	CRUSHED LIMESTONE BASE	825-A	825-B	2" MINUS SCREENED LIMESTONE	2" MINUS	WHITE SAND - WASHED
	TON		No Bid	No Bid		No Bid	No Bid	No Bid		No Bid	\$ 23.90		\$ 19.00	No Bid
	LABOR COST (HR)		\$ 18.24	\$ 18.24		\$ 18.24	\$ 18.24	\$ 18.24		\$ 18.24	\$ 18.24		\$ 18.24	\$ 18.24
	EQUIPMENT COST (HR)		\$ 75.50	\$ 75.50		\$ 75.50	\$ 75.50	\$ 75.50		\$ 75.50	\$ 75.50		\$ 75.50	\$ 75.50
	ROUND TRIP TO PLANT (HR)		1.25	1.25		1.25	1.25	1.25		1.25	1.25		1.25	1.25
	TOTAL COST (10-TONS)		No Bid	No Bid		No Bid	No Bid	No Bid		No Bid	\$ 714.68		\$ 592.18	No Bid

CRUSHED AGGREGATES BID WG20-06

AREA 200

Total Pick-Up Cost Calculations

AREA 200 (1 of 2)

C.R. Pate Logging, Inc. ANDALUSIA		CRUSHED GRAVEL	ALDOT #467	ALDOT #5	ALDOT #57	ALDOT #78	CRUSHED LIMESTONE	ALDOT #467	ALDOT #4	ALDOT #57	ALDOT #67	ALDOT #78	ALDOT #89	ALDOT #5	CRUSHED RIP- RAP & GABION	ALDOT CLASS 1	ALDOT CLASS 2
	TON		No Bid	No Bid	No Bid	No Bid		No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	\$ 14.00		\$ 26.00	
	LABOR COST (HR)		\$ 18.24	\$ 18.24	\$ 18.24	\$ 18.24		\$ 18.24	\$ 18.24	\$ 18.24	\$ 18.24	\$ 18.24	\$ 18.24	\$ 18.24		\$ 18.24	
	EQUIPMENT COST (HR)		\$ 75.50	\$ 75.50	\$ 75.50	\$ 75.50		\$ 75.50	\$ 75.50	\$ 75.50	\$ 75.50	\$ 75.50	\$ 75.50	\$ 75.50		\$ 75.50	
	ROUND TRIP TO PLANT (HR)		4.00	4.00	4.00	4.00		4.00	4.00	4.00	4.00	4.00	4.00	4.00		4.00	
	TOTAL COST (25-TONS)		No Bid	No Bid	No Bid	No Bid		No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	\$ 724.96		\$ 1,024.96	\$ 1,024.96

Graestone - MOBILE		CRUSHED GRAVEL	ALDOT #467	ALDOT #5	ALDOT #57	ALDOT #78	CRUSHED LIMESTONE	ALDOT #467	ALDOT #4	ALDOT #57	ALDOT #67	ALDOT #78	ALDOT #89	ALDOT #5	CRUSHED RIP- RAP & GABION	ALDOT CLASS 1	ALDOT CLASS 2
	TON		No Bid	No Bid	No Bid	No Bid		No Bid	\$ 26.99	\$ 26.99	No Bid	No Bid	No Bid	No Bid		\$ 42.00	\$ 42.00
	LABOR COST (HR)		\$ 18.24	\$ 18.24	\$ 18.24	\$ 18.24		\$ 18.24	\$ 18.24	\$ 18.24	\$ 18.24	\$ 18.24	\$ 18.24	\$ 18.24		\$ 18.24	\$ 18.24
	EQUIPMENT COST (HR)		\$ 75.50	\$ 75.50	\$ 75.50	\$ 75.50		\$ 75.50	\$ 75.50	\$ 75.50	\$ 75.50	\$ 75.50	\$ 75.50	\$ 75.50		\$ 75.50	\$ 75.50
	ROUND TRIP TO PLANT (HR)		1.25	1.25	1.25	1.25		1.25	1.25	1.25	1.25	1.25	1.25	1.25		1.25	1.25
	TOTAL COST (25-TONS)		No Bid	No Bid	No Bid	No Bid		No Bid	\$ 791.93	\$ 791.93	No Bid	No Bid	No Bid	No Bid		\$ 1,167.18	\$ 1,167.18

Martin Marietta - SARALAND		CRUSHED GRAVEL	ALDOT #467	ALDOT #5	ALDOT #57	ALDOT #78	CRUSHED LIMESTONE	ALDOT #467	ALDOT #4	ALDOT #57	ALDOT #67	ALDOT #78	ALDOT #89	ALDOT #5	CRUSHED RIP- RAP & GABION	ALDOT CLASS 1	ALDOT CLASS 2
	TON		No Bid	No Bid	No Bid	No Bid		No Bid	\$ 32.00	\$ 32.00	\$ 32.00	\$ 32.00	\$ 32.00	No Bid		\$ 48.00	\$ 48.00
	LABOR COST (HR)		\$ 18.24	\$ 18.24	\$ 18.24	\$ 18.24		\$ 18.24	\$ 18.24	\$ 18.24	\$ 18.24	\$ 18.24	\$ 18.24	\$ 18.24		\$ 18.24	\$ 18.24
	EQUIPMENT COST (HR)		\$ 75.50	\$ 75.50	\$ 75.50	\$ 75.50		\$ 75.50	\$ 75.50	\$ 75.50	\$ 75.50	\$ 75.50	\$ 75.50	\$ 75.50		\$ 75.50	\$ 75.50
	ROUND TRIP TO PLANT (HR)		1.50	1.50	1.50	1.50		1.50	1.50	1.50	1.50	1.50	1.50	1.50		1.50	1.50
	TOTAL COST (25-TONS)		No Bid	No Bid	No Bid	No Bid		No Bid	\$ 940.61	\$ 940.61	\$ 940.61	\$ 940.61	\$ 940.61	No Bid		\$ 1,340.61	\$ 1,340.61

Martin Marietta - THEODORE		CRUSHED GRAVEL	ALDOT #467	ALDOT #5	ALDOT #57	ALDOT #78	CRUSHED LIMESTONE	ALDOT #467	ALDOT #4	ALDOT #57	ALDOT #67	ALDOT #78	ALDOT #89	ALDOT #5	CRUSHED RIP- RAP & GABION	ALDOT CLASS 1	ALDOT CLASS 2
	TON		No Bid	No Bid	No Bid	No Bid		No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid		No Bid	No Bid
	LABOR COST (HR)		\$ 18.24	\$ 18.24	\$ 18.24	\$ 18.24		\$ 18.24	\$ 18.24	\$ 18.24	\$ 18.24	\$ 18.24	\$ 18.24	\$ 18.24		\$ 18.24	\$ 18.24
	EQUIPMENT COST (HR)		\$ 75.50	\$ 75.50	\$ 75.50	\$ 75.50		\$ 75.50	\$ 75.50	\$ 75.50	\$ 75.50	\$ 75.50	\$ 75.50	\$ 75.50		\$ 75.50	\$ 75.50
	ROUND TRIP TO PLANT (HR)		2.00	2.00	2.00	2.00		2.00	2.00	2.00	2.00	2.00	2.00	2.00		2.00	2.00
	TOTAL COST (25-TONS)		No Bid	No Bid	No Bid	No Bid		No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid		No Bid	No Bid

Vulcan - BAY BRIDGE		CRUSHED GRAVEL	ALDOT #467	ALDOT #5	ALDOT #57	ALDOT #78	CRUSHED LIMESTONE	ALDOT #467	ALDOT #4	ALDOT #57	ALDOT #67	ALDOT #78	ALDOT #89	ALDOT #5	CRUSHED RIP- RAP & GABION	ALDOT CLASS 1	ALDOT CLASS 2
	TON		No Bid	No Bid	No Bid	No Bid		No Bid	\$ 28.00	\$ 28.00	No Bid	No Bid	\$ 33.00	No Bid		\$ 43.00	\$ 43.00
	LABOR COST (HR)		\$ 18.24	\$ 18.24	\$ 18.24	\$ 18.24		\$ 18.24	\$ 18.24	\$ 18.24	\$ 18.24	\$ 18.24	\$ 18.24	\$ 18.24		\$ 18.24	\$ 18.24
	EQUIPMENT COST (HR)		\$ 75.50	\$ 75.50	\$ 75.50	\$ 75.50		\$ 75.50	\$ 75.50	\$ 75.50	\$ 75.50	\$ 75.50	\$ 75.50	\$ 75.50		\$ 75.50	\$ 75.50
	ROUND TRIP TO PLANT (HR)		1.25	1.25	1.25	1.25		1.25	1.25	1.25	1.25	1.25	1.25	1.25		1.25	1.25
	TOTAL COST (25-TONS)		No Bid	No Bid	No Bid	No Bid		No Bid	\$ 817.18	\$ 817.18	No Bid	No Bid	\$ 942.18	No Bid		\$ 1,192.18	\$ 1,192.18

Vulcan - BLAKELEY		CRUSHED GRAVEL	ALDOT #467	ALDOT #5	ALDOT #57	ALDOT #78	CRUSHED LIMESTONE	ALDOT #467	ALDOT #4	ALDOT #57	ALDOT #67	ALDOT #78	ALDOT #89	ALDOT #5	CRUSHED RIP- RAP & GABION	ALDOT CLASS 1	ALDOT CLASS 2
	TON		No Bid	No Bid	No Bid	No Bid		No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid		No Bid	No Bid
	LABOR COST (HR)		\$ 18.24	\$ 18.24	\$ 18.24	\$ 18.24		\$ 18.24	\$ 18.24	\$ 18.24	\$ 18.24	\$ 18.24	\$ 18.24	\$ 18.24		\$ 18.24	\$ 18.24
	EQUIPMENT COST (HR)		\$ 75.50	\$ 75.50	\$ 75.50	\$ 75.50		\$ 75.50	\$ 75.50	\$ 75.50	\$ 75.50	\$ 75.50	\$ 75.50	\$ 75.50		\$ 75.50	\$ 75.50
	ROUND TRIP TO PLANT (HR)		1.25	1.25	1.25	1.25		1.25	1.25	1.25	1.25	1.25	1.25	1.25		1.25	1.25
	TOTAL COST (10-TONS)		No Bid	No Bid	No Bid	No Bid		No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid		No Bid	No Bid

CRUSHED AGGREGATES BID WG20-06

AREA 200

Total Pick-Up Cost Calculations

AREA 200 (2 of 2)

C.R. Pate Logging, Inc. ANDALUSIA		CRUSHED RIP- RAP & GABION	ALDOT CLASS 3	ALDOT 3X6 GABION STONE	CRUSHED CONCRETE PROD.	2" X 0 BASE	6" x 2" COBBLES	RUBBLE RIP-RAP	CRUSHED LIMESTONE BASE	825-A	825-B	2" MINUS SCREENED LIMESTONE	2" MINUS	WHITE SAND - WASHED
	TON		\$ 26.00	No Bid		No Bid	No Bid	No Bid		No Bid	\$ 14.00		\$ 6.00	No Bid
	LABOR COST (HR)		\$ 18.24	\$ 18.24		\$ 18.24	\$ 18.24	\$ 18.24		\$ 18.24	\$ 18.24		\$ 18.24	\$ 18.24
	EQUIPMENT COST (HR)		\$ 75.50	\$ 75.50		\$ 75.50	\$ 75.50	\$ 75.50		\$ 75.50	\$ 75.50		\$ 75.50	\$ 75.50
	ROUND TRIP TO PLANT (HR)		4.00	4.00		4.00	4.00	4.00		4.00	4.00		4.00	4.00
	TOTAL COST (25-TONS)		\$ 1,024.96	No Bid		No Bid	No Bid	No Bid		No Bid	\$ 724.96		\$ 524.96	No Bid

Graestone - MOBILE		CRUSHED RIP- RAP & GABION	ALDOT CLASS 3	ALDOT 3X6 GABION STONE	CRUSHED CONCRETE PROD.	2" X 0 BASE	6" x 2" COBBLES	RUBBLE RIP-RAP	CRUSHED LIMESTONE BASE	825-A	825-B	2" MINUS SCREENED LIMESTONE	2" MINUS	WHITE SAND - WASHED
	TON		No Bid	No Bid		No Bid	No Bid	No Bid		\$ 25.00	\$ 25.00		No Bid	No Bid
	LABOR COST (HR)		\$ 18.24	\$ 18.24		\$ 18.24	\$ 18.24	\$ 18.24		\$ 18.24	\$ 18.24		\$ 18.24	\$ 18.24
	EQUIPMENT COST (HR)		\$ 75.50	\$ 75.50		\$ 75.50	\$ 75.50	\$ 75.50		\$ 75.50	\$ 75.50		\$ 75.50	\$ 75.50
	ROUND TRIP TO PLANT (HR)		1.25	1.25		1.25	1.25	1.25		1.25	1.25		1.25	1.25
	TOTAL COST (25-TONS)		No Bid	No Bid		No Bid	No Bid	No Bid		\$ 742.18	\$ 742.18		No Bid	No Bid

Martin Marietta - SARALAND		CRUSHED RIP- RAP & GABION	ALDOT CLASS 3	ALDOT 3X6 GABION STONE	CRUSHED CONCRETE PROD.	2" X 0 BASE	6" x 2" COBBLES	RUBBLE RIP-RAP	CRUSHED LIMESTONE BASE	825-A	825-B	2" MINUS SCREENED LIMESTONE	2" MINUS	WHITE SAND - WASHED
	TON		No Bid	\$ 48.00		No Bid	No Bid	No Bid		\$ 32.00	\$ 32.00		No Bid	No Bid
	LABOR COST (HR)		\$ 18.24	\$ 18.24		\$ 18.24	\$ 18.24	\$ 18.24		\$ 18.24	\$ 18.24		\$ 18.24	\$ 18.24
	EQUIPMENT COST (HR)		\$ 75.50	\$ 75.50		\$ 75.50	\$ 75.50	\$ 75.50		\$ 75.50	\$ 75.50		\$ 75.50	\$ 75.50
	ROUND TRIP TO PLANT (HR)		1.50	1.50		1.50	1.50	1.50		1.50	1.50		1.50	1.50
	TOTAL COST (25-TONS)		No Bid	\$ 1,340.61		No Bid	No Bid	No Bid		\$ 940.61	\$ 940.61		No Bid	No Bid

Martin Marietta - THEODORE		CRUSHED RIP- RAP & GABION	ALDOT CLASS 3	ALDOT 3X6 GABION STONE	CRUSHED CONCRETE PROD.	2" X 0 BASE	6" x 2" COBBLES	RUBBLE RIP-RAP	CRUSHED LIMESTONE BASE	825-A	825-B	2" MINUS SCREENED LIMESTONE	2" MINUS	WHITE SAND - WASHED
	TON		No Bid	No Bid		No Bid	No Bid	No Bid		No Bid	25.50		No Bid	No Bid
	LABOR COST (HR)		\$ 18.24	\$ 18.24		\$ 18.24	\$ 18.24	\$ 18.24		\$ 18.24	\$ 18.24		\$ 18.24	\$ 18.24
	EQUIPMENT COST (HR)		\$ 75.50	\$ 75.50		\$ 75.50	\$ 75.50	\$ 75.50		\$ 75.50	\$ 75.50		\$ 75.50	\$ 75.50
	ROUND TRIP TO PLANT (HR)		2.00	2.00		2.00	2.00	2.00		2.00	2.00		2.00	2.00
	TOTAL COST (25-TONS)		No Bid	No Bid		No Bid	No Bid	No Bid		No Bid	\$ 824.98		No Bid	No Bid

Vulcan - BAY BRIDGE		CRUSHED RIP- RAP & GABION	ALDOT CLASS 3	ALDOT 3X6 GABION STONE	CRUSHED CONCRETE PROD.	2" X 0 BASE	6" x 2" COBBLES	RUBBLE RIP-RAP	CRUSHED LIMESTONE BASE	825-A	825-B	2" MINUS SCREENED LIMESTONE	2" MINUS	WHITE SAND - WASHED
	TON		\$ 46.00	\$ 43.00		No Bid	No Bid	No Bid		\$ 27.00	No Bid		No Bid	No Bid
	LABOR COST (HR)		\$ 18.24	\$ 18.24		\$ 18.24	\$ 18.24	\$ 18.24		\$ 18.24	\$ 18.24		\$ 18.24	\$ 18.24
	EQUIPMENT COST (HR)		\$ 75.50	\$ 75.50		\$ 75.50	\$ 75.50	\$ 75.50		\$ 75.50	\$ 75.50		\$ 75.50	\$ 75.50
	ROUND TRIP TO PLANT (HR)		1.25	1.25		1.25	1.25	1.25		1.25	1.25		1.25	1.25
	TOTAL COST (25-TONS)		\$ 1,267.18	\$ 1,192.18		No Bid	No Bid	No Bid		\$ 792.18	No Bid		No Bid	No Bid

Vulcan - BLAKELEY		CRUSHED RIP- RAP & GABION	ALDOT CLASS 3	ALDOT 3X6 GABION STONE	CRUSHED CONCRETE PROD.	2" X 0 BASE	6" x 2" COBBLES	RUBBLE RIP-RAP	CRUSHED LIMESTONE BASE	825-A	825-B	2" MINUS SCREENED LIMESTONE	2" MINUS	WHITE SAND - WASHED
	TON		No Bid	No Bid		No Bid	No Bid	No Bid		No Bid	23.90		19.00	No Bid
	LABOR COST (HR)		\$ 18.24	\$ 18.24		\$ 18.24	\$ 18.24	\$ 18.24		\$ 18.24	\$ 18.24		\$ 18.24	\$ 18.24
	EQUIPMENT COST (HR)		\$ 75.50	\$ 75.50		\$ 75.50	\$ 75.50	\$ 75.50		\$ 75.50	\$ 75.50		\$ 75.50	\$ 75.50
	ROUND TRIP TO PLANT (HR)		1.25	1.25		1.25	1.25	1.25		1.25	1.25		1.25	1.25
	TOTAL COST (10-TONS)		No Bid	No Bid		No Bid	No Bid	No Bid		No Bid	\$ 714.68		\$ 592.18	No Bid

CRUSHED AGGREGATES BID WG20-06

AREA 300

Total Pick-Up Cost Calculations

AREA 300 (1 of 2)

C.R. Pate Logging, Inc. ANDALUSIA		CRUSHED GRAVEL	ALDOT #467	ALDOT #5	ALDOT #57	ALDOT #78	CRUSHED LIMESTONE	ALDOT #467	ALDOT #4	ALDOT #57	ALDOT #67	ALDOT #78	ALDOT #89	ALDOT #5	CRUSHED RIP- RAP & GABION	ALDOT CLASS 1	ALDOT CLASS 2
	TON		No Bid	No Bid	No Bid	No Bid		No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	\$ 14.00		\$ 26.00	\$ 26.00
	LABOR COST (HR)		\$ 18.24	\$ 18.24	\$ 18.24	\$ 18.24		\$ 18.24	\$ 18.24	\$ 18.24	\$ 18.24	\$ 18.24	\$ 18.24	\$ 18.24		\$ 18.24	\$ 18.24
	EQUIPMENT COST (HR)		\$ 75.50	\$ 75.50	\$ 75.50	\$ 75.50		\$ 75.50	\$ 75.50	\$ 75.50	\$ 75.50	\$ 75.50	\$ 75.50	\$ 75.50		\$ 75.50	\$ 75.50
	ROUND TRIP TO PLANT (HR)		4.25	4.25	4.25	4.25		4.25	4.25	4.25	4.25	4.25	4.25	4.25		4.25	4.25
	TOTAL COST (25-TONS)		No Bid	No Bid	No Bid	No Bid		No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	\$ 748.40		\$ 1,048.40	\$ 1,048.40

Graestone - MOBILE		CRUSHED GRAVEL	ALDOT #467	ALDOT #5	ALDOT #57	ALDOT #78	CRUSHED LIMESTONE	ALDOT #467	ALDOT #4	ALDOT #57	ALDOT #67	ALDOT #78	ALDOT #89	ALDOT #5	CRUSHED RIP- RAP & GABION	ALDOT CLASS 1	ALDOT CLASS 2
	TON		No Bid	No Bid	No Bid	No Bid		No Bid	\$ 26.99	\$ 26.99	No Bid	No Bid	No Bid	No Bid		\$ 42.00	\$ 42.00
	LABOR COST (HR)		\$ 18.24	\$ 18.24	\$ 18.24	\$ 18.24		\$ 18.24	\$ 18.24	\$ 18.24	\$ 18.24	\$ 18.24	\$ 18.24	\$ 18.24		\$ 18.24	\$ 18.24
	EQUIPMENT COST (HR)		\$ 75.50	\$ 75.50	\$ 75.50	\$ 75.50		\$ 75.50	\$ 75.50	\$ 75.50	\$ 75.50	\$ 75.50	\$ 75.50	\$ 75.50		\$ 75.50	\$ 75.50
	ROUND TRIP TO PLANT (HR)		2.00	2.00	2.00	2.00		2.00	2.00	2.00	2.00	2.00	2.00	2.00		2.00	2.00
	TOTAL COST (25-TONS)		No Bid	No Bid	No Bid	No Bid		No Bid	\$ 862.23	\$ 862.23	No Bid	No Bid	No Bid	No Bid		\$ 1,237.48	\$ 1,237.48

Martin Marietta - SARALAND		CRUSHED GRAVEL	ALDOT #467	ALDOT #5	ALDOT #57	ALDOT #78	CRUSHED LIMESTONE	ALDOT #467	ALDOT #4	ALDOT #57	ALDOT #67	ALDOT #78	ALDOT #89	ALDOT #5	CRUSHED RIP- RAP & GABION	ALDOT CLASS 1	ALDOT CLASS 2
	TON		No Bid	No Bid	No Bid	No Bid		No Bid	\$ 32.00	\$ 32.00	\$ 32.00	\$ 32.00	\$ 32.00	No Bid		\$ 48.00	\$ 48.00
	LABOR COST (HR)		\$ 18.24	\$ 18.24	\$ 18.24	\$ 18.24		\$ 18.24	\$ 18.24	\$ 18.24	\$ 18.24	\$ 18.24	\$ 18.24	\$ 18.24		\$ 18.24	\$ 18.24
	EQUIPMENT COST (HR)		\$ 75.50	\$ 75.50	\$ 75.50	\$ 75.50		\$ 75.50	\$ 75.50	\$ 75.50	\$ 75.50	\$ 75.50	\$ 75.50	\$ 75.50		\$ 75.50	\$ 75.50
	ROUND TRIP TO PLANT (HR)		2.00	2.00	2.00	2.00		2.00	2.00	2.00	2.00	2.00	2.00	2.00		2.00	2.00
	TOTAL COST (25-TONS)		No Bid	No Bid	No Bid	No Bid		No Bid	\$ 987.48	\$ 987.48	\$ 987.48	\$ 987.48	\$ 987.48	No Bid		\$ 1,387.48	\$ 1,387.48

Martin Marietta - THEODORE		CRUSHED GRAVEL	ALDOT #467	ALDOT #5	ALDOT #57	ALDOT #78	CRUSHED LIMESTONE	ALDOT #467	ALDOT #4	ALDOT #57	ALDOT #67	ALDOT #78	ALDOT #89	ALDOT #5	CRUSHED RIP- RAP & GABION	ALDOT CLASS 1	ALDOT CLASS 2
	TON		No Bid	No Bid	No Bid	No Bid		No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid		No Bid	No Bid
	LABOR COST (HR)		\$ 18.24	\$ 18.24	\$ 18.24	\$ 18.24		\$ 18.24	\$ 18.24	\$ 18.24	\$ 18.24	\$ 18.24	\$ 18.24	\$ 18.24		\$ 18.24	\$ 18.24
	EQUIPMENT COST (HR)		\$ 75.50	\$ 75.50	\$ 75.50	\$ 75.50		\$ 75.50	\$ 75.50	\$ 75.50	\$ 75.50	\$ 75.50	\$ 75.50	\$ 75.50		\$ 75.50	\$ 75.50
	ROUND TRIP TO PLANT (HR)		2.25	2.25	2.25	2.25		2.25	2.25	2.25	2.25	2.25	2.25	2.25		2.25	2.25
	TOTAL COST (25-TONS)		No Bid	No Bid	No Bid	No Bid		No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid		No Bid	No Bid

Vulcan - BAY BRIDGE		CRUSHED GRAVEL	ALDOT #467	ALDOT #5	ALDOT #57	ALDOT #78	CRUSHED LIMESTONE	ALDOT #467	ALDOT #4	ALDOT #57	ALDOT #67	ALDOT #78	ALDOT #89	ALDOT #5	CRUSHED RIP- RAP & GABION	ALDOT CLASS 1	ALDOT CLASS 2
	TON		No Bid	No Bid	No Bid	No Bid		No Bid	\$ 28.00	\$ 28.00	No Bid	No Bid	\$ 33.00	No Bid		\$ 43.00	\$ 43.00
	LABOR COST (HR)		\$ 18.24	\$ 18.24	\$ 18.24	\$ 18.24		\$ 18.24	\$ 18.24	\$ 18.24	\$ 18.24	\$ 18.24	\$ 18.24	\$ 18.24		\$ 18.24	\$ 18.24
	EQUIPMENT COST (HR)		\$ 75.50	\$ 75.50	\$ 75.50	\$ 75.50		\$ 75.50	\$ 75.50	\$ 75.50	\$ 75.50	\$ 75.50	\$ 75.50	\$ 75.50		\$ 75.50	\$ 75.50
	ROUND TRIP TO PLANT (HR)		2.00	2.00	2.00	2.00		2.00	2.00	2.00	2.00	2.00	2.00	2.00		2.00	2.00
	TOTAL COST (25-TONS)		No Bid	No Bid	No Bid	No Bid		No Bid	\$ 887.48	\$ 887.48	No Bid	No Bid	\$ 1,012.48	No Bid		\$ 1,262.48	\$ 1,262.48

Vulcan - BLAKELEY		CRUSHED GRAVEL	ALDOT #467	ALDOT #5	ALDOT #57	ALDOT #78	CRUSHED LIMESTONE	ALDOT #467	ALDOT #4	ALDOT #57	ALDOT #67	ALDOT #78	ALDOT #89	ALDOT #5	CRUSHED RIP- RAP & GABION	ALDOT CLASS 1	ALDOT CLASS 2
	TON		No Bid	No Bid	No Bid	No Bid		No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid		No Bid	No Bid
	LABOR COST (HR)		\$ 18.24	\$ 18.24	\$ 18.24	\$ 18.24		\$ 18.24	\$ 18.24	\$ 18.24	\$ 18.24	\$ 18.24	\$ 18.24	\$ 18.24		\$ 18.24	\$ 18.24
	EQUIPMENT COST (HR)		\$ 75.50	\$ 75.50	\$ 75.50	\$ 75.50		\$ 75.50	\$ 75.50	\$ 75.50	\$ 75.50	\$ 75.50	\$ 75.50	\$ 75.50		\$ 75.50	\$ 75.50
	ROUND TRIP TO PLANT (HR)		2.00	2.00	2.00	2.00		2.00	2.00	2.00	2.00	2.00	2.00	2.00		2.00	2.00
	TOTAL COST (10-TONS)		No Bid	No Bid	No Bid	No Bid		No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid		No Bid	No Bid

CRUSHED AGGREGATES BID WG20-06

AREA 300

Total Pick-Up Cost Calculations

AREA 300 (2 of 2)

C.R. Pate Logging, Inc. ANDALUSIA		CRUSHED RIP- RAP & GABION	ALDOT CLASS 3	ALDOT 3X6 GABION STONE	CRUSHED CONCRETE PROD.	2" X 0 BASE	6" x 2" COBBLES	RUBBLE RIP-RAP	CRUSHED LIMESTONE BASE	825-A	825-B	2" MINUS SCREENED LIMESTONE	2" MINUS	WHITE SAND - WASHED
	TON		\$ 26.00	No Bid		No Bid	No Bid	No Bid		No Bid	\$ 14.00		\$ 6.00	No Bid
	LABOR COST (HR)		\$ 18.24	\$ 18.24		\$ 18.24	\$ 18.24	\$ 18.24		\$ 18.24	\$ 18.24		\$ 18.24	\$ 18.24
	EQUIPMENT COST (HR)		\$ 75.50	\$ 75.50		\$ 75.50	\$ 75.50	\$ 75.50		\$ 75.50	\$ 75.50		\$ 75.50	\$ 75.50
	ROUND TRIP TO PLANT (HR)		4.25	4.25		4.25	4.25	4.25		4.25	4.25		4.25	4.25
	TOTAL COST (25-TONS)		\$ 1,048.40	No Bid		No Bid	No Bid	No Bid		No Bid	\$ 748.40		\$ 548.40	No Bid

Graestone - MOBILE		CRUSHED RIP- RAP & GABION	ALDOT CLASS 3	ALDOT 3X6 GABION STONE	CRUSHED CONCRETE PROD.	2" X 0 BASE	6" x 2" COBBLES	RUBBLE RIP-RAP	CRUSHED LIMESTONE BASE	825-A	825-B	2" MINUS SCREENED LIMESTONE	2" MINUS	WHITE SAND - WASHED
	TON		No Bid	No Bid		No Bid	No Bid	No Bid		\$ 25.00	\$ 25.00		No Bid	No Bid
	LABOR COST (HR)		\$ 18.24	\$ 18.24		\$ 18.24	\$ 18.24	\$ 18.24		\$ 18.24	\$ 18.24		\$ 18.24	\$ 18.24
	EQUIPMENT COST (HR)		\$ 75.50	\$ 75.50		\$ 75.50	\$ 75.50	\$ 75.50		\$ 75.50	\$ 75.50		\$ 75.50	\$ 75.50
	ROUND TRIP TO PLANT (HR)		2.00	2.00		2.00	2.00	2.00		2.00	2.00		2.00	2.00
	TOTAL COST (25-TONS)		No Bid	No Bid		No Bid	No Bid	No Bid		\$ 812.48	\$ 812.48		No Bid	No Bid

Martin Marietta - SARALAND		CRUSHED RIP- RAP & GABION	ALDOT CLASS 3	ALDOT 3X6 GABION STONE	CRUSHED CONCRETE PROD.	2" X 0 BASE	6" x 2" COBBLES	RUBBLE RIP-RAP	CRUSHED LIMESTONE BASE	825-A	825-B	2" MINUS SCREENED LIMESTONE	2" MINUS	WHITE SAND - WASHED
	TON		No Bid	\$ 48.00		No Bid	No Bid	No Bid		\$ 32.00	\$ 32.00		No Bid	No Bid
	LABOR COST (HR)		\$ 18.24	\$ 18.24		\$ 18.24	\$ 18.24	\$ 18.24		\$ 18.24	\$ 18.24		\$ 18.24	\$ 18.24
	EQUIPMENT COST (HR)		\$ 75.50	\$ 75.50		\$ 75.50	\$ 75.50	\$ 75.50		\$ 75.50	\$ 75.50		\$ 75.50	\$ 75.50
	ROUND TRIP TO PLANT (HR)		2.00	2.00		2.00	2.00	2.00		2.00	2.00		2.00	2.00
	TOTAL COST (25-TONS)		No Bid	No Bid		No Bid	No Bid	No Bid		\$ 987.48	\$ 987.48		No Bid	No Bid

Martin Marietta - THEODORE		CRUSHED RIP- RAP & GABION	ALDOT CLASS 3	ALDOT 3X6 GABION STONE	CRUSHED CONCRETE PROD.	2" X 0 BASE	6" x 2" COBBLES	RUBBLE RIP-RAP	CRUSHED LIMESTONE BASE	825-A	825-B	2" MINUS SCREENED LIMESTONE	2" MINUS	WHITE SAND - WASHED
	TON		No Bid	No Bid		No Bid	No Bid	No Bid		No Bid	\$ 25.50		No Bid	No Bid
	LABOR COST (HR)		\$ 18.24	\$ 18.24		\$ 18.24	\$ 18.24	\$ 18.24		\$ 18.24	\$ 18.24		\$ 18.24	\$ 18.24
	EQUIPMENT COST (HR)		\$ 75.50	\$ 75.50		\$ 75.50	\$ 75.50	\$ 75.50		\$ 75.50	\$ 75.50		\$ 75.50	\$ 75.50
	ROUND TRIP TO PLANT (HR)		2.25	2.25		2.25	2.25	2.25		2.25	2.25		2.25	2.25
	TOTAL COST (25-TONS)		No Bid	No Bid		No Bid	No Bid	No Bid		No Bid	\$ 848.42		No Bid	No Bid

Vulcan - BAY BRIDGE		CRUSHED RIP- RAP & GABION	ALDOT CLASS 3	ALDOT 3X6 GABION STONE	CRUSHED CONCRETE PROD.	2" X 0 BASE	6" x 2" COBBLES	RUBBLE RIP-RAP	CRUSHED LIMESTONE BASE	825-A	825-B	2" MINUS SCREENED LIMESTONE	2" MINUS	WHITE SAND - WASHED
	TON		\$ 46.00	\$ 43.00		No Bid	No Bid	No Bid		\$ 27.00	No Bid		No Bid	No Bid
	LABOR COST (HR)		\$ 18.24	\$ 18.24		\$ 18.24	\$ 18.24	\$ 18.24		\$ 18.24	\$ 18.24		\$ 18.24	\$ 18.24
	EQUIPMENT COST (HR)		\$ 75.50	\$ 75.50		\$ 75.50	\$ 75.50	\$ 75.50		\$ 75.50	\$ 75.50		\$ 75.50	\$ 75.50
	ROUND TRIP TO PLANT (HR)		2.00	2.00		2.00	2.00	2.00		2.00	2.00		2.00	2.00
	TOTAL COST (25-TONS)		\$ 1,337.48	\$ 1,262.48		No Bid	No Bid	No Bid		\$ 862.48	No Bid		No Bid	No Bid

Vulcan - BLAKELEY		CRUSHED RIP- RAP & GABION	ALDOT CLASS 3	ALDOT 3X6 GABION STONE	CRUSHED CONCRETE PROD.	2" X 0 BASE	6" x 2" COBBLES	RUBBLE RIP-RAP	CRUSHED LIMESTONE BASE	825-A	825-B	2" MINUS SCREENED LIMESTONE	2" MINUS	WHITE SAND - WASHED
	TON		No Bid	No Bid		No Bid	No Bid	No Bid		No Bid	\$ 23.90		\$ 19.00	No Bid
	LABOR COST (HR)		\$ 18.24	\$ 18.24		\$ 18.24	\$ 18.24	\$ 18.24		\$ 18.24	\$ 18.24		\$ 18.24	\$ 18.24
	EQUIPMENT COST (HR)		\$ 75.50	\$ 75.50		\$ 75.50	\$ 75.50	\$ 75.50		\$ 75.50	\$ 75.50		\$ 75.50	\$ 75.50
	ROUND TRIP TO PLANT (HR)		2.00	2.00		2.00	2.00	2.00		2.00	2.00		2.00	2.00
	TOTAL COST (10-TONS)		No Bid	No Bid		No Bid	No Bid	No Bid		No Bid	\$ 784.98		\$ 662.48	No Bid

CRUSHED AGGREGATES BID WG20-06
AREA 100
Awards Listing
Effective 01/07/2020 through 01/07/2021

	C.R. Pate Logging, Inc.		Graestone		Martin Marietta				Vulcan			
	PICK-UP	DELIVERY	PICK-UP	DELIVERY	PICK-UP	DELIVERY	PICK-UP	DELIVERY	PICK-UP	DELIVERY	PICK-UP	DELIVERY
Location	Andalusia, AL		Mobile, AL		Saraland, AL		Theodore, AL		Bay Bridge, Mobile		Blakeley, Mobile	
CRUSHED GRAVEL												
ALDOT #467	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid
ALDOT #5	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid
ALDOT #57	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid
ALDOT #78	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid
CRUSHED LIMESTONE												
ALDOT #467	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid
ALDOT #4	No Bid	No Bid	26.99	35.00	32.00	41.25	No Bid	No Bid	28.00	36.25	No Bid	No Bid
ALDOT #57	No Bid	No Bid	26.99	35.00	32.00	41.25	No Bid	No Bid	28.00	36.25	No Bid	No Bid
ALDOT #67	No Bid	No Bid	No Bid	No Bid	32.00	41.25	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid
ALDOT #78	No Bid	No Bid	No Bid	No Bid	32.00	41.25	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid
ALDOT #89	No Bid	No Bid	No Bid	No Bid	32.00	41.25	No Bid	No Bid	33.00	41.25	No Bid	No Bid
ALDOT #5	14.00	26.50	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid
CRUSHED RIP-RAP & GABION												
ALDOT CLASS 1	26.00	38.00	42.00	50.00	48.00	58.25	No Bid	No Bid	43.00	52.25	No Bid	No Bid
ALDOT CLASS 2	26.00	38.00	42.00	50.00	48.00	58.25	No Bid	No Bid	43.00	52.25	No Bid	No Bid
ALDOT CLASS 3	26.00	39.50	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	46.00	55.25	No Bid	No Bid
ALDOT 3X6 GABION STONE	No Bid	No Bid	No Bid	No Bid	48.00	58.25	No Bid	No Bid	43.00	52.25	No Bid	No Bid
CRUSHED CONCRETE PROD.												
2" X 0 BASE	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid
6" x 2" COBBLES	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid
RUBBLE RIP-RAP	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid
CRUSHED LIMESTONE BASE												
825-A	No Bid	No Bid	25.00	33.00	32.00	41.25	No Bid	No Bid	27.00	35.25	No Bid	No Bid
825-B	14.00	26.50	25.00	33.00	32.00	41.25	25.50	39.25	No Bid	No Bid	23.90	32.15
2" MINUS SCREENED LIMESTONE												
2" MINUS	6.00	18.00	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	19.00	27.25
WHITE SAND - WASHED	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid

Low Bid Price is highlighted in yellow

Location and transportation cost to the County was used in determining the prime bidder.

CRUSHED AGGREGATES BID WG20-06
AREA 200
Awards Listing
Effective 01/07/2020 through 01/07/2021

	C.R. Pate Logging, Inc.		Graestone		Martin Marietta				Vulcan			
	PICK-UP	DELIVERY	PICK-UP	DELIVERY	PICK-UP	DELIVERY	PICK-UP	DELIVERY	PICK-UP	DELIVERY	PICK-UP	DELIVERY
Location	Andalusia, AL		Mobile, AL		Saraland, AL		Theodore, AL		Bay Bridge, Mobile		Blakeley, Mobile	
CRUSHED GRAVEL												
ALDOT #467	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid
ALDOT #5	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid
ALDOT #57	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid
ALDOT #78	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid
CRUSHED LIMESTONE												
ALDOT #467	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid
ALDOT #4	No Bid	No Bid	26.99	37.00	32.00	40.50	No Bid	No Bid	28.00	35.25	No Bid	No Bid
ALDOT #57	No Bid	No Bid	26.99	37.00	32.00	40.50	No Bid	No Bid	28.00	35.25	No Bid	No Bid
ALDOT #67	No Bid	No Bid	No Bid	No Bid	32.00	40.50	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid
ALDOT #78	No Bid	No Bid	No Bid	No Bid	32.00	40.50	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid
ALDOT #89	No Bid	No Bid	No Bid	No Bid	32.00	40.50	No Bid	No Bid	33.00	40.25	No Bid	No Bid
ALDOT #5	14.00	29.50	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid
CRUSHED RIP-RAP & GABION												
ALDOT CLASS 1	26.00	40.00	42.00	52.00	48.00	57.50	No Bid	No Bid	43.00	51.25	No Bid	No Bid
ALDOT CLASS 2	26.00	40.00	42.00	52.00	48.00	57.50	No Bid	No Bid	43.00	51.25	No Bid	No Bid
ALDOT CLASS 3	26.00	42.00	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	46.00	54.25	No Bid	No Bid
ALDOT 3X6 GABION STONE	No Bid	No Bid	No Bid	No Bid	48.00	57.50	No Bid	No Bid	43.00	51.25	No Bid	No Bid
CRUSHED CONCRETE PROD.												
2" X 0 BASE	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid
6" X 2" COBBLES	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid
RUBBLE RIP-RAP	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid
CRUSHED LIMESTONE BASE												
825-A	No Bid	No Bid	25.00	35.00	32.00	40.50	No Bid	No Bid	27.00	34.25	No Bid	No Bid
825-B	14.00	28.00	25.00	35.00	32.00	40.75	25.50	36.25	No Bid	No Bid	23.90	31.15
2" MINUS SCREENED LIMESTONE												
2" MINUS	6.00	20.50	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	19.00	26.25
WHITE SAND - WASHED	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid

Low Bid Price is highlighted in yellow

Location and transportation cost to the County was used in determining the prime bidder.

CRUSHED AGGREGATES BID WG20-06
AREA 300
Awards Listing
Effective 01/07/2020 through 01/07/2021

	C.R. Pate Logging, Inc.		Graestone		Martin Marietta				Vulcan			
	PICK-UP	DELIVERY	PICK-UP	DELIVERY	PICK-UP	DELIVERY	PICK-UP	DELIVERY	PICK-UP	DELIVERY	PICK-UP	DELIVERY
Location	Andalusia, AL		Mobile, AL		Saraland, AL		Theodore, AL		Bay Bridge, Mobile		Blakeley, Mobile	
CRUSHED GRAVEL												
ALDOT #467	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid
ALDOT #5	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid
ALDOT #57	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid
ALDOT #78	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid
CRUSHED LIMESTONE												
ALDOT #467	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid
ALDOT #4	No Bid	No Bid	26.99	41.00	32.00	42.50	No Bid	No Bid	28.00	38.25	No Bid	No Bid
ALDOT #57	No Bid	No Bid	26.99	41.00	32.00	42.50	No Bid	No Bid	28.00	38.25	No Bid	No Bid
ALDOT #67	No Bid	No Bid	No Bid	No Bid	32.00	42.50	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid
ALDOT #78	No Bid	No Bid	No Bid	No Bid	32.00	42.50	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid
ALDOT #89	No Bid	No Bid	No Bid	No Bid	32.00	42.50	No Bid	No Bid	33.00	43.25	No Bid	No Bid
ALDOT #5	14.00	30.50	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid
CRUSHED RIP-RAP & GABION												
ALDOT CLASS 1	26.00	42.00	42.00	46.00	48.00	59.75	No Bid	No Bid	43.00	54.25	No Bid	No Bid
ALDOT CLASS 2	26.00	42.00	42.00	46.00	48.00	59.75	No Bid	No Bid	43.00	54.25	No Bid	No Bid
ALDOT CLASS 3	26.00	44.00	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	46.00	57.25	No Bid	No Bid
ALDOT 3X6 GABION STONE	No Bid	No Bid	No Bid	No Bid	48.00	59.75	No Bid	No Bid	43.00	54.25	No Bid	No Bid
CRUSHED CONCRETE PROD.												
2" X 0 BASE	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid
6" X 2" COBBLES	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid
RUBBLE RIP-RAP	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid
CRUSHED LIMESTONE BASE												
825-A	No Bid	No Bid	25.00	39.00	32.00	42.50	No Bid	No Bid	27.00	37.25	No Bid	No Bid
825-B	14.00	30.00	25.00	39.00	32.00	42.50	25.50	36.25	No Bid	No Bid	23.90	34.15
2" MINUS SCREENED LIMESTONE												
2" MINUS	6.00	22.50	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	19.00	29.25
WHITE SAND - WASHED	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid	No Bid

Low Bid Price is highlighted in yellow

Location and transportation cost to the County was used in determining the prime bidder.



Baldwin County Commission

Agenda Action Form

File #: 20-0478, **Version:** 1

Item #: BQ1

Meeting Type: BCC Regular Meeting

Meeting Date: 1/7/2020

Item Status: New

From: Joey Nunnally, County Engineer

Deidra Hanak, Personnel Director

Submitted by: Deidra Hanak, Personnel Director

ITEM TITLE

Highway Department (Construction Engineering) - Employment of One (1) Engineering Technician I Position

STAFF RECOMMENDATION

Approve the employment of Brian L. Stewart to fill the open Engineering Technician I (Construction Option) position (PID #865) grade H-03 (\$15.343 per hour / \$31,913.44 annually), with said salary due to experience, to be effective no sooner than January 13, 2020.

BACKGROUND INFORMATION

Previous Commission action/date: N/A

Background: The Engineering Technician I position was vacated in December 2019, by the promotion of the previous employee. The County Engineer respectfully requests that the above recommendation is approved.

FINANCIAL IMPACT

Total cost of recommendation: \$31,913.44 - budgeted

Budget line item(s) to be used: 53120.5113

If this is not a budgeted expenditure, does the recommendation create a need for funding?
N/A

LEGAL IMPACT

Is legal review necessary for this staff recommendation and related documents?

N/A

Reviewed/approved by: N/A

Additional comments: N/A

ADVERTISING REQUIREMENTS

Is advertising required for this recommendation? N/A

If the proof of publication affidavit is not attached, list the reason: N/A

FOLLOW UP IMPLEMENTATION

For time-sensitive follow up, select deadline date for follow up: N/A

Individual(s) responsible for follow up: Personnel - Implement Changes

Action required (list contact persons/addresses if documents are to be mailed or emailed):
N/A

Additional instructions/notes: N/A



Baldwin County Commission

Agenda Action Form

File #: 20-0477, **Version:** 1

Item #: BQ2

Meeting Type: BCC Regular Meeting
Meeting Date: 1/7/2020
Item Status: New
From: Joey Nunnally, County Engineer
Deidra Hanak, Personnel Director
Submitted by: Deidra Hanak, Personnel Director

ITEM TITLE

Highway Department (Foley) - Personnel Changes

STAFF RECOMMENDATION

Take the following actions:

- 1) Approve the employment of Leroy Jones to fill the open Laborer position (PID #5492) at a grade E-EL (\$10.781 per hour / \$22,424.48 annually); and
- 2) Approve the employment of Joseph Nelson to fill the open Laborer position (PID #5494) at a grade E-EL (\$10.781 per hour / \$22,424.48 annually); and
- 3) Approve the employment of Brandon Pierce to fill the open Laborer position (PID #5495) at a grade E-EL (\$10.781 per hour / \$22,424.48 annually).

These actions will be effective no sooner than January 13, 2020.

BACKGROUND INFORMATION

Previous Commission action/date: N/A

Background: The Laborer positions were newly created during the Fiscal Year 2019/2020 Budget Cycle. The County Engineer respectfully requests that the above recommendations are approved.

FINANCIAL IMPACT

Total cost of recommendation: \$67,273.44 - budgeted

Budget line item(s) to be used: 53113.5113

If this is not a budgeted expenditure, does the recommendation create a need for funding? N/A

LEGAL IMPACT

Is legal review necessary for this staff recommendation and related documents?

N/A

Reviewed/approved by: N/A

Additional comments: N/A

ADVERTISING REQUIREMENTS

Is advertising required for this recommendation? N/A

If the proof of publication affidavit is not attached, list the reason: N/A

FOLLOW UP IMPLEMENTATION

For time-sensitive follow up, select deadline date for follow up: N/A

Individual(s) responsible for follow up: Personnel - Implement Changes

Action required (list contact persons/addresses if documents are to be mailed or emailed): N/A

Additional instructions/notes: N/A



Baldwin County Commission

Agenda Action Form

File #: 20-0479, **Version:** 1

Item #: BQ3

Meeting Type: BCC Regular Meeting
Meeting Date: 1/7/2020
Item Status: New
From: Ron Ballard, JDC Director
Deidra Hanak, Personnel Director
Submitted by: Deidra Hanak, Personnel Director

ITEM TITLE

Juvenile Detention Center - Employment of One (1) Part-Time Detention Technician Position

STAFF RECOMMENDATION

Approve the employment of Hakizimana Sedrick to fill the part-time Detention Technician position (PID #PT42) at a grade H-EL (\$14.246 per hour) to be effective no sooner than January 13, 2020.

BACKGROUND INFORMATION

Previous Commission action/date: N/A

Background: The part-time Detention Technician position was vacated in November 2019, due to the transfer of the previous employee. The Juvenile Detention Center Director respectfully requests that the above recommendation is approved.

FINANCIAL IMPACT

Total cost of recommendation: \$21,482.97 - budgeted

Budget line item(s) to be used: 52610.5113

If this is not a budgeted expenditure, does the recommendation create a need for funding? N/A

LEGAL IMPACT

Is legal review necessary for this staff recommendation and related documents?
N/A

Reviewed/approved by: N/A

Additional comments: N/A

ADVERTISING REQUIREMENTS

Is advertising required for this recommendation? N/A

If the proof of publication affidavit is not attached, list the reason: N/A

FOLLOW UP IMPLEMENTATION

For time-sensitive follow up, select deadline date for follow up: N/A

Individual(s) responsible for follow up: Personnel - Implement Changes

Action required (list contact persons/addresses if documents are to be mailed or emailed): N/A

Additional instructions/notes: N/A



Baldwin County Commission

Agenda Action Form

File #: 20-0480, **Version:** 1

Item #: BQ4

Meeting Type: BCC Regular Meeting

Meeting Date: 1/7/2020

Item Status: New

From: Wayne Dyess, County Administrator

Madison Steele, Horticulturist

Deidra Hanak, Personnel Director

Submitted by: Deidra Hanak, Personnel Director

ITEM TITLE

Parks Department - Promotion of Employee into Mechanic I Position

STAFF RECOMMENDATION

Approve the promotion of David Bradford from the Landscape Technician I position (PID #4042) grade G-EL (\$12.967 per hour / \$26,971.36 annually) to fill the open Mechanic I position (PID #5524) at a grade H-EL (\$14.246 per hour / \$29,631.68 annually) to be effective no sooner than January 20, 2020.

BACKGROUND INFORMATION

Previous Commission action/date: N/A

Background: The Mechanic I position was newly created in November 2019. The County Administrator respectfully requests that the above recommendation is approved.

FINANCIAL IMPACT

Total cost of recommendation: \$29,631.68 - budgeted

Budget line item(s) to be used: 57200P/5113

If this is not a budgeted expenditure, does the recommendation create a need for funding?
N/A

LEGAL IMPACT

Is legal review necessary for this staff recommendation and related documents?

N/A

Reviewed/approved by: N/A

Additional comments: N/A

ADVERTISING REQUIREMENTS

Is advertising required for this recommendation? N/A

If the proof of publication affidavit is not attached, list the reason: N/A

FOLLOW UP IMPLEMENTATION

For time-sensitive follow up, select deadline date for follow up: N/A

Individual(s) responsible for follow up: Personnel - Implement Changes

Action required (list contact persons/addresses if documents are to be mailed or emailed):
N/A

Additional instructions/notes: N/A



Baldwin County Commission

Agenda Action Form

File #: 20-0481, **Version:** 1

Item #: BQ5

Meeting Type: BCC Regular Meeting

Meeting Date: 1/7/2020

Item Status: New

From: Honorable Harry D'Olive, Probate Judge

Deidra Hanak, Personnel Director

Submitted by: Deidra Hanak, Personnel Director

ITEM TITLE

Probate Office - Position Changes

STAFF RECOMMENDATION

Take the following actions:

- 1) Retitle the Deputy Chief Clerk position (PID #1094) to Chief Compliance Officer; and
- 2) Abolish the License Revenue Officer II position (PID #797) grade H (grade H range: \$29,631.68 - \$48,642.88 annually); and
- 3) Create a Recording Officer position (PID #TBD) grade G (grade G range: \$26,971.36 - \$44,231.20 annually); and
- 4) Approve the position description for Chief Compliance Officer; and
- 5) Approve the updated organizational chart for the Probate Office.

BACKGROUND INFORMATION

Previous Commission action/date: N/A

Background: The License Revenue Officer II position was vacated in December 2019, due to the retirement of the previous employee. The Probate Judge respectfully requests that the above recommendations are approved.

FINANCIAL IMPACT

Total cost of recommendation: \$11,918.40 savings (budgeted)

Budget line item(s) to be used: 51300.5113

If this is not a budgeted expenditure, does the recommendation create a need for funding?
N/A

LEGAL IMPACT

Is legal review necessary for this staff recommendation and related documents?
N/A

Reviewed/approved by: N/A

Additional comments: N/A

ADVERTISING REQUIREMENTS

Is advertising required for this recommendation? N/A

If the proof of publication affidavit is not attached, list the reason: N/A

FOLLOW UP IMPLEMENTATION

For time-sensitive follow up, select deadline date for follow up: N/A

Individual(s) responsible for follow up: Personnel - Implement Changes

Action required (list contact persons/addresses if documents are to be mailed or emailed):
N/A

Additional instructions/notes: N/A

POSITION DESCRIPTION

Title: Chief Compliance Officer

Departments: Probate

Job Analysis: January 2020

Note: Statements included in this description are intended to reflect in general the duties and responsibilities of this classification and are not to be interpreted as being all inclusive. The employee may be assigned other duties that are not specifically included.

Relationships

Reports to: Probate Judge

Subordinate Staff: None

Internal contacts: Members of Probate Office

External Contacts: General Public, Members of other County office, Attorneys, Members of law Enforcement offices, Members of State Department of Revenue and other offices.

Status: Classified/Exempt (EC-9)

Job Summary

Prepares and maintains records for Probate Office and Probate Court. Prepares reports and correspondence. Utilizes a computer for research of state laws and accesses records in records room as needed. Performs various other tasks as required.

Job Domains

1. Perform all accounting functions for the Probate offices, including the preparation of reports for daily, monthly and annual work.
2. Assist with interviewing and selection of new staff members.
3. Assist in explaining department policies and regulations to office staff as directed by the Probate Judge.
4. Maintain communication with office staff as needed.
5. All jobs assigned by the Probate Judge.

Knowledge, Skills, and Abilities

(Any item with an asterisk will be taught on the job.)

1. Verbal skills to communicate effectively with office staff, attorneys and general public.

2. Math skills to perform basic mathematical operations.
3. Writing skills to clearly and neatly complete forms, reports, etc.
4. Reading skills to read and understand codes, regulations and policies.
5. *Knowledge of county rules, policies and regulations.
6. Ability to establish and maintain effective working relationships with subordinates, supervisors, other employees, general public.
7. Ability to give clear and concise instructions to the staff.
8. Ability to supervise an office staff.
9. Ability to exercise good judgment in making decisions in accordance with department policies and procedures.
10. Ability to operate office machines such as copy machine, calculator, typewriter, P. C. and laser printers.
11. Knowledge of filing systems.
12. Knowledge of Driver License Manual, Code of Alabama, Acts of Legislature.

Physical Characteristics

(In cases of physical handicap, reasonable accommodations will be made.)

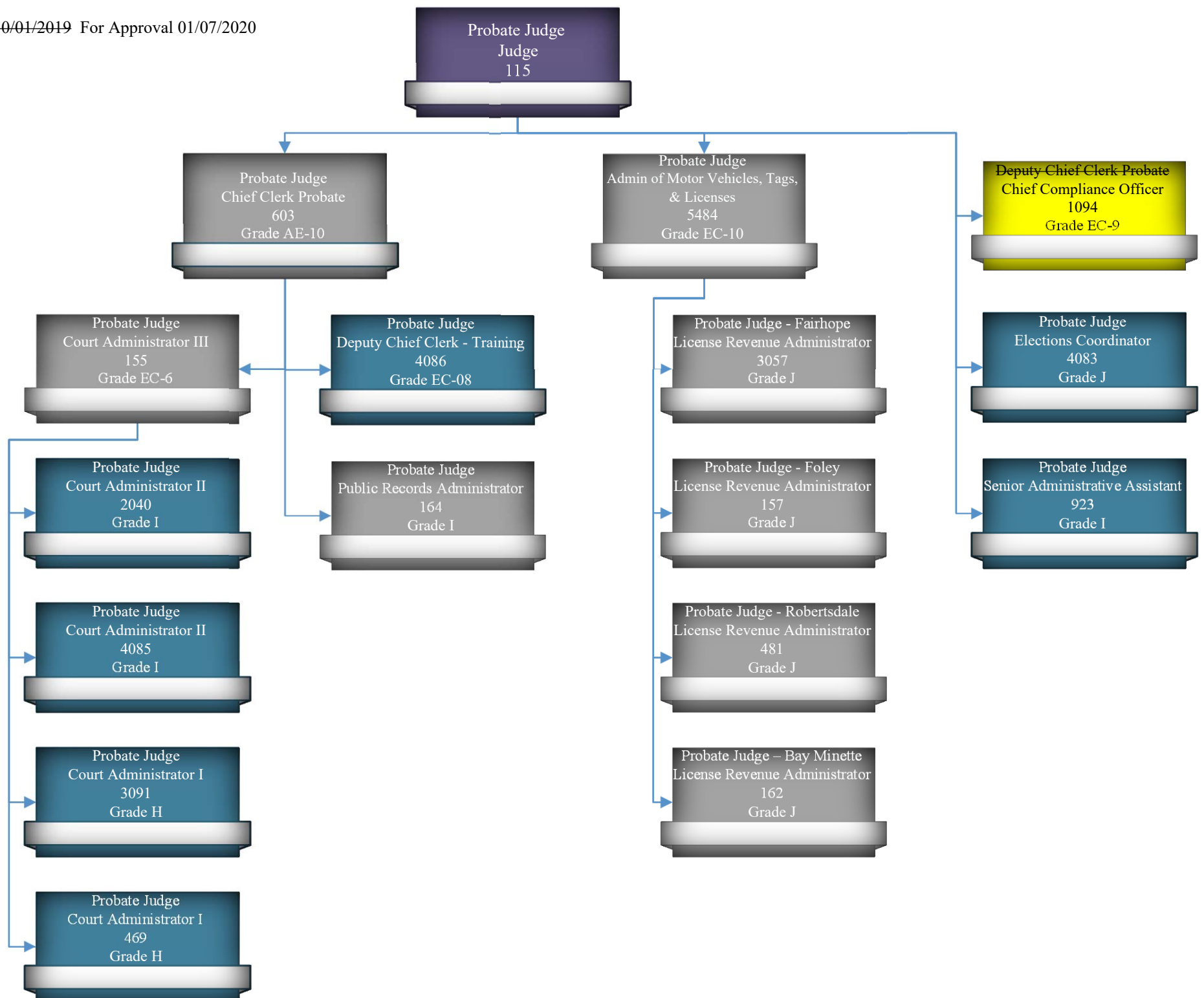
1. See well enough to read manuals and written instructions.
2. Hear well enough to respond to verbal communication and to talk on the telephone.
3. Speak well enough to answer questions in a polite and courteous manner.
4. Body movement or mobility to stand, lift, bend and move.
5. Physical tolerance to work under stress due to constant contact with the public.

Other Characteristics

1. Be willing to travel to attend workshops, conferences, seminars, etc., out of town as needed.
2. Be willing to work overtime, non-standard hours or weekends to complete work within specific time period.

Minimum Qualifications

1. Bachelor's Degree in Accounting or closely related field.
2. Minimum of ten (10) years related experience is desirable.







Baldwin County Commission

Agenda Action Form

File #: 20-0476, **Version:** 1

Item #: BQ6

Meeting Type: BCC Regular Meeting

Meeting Date: 1/7/2020

Item Status: New

From: Terri Graham, Development and Environmental Director
Deidra Hanak, Personnel Director

Submitted by: Deidra Hanak, Personnel Director

ITEM TITLE

Solid Waste Department - Personnel Changes

STAFF RECOMMENDATION

Take the following actions:

- 1) Approve the promotion of Ross Presley from the Landfill Equipment Operator II position (PID #686) grade H-07 (\$16.930 per hour / \$35,214.40 annually) to fill the open Landfill Equipment Operator III position (PID #375) grade I-06 (\$18.133 per hour / \$37,716.64 annually) at the Solid Waste Transfer Station (54325) to be effective no sooner than January 20, 2020; and
- 2) Approve the promotion of Scott Conroy from the Solid Waste Driver I position (PID #5442) grade H-EL (\$14.246 per hour / \$29,631.68 annually) in Solid Waste Collections (511/54800) to fill the open Mechanic II position (PID #5272) grade J-EL (\$17.176 per hour / \$35,726.08 annually) in Solid Waste Maintenance (510/54370) to be effective no sooner than January 20, 2020; and
- 3) Approve the promotion of Anthony Nobles from the Solid Waste Driver I position (PID #5135) grade H-06 (\$16.503 per hour / \$34,326.24 annually) to fill the open Solid Waste Driver II position (PID #5394) grade I-05 (\$17.697 per hour / \$36,809.76 annually) in Solid Waste Collections (54800) to be effective no sooner than January 20, 2020; and
- 4) Approve the promotion of Christopher Rodriguez from the Solid Waste Driver I position (PID #5132) grade H-13 (\$19.649 per hour / \$40,869.92 annually) to fill the open Solid Waste Driver II position (PID #5396) grade I-12 (\$21.007 per hour / \$43,694.56 annually) in Solid Waste Collections (54800) to be effective no sooner than January 20, 2020; and
- 5) Approve the promotion of Trevor Thomas from the Solid Waste Technician position (PID #5244) grade G-EL (\$12.967 per hour / \$26,971.36 annually) to fill the open Solid Waste Driver I position (PID #5145) grade H-EL (\$14.246 per hour / \$29,631.68 annually) in Solid Waste Collections (54800) to be effective no sooner than January 20, 2020; and

6) Approve the promotion of G. Dylan Blair from the Solid Waste Technician position (PID #868) grade G-EL (\$12.967 per hour / \$26,971.36 annually) to fill the open Solid Waste Driver I position (PID #5522) grade H-EL (\$14.246 per hour / \$29,631.68 annually) in Solid Waste Collections (54800) to be effective no sooner than January 20, 2020; and

7) Approve the promotion of Sean Boyington from the Solid Waste Technician position (PID #5239) grade G-01 (\$13.287 per hour / \$27,636.96 annually) to fill the open Solid Waste Driver I position (PID #5442) grade H-EL (\$14.246 per hour / \$29,631.68 annually) in Solid Waste Collections (54800) to be effective no sooner than January 20, 2020; and

8) Approve the employment of Hannah Younce to fill the open Billing Account Specialist I position (PID #598) at a grade G-EL (\$12.967 per hour / \$26,971.36 annually) in the Solid Waste Collections Administration Department (54801) to be effective no sooner than January 13, 2020; and

9) Approve the employment of Megan Biggs to fill the open Billing Account Specialist I position (PID #5509) at a grade G-EL (\$12.967 per hour / \$26,971.36 annually) in the Solid Waste Collections Administration Department (54801) to be effective no sooner than January 13, 2020.

BACKGROUND INFORMATION

Previous Commission action/date: N/A

Background: These positions were newly created during the Fiscal Year 2019/2020 Budget Cycle or vacated in November 2019, due to the promotion of the previous employees. The Development and Environmental Director respectfully requests that the above recommendations are approved.

FINANCIAL IMPACT

Total cost of recommendation: \$296,784.80 - budgeted

Budget line item(s) to be used: 54800.5113, 54325.5113, 54370.5113

If this is not a budgeted expenditure, does the recommendation create a need for funding?
N/A

LEGAL IMPACT

Is legal review necessary for this staff recommendation and related documents?
N/A

Reviewed/approved by: N/A

Additional comments: N/A

ADVERTISING REQUIREMENTS

Is advertising required for this recommendation? N/A

If the proof of publication affidavit is not attached, list the reason: N/A

FOLLOW UP IMPLEMENTATION

For time-sensitive follow up, select deadline date for follow up: N/A

Individual(s) responsible for follow up: Personnel - Implement Changes

**Action required (list contact persons/addresses if documents are to be mailed or emailed):
N/A**

Additional instructions/notes: N/A



Baldwin County Commission

Agenda Action Form

File #: 20-0393, **Version:** 1

Item #: DA1

Meeting Type: BCC Regular Meeting

Meeting Date: 1/7/2020

Item Status: New

From: Wayne A. Dyess, County Administrator

Submitted by: Barbara Pate, Commission Executive Assistant

ITEM TITLE

Case No. LV-19004 - Alcohol License Application for Riverside Properties, LLC d/b/a Riverside Grocery

STAFF RECOMMENDATION

Consider the 050 - Retail Beer (Off Premises Only) application for Riverside Properties, LLC d/b/a Riverside Grocery, located at 72071 State Highway 59, Little River, Alabama, 36550, and approve the issuance of said license(s) by the Alabama Beverage Control (ABC) Board, if the public hearing does not reveal a legitimate reason to deny the application.

BACKGROUND INFORMATION

Previous Commission action/date: N/A

Background: Staff has verified the applicant has a current business license, all sales tax requirements have been satisfied, Health Department approval has been obtained and the Baldwin County Sheriff's Office does not oppose the issuance of this license.

FINANCIAL IMPACT

Total cost of recommendation: N/A

Budget line item(s) to be used: N/A

If this is not a budgeted expenditure, does the recommendation create a need for funding? N/A

LEGAL IMPACT

Is legal review necessary for this staff recommendation and related documents?

N/A

Reviewed/approved by: N/A

Additional comments: N/A

ADVERTISING REQUIREMENTS

Is advertising required for this recommendation? Yes, see attached.

If the proof of publication affidavit is not attached, list the reason: N/A

FOLLOW UP IMPLEMENTATION

For time-sensitive follow up, select deadline date for follow up: N/A

Individual(s) responsible for follow up:

Correspondence to:

State of Alabama ABC Board
c/o Ms. Betty Dean
2715 Gunter Park Drive, West
Montgomery, Alabama 36109

Via email only: betty.dean@abc.alabama.gov

Cc: Jessica M. McDill, Representative of Applicant (via email only - jmm@chasonlaw.com)

Action required (list contact persons/addresses if documents are to be mailed or emailed): N/A

Additional instructions/notes: N/A

**Baldwin County Commission
Location Verification Request
Baldwin County Planning and Zoning Department**

Main Office Mailing Address
PO Box 220, Silverhill, AL 36576
Phone: (251) 580-1655 Fax: (251) 580-1656

Main Office Physical Address
22070 Hwy 59, Robertsdale, AL 36567
Phone: (251) 580-1655 Fax: (251) 580-1656

Foley Satellite Courthouse
201 East Section Street, Foley, AL 36535
Phone: (251) 972-8523 Fax: (251) 972-8520

Applicant Information

Name: Riverside Properties, L.L.C. D/B/A: Riverside Grocery
Mailing Address: 72071 State Highway 59
City: Bay Minette State: Alabama Zip code: 36550
Telephone: (251) 862 - 5557 Fax: (251) 862 - 5567 e-mail: _____

☒ **ABC License Application**

Site Information

Business Name: Riverside Properties, L.L.C., doing business as Riverside Grocery
E-911 Address of Site: 72071 State Highway 59, Little River, Alabama 36550
Parcel ID Number: 05-01-09-30-00-0000-016-010

**parcel information must be completed*

Proposed Use: Convenience Store, Continued Use

**Parcel ID number is listed on property tax receipts, or may be obtained from the Baldwin County Revenue Commission at its web site (www.revcomm.co.baldwin.al.us) or by calling (251) 937-0245.*

Steve P. Bradley
Signature of Applicant

11-1-19
Date



City



County

Please be advised that this location verification is for informational purposes only. Specific uses for the zoning classification should be verified through the Baldwin County Zoning Ordinance and associated maps or through consultation with the Planning and Zoning staff at 580-1655.

Office Use Only

P&Z Verified By: Crystal Bator Date: 11-15-19

Case No. ZV - 190827 ☒ Unzoned ☐ Zoned Zoning Classification: _____

☐ City Limits: _____ Planning District 1

☐ Permitted Use ☐ Not Permitted Use Fire District: Beat 1

Comments: _____

BALDWIN COUNTY COMMISSION

CONSENT FOR INSPECTION

I, Jessica M. McDill, Agent, the owner or authorized agent for the owner of the premises located at 72071 State Highway 59, Little River, AL 36550

do hereby consent to the inspection of said premises and the posting of a public notice sign, by an employee of the Baldwin County Planning Department, Baldwin County, Alabama, in conjunction with application for an alcohol license, without further notice. I understand that the public signs are the property of the Baldwin County Commission and are only to be removed by an employee of the Baldwin County Commission.

Dated this 26 day of November, 2019.

Jessica M. McDill
Attorney In Fact
Signature of Owner or Authorized Agent

251-937-2191
Telephone number

STATE OF ALABAMA

CONTROL NO.
958343

BALDWIN COUNTY

LICENSE NO.
2004181

ACCOUNT NO.
10902

ISSUED TO:

RIVERSIDE GROCERY BRADLEY BETTY P
RIVERSIDE, PROPERTIES LLC
72071 HWY 59
LITTLE RIVER, AL 36550

LICENSE YEAR
2019-2020

DATE ISSUED		
10	28	2019
MON	TUE	WED

LICENSE TYPE	
STORE LICENSE	X
CHAIN STORE LICENSE	
OCCUPATIONAL LICENSE	X

MINIMUM INFORMATION

72071 HWY 59
LITTLE RIVER, AL 36550

EXPIRES
September 30, 2020
RENEW IN OCTOBER

RL:LF936

U76 - #958343 - T2 - P192774 - M1

SECTION	BUSINESS TYPE	License Amount	FEE	PENALTY	CHARGE	INTEREST	TOTAL
0153	SANDWICH SHOPS, BARBECUE STANDS, ETC	7.50	1.00	0.00	0.00	0.00	8.50
0072	CIGARS, CIGARETTES, CHEROOTS, ETC - RETAILERS	3.00	1.00	0.00	0.00	0.00	4.00
069A	CEREAL BEVERAGES, SOFT DRINKS - RETAILERS (sealed containers)	3.75	1.00	0.00	0.00	0.00	4.75
158A	SHOTGUNS, RIFLES, AMMUNITION, ETC	4.50	1.00	0.00	0.00	0.00	5.50
315A	STORE LICENSE	1.00	1.00	0.00	0.00	0.00	2.00
0106	GASOLINE STATIONS AND PUMPS (3 add'l pumps)	15.00	1.00	0.00	0.00	0.00	16.00

TRANSFER OF LICENSE

Evidence having been produced before me that a bona fide sale of the business licensed by this certificate has been made

Kathleen D. Baxter

State Commissioner

Vernon Barnett

Name of Purchaser

Commissioner of Revenue

MARKE D'OLIVE OR

Issuing Authority

Issuing Authority

TOTAL 40.75

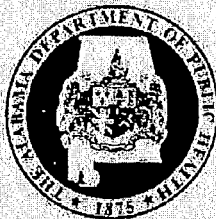
MAIL FEE 0.00

TOTAL WITH MAIL FEE 40.75

2020 - 2004181
10/28/2019 8:55:08 AM
U76 - #958343
T2 - P192774 - M1

RIVERSIDE GROCERY BRADLEY BETTY P
RIVERSIDE, PROPERTIES LLC
72071 HWY 59
LITTLE RIVER, AL 36550

Alabama Department of Public Health



FOOD PERMIT

Baldwin
COUNTY

Limited Food
Priority Category 2

LF-936
PERMIT NUMBER

Riverside Properties, LLC d/b/a Riverside Grocery

BUSINESS OWNER OR AUTHORIZED AGENT
IS HEREBY PERMITTED TO MAINTAIN AND OPERATE

Riverside Grocery

ESTABLISHMENT

LOCATED AT

72071 Highway 59

STREET

Little River

CITY OR TOWN

36550-

ZIP

THIS PERMIT SIGNIFIES COMPLIANCE ON THE DATE OF ISSUE WITH THE
ALABAMA DEPARTMENT OF PUBLIC HEALTH RULES FOR

Rules for Food Service Sanitation - 420-3-22

PURSUANT TO ALABAMA LAW (SECTION 22-20-5 CODE OF ALABAMA,
1975) AND IS VALID UNTIL PERMIT EXPIRES, IS SUSPENDED OR REVOKED.

10/01/19
Date issued

09/30/20
Expiration Date

Scott Harris, M.D.

Scott Harris, M.D.
State Health Officer

[Signature]

Local or District Health Official

Display for public view - not transferable

ADPH-FLP-102L.10.2017

OFFICE OF SHERIFF

BALDWIN COUNTY, ALABAMA
SHERIFF HUEY HOSS MACK

310 Hand Avenue
Bay Minette, Alabama 36507
(251) 937-0210
Fax (251) 580-1687

TO: Baldwin County Commission

FROM: Anthony Lowery,
Chief Deputy



Date: December 5, 2019

Subject: Alcoholic Beverage License
Riverside Properties, LLC
DBA: Riverside Grocery
Location: 72071 State Hwy 59
Little River, AL 36550
Mailing: 72075 State Hwy 59 Little River, AL 36550

APPLICANT: Bette P Bradley

Based on information provided to the Baldwin County Sheriff's Office in the above referenced license application we do not oppose issuance of the license.

AL/HJ

From: [Barbara Pate](#)
To: [Jessica M. McDill](#); [Tonya R. Harville](#)
Cc: [Anu Gary](#); [Monica English](#); [Miranda N. McKinnon](#); [Tawanda Gulley](#); [Barbara Pate](#); [Joe Ryan](#); [Vince Jackson](#); [Celena Boykin](#); [Betty Dean](#); [April Wallace](#)
Subject: FW: Public Hearing Date for ABC License Case No. LV-19004 - Riverside Properties, LLC d/b/a Riverside Grocery
Date: Monday, December 9, 2019 12:58:07 PM
Attachments: [Legal Notice Gulf Coast News - 20180206 Revision.doc](#)
Importance: High

Good Afternoon,

Here is the information regarding your advertising and Public Hearing.

Please note, due to the upcoming Holidays it's important that you contact the newspaper in timely manner to meet their Holiday Deadline Schedules.

INSTRUCTIONS FOR ADVERTISING YOUR PUBLIC HEARING:

1. VERIFY THAT ALL INFORMATION LISTED BELOW IS CORRECT.
2. PRINT OUT THIS EMAIL AND THE ATTACHED LEGAL NOTICE FORM.
3. FILL OUT THE FORM AND TAKE THE EMAIL AND THE COMPLETED FORMS WITH YOU TO THE GULF COAST NEWSPAPERS, LOCATED AT 901 MCKENZIE STREET, FOLEY, ALABAMA. TEL (251) 943-2151.
4. THE ADVERTISEMENT(S) MUST BE PUBLISHED AS FOLLOWS: **ONCE PER WEEK FOR THREE (3) CONSECUTIVE WEEKS PRIOR TO PUBLIC HEARING DATE**

Via Email Only: Jessica M. McDill, Applicant, jmm@chasonlaw.com

Case Number:	LV-19004 Riverside Properties, LLC d/b/a Riverside Grocery
Location:	72071 State Highway 59, Little River, Alabama 36550
License Type:	050 - Retail Beer (Off Premises Only)
Public Hearing Date:	January 7, 2020

A **Public Hearing** has been established before the Baldwin County Commission for the above referenced License Application(s) on **January 7, 2020**, at **8:30 AM** in the Chambers of the Baldwin County Administration Building, located at 322 Courthouse Square, Bay Minette, Alabama 36507.

If you have questions regarding this public hearing, please contact the Baldwin County Administration Department (Bay Minette Commission Office) at 251-937-0264.

You must obtain and provide an original proof of publication affidavit from the newspaper office to the County Commission Administration Office in Bay Minette on or before the public hearing date. A copy of the affidavit is not sufficient and could delay your application process. Please be aware that you (or an authorized representative) must appear in person for the public hearing.

If you have any questions, please feel free to contact Administration staff.

Thank you,

GULF COAST MEDIA

A DIVISION OF OPC NEWS, LLC
PO BOX 1677 • SUMTER, SC 29150

FOLEY 251.943.2151
The Courier – The Islander
The Onlooker
The Baldwin Times

LEGAL REP -
251-345-6805

PROOF OF PUBLICATION STATE OF ALABAMA • BALDWIN COUNTY

Before me, the undersigned authority in and for said County, in said State, personally appeared April M. Perry who, by me duly sworn, deposes and says that: she is the Legal Representative of the following newspaper listed below, a newspaper of GENERAL CIRCULATION, PUBLISHED and PRINTED in Baldwin County, Alabama, and that there was published in The Courier, The Islander, The Onlooker, & or The Baldwin Times in the issue/s of:

12/18/2019, 12/25/2019, 01/01/2020

a legal notice, a copy of which is hereto attached. The sum charged by the Newspaper for said publication does not exceed the lowest classified rate paid by commercial customers for an advertisement of similar size and frequency in the same newspaper(s) in which the public notice appeared.

There are no agreements between the Newspaper and the officer or attorney charged with the duty of placing the attached legal advertising notices whereby any advantage, gain or profit accrued to said officer or attorney.

X April M. Perry
April M. Perry, Legal Ad Representative

X Amber Kimbler

Amber Kimbler, Notary Public
Baldwin County, Alabama
My commission expires April 10, 2022



AMBER KIMBLER
My Commission Expires
April 10, 2022

Sworn and subscribed to on 01/01/2020.

CHASON & CHASON, P.C.

Acct#: 984135

Ad#: 306992

LL: Riverside Properties, LLC (Riverside Grocery)

Amount of Ad: \$105.00

Legal File# LL: Riverside P

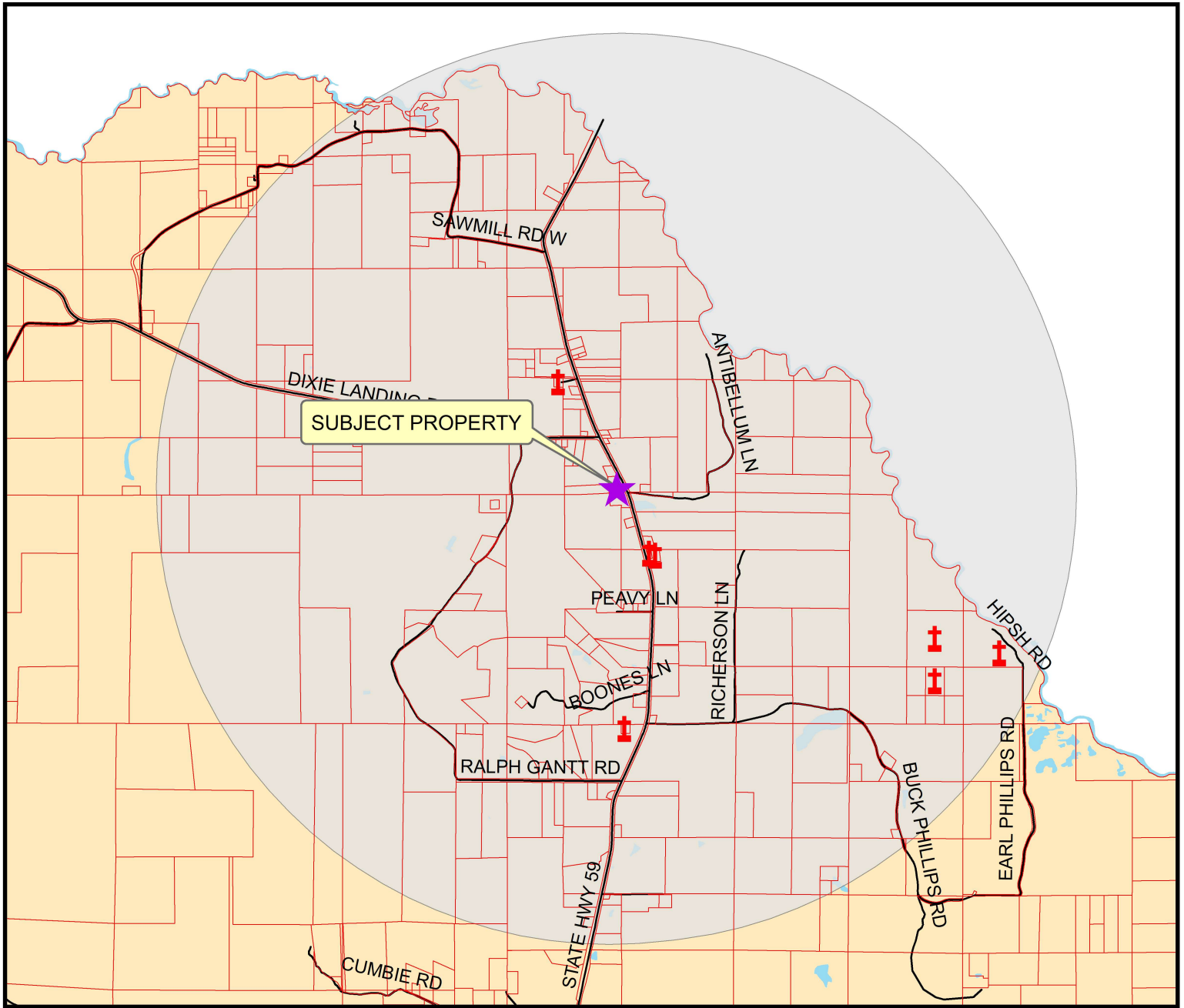
NOTICE OF PENDING APPLICATION FOR APPROVAL OF ISSUANCE OF ALCOHOLIC BEVERAGE LICENSE

Notice is hereby given that Bette P. Bradley has requested that the Baldwin County Commission approve the issuance of a 050 - Retail Beer (off premises only) alcoholic beverage license(s) by the Alabama Alcoholic Beverage Control (ABC) Board and that the 7th day of January, 2020 at 8:30 o'clock A.M. has been set for a public hearing thereof in the Baldwin County Commission Chambers, Baldwin County Administration Building, as located at 322 Courthouse Square, Bay Minette, Alabama 36507.

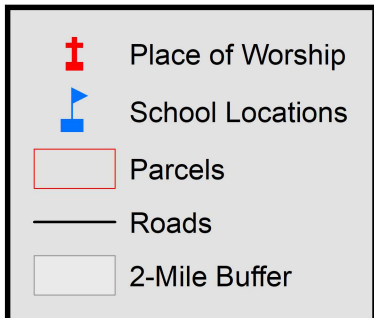
The name and exact location of the business being Riverside Properties, LLC, doing business as Riverside Grocery, located at 72071 State Highway 59, Little River, Alabama 36550.

At the public hearing, any interested person may appear at said time and place to be heard either in support of or in opposition to the granting by the Baldwin County Commission of such approval.

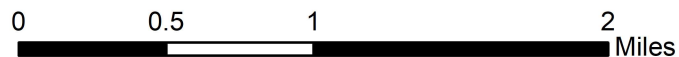
December 18-25, 2019;
January 1, 2020



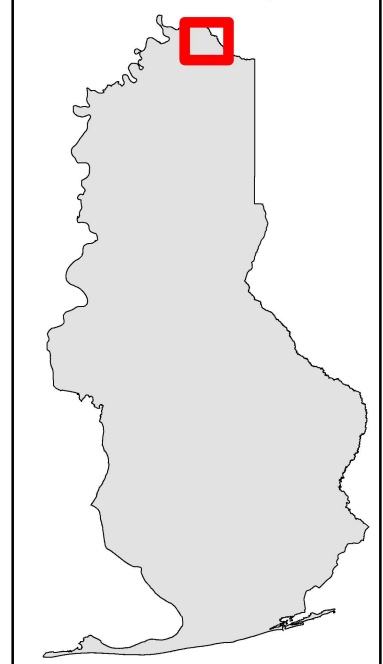
BALDWIN COUNTY COMMISSION
PLANNING AND ZONING DEPARTMENT



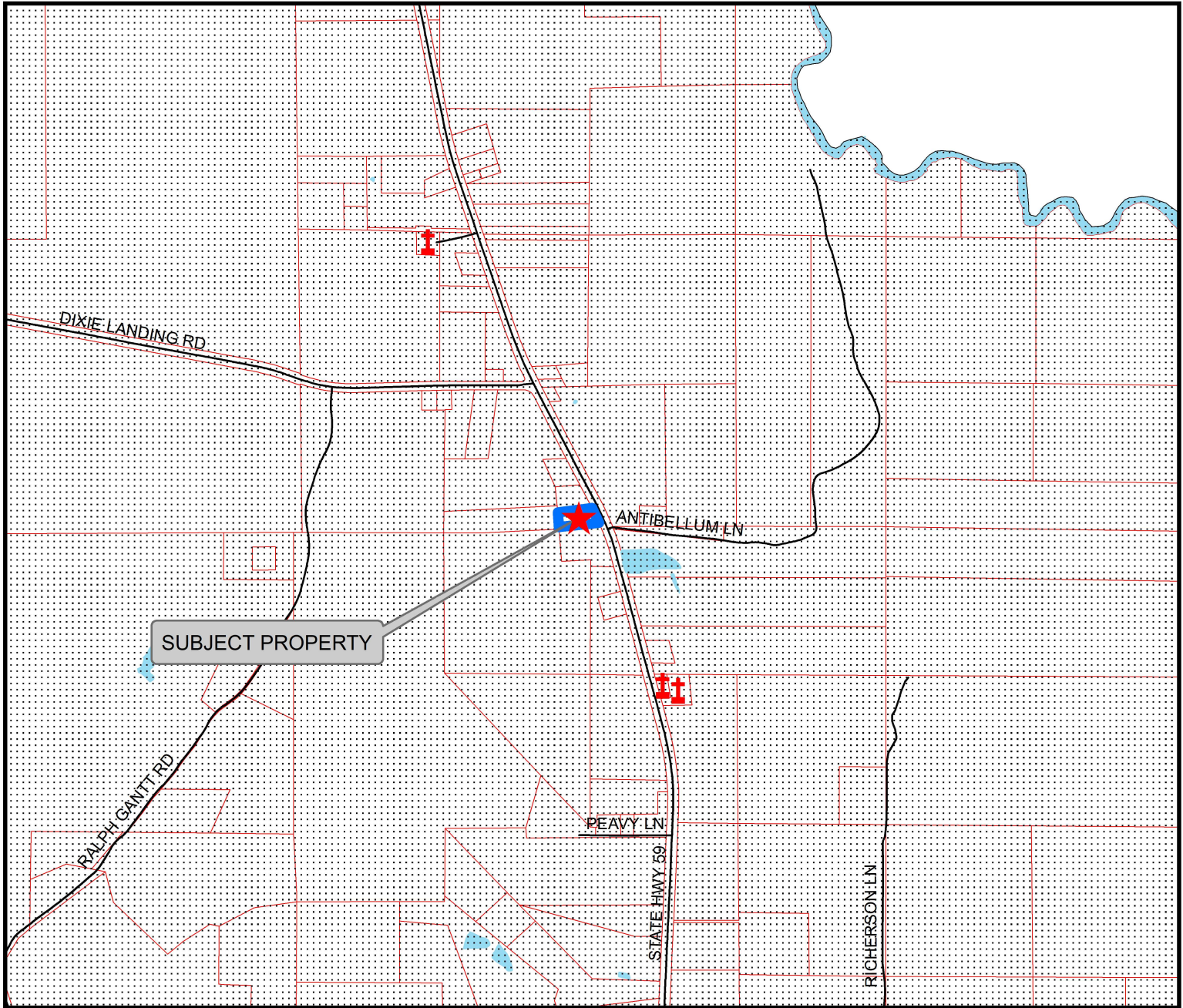
**RIVERSIDE PROPERTIES, LLC dba
RIVERSIDE GROCERY
72071 STATE HWY 59, LITTLE RIVER, AL
36550
ABC LICENSE**



Locator Map



The information contained in this representation of digital data distributed by the Baldwin County Commission's Planning and Zoning Department is derived from a variety of public and private sources and is considered to be dependable. However, the accuracy, completeness, and currency thereof are not guaranteed. The Baldwin County Commission makes no warranties, expressed or implied, as to the accuracy, completeness, currency, reliability, or suitability of information or data contained in or generated from the County Geographic Database for any particular purpose. Additionally, the Baldwin County Commission or any agent, servant, or employee thereof assume no liability associated with the use of this data, and assume no responsibility to maintain it in any matter or form. For more information concerning this map call 251.580.1655.



BALDWIN COUNTY COMMISSION
PLANNING AND ZONING DEPARTMENT

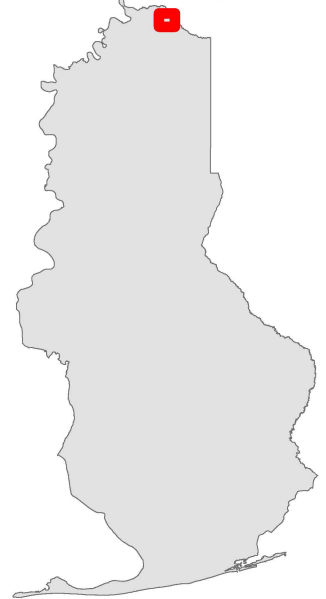


**RIVERSIDE PROPERTIES, LLC dba
RIVERSIDE GROCERY**
**72071 STATE HWY 59, LITTLE RIVER, AL
36550**
ABC LICENSE

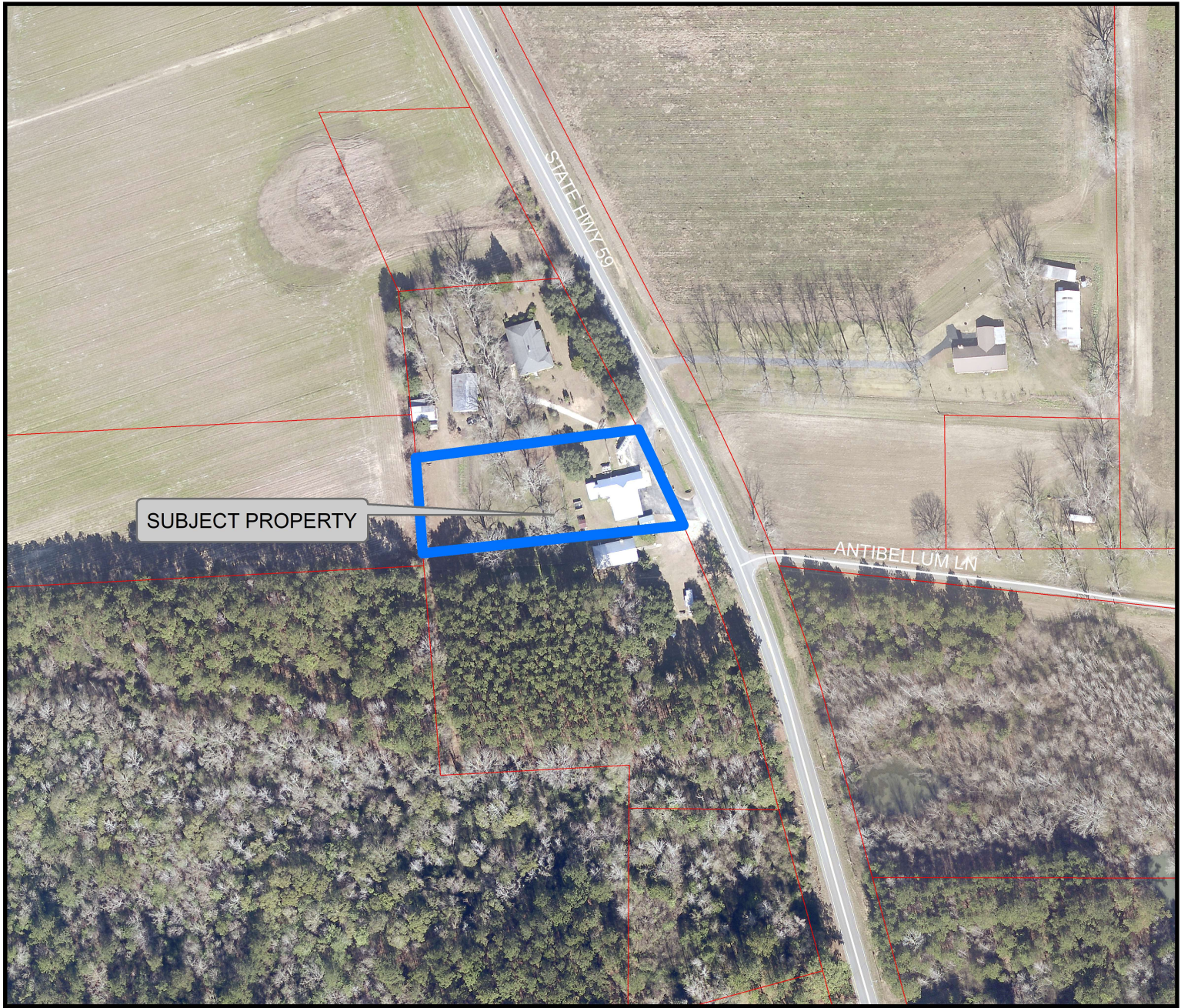
0 700 1,400 2,800
Feet

- | | | |
|-------------------------------|---|--|
| Place of Worship | Rural Agricultural District (RA) | Residential Manufactured Housing Park District (RMH) |
| School Locations | Conservation Resource District (CR) | Marine Recreation District (MR) |
| Unincorporated Baldwin County | Residential Single Family Estate District (RSF-E) | Outdoor Recreation District (OR) |
| Roads | Residential Single Family District (RSF-1) | Tourist Resort District (TR) |
| Water | Residential Single Family District (RSF-2) | Professional Business District (B-1) |
| City Limits | Residential Single Family District (RSF-3) | Neighborhood Business District (B-2) |
| Parcels | Residential Single Family District (RSF-4) | General Business District (B-3) |
| Rural District (RR) | Residential Two Family District (RTF-4) | Major Commercial District (B-4) |
| | Residential Single Family District (RSF-6) | Light Industrial District (M-1) |
| | Residential Two Family District (RTF-6) | General Industrial District (M-2) |
| | Residential Multiple Family District (RMF-6) | |

Locator Map





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



BALDWIN COUNTY COMMISSION
PLANNING AND ZONING DEPARTMENT



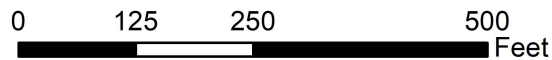
 Place of Worship

 School Locations

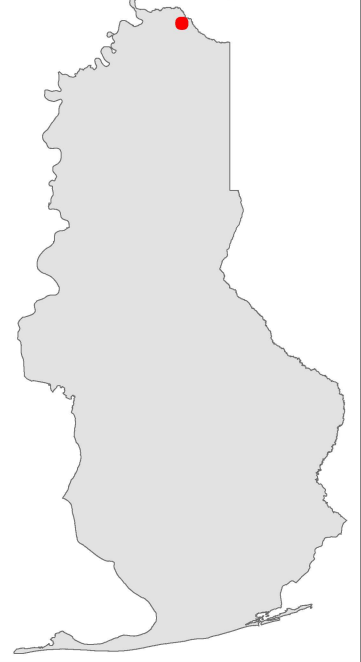
 Roads

 Parcels

**RIVERSIDE PROPERTIES, LLC dba RIVERSIDE
GROCERY**
72071 STATE HWY 59, LITTLE RIVER, AL 36550
ABC LICENSE



Locator Map



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12/10/2019

Special Power of Attorney

I, Bette P. Bradley hereby appoint Jessica M. McDill as my attorney in fact to act in my capacity to do the following:

To sign and execute forms/documents relating to Alabama Alcoholic Beverage Control Board and the local governing body concerning Riverside Properties, L.L.C.
Sole proprietor/corporation/association/LLC/LLP

The rights, powers, and authority of my attorney in fact to exercise any and all of the rights and powers herein granted shall commence and be in full force and effect on 11/1/2019, and shall remain in full force and effect until 3/1/2020 or unless specifically extended or rescinded earlier by either party.
(Date)

11-1-2019
Date

Bette P. Bradley
Signature

BEFORE ME, the undersigned authority, on this November 1, 2019, personally appeared Bette P. Bradley to me well known to be the person described in and who signed the foregoing, and acknowledged to me that he executed the same freely and voluntarily for the uses and purposes therein expressed.

WITNESS my hand and official seal the date aforesaid.

Myra R. [Signature] NOTARY PUBLIC

My Commission Expires: 10/7/2023



Baldwin County Commission

Agenda Action Form

File #: 20-0482, **Version:** 1

Item #: EA1

Meeting Type: BCC Regular Meeting

Meeting Date: 1/7/2020

Item Status: New

From: Cian Harrison, Clerk/Treasurer

Eva Cutsinger, Senior Accountant

Submitted by: Sharon Grant, Accounts Payable Supervisor

ITEM TITLE

Payment of Bills

STAFF RECOMMENDATION

Pay bills totaling \$10,782,710.44 (ten million, seven hundred eighty-two thousand, seven hundred ten dollars and forty-four cents) with the exception of those vendors Commissioners requested to be pulled, which are listed in the Baldwin County Accounts Payable Payments.

Of this amount, \$7,434,121.10 (seven million, four hundred thirty-four thousand, one hundred twenty-one dollars and ten cents) is payable to the Baldwin County Board of Education for its portion of the County Sales and Use Tax.

BACKGROUND INFORMATION

Previous Commission action/date: N/A

Background: N/A

FINANCIAL IMPACT

Total cost of recommendation: \$10,782,710.44

Budget line item(s) to be used: N/A

If this is not a budgeted expenditure, does the recommendation create a need for funding? N/A

LEGAL IMPACT

Is legal review necessary for this staff recommendation and related documents?

N/A

Reviewed/approved by: N/A

Additional comments: N/A

ADVERTISING REQUIREMENTS

Is advertising required for this recommendation? N/A

If the proof of publication affidavit is not attached, list the reason: N/A

FOLLOW UP IMPLEMENTATION

For time-sensitive follow up, select deadline date for follow up: N/A

Individual(s) responsible for follow up: N/A

Action required (list contact persons/addresses if documents are to be mailed or emailed): N/A

Additional instructions/notes: N/A

A/P Vendors Exceeding \$20,000

Commission Meeting: January 07, 2020

<u>Vendor Name</u>	<u>Amount</u>	<u>Brief Description</u>
Baldwin Co. Bd. of Education	6,265,898.66	Sales Tax
	1,168,222.44	Use Tax
	188,324.28	Casual Sales Tax; Nov 2019
Baldwin Cnty District Attorney's Office	140,000.00	Restitution & Recovery
	60,000.00	Worthless Check Fund
	37,645.00	Act 2000-344; 2nd Qtr FY20
	35,000.00	DA Environmental Appropriation; 2nd Qtr FY20
	26,782.14	Sales Tax
	9,000.00	DA Subsidy; 2nd Qtr FY20
	7,500.00	Revenue; 2nd Qtr FY20
	108.23	Casual Sales Tax; Nov 2019
Gulf Shores Board of Education	360,363.08	Sales Tax
	79,126.65	Use Tax
	13,027.97	Casual Sales Tax; Nov 2019
Thompson Tractor Co	278,182.85	Motorgrader; Highway
	11,251.57	Repairs & Maintenance
Coastal Community College	130,106.44	Sales Tax
	524.93	Casual Sales Tax; Nov 2019
Baldwin Youth Services	53,648.96	Sales Tax
	216.46	Casual Sales Tax; Nov 2019
Adams Stewart Architects LLC	73,990.17	Fairhope Courthouse; 2nd Floor
	11,933.77	Bay Minette Courthouse
Baldwin Cnty Economic Development	95,000.00	2nd Qtr FY20 Appropriation
	21,718.90	Sales Tax
Beard Equipment Co	61,673.27	Excavator; Solid Waste
	42,635.27	Skid Steer; Solid Waste
Baldwin Cnty Library Cooperative	22,500.00	2nd Qtr FY20 Appropriation
Pictometry International Corp.	33,064.00	License Agreement Deposit; Aerial Photos
Mobile Asphalt Co LLC	202,455.28	Road Building Materials
Star Service Inc of Mobile	31,937.33	A/C Units & Repairs
Election Systems & Software	191,930.00	Express Vote Terminals
TSA Inc	104,336.24	Computer Equipment
Sun Coast Builders	20,155.00	Building Repairs; Magnolia Landfill
Symbol Health Solutions	22,762.42	Medical Services
J&R System Integration LLC	35,398.55	Security Equipment
QCHC Inc	268,065.95	Medical Services
Stone Crosby PC	29,538.28	Legal Services
ESRI Inc	83,780.27	Renewal
Vulcan Materials Co	53,666.73	Road Building Materials
CDW-Government Inc	30,331.30	Computer Equipment
Southdata Inc	23,339.71	Solid Waste Billing
TOTAL	10,325,142.10	

Baldwin County Commission
Accounts Payable Payments
January 7, 2020

Vendor Summary		Totals
1	AARON MEDIA SERVICES	3,000.00
2	ADAMS AND REESE LLP	6,000.00
3	ADAMS STEWART ARCHITECTS LLC	85,923.94
4	AIRGAS USA, LLC	1,037.01
5	AL STATE DEPT OF AGRICULTURE & INDUSTRY	155.00
6	AL STATE DEPT OF ENVIRONMENTAL MANAGEMENT	60.00
7	ALABAMA ASSN OF EMERGENCY MANAGERS-AAEM	300.00
8	ALABAMA ASSN OF FLOODPLAIN MANAGERS	150.00
9	ALABAMA ASSN OF PUBLIC PERSONNEL ADMIN	375.00
10	ALABAMA COASTAL RADIOLOGY	777.30
11	ALABAMA CORRECTIONAL INDUSTRIES	7,731.00
12	ALABAMA LAW ENFORCEMENT AGENCY	8,685.00
13	ALL AMERICAN FAUCET PARTS	918.00
14	ALLIANCE PUBLISHING GROUP	850.00
16	ANDERSON, WILLIE FELISHA	279.72
17	ANIMAL MEDICAL CENTER	1,178.00
18	ASHBERRY LANDFILL LLC	40.00
19	ASPLUNDH TREE EXPERT	7,512.75
20	ASSN OF STATE FLOODPLAIN MANAGERS INC	165.00
21	AUTO ZONE - FOLEY	106.61
22	AUTO ZONE - ROBERTSDALE	28.98
23	BALDWIN ANIMAL CLINIC PC	1,266.81
24	BALDWIN CNTY ASSN OF REALTORS INC	2,662.00
25	BALDWIN CNTY BOARD OF EDUCATION	7,622,445.38
26	BALDWIN CNTY ECONOMIC DEVELOPMENT ALLIANCE	116,718.90
27	BALDWIN CNTY LIBRARY COOPERATIVE INC	22,500.00
28	BALDWIN CNTY SHERIFF'S OFFICE	2,990.00
29	BALDWIN CNTY SOLID WASTE	409.23
30	BALDWIN CNTY UNITED	2,500.00
31	BALDWIN EYE CLINIC	162.00
32	BALDWIN LOCKSMITHING	222.78
33	BALDWIN SIGNS	575.00
34	BALDWIN TRACTOR	850.00
35	BALDWIN TROPHIES	42.00
36	BALDWIN YOUTH SERVICES	53,865.42
37	BARR, JOSHUA R	438.00
38	BAY MINETTE ANIMAL CLINIC	1,302.00
39	BAY MINETTE LAND CO	1,000.00
40	BAY SIDE RUBBER & PRODUCTS	1,366.74
41	BAY UTILITY TRAILERS INC	60.00
42	BEARD EQUIPMENT CO	104,308.54
43	BEAUCHAMP POWER WASHING	4,150.00
44	BENSON'S APPLIANCE CENTER	175.00
45	BLACKHAWK TECHNOLOGY CO	302.15
46	BLOSSMAN GAS	70.79
47	BLUE SHEET, THE	120.00

Baldwin County Commission
Accounts Payable Payments
January 7, 2020

	Vendor Summary	Totals
48	BOB BARKER CO INC	3,177.55
49	BONNER, SHANNA TERESE	23.44
50	BOYKIN, CELENA R	77.95
51	BRINK'S INCORPORATED	230.62
52	BUCK, JOEI LANE	386.20
53	CAMPBELL HARDWARE & SUPPLY CO	838.13
54	CDG ENGINEERS & ASSOCIATES	7,414.08
55	CDW - GOVERNMENT, INC	30,331.30
56	CERTIFIED LABORATORIES	144.00
57	CHANCE, TAMMY D	37.12
58	CHARM-TEX INC	3,191.00
59	CHILDRESS, KELLY D	29.70
60	CHRISTIE STRATEGY GROUP	10,500.00
61	CHUCK STEVENS AUTO INC	950.52
62	CHUCK STEVENS CHEVROLET	2,639.87
63	CINTAS - UNIFORMS	5,482.04
64	CINTAS FIRST AID & SAFETY	50.59
65	CINTAS LOCATION #211	5,668.75
66	CITY ELECTRIC SUPPLY	109.28
67	COASTAL AL COM COLLEGE	130,631.37
68	COASTAL FIRE SOLUTIONS	1,042.50
69	COASTAL INDUSTRIAL SUPPLY	181.32
70	COASTAL OCCUPATIONAL MEDICINE	560.00
71	COCA COLA BOTTLING CO	296.80
72	COCKRELLS BODY SHOP	150.20
73	COMMUNICATIONS STORE	11.99
74	COPY PRODUCTS COMPANY	1,223.99
75	DADE PAPER & BAG CO	5,519.53
76	DANNY'S HYDRAULICS	292.98
77	DAWN WILSON STUDIOS	2,200.00
78	DAY, LYNN H	131.00
79	DEL CITY	302.56
80	DISTRICT ATTORNEY'S OFFICE	316,035.37
81	EASTERN SHORE URGENT CARE	140.00
82	ELECTION SYSTEMS & SOFTWARE INC	191,930.00
83	EQUIPMENT SALES CO	726.64
84	ESRI INC	83,780.27
85	EVANS MFG	2,751.60
86	EXPRESS OIL CHANGE	336.49
87	FEDEX	141.74
88	FLEETPRIDE	2,530.14
89	FLOYDS EXHAUST & PERFORMANCE ACCESSORIES	4,928.00
90	FORESTRY SUPPLIERS INC	216.09
91	FORM SOLUTIONS INC	395.00
92	FORTILINE INC	870.00
93	FRANKLIN'S STARTER & ALTERNATOR	248.00

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	Vendor Summary	Totals
94	G & J'S POWER EQUIPMENT INC	837.36
96	G T MICHELLI SCALES	1,965.00
97	GALL'S LLC	3,901.30
98	GANEY, BRENDA Q	3,433.34
99	GENERAL MACHINERY CO INC	1,045.06
100	GEOCON ENGINEERING & MATERIAL TESTING INC	1,464.00
101	GEOTECHNICAL ENGINEERING TESTING INC	2,545.34
102	GILMORE SERVICES	19.76
103	GOVERNMENTJOBS.COM INC dba NEOGOV	11,089.32
104	GREEN, JERRY R	74.00
105	GUARDIAN RFID	15,832.87
106	GULF CITY BODY & TRAILER WORKS, INC	215.77
107	GULF COAST NEWSPAPERS	1,244.52
108	GULF COAST TRUCK & EQUIPMENT CO	13,026.05
109	GULF SHORES BOARD OF EDUCATION	452,517.70
110	GULF STATES DISTRIBUTORS	9,585.00
111	HENDERSON, KENDEL DYETT	92.80
112	HIGHLAND TECHNICAL SERVICES INC	680.00
113	HI-LINE	1,532.14
114	HILL'S PET NUTRITION INC	715.50
115	HOSEA O. WEAVER & SON INC	15,981.88
116	HUNT, SHARON J	74.00
117	HUNTER SECURITY INC	560.00
118	HYDRO TECHNOLOGIES INC	76.00
119	IMC HOSPITALISTS LLC	738.41
120	IMC-DIAGNOSTIC & MEDICAL CLINIC	115.00
121	IMC-EMERGENCY PHYSICIANS	445.00
122	INFIRMARY OCCUPATIONAL HEALTH PC	1,805.00
123	INGENUITY INC	3,000.00
124	INSIGHT SOFTWARE	2,005.68
125	J R WILBURN & ASSOCIATES INC	10,559.50
126	J&R SYSTEM INTEGRATION LLC/SECURITY 101	35,398.55
127	JANI KING OF MOBILE	1,326.02
128	JJPR LLC	6,625.00
129	JONES WALKER	1,591.26
130	JUBILEE GLASS LLC	370.00
131	KAISERCOMM INC	258.00
132	KENTWOOD SPRINGS WATER	64.37
133	KINGS III OF AMERICA INC	272.79
134	KNOWINK, LLC	12,200.00
135	KUEHL, JASON T	300.00
136	LABORATORY CORP OF AMERICA	353.00
137	LAMBERTH, CYNTHIA R	15.66
138	LIFEGUARD AMBULANCE SERVICE	852.72
139	LOWE'S - DAPHNE	697.67
140	LOWE'S - FOLEY	1,817.19

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	Vendor Summary	Totals
141	LOXLEY CWC GENERAL FUND	5,280.00
142	MAC'S AUTOGLASS LLC	980.00
143	MASON, TAMMY M	415.20
144	MATHES OF ALABAMA	35.08
145	MATTHEW BENDER	919.84
146	MCCRORY & WILLIAMS INC	9,167.50
147	McGRIFF TIRE CO INC	14,373.92
148	MCPHERSON OIL CO INC/DBA FUELMAN	2,365.02
149	MIDDLETON, CHANDRA K	169.00
150	MISSISSIPPI MOSQUITO CONTROL LLC	14,374.00
151	MOBILE ASPHALT CO LLC	202,455.28
152	MOBILE BAY FIREWOOD	773.46
153	MOBILE BAY REPORTING, INC	150.00
154	MOBILE PRESS REGISTER	557.80
155	MOYER FORD SALES INC	1,810.93
156	MSC INDUSTRIAL DIRECT CO INC	1,036.50
157	NATIONAL ASSN OF COUNTIES	2,972.00
158	NEOPOST USA INC	4,976.55
159	NORTH BALDWIN INFIRMARY	108.00
160	OEC	2,244.45
161	OFFICE OF PROSECUTION SERVICES	399.21
162	ONE CUT GLASS, LLC	100.00
163	O'REILLY AUTO PARTS #1134	179.30
164	O'REILLY AUTO PARTS-FIRST CALL R'DALE	4,835.97
165	OTIS ELEVATOR CO	3,870.00
166	PAM'S EMBROIDERY & SEWING	792.00
167	PARTS HOUSE, THE	331.17
168	PAUL, TRAVIS MD PC	405.00
169	PEADEN, MINERVA	40.43
170	PEDEN, SARA ELIZABETH	30.16
171	PENSACOLA POOLS	233.90
172	PEREGRINE SERVICES INC	554.78
173	PETROLEUM TRADERS CORPORATION	14,291.78
174	PICTOMETRY INTERNATIONAL CORP	33,064.00
175	PIGGLY WIGGLY - BAY MINETTE	297.84
176	PIGGLY WIGGLY - FOLEY	950.64
177	PIGGLY WIGGLY - LOXLEY	1,083.45
178	PITTS & SONS WRECKER SERVICE	290.00
179	PLATO, HEATHER ANN	24.07
180	POWELL, NORMA C	18.56
181	POWER SYSTEMS OF MS	6,670.00
182	PRINT SHOP, THE	824.00
183	PUBLIC RISK MANAGEMENT ASSN	385.00
184	QCHC INC	268,065.95
185	QED ENVIRONMENTAL SYSTEMS, INC	2,731.60
186	ROBERTSDALE AUTO PARTS INC	1,568.05

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	Vendor Summary	Totals
187	ROBERTSDALE POWER EQUIPMENT	38.52
188	RUFFIN, LORI G	165.00
189	SALYERS, DEANA M	30.16
190	SCANNING AMERICA INC	6,227.18
191	SCS FIELD SERVICES	6,538.00
192	SERVICEMASTER ACTION CLEANING	498.00
193	SHARKEY, MARIA E	6.61
194	SHARP ELECTRONICS CORPORATION	893.39
195	SHERWIN WILLIAMS - SPANISH FORT	199.88
196	SHOOTS, TANZIE SLEDGE	367.64
197	SIBLEY, JANICE ERNIECE	131.00
198	SILVER, VOIT & THOMPSON	210.50
199	SIMS, JAMES W. JR.	1,800.00
200	SMITH, CHARLOTTE K	231.00
201	SMITH, JODIE M	56.84
202	SOUL BOWLZ	1,355.12
203	SOUTH ALABAMA REGIONAL	13,248.11
204	SOUTH ALABAMA VENDING CO	66.60
205	SOUTHDATA INC	23,339.71
206	SOUTHEASTERN EQUIPMENT CO	733.10
207	SOUTHERN TIRE MART	155.90
208	STACEY, CHRISTINA M	131.00
209	STAPLES CONTRACT & COMMERCIAL, INC.	4,154.08
210	STAR SERVICE INC OF MOBILE	31,937.33
211	STEWART, RONALD B	70.00
212	STONE CROSBY PC	29,538.28
213	SUMMERTOWN METALS LLC	2,355.66
214	SUMMIT LANDSCAPE SUPPLY	387.00
215	SUN COAST BUILDERS	20,155.00
216	SUNSOUTH LLC	1,102.38
217	SWEAT TIRE - BAY MINETTE	1,448.16
218	SWEAT TIRE - ROBERTSDALE	838.08
219	SYMBOL HEALTH SOLUTIONS LLC	22,762.42
220	TAYLOR, HEATHER EMMONS	30.16
221	THOMPSON ENGINEERING	9,000.00
222	THOMPSON TRACTOR CO	289,434.42
223	THOMPSON, SUSAN HUGGINS	30.16
224	TRACTOR & EQUIPMENT CO	443.48
225	TRAFFIC PARTS INC	1,250.00
226	TRANE-MOBILE PARTS CENTER	4,541.24
227	TRIPLE "A" FIRE PROTECTION INC	1,590.00
228	TSA INC	104,336.24
229	TWO-WAY COMMUNICATIONS INC	9,583.33
230	UNITED REFRIGERATION	44.43
231	UNIV AUBURN-GOVERNMENTAL SERVICES	660.00
232	UNIV NORTH ALABAMA	141.00

**Baldwin County Commission
Accounts Payable Payments
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	Vendor Summary	Totals
233	USA MEDICAL CENTER	132.15
234	VICKREY, JOIE L	37.12
235	VOLKERT INC	14,997.53
236	VULCAN MATERIALS CO	53,666.73
237	VULCAN SIGNS	1,110.11
238	W W GRAINGER	5,746.01
239	WAL-MART SUPERCENTER	1,872.89
240	WALZ, BRENDA J	184.90
241	WESCO - FOLEY	115.15
242	WESCO DISTRIBUTION	139.52
243	WEST GROUP PAYMENT CENTER	214.99
244	WITTICHEN SUPPLY-DAPHNE	102.59
245	WRIGHTS MOTOR PARTS INC	879.27
246	XEROX CORP	102.68
	Grand Total	10,782,710.44

Supplier				Aging			
Number	Name	Phone Number	Co	Balance Open	Current	1 - 0	Over 0
7093	THOMPSON, SUSAN HUGGINS	251 9378785	00001	30.16			30.16
10007	ALABAMA LAW ENFORCEMENT AGENCY	2424900	00001	7,250.00			7,250.00
10064	ALABAMA CORRECTIONAL INDUSTRIES	2613614	00001	7,731.00			7,731.00
10107	ALABAMA ASSN OF PUBLIC PERSONNEL		00001	375.00			375.00
10224	AL STATE DEPT OF ENVIRONMENTAL	251 4792593FAX	00001	60.00			60.00
14084	BOB BARKER CO INC - PO'S		00001	1,713.12	60.00-		1,773.12
14101	BALDWIN CNTY LIBRARY COOPERATIVE		00001	22,500.00			22,500.00
14132	BALDWIN YOUTH SERVICES		00001	53,865.42	53,648.96		216.46
14208	BALDWIN LOCKSMITHING		00001	222.78			222.78
14553	BALDWIN CNTY ECONOMIC DEVELOPMENT	9472445	00001	116,718.90	21,718.90		95,000.00
19009	CAMPBELL HARDWARE & SUPPLY CO	9472291	00001	247.06			247.06
21127	DISTRICT ATTORNEY'S OFFICE		00001	73,535.37	26,782.14		46,753.23
25040	COASTAL AL COM COLLEGE (FAULKNER)		00001	130,631.37	130,106.44		524.93
27022	GULF COAST NEWSPAPERS		00001	1,244.52			1,244.52
27263	GALL'S LLC	334 649 3678	00001	3,901.30			3,901.30
40019	MOYER FORD SALES INC	334 9431661	00001	783.61			783.61
40026	NORTH BALDWIN INFIRMARY		00001	108.00			108.00
40424	NATIONAL ASSN OF COUNTIES	202 9424221	00001	2,972.00			2,972.00
41646	FEDEX		00001	115.63			115.63
43003	OEC	800 759-3368	00001	2,244.45			2,244.45
48256	PENSACOLA POOLS		00001	233.90			233.90
51005	ELECTION SYSTEMS & SOFTWARE INC	800 2924679	00001	191,930.00			191,930.00
51009	ROBERTSDALE AUTO PARTS INC	334 9472882	00001	400.00			400.00
54037	SOUTH ALABAMA REGIONAL	334 4336541	00001	4,737.60			4,737.60
54042	SWEAT TIRE - ROBERTSDALE		00001	363.45			363.45
56733	SERVICEMASTER ACTION CLEANING	800 943-3899	00001	498.00			498.00
57071	THOMPSON TRACTOR CO	334 6265100	00001	737.00			737.00
57304	TWO-WAY COMMUNICATIONS INC **	5045859200	00001	5,583.33			5,583.33
58288	TRIPLE "A" FIRE PROTECTION INC	251 6492034	00001	1,590.00			1,590.00
58886	ALL AMERICAN FAUCET PARTS	251 4731638	00001	918.00			918.00
61778	BALDWIN CNTY UNITED		00001	2,500.00			2,500.00
62543	HYDRO TECHNOLOGIES INC		00001	76.00			76.00
64733	SMITH, JODIE M	251 9375039	00001	56.84			56.84
65091	STONE CROSBY PC **		00001	27,522.28			27,522.28
65234	UNITED REFRIGERATION		00001	44.43			44.43
66024	WESCO DISTRIBUTION - MOBILE	251 433 4567	00001	139.52			139.52
66029	WEST GROUP PAYMENT CENTER**	612 6877000	00001	214.99			214.99
66057	USA MEDICAL CENTER		00001	132.15			132.15
67555	UNIV NORTH ALABAMA	256 765 4862	00001	141.00			141.00
75900	HUNT, SHARON J	334 9289034	00001	74.00			74.00

Supplier				Aging			
Number	Name	Phone Number	Co	Balance Open	Current	1 - 0	Over 0
77649	ESRI INC - CALIFORNIA	CA 8883774575**	00001	83,780.27			83,780.27
78158	BENSON'S APPLIANCE CENTER	9435096	00001	175.00			175.00
84216	W W GRAINGER - FOR PO'S	251 661-1035	00001	1,777.82			1,777.82
87441	SALYERS, DEANA M	251 9379752	00001	30.16			30.16
87716	LOWE'S FOLEY - 057700034	9705307	00001	1,438.06			1,438.06
87767	JANI KING OF MOBILE	504 4419700	00001	437.46			437.46
89762	MOBILE PRESS REGISTER (SUBSCRI		00001	290.40			290.40
93809	PLATO, HEATHER ANN	251 725-4545	00001	24.07			24.07
94060	CHUCK STEVENS AUTO INC		00001	17.89			17.89
98634	MCPHERSON OIL CO INC/DBA FUELM#00	2398882	00001	1,137.66			1,137.66
99320	INFIRMARY OCCUPATIONAL HEALTH#34	4333781	00001	176.00			176.00
99611	SOUTH ALABAMA VENDING CO	251 3688997	00001	66.60			66.60
101717	BRINK'S INCORPORATED		00001	230.62			230.62
102875	CDW - GOVERNMENT, INC - PO	866 3393526	00001	26,576.04			26,576.04
105048	BALDWIN CNTY SOLID WASTE	251 9888125	00001	409.23			409.23
105435	CINTAS FIRST AID & SAFETY	251 4437301	00001	27.12			27.12
109891	BOYKIN, CELENA R	251 7474193	00001	77.95			77.95
115852	DADE PAPER & BAG CO	251 9641500	00001	4,555.26	219.72-		4,774.98
116898	CHARM-TEX INC	800 2213147/105	00001	3,191.00			3,191.00
118519	WALZ, BRENDA J	251 5804819	00001	184.90			184.90
121216	PEREGRINE SERVICES INC	318 3254762	00001	554.78			554.78
122243	CHANCE, TAMMY D	251 9372317	00001	37.12			37.12
126181	SILVER, VOIT & THOMPSON		00001	210.50			210.50
126261	EXPRESS OIL CHANGE -ROBERTSDA#51	9472606	00001	249.47			249.47
126560	KUEHL, JASON T	251 9288559	00001	300.00			300.00
126877	O'REILLY AUTO PARTS #1134 - B'	251 5800298PH	00001	179.30			179.30
127597	LABORATORY CORP OF AMERICA		00001	353.00			353.00
136207	SHERWIN WILLIAMS - SPANISH FOR		00001	98.69			98.69
136514	FLOYDS EXHAUST & PERFORMANCE A	9479631	00001	4,928.00			4,928.00
136872	LOWE'S - DAPHNE	6217620	00001	449.72			449.72
138958	PAUL, TRAVIS MD PC	251 5804243	00001	405.00			405.00
140142	VICKREY, JOIE L	251 6565792	00001	37.12			37.12
140290	SHARKEY, MARIA E	251 5509409	00001	6.61			6.61
141903	ALABAMA ASSN OF EMERGENCY MANA		00001	300.00			300.00
144670	GREEN, JERRY R	251 6220259	00001	74.00			74.00
146106	IMC-DIAGNOSTIC & MEDICAL CLINI#251	4351200	00001	115.00			115.00
146931	ALABAMA ASSN OF FLOODPLAIN MAN		00001	150.00			150.00
147221	DEL CITY - WISCONSIN	800 6544757	00001	302.56			302.56
148734	AIRGAS USA, LLC - PO'S		00001	169.63			169.63
150287	BAY MINETTE LAND CO		00001	1,000.00			1,000.00

..... Supplier Aging			
Number	Name	Phone Number	Co	Balance Open	Current	1 - 0	Over 0
152477	J&R SYSTEM INTEGRATION LLC/SEC	2952760/JOHNGIB	00001	32,511.17			32,511.17
	SON						
155011	KAISERCOMM INC	866 6237694	00001	258.00			258.00
155037	OTIS ELEVATOR CO		00001	3,870.00			3,870.00
157294	ADAMS AND REESE LLP	504 5813234	00001	6,000.00			6,000.00
158123	TRANE-MOBILE PARTS CENTER	6652939	00001	4,541.24			4,541.24
160995	KINGS III OF AMERICA INC	800 3546473	00001	272.79	272.79		
162616	SWEAT TIRE - BAY MINETTE	5808473	00001	1,366.64			1,366.64
164321	JUBILEE GLASS LLC	7161195	00001	370.00			370.00
165665	BALDWIN EYE CLINIC		00001	162.00			162.00
165841	FORM SOLUTIONS INC	6253580	00001	395.00			395.00
166975	TSA INC	205 7339111	00001	88,074.36			88,074.36
168073	EASTERN SHORE URGENT CARE	800 3323958	00001	140.00			140.00
174713	PAM'S EMBROIDERY & SEWING	251 9371393	00001	782.00			782.00
180302	ASHBERRY LANDFILL LLC	334 493 1250	00001	40.00			40.00
180505	CHUCK STEVENS CHEVROLET	251 937 1500	00001	2,639.87			2,639.87
180990	NEOPOST USA INC	203 301 3400	00001	4,976.55			4,976.55
180999	PETROLEUM TRADERS CORPORATION	800 348-3705/1002	00001	14,291.78			14,291.78
181574	O'REILLY AUTO PARTS-FIRST CALL		00001	4,911.06	132.74-		5,043.80
181787	SHARP ELECTRONICS CORPORATION		00001	713.74			713.74
181793	PEDEN, SARA ELIZABETH	251 4548675	00001	30.16			30.16
181921	ALABAMA COASTAL RADIOLOGY	251 460-0326	00001	777.30			777.30
182244	COASTAL INDUSTRIAL SUPPLY	251 947 9400	00001	181.32			181.32
182993	LAMBERTH, CYNTHIA R	251 937-5019	00001	15.66			15.66
183156	ADAMS STEWART ARCHITECTS LLC	251 947-3864	00001	85,923.94			85,923.94
184294	PRINT SHOP, THE	251 937 1772	00001	351.00			351.00
184300	TAYLOR, HEATHER EMMONS	251 423-7090	00001	30.16			30.16
184892	WITTICHEN SUPPLY-DAPHNE	251 375 0722	00001	102.59			102.59
185084	POWER SYSTEMS OF MS	228 818 8315	00001	6,670.00			6,670.00
185396	MAC'S AUTOGLASS LLC	251 228 2744	00001	735.00			735.00
185453	CHRISTIE STRATEGY GROUP		00001	10,500.00			10,500.00
185594	STAPLES CONTRACT & COMMERCIAL	803 333 8496	00001	1,855.13	285.21-		2,140.34
185711	GILMORE SERVICES	850 434-1054	00001	19.76			19.76
185840	GOVERNMENTJOBS.COM INC dba NE	023 676-6077	00001	11,089.32			11,089.32
186268	LIFEGUARD AMBULANCE SERVICE		00001	852.72			852.72
186326	QCHC INC		00001	260,666.66			260,666.66
186870	BLUE SHEET, THE		00001	120.00			120.00
187344	CINTAS - UNIFORMS		00001	1,750.10			1,750.10
187401	KNOWINK, LLC	855 765 5723	00001	12,200.00			12,200.00
187695	CINTAS LOCATION #211 - PURCHAS	251 443 7301	00001	2,493.75			2,493.75

Supplier			Aging				
Number	Name	Phone Number	Co	Balance Open	Current	1 - 0	Over 0
187960	PUBLIC RISK MANAGEMENT ASSN		00001	385.00			385.00
188260	GUARDIAN RFID	612 382 1355	00001	15,832.87			15,832.87
188897	STAR SERVICE INC OF MOBILE		00001	31,937.33			31,937.33
189455	IMC HOSPITALISTS LLC		00001	738.41			738.41
189759	INGENUITY INC		00001	3,000.00			3,000.00
189781	BONNER, SHANNA TERESE	251 979-1820	00001	23.44			23.44
190029	IMC-EMERGENCY PHYSICIANS		00001	445.00			445.00
190164	BALDWIN TROPHIES	251 949 7335	00001	42.00			42.00
190748	BARR, JOSHUA R	812 406-6448	00001	438.00			438.00
191487	INSIGHT SOFTWARE		00001	2,005.68			2,005.68
191501	BEAUCHAMP POWER WASHING	850 602 5320	00001	4,150.00			4,150.00
191531	COASTAL FIRE SOLUTIONS	251 654 5147	00001	1,042.50			1,042.50
191600	SUMMERTOWN METALS LLC (R)		00001	2,355.66			2,355.66
191601	MOBILE BAY FIREWOOD (R)		00001	773.46			773.46
191602	SOUL BOWLZ (R)		00001	1,355.12			1,355.12
191604	JJPR LLC	251 375-1297	00001	6,625.00			6,625.00
	General Fund		00001	1,432,496.43	231,831.56		1,200,664.87
51009	ROBERTSDALE AUTO PARTS INC	334 9472882	00103	83.11			83.11
98634	MCPHERSON OIL CO INC/DBA FUELM	800 2398882	00103	34.52			34.52
	County Transportation Fund		00103	117.63			117.63
14084	BOB BARKER CO INC - PO'S		00105	1,391.49			1,391.49
32419	HUNTER SECURITY INC	251 6265112	00105	560.00			560.00
40074	MATHES OF ALABAMA-DAPHNE	251 626 1908	00105	35.08			35.08
54037	SOUTH ALABAMA REGIONAL	334 4336541	00105	3,642.20			3,642.20
85307	WAL-MART SUPERCENTER *	251 9375558	00105	171.05			171.05
98634	MCPHERSON OIL CO INC/DBA FUELM	800 2398882	00105	1.61			1.61
99320	INFIRMARY OCCUPATIONAL HEALTH	334 4333781	00105	63.00			63.00
136611	BALDWIN CNTY SHERIFF'S OFFICE	251 9370210	00105	2,990.00			2,990.00
152477	J&R SYSTEM INTEGRATION LLC/SEC	2952760/JOHNGIBSON	00105	2,887.38			2,887.38
166975	TSA INC	205 7339111	00105	6,296.32			6,296.32
186326	QCHC INC		00105	7,399.29	2,600.71-		10,000.00
187695	CINTAS LOCATION #211 - PURCHAS	251 443 7301	00105	180.00			180.00
	Juvenile Detention Fac Fund		00105	25,617.42	2,600.71-		28,218.13
54037	SOUTH ALABAMA REGIONAL	334 4336541	00106	964.24			964.24
62324	COCKRELLS BODY SHOP - BAY MINE	251 5800200	00106	150.20			150.20
98634	MCPHERSON OIL CO INC/DBA FUELM	800 2398882	00106	.50			.50
111510	ALLIANCE PUBLISHING GROUP		00106	850.00			850.00
182836	ANDERSON, WILLIE FELISHA	251 978-2544	00106	279.72			279.72
185594	STAPLES CONTRACT & COMMERCIAL	803 333 8496	00106	236.80			236.80

Supplier				Aging			
Number	Name	Phone Number	Co	Balance Open	Current	1 - 0	Over 0
188659	AARON MEDIA SERVICES	251 272 0089	00106	3,000.00			3,000.00
190741	DAWN WILSON STUDIOS	302 563 8484	00106	2,200.00			2,200.00
191529	SIMS, JAMES W. JR.	251 459 5384	00106	1,800.00			1,800.00
	Baldwin Co Archives Fund		00106	9,481.46			9,481.46
14018	BAY MINETTE ANIMAL CLINIC	251 9377540	00109	1,302.00			1,302.00
14044	BALDWIN ANIMAL CLINIC PC		00109	1,266.81			1,266.81
54042	SWEAT TIRE - ROBERTSDALE		00109	41.91			41.91
63116	ANIMAL MEDICAL CENTER		00109	1,178.00			1,178.00
98634	MCPHERSON OIL CO INC/DBA FUELM800	2398882	00109	15.36			15.36
181489	COASTAL OCCUPATIONAL MEDICINE		00109	160.00			160.00
184294	PRINT SHOP, THE	251 937 1772	00109	368.00			368.00
185594	STAPLES CONTRACT & COMMERCIAL803	333 8496	00109	75.76			75.76
187344	CINTAS - UNIFORMS		00109	502.92			502.92
187695	CINTAS LOCATION #211 - PURCHAS	251 443 7301	00109	540.00			540.00
187808	MISSISSIPPI MOSQUITO CONTROL L	504 366 0084	00109	14,374.00			14,374.00
188391	HILL'S PET NUTRITION INC		00109	715.50			715.50
	Animal Shelter		00109	20,540.26			20,540.26
14006	BLOSSMAN GAS - FOLEY	251 9435759	00111	70.79			70.79
19009	CAMPBELL HARDWARE & SUPPLY CQ51	9472291	00111	270.35			270.35
19273	COMMUNICATIONS STORE		00111	11.99			11.99
25048	EQUIPMENT SALES CO	251 9375313	00111	726.64	.08-		726.72
25121	FORESTRY SUPPLIERS INC	601 3543565	00111	216.09			216.09
27287	GEOTECHNICAL ENGINEERING TEST151	666 7197	00111	2,545.34			2,545.34
40019	MOYER FORD SALES INC	334 9431661	00111	83.30			83.30
40437	MCCRORY & WILLIAMS INC		00111	9,167.50			9,167.50
40589	MOBILE ASPHALT CO LLC	251 4080770	00111	169,532.47	1,804.07-		171,336.54
48240	PITTS & SONS WRECKER SERVICE		00111	290.00			290.00
48928	BALDWIN SIGNS	251 6260572	00111	575.00			575.00
51009	ROBERTSDALE AUTO PARTS INC	334 9472882	00111	363.63			363.63
54042	SWEAT TIRE - ROBERTSDALE		00111	432.72			432.72
54050	BAY SIDE RUBBER & PRODUCTS	251 6600902	00111	271.44			271.44
57038	TRACTOR & EQUIPMENT CO - MOBIL		00111	443.48			443.48
57071	THOMPSON TRACTOR CO	334 6265100	00111	280,711.53			280,711.53
64821	DANNY'S HYDRAULICS	3349701713	00111	292.98			292.98
65007	VULCAN SIGNS	334 9431541	00111	1,110.11			1,110.11
65201	VULCAN MATERIALS CO - PO'	251 6256681	00111	49,627.76	.01-		49,627.77
65824	ASSN OF STATE FLOODPLAIN MANAG		00111	165.00			165.00
66006	WRIGHTS MOTOR PARTS INC	334 9372591	00111	879.27			879.27
66295	VOLKERT INC **	251 3421070	00111	14,997.53			14,997.53
82368	TRAFFIC PARTS INC	800 3456329	00111	1,250.00			1,250.00

Supplier				Aging			
Number	Name	Phone Number	Co	Balance Open	Current	1 - 0	Over 0
84216	W W GRAINGER - FOR PO'S	251 661-1035	00111	3,437.03			3,437.03
87716	LOWE'S FOLEY - 057700034	9705307	00111	346.87			346.87
87767	JANI KING OF MOBILE	504 4419700	00111	888.56			888.56
89084	G & J'S POWER EQUIPMENT INC	251 9701306	00111	837.36			837.36
94060	CHUCK STEVENS AUTO INC		00111	932.63			932.63
95071	FLEETPRIDE - PO	251 4382489	00111	192.79			192.79
98634	MCPHERSON OIL CO INC/DBA FUELM	800 2398882	00111	375.98			375.98
99320	INFIRMARY OCCUPATIONAL HEALTH	334 4333781	00111	907.00			907.00
102875	CDW - GOVERNMENT, INC - PO	866 3393526	00111	64.40			64.40
105435	CINTAS FIRST AID & SAFETY	251 4437301	00111	23.47			23.47
107086	CITY ELECTRIC SUPPLY - C.E.S.	9291030	00111	49.29			49.29
111077	ASPLUNDH TREE EXPERT - SAGINAW	205 6854000	00111	7,512.75			7,512.75
115852	DADE PAPER & BAG CO	251 9641500	00111	34.83			34.83
120432	HI-LINE - FOR PURCHASE ORDERS		00111	935.06			935.06
121355	AUTO ZONE - FOLEY	9431635	00111	106.61			106.61
123908	THOMPSON ENGINEERING-PO'S		00111	9,000.00			9,000.00
126261	EXPRESS OIL CHANGE -ROBERTSDALE	251 9472606	00111	87.02			87.02
148734	AIRGAS USA, LLC - PO'S		00111	546.19			546.19
149690	McGRIFF TIRE CO INC (PO'S ONL		00111	4,087.43			4,087.43
166975	TSA INC	205 7339111	00111	2,487.92			2,487.92
167927	JONES WALKER - PO'S		00111	1,591.26			1,591.26
180354	CERTIFIED LABORATORIES **	800 527 9929	00111	144.00			144.00
181489	COASTAL OCCUPATIONAL MEDICINE		00111	80.00			80.00
181821	COPY PRODUCTS COMPANY		00111	1,223.99			1,223.99
182059	SUNSOUTH LLC	251 943 5091	00111	1,102.38			1,102.38
182991	GEOCON ENGINEERING & MATERIAL	251 424 0211	00111	1,464.00			1,464.00
184294	PRINT SHOP, THE	251 937 1772	00111	80.00			80.00
185252	AUTO ZONE - ROBERTSDALE BCC		00111	28.98			28.98
185594	STAPLES CONTRACT & COMMERCIAL	803 333 8496	00111	234.32			234.32
185973	GULF CITY BODY & TRAILER WORKS		00111	215.77			215.77
187344	CINTAS - UNIFORMS		00111	1,813.71			1,813.71
187695	CINTAS LOCATION #211 - PURCHAS	251 443 7301	00111	1,875.00			1,875.00
189979	FORTILINE INC	251 945 1177	00111	870.00			870.00
190650	SOUTHERN TIRE MART	877 786 4681	00111	155.90			155.90
	7 Cent Gasoline Tax Fund		00111	577,767.42	1,804.16-		579,571.58
10225	UNIV AUBURN-GOVERNMENTAL SERV	251 8444782/HALEYCT R	00120	660.00			660.00
14043	BALDWIN CNTY ASSN OF REALTORS		00120	2,662.00			2,662.00
21127	DISTRICT ATTORNEY'S OFFICE		00120	7,500.00			7,500.00
54037	SOUTH ALABAMA REGIONAL	334 4336541	00120	2,667.94			2,667.94

Supplier			Aging			
Number	Name	Phone Number	Co	Balance Open	Current	Over 0
98634	MCPHERSON OIL CO INC/DBA FUELM#00	2398882	00120	11.82		11.82
162616	SWEAT TIRE - BAY MINETTE	5808473	00120	81.52		81.52
181489	COASTAL OCCUPATIONAL MEDICINE		00120	80.00		80.00
181888	PICTOMETRY INTERNATIONAL CORP#85	486 0093	00120	33,064.00		33,064.00
190637	SCANNING AMERICA INC	404 824 6633	00120	6,227.18		6,227.18
	Reappraisal Fund		00120	52,954.46		52,954.46
85155	CHILDRESS, KELLY D	251 9647787	00140	29.70		29.70
98634	MCPHERSON OIL CO INC/DBA FUELM#00	2398882	00140	2.00		2.00
99320	INFIRMARY OCCUPATIONAL HEALTH#34	4333781	00140	40.00		40.00
183951	HENDERSON, KENDEL DYETT	251 978-6934	00140	92.80		92.80
185594	STAPLES CONTRACT & COMMERCIAL#03	333 8496	00140	418.94		418.94
	Council on Aging Fund		00140	583.44		583.44
19009	CAMPBELL HARDWARE & SUPPLY CO#51	9472291	00143	15.54		15.54
51009	ROBERTSDALE AUTO PARTS INC	334 9472882	00143	547.39		547.39
54037	SOUTH ALABAMA REGIONAL	334 4336541	00143	993.13		993.13
98634	MCPHERSON OIL CO INC/DBA FUELM#00	2398882	00143	211.26		211.26
99320	INFIRMARY OCCUPATIONAL HEALTH#34	4333781	00143	150.00		150.00
115852	DADE PAPER & BAG CO	251 9641500	00143	125.07		125.07
117583	MIDDLETON, CHANDRA K	251 9646967	00143	169.00		169.00
136207	SHERWIN WILLIAMS - SPANISH FOR		00143	101.19		101.19
146675	STEWART, RONALD B	251 5804156	00143	70.00		70.00
166975	TSA INC	205 7339111	00143	402.00		402.00
185594	STAPLES CONTRACT & COMMERCIAL#03	333 8496	00143	460.87		460.87
187344	CINTAS - UNIFORMS		00143	110.80		110.80
187695	CINTAS LOCATION #211 - PURCHAS	251 443 7301	00143	140.00		140.00
191271	PARTS HOUSE, THE	251 471 45000	00143	331.17		331.17
	Section 18 Fund		00143	3,827.42		3,827.42
19009	CAMPBELL HARDWARE & SUPPLY CO#51	9472291	00144	67.57		67.57
51040	ROBERTSDALE POWER EQUIPMENT		00144	38.52		38.52
70471	SUMMIT LANDSCAPE SUPPLY	251 9645726	00144	387.00		387.00
98597	BALDWIN TRACTOR - PURCHASES	9474171	00144	850.00		850.00
98634	MCPHERSON OIL CO INC/DBA FUELM#00	2398882	00144	30.36		30.36
99320	INFIRMARY OCCUPATIONAL HEALTH#34	4333781	00144	103.00		103.00
103114	BAY UTILITY TRAILERS INC	251 9436683	00144	60.00		60.00
115852	DADE PAPER & BAG CO	251 9641500	00144	508.50		508.50
136872	LOWE'S - DAPHNE	6217620	00144	247.95	6.60-	254.55
148734	AIRGAS USA, LLC - PO'S		00144	321.19		321.19
181489	COASTAL OCCUPATIONAL MEDICINE		00144	80.00		80.00
185594	STAPLES CONTRACT & COMMERCIAL#03	333 8496	00144	758.95		758.95
187344	CINTAS - UNIFORMS		00144	264.52		264.52

Supplier				Aging				
Number	Name	Phone Number	Co	Balance Open	Current	1 - 0	Over 0	
187695	CINTAS LOCATION #211 - PURCHAS	251 443 7301	00144	290.00				290.00
	Parks Fund		00144	4,007.56	6.60-			4,014.16
191603	J R WILBURN & ASSOCIATES INC		00146	10,559.50				10,559.50
	Eastern Shore Metro Planning O		00146	10,559.50				10,559.50
27181	GULF STATES DISTRIBUTORS	800 2237869	00154	9,585.00				9,585.00
	Federal Forfeiture Fund		00154	9,585.00				9,585.00
32045	HOSEA O. WEAVER & SON INC	251 3423025	00165	15,981.88	192.01-			16,173.89
40589	MOBILE ASPHALT CO LLC	251 4080770	00165	32,922.81	441.03-			33,363.84
43932	EVANS MFG	251 6336008	00165	2,751.60				2,751.60
65201	VULCAN MATERIALS CO - PO'	251 6256681	00165	4,038.97				4,038.97
	Gulf Mexico EnergySec Act 2006		00165	55,695.26	633.04-			56,328.30
19009	CAMPBELL HARDWARE & SUPPLY CQ51	9472291	00510	168.11				168.11
41646	FEDEX		00510	26.11				26.11
48262	PIGGLY WIGGLY - BAY MINETTE	251 9377865	00510	297.84				297.84
49189	SUN COAST BUILDERS	9434344	00510	20,155.00				20,155.00
51009	ROBERTSDALE AUTO PARTS INC	334 9472882	00510	43.85				43.85
54050	BAY SIDE RUBBER & PRODUCTS	251 6600902	00510	182.62				182.62
57071	THOMPSON TRACTOR CO	334 6265100	00510	7,985.89	88.92-			8,074.81
57304	TWO-WAY COMMUNICATIONS INC **	5045859200	00510	4,000.00				4,000.00
65091	STONE CROSBY PC **		00510	2,016.00				2,016.00
68013	SOUTHEASTERN EQUIPMENT CO/ PO706	7984361	00510	733.10				733.10
72371	GENERAL MACHINERY CO INC - MOB		00510	1,045.06				1,045.06
84216	W W GRAINGER - FOR PO'S	251 661-1035	00510	122.68				122.68
87716	LOWE'S FOLEY - 057700034	9705307	00510	32.26				32.26
89762	MOBILE PRESS REGISTER (SUBSCRI		00510	267.40				267.40
91598	POWELL, NORMA C	251 9645257	00510	18.56				18.56
95071	FLEETPRIDE - PO	251 4382489	00510	1,218.20				1,218.20
97682	COCA COLA BOTTLING CO-ROBERTSD		00510	296.80				296.80
98634	MCPHERSON OIL CO INC/DBA FUELM#00	2398882	00510	237.36				237.36
99320	INFIRMARY OCCUPATIONAL HEALTHB34	4333781	00510	183.00				183.00
102875	CDW - GOVERNMENT, INC - PO	866 3393526	00510	156.26				156.26
104096	AL STATE DEPT OF AGRICULTURE &		00510	155.00				155.00
107086	CITY ELECTRIC SUPPLY - C.E.S.	9291030	00510	59.99				59.99
107511	CDG ENGINEERS & ASSOCIATES	334 2229431	00510	7,414.08				7,414.08
115852	DADE PAPER & BAG CO	251 9641500	00510	295.87				295.87
120432	HI-LINE - FOR PURCHASE ORDERS		00510	298.95				298.95
136354	G T MICHELLI SCALES - PO'S	251 6613656PH	00510	1,965.00				1,965.00
142404	SCS FIELD SERVICES		00510	6,538.00				6,538.00
144784	LOXLEY CWC GENERAL FUND	251 9645044	00510	5,280.00				5,280.00
147619	QED ENVIRONMENTAL SYSTEMS, IN600	624 2026	00510	2,731.60	226.40-			2,958.00

Supplier			Aging			
Number	Name	Phone Number	Co	Balance Open	Current	Over 0
149690	McGRIFF TIRE CO INC (PO'S ONL		00510	1,876.14		1,876.14
150578	MSC INDUSTRIAL DIRECT CO INC		00510	516.00		516.00
166975	TSA INC	205 7339111	00510	7,075.64		7,075.64
181787	SHARP ELECTRONICS CORPORATION		00510	4.42		4.42
182624	FRANKLIN'S STARTER & ALTERNATO251	653 0067	00510	248.00		248.00
185302	BLACKHAWK TECHNOLOGY CO	630 469 4916	00510	302.15		302.15
185645	BEARD EQUIPMENT CO - MOBILE		00510	61,673.27		61,673.27
185989	HIGHLAND TECHNICAL SERVICES IN205	985 4874	00510	680.00		680.00
186800	PIGGLY WIGGLY FOLEY #474	855 584 3744	00510	950.64		950.64
186802	PIGGLY WIGGLY LOXLEY #472	855 584 3744	00510	1,083.45		1,083.45
187344	CINTAS - UNIFORMS		00510	735.11		735.11
191148	ONE CUT GLASS, LLC	251 967-1171	00510	100.00		100.00
	Solid Waste Fund		00510	139,169.41	315.32-	139,484.73
19009	CAMPBELL HARDWARE & SUPPLY CO51	9472291	00511	69.50		69.50
21127	DISTRICT ATTORNEY'S OFFICE		00511	35,000.00		35,000.00
27242	GULF COAST TRUCK & EQUIPMENT G34	4762744	00511	13,026.05		13,026.05
40019	MOYER FORD SALES INC	334 9431661	00511	944.02		944.02
51009	ROBERTSDALE AUTO PARTS INC	334 9472882	00511	130.07		130.07
54050	BAY SIDE RUBBER & PRODUCTS	251 6600902	00511	912.68		912.68
84216	W W GRAINGER - FOR PO'S	251 661-1035	00511	408.48		408.48
95071	FLEETPRIDE - PO	251 4382489	00511	1,119.15		1,119.15
98634	MCPHERSON OIL CO INC/DBA FUELM800	2398882	00511	296.26		296.26
99320	INFIRMARY OCCUPATIONAL HEALTHB34	4333781	00511	183.00		183.00
102875	CDW - GOVERNMENT, INC - PO	866 3393526	00511	3,534.60		3,534.60
120432	HI-LINE - FOR PURCHASE ORDERS		00511	298.13		298.13
149690	McGRIFF TIRE CO INC (PO'S ONL		00511	8,410.35	1,150.00-	9,560.35
150578	MSC INDUSTRIAL DIRECT CO INC		00511	520.50		520.50
170536	SOUTHDATA INC *	251 3437616	00511	23,339.71		23,339.71
181290	WESCO - FOLEY - PO'S	251 424 1550	00511	115.15	41.90-	157.05
181489	COASTAL OCCUPATIONAL MEDICINE		00511	160.00		160.00
181787	SHARP ELECTRONICS CORPORATION		00511	175.23		175.23
185645	BEARD EQUIPMENT CO - MOBILE		00511	42,635.27		42,635.27
187344	CINTAS - UNIFORMS		00511	261.04		261.04
187695	CINTAS LOCATION #211 - PURCHAS	251 443 7301	00511	150.00		150.00
	Solid Waste Collection Fund		00511	131,689.19	1,191.90-	132,881.09
10007	ALABAMA LAW ENFORCEMENT AGENCY	2424900	00708	1,435.00		1,435.00
14084	BOB BARKER CO INC - PO'S		00708	72.94		72.94
98634	MCPHERSON OIL CO INC/DBA FUELM800	2398882	00708	10.33		10.33
174713	PAM'S EMBROIDERY & SEWING	251 9371393	00708	10.00		10.00
181574	O'REILLY AUTO PARTS-FIRST CALL		00708	75.09-	103.14-	28.05

Supplier			Aging			
Number	Name	Phone Number	Co	Balance Open	Current	Over 0
184294	PRINT SHOP, THE	251 937 1772	00708	25.00		25.00
185396	MAC'S AUTOGLASS LLC	251 228 2744	00708	245.00		245.00
185594	STAPLES CONTRACT & COMMERCIAL	333 8496	00708	113.31		113.31
187344	CINTAS - UNIFORMS		00708	43.84		43.84
	Community Corrections		00708	1,880.33	103.14	1,983.47
39466	KENTWOOD SPRINGS WATER	18002357873	00740	64.37		64.37
54037	SOUTH ALABAMA REGIONAL	334 4336541	00740	243.00		243.00
66391	XEROX CORP		00740	102.68		102.68
99514	MATTHEW BENDER*		00740	919.84		919.84
116169	GANEY, BRENDA Q		00740	3,433.34		3,433.34
181809	RUFFIN, LORI G		00740	165.00		165.00
182095	MOBILE BAY REPORTING, INC		00740	150.00		150.00
185506	SMITH, CHARLOTTE K		00740	231.00		231.00
	Law Library Fund		00740	5,309.23		5,309.23
85307	WAL-MART SUPERCENTER *	251 9375558	00751	1,500.00		1,500.00
	BC Employee Fund		00751	1,500.00		1,500.00
43012	OFFICE OF PROSECUTION SERVICES		00760	399.21		399.21
	District Attorney Fund		00760	399.21		399.21
21127	DISTRICT ATTORNEY'S OFFICE		00761	60,000.00		60,000.00
	Worthless Check Fund		00761	60,000.00		60,000.00
21127	DISTRICT ATTORNEY'S OFFICE		00765	140,000.00		140,000.00
	DA Restitution Recovery Fund		00765	140,000.00		140,000.00
76902	STACEY, CHRISTINA M	251 2146074	00779	131.00		131.00
182168	MASON, TAMMY M	251 2698935	00779	415.20		415.20
183151	SHOOTS, TANZIE SLEDGE	251 625-2384	00779	367.64		367.64
184675	SIBLEY, JANICE ERNIECE	251 284-6407	00779	131.00		131.00
184923	BUCK, JOEI LANE	251 580-0033	00779	386.20		386.20
185294	DAY, LYNN H	251 2135418	00779	131.00		131.00
	MV Special Training Fund		00779	1,562.04		1,562.04
85307	WAL-MART SUPERCENTER *	251 9375558	00780	201.84		201.84
	Donation Trust Fund		00780	201.84		201.84
182457	PEADEN, MINERVA		00790	40.43		40.43
186451	SYMBOL HEALTH SOLUTIONS LLC		00790	22,762.42		22,762.42
	Self Insurance Trust		00790	22,802.85		22,802.85
Grand Total(s)			00790	2,707,747.36	225,176.69	2,482,570.67

..... Document				Date Payment Voucher	Co. G/L Class	Name Invoice Number Account Description	Address Number Remark Account Number Amounts				LT	PC	PI	Subledger /Type	Tax Amount
Ty	Payment Voucher	Co.	Item					Payment Amount Discount Taken	G/L Distribution		User ID					
G/L Bank Account 00018481						Cash	Batch Number	2848273	Type	M	Date	12/30/2019			SGRANT	
PN	9204946			1/7/2020	00001	BALDWIN CNTY BOARD OF EDUCATIO	14116	6,265,898.66-							D	
PV	504309	00001	001	12/30/2019		12302019	SALES/USE TAX									
						Cash	00018481					6,265,898.66-		AA		
PN	9204946			1/7/2020	00001	BALDWIN CNTY BOARD OF EDUCATIO	14116	1,168,222.44-							D	
PV	504309	00001	002	12/30/2019		12302019	SALES/USE TAX									
						Cash	00018481					1,168,222.44-		AA		
PN	9204946			1/7/2020	00001	BALDWIN CNTY BOARD OF EDUCATIO	14116	188,324.28-							D	
PV	504310	00001	001	12/30/2019		11302019	CASUAL SALES TAX; NOV 2019									
						Cash	00018481					188,324.28-		AA		
Totals for Bank Account								7,622,445.38-				7,622,445.38-				
Totals for Batch								7,622,445.38-				7,622,445.38-				
User Total								7,622,445.38-				7,622,445.38-				
Grand Total								7,622,445.38-				7,622,445.38-				

..... Document				Date	Co.	Name	Address Number Amounts										Tax Amount
Payment				Payment	G/L Class	Invoice Number	Remark	Payment Amount		G/L		LT	PC	PI	Subledger /Type			
Ty	Voucher	Co.	Item	Voucher		Account Description	Account Number	Discount Taken		Distribution								
G/L Bank Account 00018481						Cash	Batch Number	2848274	Type	M	Date	12/30/2019	User ID	SGRANT				
PN	9204947			1/7/2020	00001	GULF SHORES BOARD OF EDUCATION	191392								D			
PV	504311	00001	001	12/30/2019		12302019	SALES/USE TAX											
						Cash	00018481						360,363.08-	AA				
PN	9204947			1/7/2020	00001	GULF SHORES BOARD OF EDUCATION	191392								D			
PV	504311	00001	002	12/30/2019		12302019	SALES/USE TAX											
						Cash	00018481						79,126.65-	AA				
PN	9204947			1/7/2020	00001	GULF SHORES BOARD OF EDUCATION	191392								D			
PV	504312	00001	001	12/30/2019		11302019	CASUAL SALES TAX; NOV '19						13,027.97-					
						Cash	00018481						13,027.97-	AA				
Totals for Bank Account								452,517.70-		452,517.70-								
Totals for Batch								452,517.70-		452,517.70-								
User Total								452,517.70-		452,517.70-								
Grand Total								452,517.70-		452,517.70-								



Baldwin County Commission

Agenda Action Form

File #: 20-0483, **Version:** 1

Item #: EA2

Meeting Type: BCC Regular Meeting

Meeting Date: 1/7/2020

Item Status: New

From: Cian Harrison, Clerk/Treasurer

Eva Cutsinger, Senior Accountant

Submitted by: Sharon Grant, Accounts Payable Supervisor

ITEM TITLE

Notification of Interim Payments Approved by Clerk/Treasurer as Allowed Under Policy 8.1

STAFF RECOMMENDATION

Make the attached interim payments made by the Clerk/Treasurer totaling \$4,018,123.83 (four million, eighteen thousand, one hundred twenty-three dollars and eighty-three cents) a part of the minutes.

BACKGROUND INFORMATION

Previous Commission action/date: N/A

Background: N/A

FINANCIAL IMPACT

Total cost of recommendation: \$4,018,123.83

Budget line item(s) to be used: N/A

If this is not a budgeted expenditure, does the recommendation create a need for funding? N/A

LEGAL IMPACT

Is legal review necessary for this staff recommendation and related documents?

N/A

Reviewed/approved by: N/A

Additional comments: N/A

ADVERTISING REQUIREMENTS

Is advertising required for this recommendation? N/A

If the proof of publication affidavit is not attached, list the reason: N/A

FOLLOW UP IMPLEMENTATION

For time-sensitive follow up, select deadline date for follow up: N/A

Individual(s) responsible for follow up: N/A

Action required (list contact persons/addresses if documents are to be mailed or emailed): N/A

Additional instructions/notes: N/A

**Baldwin County Commission
Interim Payments
January 7, 2020**

Vendor Summary		Totals	Brief Description
1	ACCA LIABILITY SELF INSURANCE FUND	1,640,838.37	Insurance
2	AL CHILD SUPPORT PAYMENT CTR	2,632.22	Payroll
3	AL STATE DEPT OF FINANCE-CRAFT TRAINING	2,892.00	CICT Fees; Nov '19
4	AL STATE DEPT OF REVENUE	245.89	Payroll
5	AT&T	4,866.82	Telephone
6	AT&T	537.73	Telephone
7	AT&T MOBILITY	560.28	Telephone
8	BALDWIN CNTY COMMISSION-BOOTS	5,635.00	Payroll
9	BALDWIN CNTY COMMISSION-DENTAL	12,104.00	Payroll
10	BALDWIN CNTY COMMISSION-HEALTH	63,017.50	Payroll
11	BALDWIN CNTY PROBATE COURT	3,000.00	ROW; Hoyle Bryars Road
12	BALDWIN CNTY SHERIFF'S OFFICE	1,651,064.39	Payroll
13	BALDWIN EMC	9,325.00	Utilities
14	BAY MINETTE POSTMASTER	10,000.00	Postage
15	BLUE CROSS & BLUE SHIELD OF AL	81,436.18	Payroll
16	CENTURYLINK	82.30	Telephone
17	CENTURYLINK	1,715.10	Telephone
18	COONEY, NICHOLAS	1,102.00	Solid Waste Refund
19	DANIEL O'BRIEN	1,523.51	Payroll
20	DEPT OF CHILDREN & FAMILY SVCS	193.84	Payroll
21	EICHER, JAZMINE	78.00	Solid Waste Refund
22	ENGLISH, MONICA SHANTA	65.00	Payroll
23	FAIRHOPE, CITY OF	7,665.65	Utilities
24	FLEXIBLE BENEFITS	9,809.63	Payroll
25	FNA 2018-1 LLC	54,972.98	Land Redemption
26	FOLEY, CITY OF	57.72	Utilities
27	GARDNER, AIMEE	132.00	Solid Waste Refund
28	GAUTNEY, WANDA FAIL	710.00	Reimbursement
29	HARBOR COMMUNICATIONS LLC	3,559.89	Telephone
30	IRS-TAX PAYMENT	225,723.32	Payroll
31	JODY L WISE, CIRCUIT CLK	266.31	Payroll
32	JUDICIAL RETIREMENT FUND	907.68	Payroll
33	NATIONWIDE RETIREMENT SOLUTIONS	11,844.75	Payroll
34	NORTH BALDWIN UTILITIES	156.60	Utilities
35	PEIRAF DEFERRED COMPENSATION	1,767.00	Payroll
36	RELIABLE PROPERTIES LLC	103.31	Land Redemption
37	RETIREMENT SYSTEMS OF AL	134,200.77	Payroll
38	REVENUE COMMISSION-TEDDY FAUST	363.16	Property Taxes
39	RIVIERA UTILITIES	9,839.38	Utilities
40	ROBERTS, FRANK S II	128.00	Solid Waste Refund
41	ROBERTSON INSURANCE AGENCY INC	11,249.00	Bond Renewals & Insurance
42	SOUTHERN LINC WIRELESS	238.30	Telephone
43	US BANK CUST TOWER DB VII TRUST 2017-1	50,397.46	Land Redemption
44	VERIZON WIRELESS	1,115.79	Telephone
Grand Total		4,018,123.83	

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Baldwin County Commission
Manual Payment Journal

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..... Document				Date	Co.	Name	Address Number Amounts							
Payment				Payment	G/L Class	Invoice Number	Remark	Payment Amount	G/L	LT	PC	PI	Subledger /Type	Tax Amount	
Ty	Voucher	Co.	Item	Voucher		Account Description	Account Number	Discount Taken	Distribution						
						Cash	00018481		4,869.72-	AA					
PN	9204931			12/12/2019	00708	BALDWIN CNTY SHERIFF'S OFFICE	10	658.06-				D			
PV	503324	00001	003	12/12/2019		12112019A	MONTH END; NOV 2019								
						Cash	00018481		658.06-	AA					
Totals for Bank Account								915,467.47-	915,467.47-						
Totals for Batch								915,467.47-	915,467.47-						
User Total								915,467.47-	915,467.47-						
Grand Total								915,467.47-✓	915,467.47-						

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Baldwin County Commission
Manual Payment Journal

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..... Document				Date	Co.	Name	Address Number Amounts						LT	PC	PI	Subledger /Type	Tax Amount
Payment				Payment	G/L Class	Invoice Number	Remark	Payment Amount	G/L		Discount Taken		Distribution					
Ty	Voucher	Co.	Item	Voucher		Account Description	Account Number											
G/L Bank Account 00018481						Cash	Batch Number	2848008	Type	M	Date	12/12/2019	User ID	SGRANT				
PN	9204932			12/12/2019	00001	BALDWIN CNTY SHERIFF'S OFFICE	10	100.04-									D	
PV	503347	00001	001	12/12/2019		12112019B	ADDITIONAL AMT; MONTH END											
						Cash	00018481	100.04-						AA				
Totals for Bank Account								100.04-					100.04-					
Totals for Batch								100.04-					100.04-					
User Total								100.04-					100.04-					
Grand Total								100.04-					100.04-					

..... Supplier Aging				
Number	Name	Phone Number	Co	Balance Open	Current	1 - 0	Over 0
14397	AT&T MOBILITY (WIRELESS) **		00001	128.29			128.29
19049	FOLEY, CITY OF		00001	57.72			57.72
27007	CENTURYLINK (GULFTEL) **		00001	82.30			82.30
51003	RIVIERA UTILITIES		00001	8,519.43			8,519.43
54017	AT&T (BELLSOUTH)*		00001	3,948.17			3,948.17
61111	CENTURYLINK(GULF TELEPHONE CO		00001	1,715.10			1,715.10
62367	SOUTHERN LINC WIRELESS		00001	238.30			238.30
63589	AT&T (BELLSOUTH)**		00001	168.75			168.75
155408	HARBOR COMMUNICATIONS LLC	6621532	00001	3,559.89			3,559.89
	General Fund		00001	18,417.95			18,417.95
14397	AT&T MOBILITY (WIRELESS) **		00105	77.60			77.60
	Juvenile Detention Fac Fund		00105	77.60			77.60
14005	BALDWIN EMC	251 9890118	00109	2,668.00			2,668.00
	Animal Shelter		00109	2,668.00			2,668.00
14005	BALDWIN EMC	251 9890118	00111	428.00			428.00
14397	AT&T MOBILITY (WIRELESS) **		00111	36.43			36.43
51003	RIVIERA UTILITIES		00111	130.46			130.46
54017	AT&T (BELLSOUTH)*		00111	699.97			699.97
	7 Cent Gasoline Tax Fund		00111	1,294.86			1,294.86
54017	AT&T (BELLSOUTH)*		00140	218.68			218.68
	Council on Aging Fund		00140	218.68			218.68
51003	RIVIERA UTILITIES		00143	45.00			45.00
152240	VERIZON WIRELESS **		00143	835.70			835.70
	Section 18 Fund		00143	880.70			880.70
14005	BALDWIN EMC	251 9890118	00144	99.00			99.00
19003	NORTH BALDWIN UTILITIES		00144	48.88			48.88
51003	RIVIERA UTILITIES		00144	49.80			49.80
63589	AT&T (BELLSOUTH)**		00144	368.98			368.98
	Parks Fund		00144	566.66			566.66
14005	BALDWIN EMC	251 9890118	00510	5,279.00			5,279.00
	Solid Waste Fund		00510	5,279.00			5,279.00
191537	GARDNER, AIMEE (R)		00511	132.00			132.00
191538	EICHER, JAZMINE (R)		00511	78.00			78.00
191539	COONEY, NICHOLAS (R)		00511	1,102.00			1,102.00
191540	ROBERTS, FRANK S II (R)		00511	128.00			128.00
	Solid Waste Collection Fund		00511	1,440.00			1,440.00
180942	RELIABLE PROPERTIES LLC		00725	103.31			103.31
187181	US BANK CUST TOWER DB VII TRUS		00725	50,397.46			50,397.46
189250	FNA 2018-1 LLC		00725	54,972.98			54,972.98
	Land Redemption Fund		00725	105,473.75			105,473.75

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Baldwin County Commission
Open A/P Summary Report

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As Of 12/12/2019

Supplier			Aging				
Number	Name	Phone Number	Co	Balance Open	Current	1 - 0	Over 0
14397	AT&T MOBILITY (WIRELESS) **		00740	317.96			317.96
152240	VERIZON WIRELESS **		00740	280.09			280.09
	Law Library Fund		00740	598.05			598.05
Grand Total(s)			00740	136,915.25			136,915.25

Document				Date	Co.	Name	Address Number	Amounts				G/L				LT	PC	PI	Subledger /Type	Tax Amount
Ty	Voucher	Co.	Item	Payment Voucher	G/L Class	Invoice Number	Remark	Payment Amount	Discount Taken	G/L Distribution										
G/L Bank Account		00018481				Cash	Batch Number	2848079	Type	M	Date	12/16/19	User ID	ECUTSINGER						
PN	9204933			12/16/19	00001	JUDICIAL RETIREMENT FUND	36240					907.68-						D		
T7	502487	00001	001	12/13/19		120519950183	JRF DEC 2019													
						Cash	00018481						907.68-	AA						
Totals for Bank Account												907.68-	907.68-							
Totals for Batch												907.68-	907.68-							
User Total												907.68-	907.68-							
Grand Total												907.68-	907.68-							

.....Supplier.....		Aging.....				
Number	Name	Phone Number	Co	Balance Open	Current	1 - 0	Over 0
48004	BAY MINETTE POSTMASTER		00001	10,000.00			10,000.00
160750	REVENUE COMMISSION-TEDDY FAUST		00001	363.16	363.16		
186412	AL STATE DEPT OF FINANCE-CRAFT		00001	2,892.00			2,892.00
	General Fund		00001	13,255.16	363.16		12,892.00
3308	GAUTNEY, WANDA FAIL	251 9376342	00751	710.00	710.00		
	BC Employee Fund		00751	710.00	710.00		
Grand Total(s)			00751	13,965.16	1,073.16		12,892.00

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Baldwin County Commission
Manual Payment Journal

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Document				Date Payment Voucher	Co. G/L Class	Name Invoice Number Account Description	Address Number Remark Account Number	Amounts				G/L Distribution	LT PC PI Subledger /Type	Tax Amount
Ty	Payment Voucher	Co.	Item					Payment Amount Discount Taken						
G/L Bank Account 00018481						Cash	Batch Number 2848111	Type	M	Date	12/17/19	User ID	ECUTSINGER	
PN	9204934			12/13/19	00001	RETIREMENT SYSTEMS OF AL	51059				997.50-		D	
T7	502491	00001	001	12/13/19		120519950187	RSA PPE 120819 BW							
						Cash	00018481					997.50-	AA	
PN	9204934			12/13/19	00001	RETIREMENT SYSTEMS OF AL	51059				1,012.66-		D	
T7	502492	00001	001	12/13/19		120519950188	RSA PPE 120819 BW							
						Cash	00018481					1,012.66-	AA	
PN	9204934			12/13/19	00001	RETIREMENT SYSTEMS OF AL	51059				.01		D	
PD	503437	00001	001	12/13/19		121319	RSA PPE 120813 MONTHLY							
						Cash	00018481					.01	AA	
Totals for Bank Account								2,010.15-			2,010.15-			
Totals for Batch								2,010.15-			2,010.15-			
User Total								2,010.15-			2,010.15-			
Grand Total								2,010.15-			2,010.15-			

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..... Document				Date Payment Voucher	Co. G/L Class	Name Invoice Number Account Description	Address Number Remark Account Number Amounts		G/L Distribution	LT	PC	PI	Subledger /Type	Tax Amount
Ty	Voucher	Co.	Item					Payment Amount	Discount Taken						
						Cash	00018481			38,320.55-	AA				
PN	9204935			12/13/19	00001	RETIREMENT SYSTEMS OF AL	51059	11,874.17-					D		
T7	502888	00001	001	12/13/19		1210199544481	RSA PPE 120819 BW								
						Cash	00018481			11,874.17-	AA				
PN	9204935			12/13/19	00103	RETIREMENT SYSTEMS OF AL	51059	172.31-					D		
T7	502889	00103	001	12/13/19		1210199544482	RSA PPE 120819 BW								
						Cash	00018481			172.31-	AA				
PN	9204935			12/13/19	00104	RETIREMENT SYSTEMS OF AL	51059	169.58-					D		
T7	502890	00104	001	12/13/19		1210199544483	RSA PPE 120819 BW								
						Cash	00018481			169.58-	AA				
PN	9204935			12/13/19	00104	RETIREMENT SYSTEMS OF AL	51059	208.80-					D		
T7	502891	00104	001	12/13/19		1210199544484	RSA PPE 120819 BW								
						Cash	00018481			208.80-	AA				
PN	9204935			12/13/19	00105	RETIREMENT SYSTEMS OF AL	51059	3,203.46-					D		
T7	502892	00105	001	12/13/19		1210199544485	RSA PPE 120819 BW								
						Cash	00018481			3,203.46-	AA				
PN	9204935			12/13/19	00105	RETIREMENT SYSTEMS OF AL	51059	967.40-					D		
T7	502893	00105	001	12/13/19		1210199544486	RSA PPE 120819 BW								
						Cash	00018481			967.40-	AA				
PN	9204935			12/13/19	00106	RETIREMENT SYSTEMS OF AL	51059	512.56-					D		
T7	502894	00106	001	12/13/19		1210199544487	RSA PPE 120819 BW								
						Cash	00018481			512.56-	AA				
PN	9204935			12/13/19	00106	RETIREMENT SYSTEMS OF AL	51059	445.45-					D		
T7	502895	00106	001	12/13/19		1210199544488	RSA PPE 120819 BW								

..... Document				Date Payment Voucher	Co. G/L Class	Name Invoice Number Account Description	Address Number Remark Account Number Amounts		LT	PC	PI	Subledger /Type	Tax Amount
Ty	Payment Voucher	Co.	Item					Payment Amount Discount Taken	G/L Distribution					
						Cash	00018481		445.45-	AA				
PN	9204935			12/13/19	00109	RETIREMENT SYSTEMS OF AL	51059	473.74-				D		
T7	502896	00109	001	12/13/19		1210199544489	RSA PPE 120819 BW							
						Cash	00018481		473.74-	AA				
PN	9204935			12/13/19	00109	RETIREMENT SYSTEMS OF AL	51059	1,274.96-				D		
T7	502898	00109	001	12/13/19		1210199544490	RSA PPE 120819 BW							
						Cash	00018481		1,274.96-	AA				
PN	9204935			12/13/19	00111	RETIREMENT SYSTEMS OF AL	51059	20,387.00-				D		
T7	502899	00111	001	12/13/19		1210199544491	RSA PPE 120819 BW							
						Cash	00018481		20,387.00-	AA				
PN	9204935			12/13/19	00111	RETIREMENT SYSTEMS OF AL	51059	10,039.47-				D		
T7	502900	00111	001	12/13/19		1210199544492	RSA PPE 120819 BW							
						Cash	00018481		10,039.47-	AA				
PN	9204935			12/13/19	00120	RETIREMENT SYSTEMS OF AL	51059	6,838.86-				D		
T7	502901	00120	001	12/13/19		1210199544493	RSA PPE 120819 BW							
						Cash	00018481		6,838.86-	AA				
PN	9204935			12/13/19	00120	RETIREMENT SYSTEMS OF AL	51059	2,428.26-				D		
T7	502902	00120	001	12/13/19		1210199544494	RSA PPE 120819 BW							
						Cash	00018481		2,428.26-	AA				
PN	9204935			12/13/19	00140	RETIREMENT SYSTEMS OF AL	51059	1,517.82-				D		
T7	502903	00140	001	12/13/19		1210199544495	RSA PPE 120819 BW							
						Cash	00018481		1,517.82-	AA				
PN	9204935			12/13/19	00140	RETIREMENT SYSTEMS OF AL	51059	187.05-				D		
T7	502904	00140	001	12/13/19		1210199544496	RSA PPE 120819 BW							

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Baldwin County Commission
Manual Payment Journal

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Document				Date Payment Voucher	Co. G/L Class	Name Invoice Number Account Description	Address Number Remark Account Number	Amounts		G/L Distribution	LT	PC	PI	Subledger /Type	Tax Amount
Ty	Payment Voucher	Co.	Item					Payment Amount Discount Taken							
						Cash	00018481			187.05-	AA				
PN	9204935			12/13/19	00143	RETIREMENT SYSTEMS OF AL	51059	4,058.19-					D		
T7	502905	00143	001	12/13/19		1210199544497	RSA PPE 120819 BW								
						Cash	00018481			4,058.19-	AA				
PN	9204935			12/13/19	00143	RETIREMENT SYSTEMS OF AL	51059	2,478.21-					D		
T7	502906	00143	001	12/13/19		1210199544498	RSA PPE 120819 BW								
						Cash	00018481			2,478.21-	AA				
PN	9204935			12/13/19	00144	RETIREMENT SYSTEMS OF AL	51059	2,150.65-					D		
T7	502907	00144	001	12/13/19		1210199544499	RSA PPE 120819 BW								
						Cash	00018481			2,150.65-	AA				
PN	9204935			12/13/19	00001	RETIREMENT SYSTEMS OF AL	51059	.25					D		
PD	503436	00001	001	12/13/19		12132019	RSA PPE 120819 BW ROUNDING								
						Cash	00018481			.25	AA				
Totals for Bank Account								132,190.62-		132,190.62-					
Totals for Batch								132,190.62-		132,190.62-					
User Total								132,190.62-		132,190.62-					
Grand Total								132,190.62-		132,190.62-					

.....Document.....				Date Payment Voucher	Co. G/L Class	Name Invoice Number Account Description	Address Number Remark Account NumberAmounts.....				G/L Distribution	LT PC PI Subledger /Type	Tax Amount	
Ty	Voucher	Co.	Item					Payment Amount Discount Taken							
G/L Bank Account 00018481						Cash	Batch Number	2848113	Type	M	Date	12/17/19	User ID	ECUTSINGER	
PN	9204936			12/13/19	00001	C/O RETIREMENT SYSTEMS OF AL	8889					1,210.00-		D	
T7	502704	00001	001	12/13/19		1210199544416	RSA1 PPE 120819								
						Cash	00018481						1,210.00-	AA	
PN	9204936			12/13/19	00105	C/O RETIREMENT SYSTEMS OF AL	8889					20.00-		D	
T7	502715	00105	001	12/13/19		1210199544417	RSA1 PPE 120819								
						Cash	00018481						20.00-	AA	
PN	9204936			12/13/19	00111	C/O RETIREMENT SYSTEMS OF AL	8889					52.00-		D	
T7	502726	00111	001	12/13/19		1210199544418	RSA1 PPE 120819								
						Cash	00018481						52.00-	AA	
PN	9204936			12/13/19	00120	C/O RETIREMENT SYSTEMS OF AL	8889					90.00-		D	
T7	502737	00120	001	12/13/19		1210199544419	RSA1 PPE 120819								
						Cash	00018481						90.00-	AA	
PN	9204936			12/13/19	00140	C/O RETIREMENT SYSTEMS OF AL	8889					285.00-		D	
T7	502749	00140	001	12/13/19		1210199544420	RSA1 PPE 120819								
						Cash	00018481						285.00-	AA	
PN	9204936			12/13/19	00143	C/O RETIREMENT SYSTEMS OF AL	8889					25.00-		D	
T7	502760	00143	001	12/13/19		1210199544421	RSA1 PPE 120819								
						Cash	00018481						25.00-	AA	
PN	9204936			12/13/19	00146	C/O RETIREMENT SYSTEMS OF AL	8889					25.00-		D	
T7	502771	00146	001	12/13/19		1210199544422	RSA1 PPE 120819								
						Cash	00018481						25.00-	AA	
PN	9204936			12/13/19	00510	C/O RETIREMENT SYSTEMS OF AL	8889					10.00-		D	
T7	502782	00510	001	12/13/19		1210199544423	RSA1 PPE 120819								

..... Document				Date Payment Voucher	Co. G/L Class	Name Invoice Number Account Description	Address Number Remark Account Number Amounts		LT	PC	PI	Subledger /Type	Tax Amount
Ty	Payment Voucher	Co.	Item					Payment Amount Discount Taken	G/L Distribution					
						Cash	00018481		10.00-	AA				
PN	9204936			12/13/19	00511	C/O RETIREMENT SYSTEMS OF AL	8889	50.00-				D		
T7	502793	00511	001	12/13/19		1210199544424	RSA1 PPE 120819							
						Cash	00018481		50.00-	AA				
						Totals for Bank Account		1,767.00-	1,767.00-					
						Totals for Batch		1,767.00-	1,767.00-					
						User Total		1,767.00-	1,767.00-					
						Grand Total		1,767.00-	1,767.00-					

..... Document				Date	Co.	Name	Address Number Amounts									
Payment				Payment	G/L Class	Invoice Number	Remark	Payment Amount			G/L	LT	PC	PI	Subledger	/Type	Tax Amount
Ty	Voucher	Co.	Item	Voucher		Account Description	Account Number	Discount Taken			Distribution						
G/L Bank Account				00018481		Cash	Batch Number	2848133	Type	M	Date	12/18/19	User ID	ECUTSINGER			
PN	9204937			12/19/19	00104	IRS-TAX PAYMENT	54188					358.56-			D		
T7	503494	00104	001	12/19/19		1217191541542	636001408	Payroll Taxes									
						Cash	00018481					358.56-	AA				
PN	9204937			12/19/19	00104	IRS-TAX PAYMENT	54188					382.50-			D		
T7	503495	00104	001	12/19/19		1217191541543	636001408	Payroll Taxes									
						Cash	00018481					382.50-	AA				
Totals for Bank Account												741.06-	741.06-				
Totals for Batch												741.06-	741.06-				
User Total												741.06-	741.06-				
Grand Total												741.06-	741.06-				

..... Document				Date Payment Voucher	Co. G/L Class	Name Invoice Number Account Description	Address Number Remark Account Number	Amounts				G/L Distribution	LT PC PI Subledger /Type	Tax Amount
Ty	Voucher	Co.	Item					Payment Amount Discount Taken						
G/L Bank Account 00018481						Cash	Batch Number 2848142	Type	M	Date	12/18/2019	User ID	RBENSON	
PN	9204938			12/18/2019	00790	BLUE CROSS & BLUE SHIELD OF AL	14125				921.00-		D	
PV	503547	00790	001	12/18/2019		42257 998 121319	WEEK: 12/09/2019-12/13/2019							
						Cash	00018481					921.00-	AA	
PN	9204938			12/18/2019	00790	BLUE CROSS & BLUE SHIELD OF AL	14125				1,874.60-		D	
PV	503547	00790	002	12/18/2019		42257 998 121319	WEEK: 12/09/2019-12/13/2019							
						Cash	00018481					1,874.60-	AA	
PN	9204938			12/18/2019	00790	BLUE CROSS & BLUE SHIELD OF AL	14125				120.00-		D	
PV	503547	00790	003	12/18/2019		42257 998 121319	WEEK: 12/09/2019-12/13/2019							
						Cash	00018481					120.00-	AA	
PN	9204938			12/18/2019	00790	BLUE CROSS & BLUE SHIELD OF AL	14125				349.87-		D	
PV	503547	00790	004	12/18/2019		42257 998 121319	WEEK: 12/09/2019-12/13/2019							
						Cash	00018481					349.87-	AA	
PN	9204938			12/18/2019	00790	BLUE CROSS & BLUE SHIELD OF AL	14125				46.00		D	
PV	503547	00790	005	12/18/2019		42257 998 121319	WEEK: 12/09/2019-12/13/2019							
						Cash	00018481					46.00	AA	
PN	9204938			12/18/2019	00790	BLUE CROSS & BLUE SHIELD OF AL	14125				13,721.12-		D	
PV	503547	00790	006	12/18/2019		42257 998 121319	WEEK: 12/09/2019-12/13/2019							
						Cash	00018481					13,721.12-	AA	
PN	9204938			12/18/2019	00790	BLUE CROSS & BLUE SHIELD OF AL	14125				721.26-		D	
PV	503547	00790	007	12/18/2019		42257 998 121319	WEEK: 12/09/2019-12/13/2019							
						Cash	00018481					721.26-	AA	
PN	9204938			12/18/2019	00790	BLUE CROSS & BLUE SHIELD OF AL	14125				180.90-		D	
PV	503547	00790	008	12/18/2019		42257 998 121319	WEEK: 12/09/2019-12/13/2019							

.....Document.....				Date Payment Voucher	Co. G/L Class	Name Invoice Number Account Description	Address Number Remark Account Number	Amounts		LT	PC	PI	Subledger /Type	Tax Amount
Ty	Voucher	Co.	Item					Payment Amount Discount Taken	G/L Distribution					
						Cash	00018481		180.90-	AA				
PN	9204938			12/18/2019	00790	BLUE CROSS & BLUE SHIELD OF AL	14125	3,122.18				D		
PV	503547	00790	009	12/18/2019		42257 998 121319	WEEK: 12/09/2019-12/13/2019							
						Cash	00018481		3,122.18	AA				
PN	9204938			12/18/2019	00790	BLUE CROSS & BLUE SHIELD OF AL	14125	1.74-				D		
PV	503547	00790	010	12/18/2019		42257 998 121319	WEEK: 12/09/2019-12/13/2019							
						Cash	00018481		1.74-	AA				
PN	9204938			12/18/2019	00790	BLUE CROSS & BLUE SHIELD OF AL	14125	1,085.66				D		
PV	503547	00790	011	12/18/2019		42257 998 121319	WEEK: 12/09/2019-12/13/2019							
						Cash	00018481		1,085.66	AA				
PN	9204939			12/18/2019	00790	BLUE CROSS & BLUE SHIELD OF AL	14125	2,836.90-				D		
PV	503548	00790	001	12/18/2019		42257 999 121319	WEEK: 12/09/19-12/13/19							
						Cash	00018481		2,836.90-	AA				
PN	9204939			12/18/2019	00790	BLUE CROSS & BLUE SHIELD OF AL	14125	3,760.45-				D		
PV	503548	00790	002	12/18/2019		42257 999 121319	WEEK: 12/09/19-12/13/19							
						Cash	00018481		3,760.45-	AA				
PN	9204939			12/18/2019	00790	BLUE CROSS & BLUE SHIELD OF AL	14125	410.20-				D		
PV	503548	00790	003	12/18/2019		42257 999 121319	WEEK: 12/09/19-12/13/19							
						Cash	00018481		410.20-	AA				
PN	9204939			12/18/2019	00790	BLUE CROSS & BLUE SHIELD OF AL	14125	840.91-				D		
PV	503548	00790	004	12/18/2019		42257 999 121319	WEEK: 12/09/19-12/13/19							
						Cash	00018481		840.91-	AA				
PN	9204939			12/18/2019	00790	BLUE CROSS & BLUE SHIELD OF AL	14125	23.00				D		
PV	503548	00790	005	12/18/2019		42257 999 121319	WEEK: 12/09/19-12/13/19							

..... Document				Date Payment Voucher	Co. G/L Class	Name Invoice Number Account Description	Address Number Remark Account Number Amounts		G/L Distribution	LT	PC	PI	Subledger /Type	Tax Amount
Ty	Voucher	Co.	Item					Payment Amount	Discount Taken						
						Cash	00018481			23.00	AA				
PN	9204939			12/18/2019	00790	BLUE CROSS & BLUE SHIELD OF AL	14125	115.00					D		
PV	503548	00790	006	12/18/2019		42257 999 121319	WEEK: 12/09/19-12/13/19								
						Cash	00018481			115.00	AA				
PN	9204939			12/18/2019	00790	BLUE CROSS & BLUE SHIELD OF AL	14125	32,285.96-					D		
PV	503548	00790	007	12/18/2019		42257 999 121319	WEEK: 12/09/19-12/13/19								
						Cash	00018481			32,285.96-	AA				
PN	9204939			12/18/2019	00790	BLUE CROSS & BLUE SHIELD OF AL	14125	2,506.05-					D		
PV	503548	00790	008	12/18/2019		42257 999 121319	WEEK: 12/09/19-12/13/19								
						Cash	00018481			2,506.05-	AA				
PN	9204939			12/18/2019	00790	BLUE CROSS & BLUE SHIELD OF AL	14125	45.06-					D		
PV	503548	00790	009	12/18/2019		42257 999 121319	WEEK: 12/09/19-12/13/19								
						Cash	00018481			45.06-	AA				
PN	9204939			12/18/2019	00790	BLUE CROSS & BLUE SHIELD OF AL	14125	542.70-					D		
PV	503548	00790	010	12/18/2019		42257 999 121319	WEEK: 12/09/19-12/13/19								
						Cash	00018481			542.70-	AA				
PN	9204939			12/18/2019	00790	BLUE CROSS & BLUE SHIELD OF AL	14125	21,476.75					D		
PV	503548	00790	011	12/18/2019		42257 999 121319	WEEK: 12/09/19-12/13/19								
						Cash	00018481			21,476.75	AA				
PN	9204939			12/18/2019	00790	BLUE CROSS & BLUE SHIELD OF AL	14125	.01					D		
PV	503548	00790	012	12/18/2019		42257 999 121319	WEEK: 12/09/19-12/13/19								
						Cash	00018481			.01	AA				
PN	9204939			12/18/2019	00790	BLUE CROSS & BLUE SHIELD OF AL	14125	542.83					D		
PV	503548	00790	013	12/18/2019		42257 999 121319	WEEK: 12/09/19-12/13/19								

..... Document				Date Payment Voucher	Co. G/L Class	Name Invoice Number Account Description	Address Number Remark Account Number Amounts		LT	PC	PI	Subledger /Type	Tax Amount
Ty	Payment Voucher	Co.	Item					Payment Amount Discount Taken	G/L Distribution					
						Cash	00018481		542.83	AA				
PN	9204939			12/18/2019	00790	BLUE CROSS & BLUE SHIELD OF AL	14125	2,714.15			D			
PV	503548	00790	014	12/18/2019		42257 999 121319	WEEK: 12/09/19-12/13/19							
						Cash	00018481		2,714.15	AA				
Totals for Bank Account								31,993.14-	13,636.65-					
Totals for Batch								31,993.14-	13,636.65-					
User Total								31,993.14-	13,636.65-					
Grand Total								31,993.14-	13,636.65-					

..... Supplier Aging				
Number	Name	Phone Number	Co	Balance Open	Current	1 - 0	Over 0
19021	FAIRHOPE, CITY OF (UTILITIES)		00001	7,466.15			7,466.15
	General Fund		00001	7,466.15			7,466.15
19021	FAIRHOPE, CITY OF (UTILITIES)		00104	39.91			39.91
	Legislative Del Off Fund		00104	39.91			39.91
14005	BALDWIN EMC	251 9890118	00111	187.00			187.00
51003	RIVIERA UTILITIES		00111	1,094.69			1,094.69
148777	BALDWIN CNTY PROBATE COURT		00111	3,000.00			3,000.00
	7 Cent Gasoline Tax Fund		00111	4,281.69			4,281.69
14005	BALDWIN EMC	251 9890118	00140	201.00			201.00
19003	NORTH BALDWIN UTILITIES		00140	17.68			17.68
	Council on Aging Fund		00140	218.68			218.68
19021	FAIRHOPE, CITY OF (UTILITIES)		00143	159.59			159.59
	Section 18 Fund		00143	159.59			159.59
14005	BALDWIN EMC	251 9890118	00144	99.00			99.00
19003	NORTH BALDWIN UTILITIES		00144	90.04			90.04
	Parks Fund		00144	189.04			189.04
14005	BALDWIN EMC	251 9890118	00510	364.00			364.00
	Solid Waste Fund		00510	364.00			364.00
Grand Total(s)			00510	12,719.06			12,719.06

Number	Supplier		Co	Aging			
	Name	Phone Number		Balance Open	Current	1 - 0	Over 0
51029	ROBERTSON INSURANCE AGENCY IN	34 9282163	00001	7,577.48			7,577.48
111464	ACCA LIABILITY SELF INSURANCE		00001	1,278,631.18			1,278,631.18
	General Fund		00001	1,286,208.66			1,286,208.66
111464	ACCA LIABILITY SELF INSURANCE		00103	892.08			892.08
	County Transportation Fund		00103	892.08			892.08
111464	ACCA LIABILITY SELF INSURANCE		00104	1,784.15			1,784.15
	Legislative Del Off Fund		00104	1,784.15			1,784.15
111464	ACCA LIABILITY SELF INSURANCE		00105	16,057.39			16,057.39
	Juvenile Detention Fac Fund		00105	16,057.39			16,057.39
111464	ACCA LIABILITY SELF INSURANCE		00106	5,660.39			5,660.39
	Baldwin Co Archives Fund		00106	5,660.39			5,660.39
111464	ACCA LIABILITY SELF INSURANCE		00109	13,381.16			13,381.16
	Animal Shelter		00109	13,381.16			13,381.16
51029	ROBERTSON INSURANCE AGENCY IN	34 9282163	00111	764.90			764.90
111464	ACCA LIABILITY SELF INSURANCE		00111	122,822.53			122,822.53
	7 Cent Gasoline Tax Fund		00111	123,587.43			123,587.43
111464	ACCA LIABILITY SELF INSURANCE		00120	38,359.33			38,359.33
	Reappraisal Fund		00120	38,359.33			38,359.33
51029	ROBERTSON INSURANCE AGENCY IN	34 9282163	00140	305.96			305.96
111464	ACCA LIABILITY SELF INSURANCE		00140	8,920.77			8,920.77
	Council on Aging Fund		00140	9,226.73			9,226.73
51029	ROBERTSON INSURANCE AGENCY IN	34 9282163	00143	1,835.76			1,835.76
111464	ACCA LIABILITY SELF INSURANCE		00143	35,683.10			35,683.10
	Section 18 Fund		00143	37,518.86			37,518.86
111464	ACCA LIABILITY SELF INSURANCE		00144	15,165.32			15,165.32
	Parks Fund		00144	15,165.32			15,165.32
111464	ACCA LIABILITY SELF INSURANCE		00146	1,784.15			1,784.15
	Eastern Shore Metro Planning O		00146	1,784.15			1,784.15
51029	ROBERTSON INSURANCE AGENCY IN	34 9282163	00510	305.96			305.96
111464	ACCA LIABILITY SELF INSURANCE		00510	33,898.94			33,898.94
	Solid Waste Fund		00510	34,204.90			34,204.90
51029	ROBERTSON INSURANCE AGENCY IN	34 9282163	00511	458.94			458.94
111464	ACCA LIABILITY SELF INSURANCE		00511	58,877.11			58,877.11
	Solid Waste Collection Fund		00511	59,336.05			59,336.05
111464	ACCA LIABILITY SELF INSURANCE		00708	8,920.77			8,920.77
	Community Corrections		00708	8,920.77			8,920.77
8723	ENGLISH, MONICA SHANTA	251 513-5735	00710	65.00			65.00
	Payroll Fund		00710	65.00			65.00
Grand Total(s)			00710	1,652,152.37			1,652,152.37

..... Document				Date	Co.	Name	Address Number Amounts									
Payment				Payment	G/L Class	Invoice Number	Remark	Payment Amount			G/L	LT	PC	PI	Subledger /Type	Tax Amount	
Ty	Voucher	Co.	Item	Voucher		Account Description	Account Number	Discount Taken			Distribution						
G/L Bank Account				00018481		Cash	Batch Number	2848188	Type	M	Date	12/20/2019	User ID	RBENSON			
PN	9204940			12/20/2019	00790	BLUE CROSS & BLUE SHIELD OF AL	14125				20,082.50-			D			
PV	503596	00790	001	12/20/2019		42257-998 120119	PERIOD: 12/01/19-01/01/20										
							Cash	00018481					20,082.50-	AA			
Totals for Bank Account											20,082.50-	20,082.50-					
Totals for Batch											20,082.50-	20,082.50-					
User Total											20,082.50-	20,082.50-					
Grand Total											20,082.50-	20,082.50-					

Document				Date	Co.	Name	Address Number	Amounts								Tax Amount
Ty	Payment Voucher	Co.	Item	Payment Voucher	G/L Class	Invoice Number	Remark	Payment Amount	G/L	LT	PC	PI	Subledger	/Type		
							Account Number	Discount Taken	Distribution							
G/L Bank Account 00018481						Cash	Batch Number	2848204	Type	M	Date	12/26/19	User ID	ECUTSINGER		
PN	9204941			12/27/19	00001	IRS-TAX PAYMENT	54188						32,013.62-		D	
T7	503692	00001	001	12/27/19		122319130349107	636001408	Payroll Taxes								
						Cash	00018481						32,013.62-	AA		
PN	9204941			12/27/19	00001	IRS-TAX PAYMENT	54188						57,370.40-		D	
T7	503693	00001	001	12/27/19		122319130349108	636001408	Payroll Taxes								
						Cash	00018481						57,370.40-	AA		
PN	9204941			12/27/19	00103	IRS-TAX PAYMENT	54188						111.13-		D	
T7	503694	00103	001	12/27/19		122319130349109	636001408	Payroll Taxes								
						Cash	00018481						111.13-	AA		
PN	9204941			12/27/19	00103	IRS-TAX PAYMENT	54188						193.04-		D	
T7	503696	00103	001	12/27/19		122319130349110	636001408	Payroll Taxes								
						Cash	00018481						193.04-	AA		
PN	9204941			12/27/19	00104	IRS-TAX PAYMENT	54188						263.71-		D	
T7	503697	00104	001	12/27/19		122319130349111	636001408	Payroll Taxes								
						Cash	00018481						263.71-	AA		
PN	9204941			12/27/19	00104	IRS-TAX PAYMENT	54188						456.28-		D	
T7	503698	00104	001	12/27/19		122319130349112	636001408	Payroll Taxes								
						Cash	00018481						456.28-	AA		
PN	9204941			12/27/19	00105	IRS-TAX PAYMENT	54188						1,904.63-		D	
T7	503699	00105	001	12/27/19		122319130349113	636001408	Payroll Taxes								
						Cash	00018481						1,904.63-	AA		
PN	9204941			12/27/19	00105	IRS-TAX PAYMENT	54188						4,336.10-		D	
T7	503700	00105	001	12/27/19		122319130349114	636001408	Payroll Taxes								

..... Document				Date Payment Voucher	Co. G/L Class	Name Invoice Number Account Description	Address Number Remark Account Number Amounts		LT	PC	PI	Subledger /Type	Tax Amount
Ty	Payment Voucher	Co.	Item					Payment Amount Discount Taken	G/L Distribution					
						Cash	00018481		4,336.10-	AA				
PN	9204941			12/27/19	00106	IRS-TAX PAYMENT	54188	136.45-				D		
T7	503701	00106	001	12/27/19		122319130349115	636001408 Payroll Taxes							
						Cash	00018481		136.45-	AA				
PN	9204941			12/27/19	00106	IRS-TAX PAYMENT	54188	937.00-				D		
T7	503702	00106	001	12/27/19		122319130349116	636001408 Payroll Taxes							
						Cash	00018481		937.00-	AA				
PN	9204941			12/27/19	00109	IRS-TAX PAYMENT	54188	962.86-				D		
T7	503703	00109	001	12/27/19		122319130349117	636001408 Payroll Taxes							
						Cash	00018481		962.86-	AA				
PN	9204941			12/27/19	00109	IRS-TAX PAYMENT	54188	1,994.26-				D		
T7	503704	00109	001	12/27/19		122319130349118	636001408 Payroll Taxes							
						Cash	00018481		1,994.26-	AA				
PN	9204941			12/27/19	00111	IRS-TAX PAYMENT	54188	16,718.37-				D		
T7	503705	00111	001	12/27/19		122319130349119	636001408 Payroll Taxes							
						Cash	00018481		16,718.37-	AA				
PN	9204941			12/27/19	00111	IRS-TAX PAYMENT	54188	34,005.84-				D		
T7	503707	00111	001	12/27/19		122319130349120	636001408 Payroll Taxes							
						Cash	00018481		34,005.84-	AA				
PN	9204941			12/27/19	00120	IRS-TAX PAYMENT	54188	4,884.86-				D		
T7	503708	00120	001	12/27/19		122319130349121	636001408 Payroll Taxes							
						Cash	00018481		4,884.86-	AA				
PN	9204941			12/27/19	00120	IRS-TAX PAYMENT	54188	10,101.40-				D		
T7	503709	00120	001	12/27/19		122319130349122	636001408 Payroll Taxes							

..... Document				Date Payment Voucher	Co. G/L Class	Name Invoice Number Account Description	Address Number Remark Account Number Amounts		LT	PC	PI	Subledger /Type	Tax Amount
Ty	Payment Voucher	Co.	Item					Payment Amount Discount Taken	G/L Distribution					
						Cash	00018481		10,101.40-	AA				
PN	9204941			12/27/19	00140	IRS-TAX PAYMENT	54188	798.89-					D	
T7	503710	00140	001	12/27/19		122319130349123	636001408 Payroll Taxes							
						Cash	00018481		798.89-	AA				
PN	9204941			12/27/19	00140	IRS-TAX PAYMENT	54188	1,844.60-					D	
T7	503711	00140	001	12/27/19		122319130349124	636001408 Payroll Taxes							
						Cash	00018481		1,844.60-	AA				
PN	9204941			12/27/19	00143	IRS-TAX PAYMENT	54188	3,112.03-					D	
T7	503712	00143	001	12/27/19		122319130349125	636001408 Payroll Taxes							
						Cash	00018481		3,112.03-	AA				
PN	9204941			12/27/19	00143	IRS-TAX PAYMENT	54188	7,343.36-					D	
T7	503713	00143	001	12/27/19		122319130349126	636001408 Payroll Taxes							
						Cash	00018481		7,343.36-	AA				
PN	9204941			12/27/19	00144	IRS-TAX PAYMENT	54188	1,372.53-					D	
T7	503714	00144	001	12/27/19		122319130349127	636001408 Payroll Taxes							
						Cash	00018481		1,372.53-	AA				
PN	9204941			12/27/19	00144	IRS-TAX PAYMENT	54188	3,530.10-					D	
T7	503715	00144	001	12/27/19		122319130349128	636001408 Payroll Taxes							
						Cash	00018481		3,530.10-	AA				
PN	9204941			12/27/19	00146	IRS-TAX PAYMENT	54188	287.92-					D	
T7	503716	00146	001	12/27/19		122319130349129	636001408 Payroll Taxes							
						Cash	00018481		287.92-	AA				
PN	9204941			12/27/19	00146	IRS-TAX PAYMENT	54188	484.56-					D	
T7	503718	00146	001	12/27/19		122319130349130	636001408 Payroll Taxes							

..... Document				Date Payment Voucher	Co. G/L Class	Name Invoice Number Account Description	Address Number Remark Account Number Amounts		LT	PC	PI	Subledger /Type	Tax Amount
Ty	Payment Voucher	Co.	Item					Payment Amount Discount Taken	G/L Distribution					
						Cash	00018481		484.56-	AA				
PN	9204941			12/27/19	00510	IRS-TAX PAYMENT	54188	8,349.32-				D		
T7	503719	00510	001	12/27/19		122319130349131	636001408 Payroll Taxes							
						Cash	00018481		8,349.32-	AA				
PN	9204941			12/27/19	00510	IRS-TAX PAYMENT	54188	11,473.82-				D		
T7	503720	00510	001	12/27/19		122319130349132	636001408 Payroll Taxes							
						Cash	00018481		11,473.82-	AA				
PN	9204941			12/27/19	00511	IRS-TAX PAYMENT	54188	5,908.17-				D		
T7	503721	00511	001	12/27/19		122319130349133	636001408 Payroll Taxes							
						Cash	00018481		5,908.17-	AA				
PN	9204941			12/27/19	00511	IRS-TAX PAYMENT	54188	13,963.40-				D		
T7	503722	00511	001	12/27/19		122319130349134	636001408 Payroll Taxes							
						Cash	00018481		13,963.40-	AA				
PN	9204941			12/27/19	00740	IRS-TAX PAYMENT	54188	18.93-				D		
T7	503723	00740	001	12/27/19		122319130349135	636001408 Payroll Taxes							
						Cash	00018481		18.93-	AA				
PN	9204941			12/27/19	00740	IRS-TAX PAYMENT	54188	104.68-				D		
T7	503724	00740	001	12/27/19		122319130349136	636001408 Payroll Taxes							
						Cash	00018481		104.68-	AA				
Totals for Bank Account								224,982.26-	224,982.26-					
Totals for Batch								224,982.26-	224,982.26-					
User Total								224,982.26-	224,982.26-					

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Baldwin County Commission
Manual Payment Journal

12/26/19 8:19:33
Page - 5

..... Document				Date	Co.	Name	Address Number Amounts						
Payment				Payment	G/L Class	Invoice Number	Remark	Payment Amount	G/L	LT	PC	PI	Subledger /Type	Tax Amount
Ty	Voucher	Co.	Item	Voucher		Account Description	Account Number	Discount Taken	Distribution					
Grand Total								224,982.26-	224,982.26-					

..... Document				Date Payment Voucher	Co. G/L Class	Name Invoice Number Account Description	Address Number Remark Account Number Amounts					LT	PC	PI	Subledger /Type	Tax Amount
Ty	Voucher	Co.	Item					Payment Amount Discount Taken	G/L Distribution								
G/L Bank Account 00018481						Cash	Batch Number	2848208	Type	M	Date	12/26/2019	User ID	SGRANT			
PN	9204942			12/26/2019	00001	BALDWIN CNTY SHERIFF'S OFFICE	10				212,391.29-			D			
PV	503928	00001	001	12/26/2019		12272019	PAYROLL; 12/27/19										
						Cash	00018481					212,391.29-	AA				
PN	9204942			12/26/2019	00001	BALDWIN CNTY SHERIFF'S OFFICE	10				110,283.82-			D			
PV	503928	00001	002	12/26/2019		12272019	PAYROLL; 12/27/19										
						Cash	00018481					110,283.82-	AA				
PN	9204942			12/26/2019	00708	BALDWIN CNTY SHERIFF'S OFFICE	10				9,599.89-			D			
PV	503928	00001	003	12/26/2019		12272019	PAYROLL; 12/27/19										
						Cash	00018481					9,599.89-	AA				
PN	9204942			12/26/2019	00001	BALDWIN CNTY SHERIFF'S OFFICE	10				251,566.55-			D			
PV	503928	00001	004	12/26/2019		12272019	PAYROLL; 12/27/19										
						Cash	00018481					251,566.55-	AA				
PN	9204942			12/26/2019	00001	BALDWIN CNTY SHERIFF'S OFFICE	10				140,363.41-			D			
PV	503928	00001	005	12/26/2019		12272019	PAYROLL; 12/27/19										
						Cash	00018481					140,363.41-	AA				
PN	9204942			12/26/2019	00708	BALDWIN CNTY SHERIFF'S OFFICE	10				11,291.92-			D			
PV	503928	00001	006	12/26/2019		12272019	PAYROLL; 12/27/19										
						Cash	00018481					11,291.92-	AA				
Totals for Bank Account										735,496.88-		735,496.88-					
Totals for Batch										735,496.88-		735,496.88-					
User Total										735,496.88-✓		735,496.88-					

Supplier			Aging			
Number	Name	Phone Number	Co	Balance Open	Current	1 - 0 Over 0
717	FLEXIBLE BENEFITS	251 9370264	00001	4,786.21	4,786.21	
40627	NATIONWIDE RETIREMENT SOLUTION		00001	3,452.25	3,452.25	
94828	ALABAMA CHILD SUPPORT PAYMENT		00001	347.08	347.08	
112221	WISE, JODY L CIRCUIT CLERK		00001	50.00	50.00	
180373	BALDWIN CNTY COMMISSION - DENT		00001	4,342.50	4,342.50	
184047	O'BRIEN, DANIEL		00001	368.30	368.30	
186456	BALDWIN CNTY COMMISSION - HEAL		00001	22,413.00	22,413.00	
188062	BALDWIN CNTY COMMISSION - BOOT		00001	390.00	390.00	
	General Fund		00001	36,149.34	36,149.34	
40627	NATIONWIDE RETIREMENT SOLUTION		00103	30.00	30.00	
180373	BALDWIN CNTY COMMISSION - DENT		00103	34.00	34.00	
186456	BALDWIN CNTY COMMISSION - HEAL		00103	191.00	191.00	
	County Transportation Fund		00103	255.00	255.00	
180373	BALDWIN CNTY COMMISSION - DENT		00104	23.00	23.00	
186456	BALDWIN CNTY COMMISSION - HEAL		00104	114.00	114.00	
	Legislative Del Off Fund		00104	137.00	137.00	
717	FLEXIBLE BENEFITS	251 9370264	00105	87.08	87.08	
40627	NATIONWIDE RETIREMENT SOLUTION		00105	128.00	128.00	
94828	ALABAMA CHILD SUPPORT PAYMENT		00105	272.77	272.77	
180373	BALDWIN CNTY COMMISSION - DENT		00105	417.50	417.50	
186456	BALDWIN CNTY COMMISSION - HEAL		00105	2,291.50	2,291.50	
188062	BALDWIN CNTY COMMISSION - BOOT		00105	92.50	92.50	
	Juvenile Detention Fac Fund		00105	3,289.35	3,289.35	
717	FLEXIBLE BENEFITS	251 9370264	00106	79.17	79.17	
180373	BALDWIN CNTY COMMISSION - DENT		00106	99.50	99.50	
184047	O'BRIEN, DANIEL		00106	252.92	252.92	
186456	BALDWIN CNTY COMMISSION - HEAL		00106	496.00	496.00	
	Baldwin Co Archives Fund		00106	927.59	927.59	
40627	NATIONWIDE RETIREMENT SOLUTION		00109	40.00	40.00	
180373	BALDWIN CNTY COMMISSION - DENT		00109	179.00	179.00	
186456	BALDWIN CNTY COMMISSION - HEAL		00109	889.00	889.00	
188062	BALDWIN CNTY COMMISSION - BOOT		00109	235.00	235.00	
	Animal Shelter		00109	1,343.00	1,343.00	
717	FLEXIBLE BENEFITS	251 9370264	00111	1,467.69	1,467.69	
40627	NATIONWIDE RETIREMENT SOLUTION		00111	6,540.00	6,540.00	
94828	ALABAMA CHILD SUPPORT PAYMENT		00111	979.91	979.91	
180373	BALDWIN CNTY COMMISSION - DENT		00111	2,882.50	2,882.50	
186456	BALDWIN CNTY COMMISSION - HEAL		00111	16,382.00	16,382.00	
188062	BALDWIN CNTY COMMISSION - BOOT		00111	3,027.50	3,027.50	
189015	DEPARTMENT OF CHILDREN AND FAM		00111	193.84	193.84	

Supplier						Aging			
Number	Name	Phone Number	Co	Balance Open	Current	1 - 0	Over 0		
	7 Cent Gasoline Tax Fund		00111	31,473.44	31,473.44				
717	FLEXIBLE BENEFITS	251 9370264	00120	948.29	948.29				
40627	NATIONWIDE RETIREMENT SOLUTION		00120	755.00	755.00				
180373	BALDWIN CNTY COMMISSION - DENT		00120	747.50	747.50				
184047	O'BRIEN, DANIEL		00120	337.84	337.84				
186456	BALDWIN CNTY COMMISSION - HEAL		00120	4,309.50	4,309.50				
188062	BALDWIN CNTY COMMISSION - BOOT		00120	90.00	90.00				
	Reappraisal Fund		00120	7,188.13	7,188.13				
717	FLEXIBLE BENEFITS	251 9370264	00140	237.50	237.50				
180373	BALDWIN CNTY COMMISSION - DENT		00140	244.00	244.00				
186456	BALDWIN CNTY COMMISSION - HEAL		00140	1,247.00	1,247.00				
	Council on Aging Fund		00140	1,728.50	1,728.50				
717	FLEXIBLE BENEFITS	251 9370264	00143	647.92	647.92				
40627	NATIONWIDE RETIREMENT SOLUTION		00143	350.00	350.00				
180373	BALDWIN CNTY COMMISSION - DENT		00143	746.50	746.50				
186456	BALDWIN CNTY COMMISSION - HEAL		00143	3,905.50	3,905.50				
188062	BALDWIN CNTY COMMISSION - BOOT		00143	222.50	222.50				
	Section 18 Fund		00143	5,872.42	5,872.42				
717	FLEXIBLE BENEFITS	251 9370264	00144	12.50	12.50				
40627	NATIONWIDE RETIREMENT SOLUTION		00144	100.00	100.00				
94828	ALABAMA CHILD SUPPORT PAYMENT		00144	336.46	336.46				
180373	BALDWIN CNTY COMMISSION - DENT		00144	346.50	346.50				
184047	O'BRIEN, DANIEL		00144	423.69	423.69				
186456	BALDWIN CNTY COMMISSION - HEAL		00144	1,793.00	1,793.00				
188062	BALDWIN CNTY COMMISSION - BOOT		00144	350.00	350.00				
	Parks Fund		00144	3,362.15	3,362.15				
717	FLEXIBLE BENEFITS	251 9370264	00146	62.50	62.50				
180373	BALDWIN CNTY COMMISSION - DENT		00146	68.00	68.00				
186456	BALDWIN CNTY COMMISSION - HEAL		00146	382.00	382.00				
	Eastern Shore Metro Planning O		00146	512.50	512.50				
717	FLEXIBLE BENEFITS	251 9370264	00510	715.99	715.99				
40627	NATIONWIDE RETIREMENT SOLUTION		00510	144.50	144.50				
180373	BALDWIN CNTY COMMISSION - DENT		00510	687.50	687.50				
184047	O'BRIEN, DANIEL		00510	140.76	140.76				
186456	BALDWIN CNTY COMMISSION - HEAL		00510	2,084.50	2,084.50				
188062	BALDWIN CNTY COMMISSION - BOOT		00510	415.00	415.00				
	Solid Waste Fund		00510	4,188.25	4,188.25				
717	FLEXIBLE BENEFITS	251 9370264	00511	764.78	764.78				
40627	NATIONWIDE RETIREMENT SOLUTION		00511	305.00	305.00				
54555	AL STATE DEPT OF REVENUE	205 2421220	00511	245.89	245.89				

Supplier			Aging				
Number	Name	Phone Number	Co	Balance Open	Current	1 - 0	Over 0
94828	ALABAMA CHILD SUPPORT PAYMENT		00511	696.00	696.00		
112221	WISE, JODY L CIRCUIT CLERK		00511	216.31	216.31		
180373	BALDWIN CNTY COMMISSION - DENT		00511	1,286.00	1,286.00		
186456	BALDWIN CNTY COMMISSION - HEAL		00511	6,519.50	6,519.50		
188062	BALDWIN CNTY COMMISSION - BOOT		00511	812.50	812.50		
	Solid Waste Collection Fund		00511	10,845.98	10,845.98		
Grand Total(s)			00511	107,272.65	107,272.65		

..... Document				Date Payment Voucher	Co. G/L Class	Name Invoice Number Account Description	Address Number Remark Account Number	Amounts				G/L Distribution	LT PC PI Subledger /Type	Tax Amount
Ty	Payment	Co.	Item					Payment Amount	Discount Taken					
G/L Bank Account	00018481					Cash	Batch Number	2848226	Type	M	Date	12/26/2019	User ID	RBENSON
PN	9204943			12/26/2019	00790	BLUE CROSS & BLUE SHIELD OF AL	14125					1,498.00-		D
PV	503932	00790	001	12/20/2019		42257 999 122019	WEEK: 12/16/19-12/20/19							
						Cash	00018481					1,498.00-	AA	
PN	9204943			12/26/2019	00790	BLUE CROSS & BLUE SHIELD OF AL	14125					3,906.00-		D
PV	503932	00790	002	12/20/2019		42257 999 122019	WEEK: 12/16/19-12/20/19							
						Cash	00018481					3,906.00-	AA	
PN	9204943			12/26/2019	00790	BLUE CROSS & BLUE SHIELD OF AL	14125					31.00-		D
PV	503932	00790	003	12/20/2019		42257 999 122019	WEEK: 12/16/19-12/20/19							
						Cash	00018481					31.00-	AA	
PN	9204943			12/26/2019	00790	BLUE CROSS & BLUE SHIELD OF AL	14125					652.20-		D
PV	503932	00790	004	12/20/2019		42257 999 122019	WEEK: 12/16/19-12/20/19							
						Cash	00018481					652.20-	AA	
PN	9204943			12/26/2019	00790	BLUE CROSS & BLUE SHIELD OF AL	14125					21,412.63-		D
PV	503932	00790	005	12/20/2019		42257 999 122019	WEEK: 12/16/19-12/20/19							
						Cash	00018481					21,412.63-	AA	
PN	9204943			12/26/2019	00790	BLUE CROSS & BLUE SHIELD OF AL	14125					1,860.71-		D
PV	503932	00790	006	12/20/2019		42257 999 122019	WEEK: 12/16/19-12/20/19							
						Cash	00018481					1,860.71-	AA	
Totals for Bank Account								29,360.54-				29,360.54-		
Totals for Batch								29,360.54-				29,360.54-		
User Total								29,360.54-				29,360.54-		



Baldwin County Commission

Agenda Action Form

File #: 20-0484, **Version:** 1

Item #: GA1

Meeting Type: BCC Regular Meeting

Meeting Date: 1/7/2020

Item Status: New

From: Wayne Dyess, County Administrator; Sherry-Lea Bloodworth Botoy, PIO/CEM

Submitted by: Anu Gary, Administrative Svcs. Mgr.

ITEM TITLE

*Resolution #2020-049 and Correspondence to the Office of United States Trade Representative Related Tariffs on Aircraft Parts and Components to Airbus

STAFF RECOMMENDATION

Adopt Resolution #2020-049 and authorize the Chairman to execute a letter of support from the Baldwin County Commission, on behalf of Airbus and the thousands of Alabama aerospace workers and suppliers supporting the Gulf Coast communities, asking the Office of United States Trade Representative not to consider placing tariffs on aircraft parts and components as a result of the Airbus Boeing dispute.

BACKGROUND INFORMATION

Previous Commission action/date: N/A

Background: The Baldwin County Commission will consider the adoption of a resolution and a letter of support on behalf of Airbus against tariffs on aircraft parts and components as the impact of tariffs would be disastrous for Airbus in Mobile and other U.S. aerospace companies.

FINANCIAL IMPACT

Total cost of recommendation: N/A

Budget line item(s) to be used: N/A

If this is not a budgeted expenditure, does the recommendation create a need for funding?
N/A

LEGAL IMPACT

Is legal review necessary for this staff recommendation and related documents?

N/A

Reviewed/approved by: N/A

Additional comments: N/A

ADVERTISING REQUIREMENTS

Is advertising required for this recommendation? N/A

If the proof of publication affidavit is not attached, list the reason: N/A

FOLLOW UP IMPLEMENTATION

For time-sensitive follow up, select deadline date for follow up: N/A

Individual(s) responsible for follow up: Sherry-Lea Bloodworth Botop, provide Administration with Resolution and Letter of Support. After Chairman signs documents, Admin. staff will email documents to:

4BCC
Sherry-Lea Bloodworth Botop
Lee Lawson, BCEDA

All follow up coordinated by Sherry-Lea Botop and Lee Lawson with BCEDA.

Action required (list contact persons/addresses if documents are to be mailed or emailed):
N/A

Additional instructions/notes: N/A

STATE OF ALABAMA)
COUNTY OF BALDWIN)

RESOLUTION # 2020-049

***OF THE
BALDWIN COUNTY COMMISSION***

**RESOLUTION IN SUPPORT OF CONTINUED AEROSPACE MANUFACTURING
GROWTH IN BALDWIN COUNTY.**

WHEREAS, the Aerospace manufacturing sector in the U.S. and Baldwin County, Alabama and the Mobile Region is creating significant employment opportunities, business growth, and manufacturing excellence, and is critical to the nation's military and economic security; and

WHEREAS, the expanding industrial operations of Airbus located at the Brookley Industrial Complex and the supplier location of Collins Aerospace with 1,200 employees in Baldwin County, Alabama contribute actively to aerospace manufacturing growth; and

WHEREAS, Airbus has been a member of our business community for more than 15 years with three major facilities and directly employs over 1,250 engineers and production technicians; and

WHEREAS, the \$600 million Airbus A320 U.S. manufacturing facility, which opened in 2015 now produces more than four aircraft per month and has delivered over 140 aircraft to U.S. airline customers to date; and

WHEREAS, in 2019, Airbus broke ground on a \$300 million A220 U.S. manufacturing facility that will assemble the newest Airbus aircraft, the A220, for U.S. airline customers with deliveries beginning in 2020; and

WHEREAS, Airbus continues to expand its manufacturing employment footprint in the Mobile Region by hiring more than 520 production technicians in 2019, more than doubling the number of its employees in one year (with expected total employee growth of 236% between December 2019 and December 2020); and

WHEREAS, a large percentage of Airbus engineering, executive and manufacturing personnel reside in Baldwin County; and

WHEREAS, the proposed tariffs on imported aircraft components and assemblies from Europe places at risk this significant aerospace supplier, employment and residential growth in Baldwin County, Alabama; now therefore

BE IT BE RESOLVED BY THE BALDWIN COUNTY COMMISSION, IN REGULAR SESSION ASSEMBLED, that the Baldwin County Commission does hereby fully support the continued manufacturing operations of Airbus at the Brookley Industrial Complex and urges the President of the United States and the United States Trade Representative to maintain the current trade policy of not applying tariffs on imported aerospace components and assemblies from Europe, and thereby ensure the continued growth of aerospace manufacturing in the Gulf Coast.

DONE, at the County Seat, in Bay Minette, Alabama, and under the Seal of Baldwin County, Alabama, as affixed on this the 7th day of January, 2020.

Commissioner Billie Jo Underwood, Chairman

ATTEST:

Wayne Dyess, County Administrator



COUNTY COMMISSION

BALDWIN COUNTY
312 Courthouse Square, Suite 12
BAY MINETTE, ALABAMA 36507
(251) 937-0264
Fax (251) 580-2500
www.baldwincounty.al.gov

January 7, 2020

MEMBERS
DISTRICT 1. JAMES E. BALL
2. JOE DAVIS, III
3. BILLIE JO UNDERWOOD
4. CHARLES F. GRUBER

The Honorable Robert Lighthizer
U.S. Trade Representative
Executive Office of the President
600 17th Street, NW
Washington, DC 20006

Dear Ambassador Lighthizer:

On behalf of the over 600 Airbus employees that call Baldwin County, Alabama home along with thousands of other citizens who work within the aerospace industry, we implore you not to place tariffs on aircraft parts and components.

Airbus has made a tremendous impact on the Baldwin County community over the past decade contributing to our position as a leader in the global aerospace industry and growing our economic development capacity. The investments Airbus has made in our region helped Baldwin County and allowed Collins Aerospace to expand to over 1,200 employees alongside additional suppliers. The livelihoods of the workers and their families will be greatly impacted by significant job loss if tariffs on aircraft parts and components are put in place.

We would like to invite you to Baldwin County to see firsthand the success of our growing aerospace community and to meet with the men and women growing our economy and helping America fly.

Sincerely,

Commissioner Billie Jo Underwood
Chairman

Commissioner Joe Davis, III
Vice Chairman

Commissioner James E. Ball

Commissioner Charles F. Gruber



Baldwin County Commission

Agenda Action Form

File #: 20-0462, **Version:** 1

Item #: GE1

Meeting Type: BCC Regular Meeting

Meeting Date: 1/7/2020

Item Status: New

From: Ron Cink, Budget Director

Submitted by: Anu Gary, Administrative Services Director

ITEM TITLE

Selection of a Bond Underwriter for General Obligation Warrants Funding (Jail Expansion) for the Baldwin County Commission

STAFF RECOMMENDATION

As recommended by Stifel, the Baldwin County Commission's Financial Advisor, take the following actions:

1) Adopt Resolution #2020-048, which selects and confirms the appointment of one of the following four firms as the Bond Underwriter for General Obligation Warrants Funding (Jail Expansion) for the Baldwin County Commission.

2) Authorize staff to begin rate negotiations with the selected firm.

(SELECT ONLY ONE OF THE FOLLOWING OPTIONS)

Wells Fargo

or

Raymond James

or

Piper Jaffray

or

Frasier Lanier

BACKGROUND INFORMATION

Previous Commission action/date: December 3, 2019, BCC Regular Meeting - The Commission took the following actions:

Motion by Commissioner Joe Davis, III, seconded by Commissioner James E. Ball, to, as recommended by Stifel, the Baldwin County Commission's Financial Advisor, take the following actions: 1) Adopt Resolution #2020-039, which selects and confirms the appointment of Raymond

James as the bond underwriter for the first issuance for the Baldwin County Commission; and 2) Authorize staff to begin rate negotiations with the selected firm of Raymond James.

At the request of the Financial Advisors, the Request for Proposal (RFP) was issued on November 13, 2019, and due on November 22, 2019 (see recommendation from Stifel and responses to RFP attached).

Background: Finance Committee consisting of the Finance Chair and the Commission Chairman, in addition to the Budget Director and Clerk Treasurer, and in coordination with Stifel, will negotiate with the firm selected and a recommendation will be brought back to the Commission for rate finalization.

FINANCIAL IMPACT

Total cost of recommendation: TBD

Budget line item(s) to be used: From Warrant Proceeds

If this is not a budgeted expenditure, does the recommendation create a need for funding?

Finance Committee consisting of the Finance Chair and the Commission Chairman, in addition to the Budget Director and Clerk Treasurer, and in coordination with Stifel, will negotiate with the firm selected and a recommendation will be brought back to the Commission for rate finalization.

LEGAL IMPACT

Is legal review necessary for this staff recommendation and related documents?

N/A

Reviewed/approved by: N/A

Additional comments: N/A

ADVERTISING REQUIREMENTS

Is advertising required for this recommendation? N/A

If the proof of publication affidavit is not attached, list the reason: N/A

FOLLOW UP IMPLEMENTATION

For time-sensitive follow up, select deadline date for follow up: N/A

Individual(s) responsible for follow up: Finance Committee to negotiate rates, Ron Cink will email

the signed resolution to the selected firm.

Admin - Have resolution signed and fill out names.

Action required (list contact persons/addresses if documents are to be mailed or emailed):
N/A

Additional instructions/notes: N/A

STATE OF ALABAMA)

COUNTY OF BALDWIN)

**RESOLUTION NO. 2020-048
OF THE
BALDWIN COUNTY COMMISSION**

BE IT RESOLVED by the **COUNTY COMMISSION** of **BALDWIN COUNTY, ALABAMA** (the "County"), as follows:

Section 1. Findings. (a) The County has determined that, in order to provide funds for the funding of a new jail facility (the "Facility"), it will be necessary and in the best public interest of the County for The Public Building Authority of Baldwin County to issue its Building Revenue Warrants, Series 2020 (the "Warrants"), and for the County to pay the debt service on such Warrants through its lease payments to the Authority for the County's lease of the Facility from the Authority

(b) The County and its Financial Advisor, Stifel Nicolaus & Company, Incorporated, solicited responses from prospective underwriters for the Warrants through its Request for Statement of Qualifications: Underwriter, dated November 13, 2019. The County and the Financial Advisor have received and reviewed the received responses.

Section 2. Appointment of Underwriter. The County hereby confirms the appointment of _____, as Underwriter, and authorizes such Underwriter to take such action as is necessary to market the Warrants, and to sell such Warrants when, in their discretion, and upon consultation with the Authority and the County and its Financial Advisor, conditions are favorable.

Section 3. Authorization to Sign Warrant Purchase Agreement. Prior to the sale of the Warrants, the Authority will authorize and direct the Chairman of the Authority to execute a Warrant Purchase Agreement between the Authority and the Underwriter immediately following such sale.

ADOPTED this the 7th day of January, 2020.

Billie Jo Underwood, Chairman

ATTEST:

Wayne Dyess, County Administrator



MEMORANDUM

DATE: November 25, 2019

TO: BALDWIN COUNTY COMMISSION

Billie Jo Underwood, Chairwoman	Charles F. Gruber, Commissioner
James E. Ball, Commissioner	Wayne Dyess, County Administrator
Joe Davis, III, Commissioner	Ronald J. Cink, Budget Director

FROM: Kenneth C. Funderburk
Reid Cavnar
STIFEL

Pursuant to our obligation as Financial Advisor for the Baldwin County Commission and subsequent to various meetings with County Officials, rating efforts and distribution of a Request for Qualifications for Underwriting Services in connection with a public bond sale, please allow this letter to serve as our recommendation for that service.

On behalf of Baldwin County, Alabama, Stifel distributed a Request for Qualifications (“RFQ”) to a variety of licensed registered underwriting firms active in the State of Alabama. We received responses from 4 firms: Raymond James, Piper Jaffray, Wells Fargo and Frazer Lanier. After analysis and deliberation within Stifel and a meeting with County Budget Director, Ron Cink, we recommend selection of Raymond James for the issues described in the RFQ.

All respondents are capable firms able to underwrite bonds. The following are broad category listings with respondents ranked in order, first to last.

- Net Capital Position
 - Wells Fargo - N/A (Tier 1 Capital \$146 billion)*
 - Raymond James - \$1.0 billion
 - Piper Jaffray - \$179 million
 - Frazer Lanier - \$1 million
- Demonstrated willingness and ability to underwrite bonds to support/complete a sale
 - Raymond James
 - Piper Jaffray
 - Wells Fargo
 - Frazer Lanier
- Relative position in Alabama with respect to total par sales (2018)
 - Raymond James - \$510 million
 - Wells Fargo - \$216 million
 - Frazer Lanier - \$116 million
 - Piper Jaffray - \$90 million

**As a national bank registered with the SEC as a municipal securities dealer, Wells Fargo Bank, N.A. is subject to capital definitions and standards issued by the Office of the Comptroller of the Currency, rather than net capital standards of the Securities and Exchange Commission (as are most broker-dealers). As a result, net capital and excess net capital calculations are not applicable.*

➤ Relative position nationally with respect to total par sales (2018)

- Wells Fargo - \$11.1 billion
- Piper Jaffray - \$10.2 billion
- Raymond James - \$9.9 billion
- Frazer Lanier - \$174 million

➤ Size and distribution capability of municipal bond sales force

	<i><u>Dedicated Institutional Municipal Sales People</u></i>	<i><u>Fixed Income Generalists</u></i>
▪ Piper Jaffray	27	106
▪ Raymond James	23	160
▪ Wells Fargo	15	200
▪ Frazer Lanier	3	0

Stifel proposes negotiating an underwriting fee with the firm ultimately chosen by the Baldwin County Commission. This negotiation will include approval from Mr. Cink and the Finance Committee of the Commission. Said fee will be in keeping with national/state averages for similarly sized/rated bond issues with comparable final maturity.

Baldwin County has achieved an excellent bond rating from two national agencies, is in stellar financial condition, has developed a national reputation in tourism and economic development, and engaged a strong management team. The County deserves no less than the most aggressive bond pricing coupled with an underwriter capable of supporting a bond sale. Stifel will assure competitive pricing and monitor the sale. In our opinion, Raymond James possesses the necessary marketing and underwriting ability to perform.

We look forward to the Commission's decision and stand ready to proceed with the transaction.



Securities

Baldwin County, Alabama

Request for Statement of Qualifications: Underwriter

November 22, 2019



JACKSON

188 E. Capitol Street, Fl. 01
Jackson, MS 39201
Tel: (601) 503-1053

**Securities**

November 22, 2019

Mr. Ronald Cink
Budget Director
Baldwin County Commission
322 Courthouse Square
Bay Minette, AL 36507

Dear Mr. Cink:

On behalf of Wells Fargo Securities ("Wells Fargo", the "Firm", or "WFS"), we are pleased to submit our response to Baldwin County, Alabama's (the "County") solicitation for underwriting qualifications. We are confident that our banking team's experience working with Alabama issuers, our Firm's commitment to the County and the community it serves, our experience as senior manager on comparable financings, our quantitative expertise, our superior financial and capital position, our innovative financing strategies, and our expansive, yet balanced, institutional and Alabama retail distribution capabilities, make Wells Fargo the most qualified firm to help the County meet its financing objectives. Below are several attributes of our Firm which qualify us to serve as your Senior Manager:

- **Commitment to the State of Alabama.** Wells Fargo has extensive municipal bond sales capabilities, with the ability to access both the Alabama retail and institutional investor communities. In Alabama, we have 89 financial advisors in 11 offices across the State. In total, Wells Fargo employs over 3,000 team members in Alabama. Further, we pride ourselves on being excellent corporate citizens and support our communities. In 2018, Wells Fargo and its employees contributed more than \$3.4 million to charitable causes in Alabama and volunteered over 18,000 hours to community organizations in the State. Wells Fargo & Company is not merely a Wall Street firm looking for investment banking business in Alabama; we are a member of the community and have a vested interest in the success of the State and its issuers.
- **Demonstrated Ability to Commit Capital.** While a number of firms have a large capital base, it is more important that such firms evidence their ability to deploy capital in the municipal market on behalf of their clients. As described within our response in more detail, our Firm has demonstrated its ability to commit capital in support of our municipal clients by directly underwriting unsold balances on negotiated primary market issues. Wells Fargo & Company is a premier U.S. domiciled bank with some of the highest credit ratings of all U.S. banks (Aa1/A+/AA-) from Moody's, S&P, and Fitch, respectively), more than 250,000 employees nationwide, the largest community banking presence in the country, the third largest U.S. bank by assets, and the third largest domestic retail distribution network. With \$159.1 billion¹ of total

¹ Wells Fargo Bank N.A. Q2 Call Report

risk-based capital, the Firm brings significant underwriting strength and a stable capital commitment ability to the County.

- **Powerful Institutional and Retail Distribution Networks.** Wells Fargo provides a strong national distribution network with a balanced platform. Our institutional sales force consists of our 15-member Tier-1 municipal sales group that covers over 250 key national buyers with assets over \$1 billion. In addition, Wells Fargo, through its affiliate Wells Fargo Securities, LLC, has 200 middle-market sales professionals in 17 regional offices that cover more than 10,000 Tier-2 and Tier-3 investors. Our institutional sales strength is augmented by our national retail system through Wells Fargo Advisors (“WFA”), which employs 8,065 full-service financial advisors with approximately \$1.5 trillion of client assets. Through this balanced distribution approach, Wells Fargo has wide access to many sectors of the market to distribute our clients’ bond offerings, and the Firm is able to generate broader demand and more aggressive pricing for the County’s issues than firms with just a single investor segment focus.

Please let us know if you would like any additional information about our response. Thank you for your consideration.

Sincerely,

A handwritten signature in black ink, appearing to read "Jim Perry".

Jim Perry
Managing Director
Tel: (601) 503-1053
jim.perry@wellsfargo.com

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IMPORTANT INFORMATION & DISCLAIMER

This document and any other materials accompanying this document (collectively, the “Materials”) are provided for general informational purposes only. By accepting any Materials, the recipient acknowledges and agrees to the matters set forth below.

Wells Fargo Securities (“WFS”) is the trade name for certain securities-related capital markets and investment banking services of Wells Fargo & Company (“WFC”) and its subsidiaries, including Wells Fargo Bank, N.A., acting through its Municipal Products Group. Municipal derivatives services are provided by Wells Fargo Bank, N.A., a swap dealer registered with the CFTC and member of the NFA. Commercial banking products and services are provided by Wells Fargo Bank, N.A. Investment banking and capital markets products and services provided by Wells Fargo Securities, are not a condition to any banking product or service.

Wells Fargo Bank, N.A. (“WFBNA”), as potential derivatives provider, and Wells Fargo Securities, as potential underwriter or placement agent, (collectively, for purposes hereof, “we”, or “Wells Fargo”) are providing the information contained in the Materials for discussion purposes only in anticipation of, or in connection with, engaging in arm’s length commercial transactions with you in which Wells Fargo would be acting solely as a principal or agent, as applicable, and not as a municipal advisor, financial advisor or fiduciary to you or any other person or entity regardless of whether we, or an affiliate has or is currently acting as such on a separate transaction (the use of the term “agent” does not imply any fiduciary relationship).

These Materials are being provided to you for the purpose of working with you as an underwriter or placement agent (collectively, “underwriter”) and/or derivatives provider on the transaction(s) described in the Materials. As part of its services as underwriter, WFS may provide information concerning the structure, timing, terms, and other similar matters concerning the issue of municipal securities that WFS proposes to underwrite as described in the Materials. The Materials may also contain such information. Any such information has been, and would be, provided by WFS in the context of serving as an underwriter and not as your municipal or financial advisor. Additionally, WFBNA, as derivatives provider, and WFS, as underwriter, have financial and other interests that differ from your interests (or those of the issuer). In its capacity as underwriter, WFS’ primary role would be to purchase securities from you (or the issuer in the case of a conduit transaction) for resale to investors, or arrange for the placement of securities with investors on your behalf. Wells Fargo will not have any duties or liability to any person or entity in connection with the information being provided in the Materials.

The information provided herein is not intended to be and should not be construed as advice within the meaning of Section 15B of the Securities Exchange Act of 1934, and Wells Fargo will not be acting as your municipal advisor under the municipal advisor rules (“Muni Advisor Rules”) of the Securities and Exchange Commission (“SEC”) and the SEC’s guidance in its Registration of Municipal Advisors Frequently Asked Questions dated May 19, 2014, as supplemented (collectively, “Muni Advisor Rules”).

Please be advised that any information in the Materials regarding municipal derivatives is being provided by WFBNA as a swap dealer and that any swap offered or recommended in the Materials is being offered or recommended by WFBNA as a swap dealer. No part of the Materials is intended to be and should not be construed as an offer or recommendation of a municipal derivatives service or product by WFS, as underwriter, for purposes of Municipal Securities Rulemaking Board Rule G-17, or otherwise. You should consult with your own financial and/or municipal, legal, accounting, tax, and other advisors, as applicable, to the extent you deem appropriate. If you would like a municipal advisor that has legal fiduciary duties to you, you are certainly free to engage a municipal advisor to serve in that capacity.

Transactions referenced in the Materials which are attributed to Wells Fargo or to WFS may include transactions executed by a Wachovia Corporation or Wells Fargo & Company broker-dealer affiliate or by other municipal securities dealers and/or broker-dealers which were acquired by Wachovia Corporation or WFC.

WFS distributes municipal securities to institutional investors primarily through Wells Fargo Bank, N.A. Municipal Products Group (“WFBNA MPG”) and Wells Fargo Securities, LLC (“WFSLLC”). Distribution to middle market clients is provided primarily through WFSLLC. Retail distribution is primarily provided by Wells Fargo Advisors, which is the trade name used by Wells Fargo Clearing Services, LLC (“WFCS”) and Wells Fargo Advisors Financial Network, LLC (“WFAFN”), two non-bank separate registered broker-dealers (members FINRA and SIPC). WFSLLC, WFBNA MPG, WFCS, and WFAFN are affiliates and are each wholly owned subsidiaries of WFC.

Any municipal underwriting, commercial paper and remarketing rankings referenced herein represent combined totals for WFBNA MPG and WFSLLC. Non-municipal underwriting, commercial paper and remarketing rankings referenced herein represent totals for WFSLLC only. Source information for any ranking information not otherwise provided herein is available on request. Any rankings referencing competitive municipal

new issues for time periods prior to 2011 include issues underwritten by WFCS. Underwriting activities of WFCS are not managed or otherwise controlled by WFBNA MPG or WFSLLC.

If the Materials are being provided to you under any of the following events, the information contained in the Materials and any subsequent discussions between us, including any and all information, advice, recommendations, opinions, indicative pricing, quotations and analysis with respect to any municipal financial product or issuance of municipal securities, are provided to you in reliance upon the Swap Dealer, Bank, RFP, IRMA exemptions and underwriter exclusion, as applicable, provided under the Muni Advisor Rules. In the event the Swap Dealer, Bank, RFP, IRMA exemptions, or underwriter exclusion do not apply, the information included in the Materials are provided in reliance on the general information exclusion to advice under the Muni Advisor Rules.

In the event that you have provided us with your written representation in form and substance acceptable to WFBNA that you are represented by a “qualified independent representative” as defined in the Commodity Exchange Act (“CEA”) with respect to any municipal derivative or trading strategy involving municipal derivatives described in the Materials, we have provided you with our written disclosure that we are not acting as an advisor to you with respect to the municipal derivative or trading strategy pursuant to Section 4s(h)(4) of the CEA and the rules and regulations thereunder, and have taken certain other steps to establish the “Swap Dealer exemption” under the Muni Advisor Rules.

Any information related to a bank-purchased bond transaction (“Direct Purchase”) included in the Materials is a product offering of WFBNA or a subsidiary thereof (“Purchaser”) as purchaser / investor. WFS will not participate in any manner in any Direct Purchase transaction between you and Purchaser, and Wells Fargo employees involved with a Direct Purchase transaction are not acting on behalf of or as representatives of WFS. The information contained herein regarding Purchaser’s Direct Purchase is being provided to you by WFS only for purposes of providing financing alternatives that may be available to you from WFC and its affiliates. Information contained in this document regarding Direct Purchase is for discussion purposes only in anticipation of engaging in arm’s length commercial transactions with you in which Purchaser would be acting solely as a principal to purchase securities from you or a conduit issuer, and not as a municipal advisor, financial advisor or fiduciary to you or any other person or entity regardless of whether Purchaser, or an affiliate has or is currently acting as such on a separate transaction. Additionally, Purchaser has financial and other interests that differ from your interests. Purchaser’s sole role would be to purchase securities from you (or the conduit issuer). Any information relating to a Direct Purchase is being provided to you pursuant to and in reliance on the “Bank exemption” under the Muni Advisor Rules and the general information exclusion to advice under the Muni Advisor Rules.

In the event the Materials are being provided in connection with a RFP, the SEC exempts from the definition of municipal advisor “any person providing a response in writing or orally to a request for proposals or qualifications from a municipal entity or obligated person for services in connection with a municipal financial product or the issuance of municipal securities; provided however, that such person does not receive separate direct or indirect compensation for advice provided as part of such response” (“RFP exemption”). In such event, we have relied upon the RFP exemption, and on your distribution and execution of this RFP through a competitive process. In the event WFBNA MPG is the party providing the Materials responses to all questions, certifications, attestations, information requests, and similar in the RFP or RFQ to which this response relates are specifically limited to, in context of, and as applied to, WFBNA MPG in its capacity as a separately identifiable department of a national bank that is registered as a municipal securities dealer with the Securities and Exchange Commission, Office of the Comptroller of the Currency, and Municipal Securities Rulemaking Board; and not on behalf of Wells Fargo Bank, N.A., unless specified otherwise in our response.

In the event that you have provided us with your written representation that you are represented by an independent registered municipal advisor (an “IRMA”) within the meaning of the Muni Advisor Rules, with respect to the transaction(s) described in the Materials we have provided you with our written disclosure that we are not a municipal advisor to you and are not subject to the fiduciary duty under the Muni Advisor Rules, if applicable, and have taken certain other steps to establish the “IRMA exemption” under the Muni Advisor Rules.

In the event that you have engaged us to serve as an underwriter with respect to the municipal securities issuance described in the Materials we have provided you with our written disclosure regarding our role as an underwriter, that we are not a municipal advisor to you and are not subject to the fiduciary duty under the Muni Advisor Rules, if applicable.

If savings threshold level information is contained herein, please be advised that WFS is not recommending nor providing advice regarding which maturities should be refunded by you.

The Materials are provided for general information about the transactions described herein. The Materials do not constitute an offer to sell or a solicitation of an offer to buy, or a recommendation or commitment for any transaction involving the securities or financial products named or described herein, and are not intended as investment advice or as a confirmation of any transaction. Assumptions stated herein may or may not be valid. Externally sourced information contained in the Materials has been obtained or derived from sources we reasonably believe to be reliable, but Wells Fargo Securities and WFBNA make no representation or warranty, express or implied, with respect thereto, and do not represent or guarantee that such information is accurate or complete. Wells Fargo Securities and WFBNA do not assume or accept any liability for any loss which may result from reliance thereon. Wells Fargo Securities and/or one or more of its affiliates may provide advice or may from time to time have proprietary positions in, or trade as principal in, any securities or other financial products that may be mentioned in the Materials, or in derivatives related thereto.

Terms, rates, prices and structure in the Materials are indicative only, and should not be relied upon as the terms, rates, prices or structure on which we or anyone else would be willing to enter into, terminate or transfer any transaction with you, including without limit, the swap, bond or loan, letter of credit and/or remarketing agreement (if applicable), or relied upon for any other purpose. Actual rates and prices may be higher or lower depending on market conditions at the time of execution, and are subject to market conditions at deal time, final credit approval, agreement on deal terms and compliance to our satisfaction with all applicable legal and regulatory requirements, including without limit, onboarding, swap/securities trading relationship and transaction documentation. Any historical information provided in the Materials is for information only, and past performance may not be relied upon as a guarantee of future results. Examples in the Materials are hypothetical only and are not a prediction of future results. There are frequently sharp differences between projections or forecasts and the actual results achieved.

Arm’s Length Relationship

All transactions described in the Materials are arm’s length transactions to be negotiated by each party acting in its own best interests. The price and other terms of any transaction will be individually negotiated, and there is no assurance that they will represent the best price or terms available to you from us or other sources. Whether they are executable, indicative or illustrative, you should assume that any price we offer,

quote or otherwise provide to you for entering into, transferring or terminating a transaction with WFBNA is strictly a WFBNA price and should not be considered a “market price” offered by anyone else in the relevant market. In this regard, please note that when we offer you an executable price for a swap with WFBNA, CFTC Rule §23.431 requires that we also disclose to you the “mid-market mark” of the swap in order for you to assess the material incentives and conflicts of interest we may have in connection with the swap. Information about the mid-market mark and other material disclosures regarding swaps can be found at www.wellsfargo.com/swapdisclosures. The decision whether you should enter into any transaction upon mutually agreed terms rests solely with you. Before entering into any transaction described in the Materials, you should consider whether it is appropriate for you in light of your objectives, experience, financial and operational resources, legal capacity and authority, and other relevant circumstances, and you should conduct a thorough and independent evaluation of the financial, tax, accounting, legal and regulatory characteristics, consequences, costs and risks of the transaction in light of your particular circumstances, based upon the advice of your own financial, legal, tax, accounting, and other professional advisors. Neither we nor any of our affiliates will be providing any such advice in connection with any such transaction, and neither we nor they will be acting as your agent, broker, financial advisor, municipal advisor, or fiduciary in connection with any such transaction, whether or not we or they may otherwise be engaged to act in such capacity in connection with other products or services.

LIBOR Discontinuation Risk

On July 27, 2017, the Chief Executive of the United Kingdom Financial Conduct Authority, which regulates the London Interbank Offered Rate (“LIBOR”), announced that it intends to stop persuading or compelling banks to submit rates for the calculation of LIBOR to the administrator of LIBOR after 2021. The announcement indicates that the continuation of LIBOR on the current basis cannot and will not be guaranteed after 2021. It is impossible to predict whether and to what extent banks will continue to provide LIBOR submissions to the administrator of LIBOR or whether any additional reforms to LIBOR may be enacted in the United Kingdom or elsewhere. While global regulators and financial services industry market participants, including the Alternative Reference Rates Committee in the United States, have been working on developing alternative reference rates (“ARR”) for LIBOR and other interbank offered rates, there is no guarantee that ARR will be developed or widely used by market participants by the end of 2021, that any ARR developed will be suitable for each transaction as a substitute or successor for LIBOR, that the composition or characteristics of ARR will be similar to those of LIBOR, or that ARR will be the economic equivalent of the rate used in your LIBOR-based swap or financing transactions. Therefore, if LIBOR is discontinued during the term of your swap, your payments would be calculated differently and could be higher or more volatile than expected. These risks and others are discussed in greater detail at the IBOR Alternative Reference Rates disclosure at: www.wellsfargo.com/swapdisclosures.

Risks to Consider

While certain transactions described in the Materials may be used for hedging purposes to reduce or eliminate certain risks associated with your assets or liabilities, the effectiveness of hedging may depend upon holding these transactions to maturity and not reducing or disposing of all or any portion of the asset or liability during the term of the hedge. If a swap transaction is terminated early, or if you reduce or dispose of all or a portion of the underlying asset or liability before the transaction matures (such as prepaying a floating rate loan you hedge with a swap), then depending on the nature of the transaction, its characteristics and your position in it (e.g., buyer, seller, counterparty, etc.), you may incur a substantial loss or you may receive little or no hedging benefit from any upfront or periodic payments or exchanges or any other costs incurred in purchasing, selling, acquiring, maintaining or disposing of the transaction. You may also incur a substantial loss if you enter into a swap transaction in anticipation of hedging an asset or liability that does not materialize. You should understand that significant potential amounts could become payable by you for modifying a swap transaction, terminating it early or transferring your position in the transaction to another person or entity, or in connection with the exercise of any option or other rights under the transaction or governing documents, depending upon then existing market conditions. You should also consider that prepaying your loan or disposing of an asset or other liability does not relieve you of your obligations under a swap transaction, which may be terminated early only in accordance with the terms of the swap trading relationship documentation (such as an ISDA Master Agreement) or other transaction documents, or otherwise by mutual agreement. Such termination may require payment by you of an early termination amount, which amount may be substantial. Whether you use a swap transaction for hedging or another purpose, you should satisfy yourself that you understand these and other risks relative to the benefits you are seeking to achieve and that the transaction and risks are suitable for you. These risks are discussed in greater detail in disclosures provided to you through the following website: www.wellsfargo.com/swapdisclosures

Independent Obligation

To the extent any swap transaction described in the Materials may be used to hedge against increases in the interest expense of a loan or other financing, the swap transaction would be a separate and independent obligation and would not be contingent on whether or not any loan or other financing closes, is outstanding, or is repaid, in whole or in part, at any time, subject to any contractual requirement to terminate and settle the transaction early upon prepayment of the loan or financing or for other financing-related events. In addition, if you provide any existing or future collateral or other credit support to secure the transaction and any loan or other financing, then you would be entitled to the release of such collateral or credit support only if certain conditions contained in the related collateral agreement or credit support document are completely satisfied for both the transaction and any such loan or financing, or we otherwise reach agreement with you on alternative collateral, credit support or other arrangements.

Unmatched Terms & Conventions

If the principal amount or duration of a loan or financing differs from that of a transaction used to hedge such loan or financing, you may be exposed to risk of loss from such over-hedging or under-hedging. If any other economic terms or characteristics of a loan or financing differ from those of the related hedge, then in addition to any losses that you could incur from such differences, the hedge may create unanticipated accounting exposure or tax liability for you. To the extent fair value accounting applies to the hedge, you may have to reflect unrealized gains and losses (i.e., the so-called “mark-to-market” value of the hedge) over the life of the hedge on your balance sheet and/or income statement. If hedge accounting applies, any ineffectiveness in the hedge resulting from such differences may likewise need to be taken into account and reflected in your financial results. These swap valuation considerations may also be important to you for tax purposes, including any tax laws that may require unrealized gains or losses on swaps to be taken into account in determining your income tax liability.

Conventions used in the loan or other financing and swap markets may differ, and we are under no obligation to ensure that any swap we offer is a perfect hedge for your financing even if we provide you with both products. For example, if the method for determining a loan’s floating rate differs from that for a swap’s floating rate, the loan floating rate payments could diverge from those of the swap. Such divergence may occur by convention or as the result of contractual differences, such as in the definition of or the reset timing (e.g., 1-month or 3-month) for

the London Interbank Offered Rate (LIBOR), the dates or times at which LIBOR is set, the number of days in the payment periods, any applicable fallback floating rate, or the floating rate rounding convention.

Negative Interest Rates

Express wording in swap transactions is required to place a 0% floor on LIBOR or other floating benchmark rate of the swap transaction, and no such 0% floor is included in an interest rate swap or other swap transaction unless mutually agreed between the parties as reflected in the swap confirmation. Absent such floor, if a Floating Amount is negative under an interest rate swap, the Floating Rate Payer does not make such payment. Instead, the Fixed Rate Payer pays the absolute value of the negative Floating Amount in addition to the Fixed Amount. See §6.4 of the 2006 ISDA Definitions, as amended. If you wish to acquire a swap with a 0% floor, this may increase the price of your swap as reflected in a higher Fixed Rate. For further information on negative interest rates, including their effect on swaps and the loans they are hedging, see Negative Interest Rates at: www.wellsfargo.com/swapdisclosures.

Projections, Forecasts & Other Data

Although the information contained herein is derived from a source that WFBNA considers reliable, WFBNA does not guarantee the accuracy, reliability or completeness of this information and makes no representation or warranty, express or implied, with respect thereto. This information is provided as of the date(s) indicated, is subject to change without notice, does not constitute trading or investment advice or research, and has not been prepared in accordance with any laws or regulations designed to promote the independence of research. Historical data, past trends and past performance do not reflect or guarantee future results. Financial projections and economic forecasts are based on hypothetical assumptions about uncertain future market conditions and events and therefore should not be relied upon in connection with any asset, liability, transaction or otherwise, including any decision whether or when to invest or hedge or to acquire or dispose of any asset, liability or transaction. Projections or forecasts may not materialize, and reliance thereon could result in substantial losses. It is impossible to predict whether, when or to what extent rates, values and other economic factors will rise or fall, and there could be sharp differences between projections or forecasts and actual results. WFBNA makes no representation or warranty that actual results will conform to any projection or forecast and is not responsible for any loss or damage arising out of any reliance on or use of such information.

Applicability of Certain Regulations

WFBNA is a “swap dealer” as defined in the Commodity Exchange Act (“CEA”), and one or more transactions described in the Materials are “swaps” as defined in the CEA and regulations of the Commodity Futures Trading Commission (“CFTC”) or “security based swaps” as defined in the CEA and the Securities Exchange Act of 1934 and regulations of the U.S. Securities and Exchange Commission (“SEC”). From time to time, we may furnish you with certain information or request that you furnish us with certain information or representations or take other action we consider necessary or appropriate to comply with applicable legal or regulatory requirements, including the requirement that, in order to enter into a swap with us, you must be an Eligible Contract Participant (as defined in 17 C.F.R. §1.3(m)). Nothing herein or in the Materials constitutes legal advice or purports to be a complete statement of regulations applicable to swaps, matters which you should address with your own legal advisors. For purposes of CFTC Regulations 1.71 and 23.605, please note that the Materials are a “solicitation” of a swap and not a “research report” as defined therein. To the extent the Materials include a scenario analysis, such inclusion shall not supersede your right under CFTC Regulation 23.431(b) to request and consult in the design of a scenario analysis. Nothing in the Materials should be construed as a recommendation or opinion with respect to any swap or trading strategy involving a swap for purposes of CFTC Regulations Part 23 or the CEA. The Materials do not take into account your particular investment objectives, financial condition or needs and are not intended to serve as a basis for entering into a swap or to suggest, through opinion, recommendation, or otherwise, that you should enter into a particular swap or trading strategy involving a swap. You should consult with your own advisors for opinions on whether to enter into any swap or trading strategy involving a swap.

In certain cases, the transactions described in the Materials may be subject to regulations that have not yet been proposed, adopted in final form or become effective, and some or all of such regulations may have a direct or indirect impact on the substantive terms set forth hereunder. Accordingly, the parties acknowledge partial and/or incomplete information with respect to the requirements of such regulations, but acknowledge that to the extent any term contemplated hereunder is in violation of or subject to any current or future regulation, as amended from time to time, we reserve the right to amend the terms contemplated hereby or cease to offer the transaction described herein.

Wells Fargo as a Counterparty

Transactions described in the Materials are not bank deposits or FDIC insured, may expose you to the credit risk of WFBNA and therefore involve risk of loss to you apart from the market risk associated with the underlying rate, price or other economic measure on which the transaction is based. Financial information WFBNA is available at: https://www.wellsfargo.com/invest_relations/investor_relations

Additional Information

In addition to the information furnished above, you should not enter into any swap transaction described in the Materials without reviewing and understanding our “Disclosure of Material Information for Swaps” and accompanying documents available to you at: www.wellsfargo.com/swapdisclosures

Updating the Materials

We reserve the right to amend, supplement or replace the Materials at any time, and your use of the Materials constitutes your agreement to update the Materials with any such amendments, supplements or replacements we furnish you.

Confidentiality

The information in the Materials is confidential and may not be disclosed by you to anyone without our written consent, other than to your advisors, and judicial or other governmental authorities or regulators having jurisdiction over you (including, without limitation, federal, state or local tax authorities). Notwithstanding anything to the contrary contained in the Materials, all persons may disclose to any and all persons, without limitations of any kind, the U.S. federal, state or local tax treatment or tax structure of any transaction, any fact that may be relevant to understanding the U.S. federal, state or local tax treatment or tax structure of any transaction, and all materials of any kind (including opinions or other tax analyses) relating to such U.S. federal, state or local tax treatment or tax structure, other than the name of the parties or any other person named herein, or information that would permit identification of the parties or such other persons, and any pricing terms or nonpublic business or financial information that is unrelated to the U.S. federal, state or local tax treatment or tax structure of the transaction to the taxpayer and is not relevant to understanding the U.S. federal, state or local tax treatment or tax structure of the transaction to the taxpayer.

Limitation of Liability

In no event shall Wells Fargo be liable to you or any third party for any direct or indirect, special, incidental, or consequential damages, losses, liabilities, costs or expenses arising directly or indirectly out of or in connection with the Materials.

Wells Fargo does not provide tax advice. Any tax statement herein regarding US federal tax is not intended or written to be used, and cannot be used, by any taxpayer for the purpose of avoiding any penalties. Any such statement herein was written to support the marketing or promotion of a transaction or matter to which the statement relates. Each taxpayer should seek advice based on the taxpayer's particular circumstances from an independent tax advisor.

If you have any questions or concerns about the disclosures presented herein, you should make those questions or concerns known immediately to Wells Fargo.

4Q19

1. Describe the firm's net capital position and its underwriting ability and willingness to underwriter bonds.

While a number of firms have a large capital base, it is far more important that such firms evidence their ability to deploy capital in the municipal market on behalf of their clients. As one of the premier U.S. domiciled banks, Wells Fargo Bank, N.A. ("WFBNA") maintains the capital strength and financial stability necessary to support the County's transactions, both in the primary and secondary markets. WFBNA is the legal entity that provides municipal securities underwriting services for Wells Fargo & Company. **As a national bank registered with the SEC as a municipal securities dealer, Wells Fargo Bank, N.A. is subject to capital definitions and standards issued by the Office of the Comptroller of the Currency, rather than net capital standards of the Securities and Exchange Commission (as are most broker-dealers).** As a result, **net capital and excess net capital calculations are not applicable.**

WFBNA is, however, subject to capital regulations prescribed by the Office of the Comptroller of the Currency ("OCC"), which is the regulatory authority for national banks. **The following table provides a summary of WFBNA's robust capital position and financial capacity.**

Capital Position of Wells Fargo Bank, N.A.				
	FY 2016	FY 2017	FY 2018	2Q 2019
Tier 1 Capital	\$132.27 Billion	\$143.34 Billion	\$142.68 Billion	\$ 146.51 Billion
Total Risk-Based Capital	145.71 Billion	156.70 Billion	155.56 Billion	159.09 Billion
Total Equity Capital	155.83 Billion	166.60 Billion	165.24 Billion	169.08 Billion
Net Capital	N/A	N/A	N/A	N/A
Excess Net Capital	N/A	N/A	N/A	N/A

Source: Call Reports for Wells Fargo Bank, N.A.

Utilizing an underwriter with a strong balance sheet and a history of using it to support its issuing clients is important in the current volatile market. This puts issuers in a position of strength, since investors know the underwriter may purchase any unsold balances, as opposed to increasing spreads. Below, we highlight select notable capital commitments made in recent years.

Select Wells Fargo Capital Commitments				
Date	Issuer	Par	Underwritten	
		(\$mm)	(\$mm)	(%)
11/14/2019	Las Vegas-McCarran Int Airport	369.05	110.00	29.81
09/12/2019	Grapevine-Colleyville ISD	53.35	8.32	15.60
05/20/2019	Hidalgo County, Texas	45.44	11.30	25.12
05/15/2019	Texas Water Development Board	200.80	11.42	5.69
04/03/2019	Florida Municipal Loan Council	16.50	13.55	82.12
03/26/2019	Arizona Transportation Board	62.47	4.13	6.51
02/13/2019	New Mexico Finance Authority	37.15	30.35	82.25
01/24/2019	Canyon ISD, Texas	92.36	15.10	16.34
01/14/2019	Cumberland County Muni Authority	19.54	1.56	7.90
12/11/2018	Washoe County, Nevada	188.23	82.20	45.80
11/04/2018	Prisma Health	586.53	28.80	4.90
10/17/2018	Cypress-Fairbanks ISD, Texas	187.26	31.05	16.60
08/08/2018	Mount St. Mary's University	40.74	7.54	18.50
08/02/2018	Killeen ISD, Texas	269.78	43.46	16.20

08/02/2018	Lamar CISD, Texas	289.26	20.00	6.91
05/03/2018	New York University	592.59	22.69	4.00
04/03/2018	Little Elm ISD, Texas	135.16	19.10	14.13
01/30/2018	Hampton Roads Trans Commission	500.00	188.34	37.67
01/11/2018	Anaheim Housing & Pub Imp	46.42	8.86	19.08
12/14/2017	Conroe ISD, Texas	174.44	50.00	28.66
11/21/2017	Commonwealth Trans Board, Virginia	483.00	117.81	24.35
11/01/2017	Guilford County, North Carolina	179.79	32.49	17.88
06/28/2017	Dixie State University, Utah	19.72	13.91	71.21
06/15/2017	St. Lucie County, Florida	46.87	30.36	62.11
04/26/2017	Port Authority of NY & NJ	554.73	16.40	2.96
03/29/2017	Union Elementary School	29.28	21.65	72.17
01/05/2017	University of Pittsburgh	512.48	17.50	3.41

Source: Wells Fargo Securities, Wells Fargo Bank N.A. Call Report.

2. Discuss your proposed transaction team which would be assigned to serve as underwriter to the County. Be specific regarding the person(s) who would have primary and/or day-to-day responsibility for directing your firm's efforts. Provide a resume for each individual assigned to the County's service including their title, address, phone, and e-mail.

The lead investment banking professional and day-to-day contact assigned to the County's future financings will **Jim Perry**, *Managing Director*, and Head of the Southern Region for Wells Fargo Public Finance. **Walker McQuage**, *Managing Director*, will lead underwriting efforts, and to support the investment banking team, **Arseni Sutton**, *Analyst*, will provide analytical and transaction support. Brief resumes for the core WFS banking and underwriting team for Baldwin County are found below.

Investment Banking Professionals

JIM PERRY, Managing Director
188 E. Capitol Street, Fl.01
Jackson, MS 39201

Tel: (601) 503-1053

jim.perry@wellsfargo.com

Role/Responsibilities: Lead Banker and Day-To-Day Contact for the County.

Mr. Perry joined Wells Fargo in July 2018, is the Head of the Southern Region for the Firm's Public Finance Department and is co-head of the National Municipal Structured Finance group. He is based in Jackson, Mississippi. With more than 11 years of public finance experience, Mr. Perry has led the structuring and execution of tax-exempt, taxable, Build America, and tax credit bond senior managed financings for issuers across the Southern United States and has been a lead banker for more than \$15 billion of senior managed municipal financings.

Mr. Perry has been the lead banker for numerous financings for state and local government entities in Alabama and throughout the United States. At his previous firm, Mr. Perry was the lead banker for numerous senior managed financings in the State of Alabama, for issuers such as the Alabama Public School and College Authority, the Alabama Incentives Financing Authority, the Alabama Economic Damages Settlement Authority, the Alabama Federal Aid Highway Finance Authority, and the Decatur City (AL) Board of Education. He has led the rating agency and investor education processes for numerous new or restructured credits including the Texas Department of

Transportation's Grand Parkway Transportation Corporation, the State of Mississippi's inaugural leveraging of gaming tax revenues, financings for the Alabama Department of Transportation, the Virginia Port Authority, the Louisiana Citizens Property Insurance Corporation, the first leveraging of Gulf of Mexico Energy Security Act revenues (for Cameron Parish, Louisiana), and the only executed leveraging of settlement revenues from the 2010 Deepwater Horizon/BP oil spill (for the State of Alabama).

His project finance experience includes the first privately financed high speed U.S. passenger rail project in more than 100 years; a midstream oil transportation, terminal and storage project; and a commercial and demolition waste landfill.

Prior to joining Wells Fargo, Mr. Perry was the Head of the Southern Region and co-head of the P3 Group for Morgan Stanley. He served as Deputy Chief of Staff and Policy Director for former Mississippi Governor Haley Barbour, where his responsibilities included development of the State budget and issues related to the State Bond Commission. He is the former Legislative Director for then-Congressman Roger Wicker.

Mr. Perry received a bachelor's degree in economics and government from the University of Virginia. He holds FINRA Series 7, 24, 53, 63, and 79 securities registrations.

ARSENI SUTTON, Analyst
30 Hudson Yards, 62nd Floor
New York, NY 10001

Tel: (212) 214-2861

arseni.sutton@wellsfargo.com

Role/Responsibilities: Quantitative and transactional support related to the County's bond financings.

Mr. Sutton joined Wells Fargo in 2019. As part of the Southern Region, Arseni provides transactional and quantitative support as well as financial and market analysis. Mr. Sutton graduated with a Bachelor of Science in Business Administration from the University of California, Berkeley and maintains Series 52 and 63 securities registrations.

Underwriting, Sales & Trading Professional

WALKER MCQUAGE, Managing Director
550 South Tryon Street, 4th Floor
Charlotte, NC 28202

Tel: (704) 410-4082

walker.mcquage@wellsfargo.com

Role/Responsibilities: Head of the underwriting desk and will serve as lead underwriter on any of the Authority's transactions.

Mr. McQuage is responsible for and coordinates the marketing, distribution, and underwriting of all fixed rate municipal transactions managed by Wells Fargo institutional trading, sales, and underwriting operations. Mr. McQuage has over 20 years of underwriting experience (16 years of which at Wells Fargo) with general obligation, revenue, taxable, and corporate municipals, as well as prior experience in the financial futures markets. He specializes in Southeastern credits, and since 2009, he has worked on over 3,300 transactions totaling almost \$600 billion in par amount. Mr. McQuage holds a Bachelor of Science degree in Finance from the University of South Carolina, and holds FINRA Series 3, 7, 53, and 63 licenses.

3. Provide number of full-time employees dedicated to municipal bond sales

Wells Fargo's Public Finance Department is part of the Municipal Products Group and operates in 17 offices nationwide. In total, the Firm's Municipal Products Group employs 155 individuals¹, including:

- **Investment Banking.** Comprising 92 individuals working with local and state governments, transportation, housing, public power, water, student loan, healthcare, education and not-for-profit organizations. In 2018, WFS was ranked 7th on negotiated transactions, senior managing 182 issues totaling approximately \$10.9 billion of par amount². In addition to our dedicated public power and Alabama-region bankers, our investment banking team also includes:
 - *Credit Strategies and Investor Marketing:* Consists of six professionals across banking and trading that assist in the development, management, and ongoing analysis of issuer credits and investor marketing.
 - *Quantitative Analytics:* Includes five professionals specializing in quantitative and market analytics.
- **Underwriting / Institutional Sales¹.** Comprising 15 professionals specializing in the marketing and underwriting of primary bond issues in the long-term and short-term markets. Wells Fargo's institutional investor base includes the 250 key national buyers of municipal securities among the Firm's 425-institutional account base as well as a significant number of Tier-2 and Tier-3 institutional accounts.
- **Middle Market and Retail Sales¹.** Comprising 200 professionals who specialize in middle market buyers, covering over 10,000 accounts out of 17 offices nationally. Covering retail clients, Wells Fargo's Advisors has 13,968 advisors who have direct access to retail buyers across the nation. In Alabama, there are 89 advisors in 11 offices across the State.

Trading¹. Comprising 18 traders who specialize in the trading of long and short-term securities. This group is also a very active participant in the secondary market and maintains substantial positions in various types of municipal bonds.

Retail Sales. Wells Fargo ranks as the one of the largest national retail brokerage networks in the country, managing over 7.1 million active accounts with nearly \$1.5 trillion in total client assets³.

Wells Fargo's National Retail Presence											
State	Total Offices	Team Mem	State	Total Offices	Team Mem	State	Total Offices	Team Mem	State	Total Offices	Team Mem
AK	1	10	FL	40	568	LA	11	79	NC	41	333
AL	11	89	GA	20	167	MA	12	172	ND	3	9
AR	12	71	HI	1	29	MD	9	131	NE	7	47
AZ	13	151	IA	11	55	ME	3	26	NH	3	26
CA	58	1,022	ID	4	30	MI	25	236	NJ	20	395
CO	13	142	IL	33	414	MN	5	67	NM	4	33
CT	9	149	IN	10	128	MO	15	193	NV	5	58
DC	2	58	KS	12	93	MS	8	44	NY	35	676
DE	2	26	KY	7	72	MT	2	10	OH	30	284
									UT	5	73
										Total	703 8,065

Source: Wells Fargo internal data, as of December 31, 2018.

¹ WFBNA MPG as of June 1, 2019

² Thomson Reuters SDC, as of December 31, 2018

³ Wells Fargo Internal Data, as of December 31, 2018

4. Does your firm maintain a public finance office or a retail and/or brokerage office in the State of Alabama? If so, please provide the address and phone number for this office and primary contact person.

Wells Fargo maintains a strong retail presence in the State of Alabama. Although Wells Fargo does not maintain a public finance office in the State, our team includes bankers specifically dedicated to covering Alabama issuers. The primary contact for person for the State, along with our presence within it, is detailed in the tables below.

Wells Fargo's Alabama Presence	
Branch	Number of Locations
Banks	114
Mortgage	2
Wells Fargo Advisors	11
Total	127

Source: Wells Fargo internal data, as of December 31, 2018

Wells Fargo's Alabama Public Finance Contact	
Jim Perry	
Managing Director, Head of Southern Region & Co-Head of National Structured Finance	
188 E. Capitol Street, Fl. 01	
Jackson, MS 39201	
Tel: (601) 503-1053	

5. Provide no more than three case studies which describe the experience of your assigned professionals with general obligation and public building authority transactions.

Below, we have provided three case studies of innovative structuring and/or marketing techniques that WFS has used to enhance the marketability of long-term obligations. These case studies include a general obligation financing, for which the lead banker (Mr. Perry) is the banker who would work on a Baldwin County, financing; an example of a recent senior managed county GO financing of a size similar to the one contemplated by Baldwin County (executed by the group managed by Mr. Perry); and a public building authority financing (which demonstrates our underwriters' and sales force's familiarity with such credits).

Case Study No. 1

\$52,720,000

City of Conroe, Texas

Limited Tax Refunding Bonds, Series 2019

Certificates of Obligation, Series 2019A

Certificates of Obligation, Series 2019B

Sale Date: November 14, 2019 | Role: Senior Manager

On November 14, 2019, WFS served as Senior Managing Underwriter for the City of Conroe's issuance of its \$9.1 million Series 2019 Limited Tax Refunding Bonds, its \$23.7 million Series 2019A Certificates of Obligation, and its \$19.9 million Series 2019B Certificates of Obligation. The Series 2019 Bonds were a general obligation of the City, and the proceeds were used to refund a portion of the City's outstanding debt. The 2019A Certificates were secured by a dual-pledge of ad valorem taxes and excess revenues from the City's water and sewer system. The proceeds for this issue were used to fund numerous the construction and rehabilitation of roadways, railroad crossings, traffic signals, and public buildings in the City. The 2019B Certificates were likewise backed by a dual-pledge of ad valorem taxes and excess water and sewer revenues, and its proceeds were used for the improvements and renovations of the City's water and sewer system.

During the week of the City's pricing, trade talks continued to dominate headlines and influence investor sentiment. In Congressional testimony, Fed Chair Powell flagged slowing global growth and trade disputes as "ongoing risks," but reiterated his confidence in the Fed's monetary policy

after rates were cut 75bps during the calendar year. Municipal supply saw \$12.6 billion priced during the week and was generally well-received by investors, despite heavy sector issuance.

The Series 2019 Bonds were structured as serials out 12 years, while the Series 2019A and 2019B Certificates were both structured as serials out 20 years. All three series were subject to a 10-year par call. At the start of the order period, the Series 2019 Bonds opened at +21bps MMD in 5year, +34bps MMD in 10year, and +38bps MMD in 12year. Similarly, the Series 2019A and 2019B Certificates opened at +21bps MMD in 5year and +34bps MMD in 10year, but extended to +90bps MMD in 20year. At the end of the order period, the Series 2019, 2019A, and 2019B issuances saw respective subscription levels of 1.5x, 5.0x, and 3.5x. A strong response by investors on the long-dated maturities drove spreads tighter by 1-7bps tighter across 9year through 19year for all three issues. Spreads ended at: +21bps MMD in 5year, +32bps MMD in 10year, +35bps MMD in 12year, and +90bps MMD in 20year. The book for Series 2019, 2019A, and 2019B issuances ended 2.0x, 5.0x, and 3.6x subscribed, respectively, with bond funds and bank portfolios representing the largest blocs of investors.

Case Study No. 2

\$235,840,000

State of Mississippi

Taxable General Obligation Bonds, Series 2019C

Sale Date: September 26, 2019 | Role: Senior Manager

On September 26, 2019, WFS served as Senior Managing Underwriter for the State of Mississippi's issuance of its \$235.8 million Series 2019C Taxable General Obligation Bonds. The bonds were secured by the full faith and credit of the State, and the proceeds were used for various capital improvements across the State, as well as to finance economic development projects.

At the time of pricing, politics and turmoil in Washington dominated market sentiment, overshadowing much of the week's economic reports. House Speaker Pelosi announced a formal impeachment inquiry of President Trump after a whistleblower complaint regarding his phone call with the Ukrainian President earlier this summer. Investors took a flight to safety and sent both risk assets and treasury yields lower week-over-week. Municipal supply this week totaled \$8.6 billion and was easily digested regardless of market volatility.

This taxable GO transaction was structured as serials out 13 years and subject to a 10-year par call. The transaction launched on the tighter side of guidance in the 3year through 13year, leaving 1-2years unchanged. Final spreads were: +37.5bps UST in 5year, +57.5 UST in 10year, and +90bps UST in 13year for a premium coupon. The overall book ended 1.9x subscribed with insurance companies, bonds funds, and bank portfolios being the largest investors.

Case Study No. 3

\$39,205,000

Idaho State Building Authority

State Building Refunding Revenue Bonds, Series 2019A

Sale Date: November 20, 2019 | Role: Senior Manager

Wells Fargo was appointed in 2017 as sole senior manager to assist the State of Idaho in issuing \$90 million of taxable bonds to finance the construction of two next generation technology facilities known as the Idaho Cybercore Integration Center and the Idaho Collaborative Computing Center. The facilities were financed through the issuance of taxable lease revenue bonds. The

State will lease the facilities to the State Board of Education who will sublease the facilities to the Idaho National Laboratories. A Collaboration Agreement, signed between Idaho National Laboratories and the State Board of Education, will allow Idaho's public colleges and universities to utilize these facilities to further research in the area of cyber security control systems including advanced high performance computation and modeling/simulation. Wells Fargo priced the bonds in April, 2018 and a ribbon-cutting occurred in Sept. 2019. Following the successful pricing of the Series 2018 Bonds, the Building Authority awarded Wells Fargo a sole senior managed appointment to finance a \$35 million new Skilled Nursing Facility that the State Legislature had mandated be built to replace an aging facility in Blackfoot, ID. While working on the financing of the Nursing Facility, Wells Fargo introduced a refunding opportunity to the Building Authority for an outstanding series of variable rate bonds that had been issued previously for Prison Facilities that were hedged with an interest rate swap. Wells Fargo executed a fixed rate refunding of the variable rate prison bonds simultaneously with the issue for the Skilled Nursing Facility and assisted the Authority in unwinding an associated interest rate swap that generated a combined interest rate savings upon execution. Lastly, Wells Fargo presented a taxable advance refunding opportunity to the Building Authority earlier this year and was awarded sole senior manager (the Firm's third consecutive senior managed appointment) to advance refund approximately \$40mm outstanding lease revenue bonds that had been issued previously to finance a State office building in downtown Boise, ID. The Authority welcomed the opportunity to not only generate savings from the taxable refunding but also to convert the bonds to taxable securities to eliminate certain private use issues that were causing problems with the ability to lease existing space in the facility to non-exempt businesses. The financing priced in November 2019 and generated over \$8.2 million of cash flow savings, or nearly 9% PV Savings.

6. Discuss any pending or threatened investigations or litigation by any private or public entity or any lapse or other deficiency in insurance coverage or professional licensure which may impact your firm's ability to serve as underwriter.

Wells Fargo Bank N.A. ("WFBNA") is a subsidiary of Wells Fargo & Company ("WFC"), a corporation organized under the laws of Delaware. WFC's principal office is located in San Francisco, California. As with any large diversified financial services company of its size in the highly-regulated banking and securities field, Wells Fargo Bank, N.A. and Wells Fargo & Company are subject to receiving inquiries and subpoenas from regulators and law enforcement from time to time, as well as being subject to civil litigation. Wells Fargo responds regularly to inquiries and investigations by governmental entities and, as a highly regulated diversified financial institution has in the past entered into settlements of some of those investigations, including those specified below. Except as indicated below, none have resulted in any material restrictions on Wells Fargo's ability to operate its businesses, and none are or have been material to the operation of the businesses.

Wells Fargo Bank, NA Municipal Products Group ("WFBNA MPG"), the party responding to this RFP, is a separately identifiable department of WFBNA and is registered with the Securities and Exchange Commission as a municipal securities dealer, authorized to provide underwriting and investment banking services in connection with municipal securities.

Below is a summary of (i) certain resolved regulatory matters related to WFBNA MPG and WFBNA that are related to municipal securities and (ii) certain matters relating to actions involving municipal entities.

During the fourth quarter of 2011, WFBNA entered into a settlement with various regulators regarding municipal derivatives contracts. Please see the Legal Actions section of WFC's 2011 Annual Report for additional information regarding the municipal derivatives bid practices settlement with the Office of the Comptroller of the Currency, Securities and Exchange Commission, the U.S. Internal Revenue Service, U.S. Department of Justice and a group of state Attorneys General. See press release dated December 8, 2011 at <https://www.sec.gov/litigation/litreleases/2011/lr22183.htm>.

WFBNA has paid municipal fines in connection with a small number of houses for alleged violations of local housing ordinances, some of which are characterized as misdemeanors.

During the third quarter of 2016, WFBNA entered into settlement agreements with the City of Los Angeles, the Consumer Financial Protection Bureau and the Office of the Comptroller of the Currency regarding certain sales practices. See press release dated September 8, 2016 at https://www.wellsfargo.com/about/press/2016/sales-practices-agreements_0908.content (the "2016 Settlement"). WFC entered into a consent order with the Board of Governors of the Federal Reserve System on February 2, 2018, relating to our governance oversight, and compliance and operational risk management program, which relates to prior issues including the 2016 Settlement, and such consent order placed certain limitations on WFC's growth, as described therein. See press release dated February 2, 2018 at <https://newsroom.wf.com/press-release/corporate-and-financial/wells-fargo-commits-satisfying-consent-order-federal>

Following the announcement of the 2016 Settlement discussed above, certain state and local governmental bodies and municipal entities have temporarily suspended or removed WFBNA MPG as underwriter from certain of such issuers' municipal underwritings.

On February 2, 2016, WFBNA MPG entered into an agreement with the SEC resulting from a self-report submitted to the SEC by WFBNA MPG pursuant to the SEC's Municipalities Continuing Disclosure Cooperation Initiative ("MCDC") (see <https://www.sec.gov/litigation/admin/2016/33-10028.pdf>).

On December 26, 2018, WFC was served with a Complaint for a qui tam action pending in San Francisco County, California. State of California, ex rel., Edelweiss Fund, LLC v. JP Morgan Chase & Co., et al., Case No. CGC-14-540777. The California qui tam action alleges Wells Fargo and twelve other remarketing agents conspired to inflate the interest rates on certain tax-exempt bonds issued by public entities called variable rate demand obligations issued by the State of California or its political subdivisions. On February 20, 2019, the City of Philadelphia filed a putative class action against WFBNA and related entities, along with six other banks and their related entities. The City of Philadelphia v. Bank of America Corporation, et al., No. 1:19-cv-01608, U.S.D.C., S.D.N.Y. The plaintiff alleges that the defendants conspired to inflate the interest rates on certain tax-exempt bonds issued by public entities called variable rate demand obligations from February 1, 2008 to June 30, 2016. On March 25, 2019, the City of Baltimore filed a similar putative class action complaint against WFBNA and related entities, along with nine other banks and related entities. Mayor and City Council of Baltimore v. Bank of America Corporation, et al., No. 1:19-cv-02667, U.S.D.C., S.D.N.Y. The City of Baltimore's allegations are substantially similar to the allegations in the City of Philadelphia case. The Philadelphia and Baltimore cases were consolidated and an amended consolidated complaint was filed on May 31, 2019, naming WFBNA and related entities, along with seven other banks and related entities. On or about August 21, 2019, another qui tam action was unsealed in Mercer County, New Jersey. State of New Jersey, ex rel., Edelweiss Fund, LLC v. JP Morgan Chase & Co., et al., Docket No. L.

885-15. The New Jersey qui tam action names Wells Fargo & Co. and four other financial institutions as defendants. The allegations in the New Jersey qui tam action are substantially similar to the California qui tam action.

WFBNA was named as a defendant in an antitrust case filed in the U.S. District Court for the Middle District of Louisiana on October 21, 2019, by the City of Baton Rouge/East Baton Rouge Parish, Consolidated Parish Employees Retirement System and Police Guaranty Fund. No. 3:19-cv-00725. The plaintiffs allege that WFBNA and 11 other defendants colluded to keep the bid-offer spreads artificially wide in secondary market trading for Government Sponsored Enterprise bonds, including those issued by Fannie Mae, Freddie Mac, and Federal Home Loan Banks.

Please be further advised of the following:

On February 2, 2018, WFC entered into a consent order with the Board of Governors of the Federal Reserve System, relating to governance oversight and the company's compliance and operational risk management program. This consent order does not relate to new matters, but rather to prior issues including the 2016 sales practices matter. For additional information, see the press release at <https://newsroom.wf.com/press-release/corporate-and-financial/wells-fargo-commits-satisfying-consent-order-federal>

In April 2018, WFC entered into consent orders with the Consumer Financial Protection Bureau and the Office of the Comptroller of the Currency that address matters relating to WFC's compliance risk management program and issues regarding certain interest rate-lock extensions on home mortgages and collateral protection insurance placed on certain auto loans. For additional information, see the press release at <https://newsroom.wf.com/press-release/corporate-and-financial/wells-fargo-enters-consent-orders-occ-and-cfpb>

In August 2018, WFC announced that it entered into an agreement with the U.S. Department of Justice (DOJ) to resolve a previously disclosed investigation by the DOJ regarding claims related to certain 2005–07 residential mortgage-backed securities activities. For additional information, see the press release at <https://newsroom.wf.com/press-release/consumer-lending/wells-fargo-reaches-agreement-doj-resolve-legacy-rmbs-claims>

On December 28, 2018, WFC entered into a settlement with all 50 state Attorneys General and the District of Columbia regarding previously disclosed retail sales practices, auto collateral protection insurance ("CPI") and Guaranteed Asset/Auto Protection ("GAP"), and mortgage interest rate lock matters. See press release dated December 28, 2018 at <https://newsroom.wf.com/press-release/community-banking-and-small-business/wells-fargo-reaches-agreement-state-attorneys>.

In addition, hyperlinks to Wells Fargo & Company's public filings are provided below for disclosure regarding other litigation and regulatory matters related to Wells Fargo & Company and its subsidiaries.

Copies of the (i) Legal Proceedings sections from Wells Fargo & Company recent public filings and (ii) certain of Wells Fargo & Company's regulatory filings are available via the internet link below:

https://www.wellsfargo.com/invest_relations/filings

Wells Fargo & Company Annual Report Link:

https://www.wellsfargo.com/invest_relations/annual

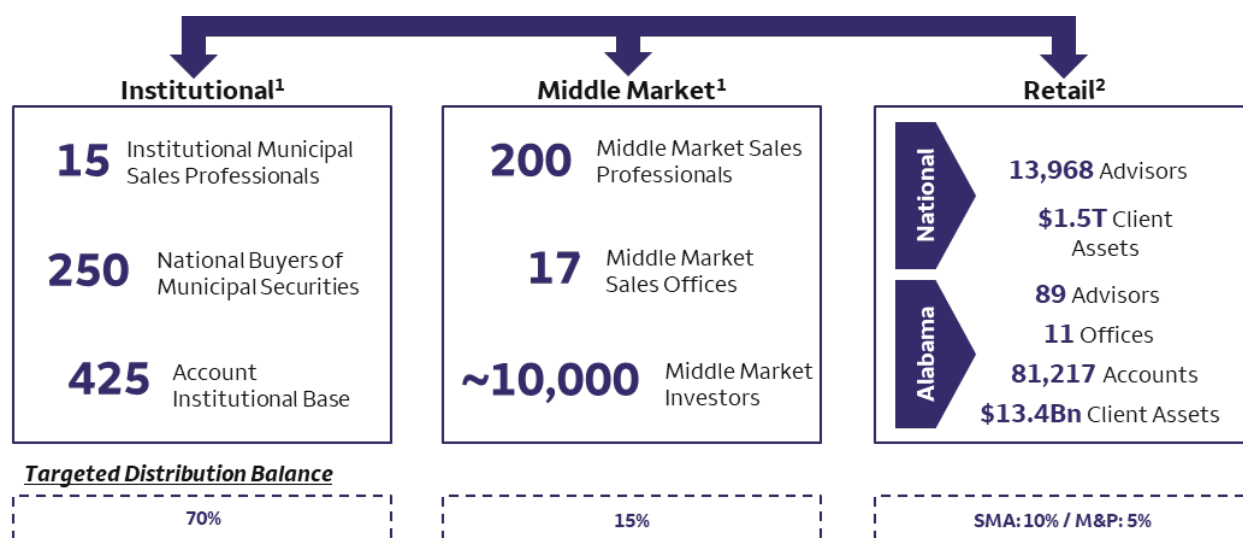
7. Has any municipal finance professional or associated person within your firm made or directed any political contributions, provided things of value, or provided financial advice to the extent it would be disqualified, under current laws, rules, and regulations, from acting as underwriter of the bonds?

To the best of our knowledge and based on reasonable due diligence, we are not aware that any municipal finance professional or associated person within WFBNA MPG made or directed any political contributions, provided things of value, or provided financial advice to the extent WFBNA MPG would be disqualified, under current laws, rules, and regulations, from acting as underwriter of the bonds. Gifts, entertainment and political contributions provided to any Baldwin County employees are subject to the compliance pre-clearance process (i.e., Global Pre-clearance System) of WFBNA MPG which is administered to the best of our knowledge in accordance with applicable laws and regulations.

8. Please provide any other information the County should consider when assessing your firm's ability to serve as underwriter.

As it relates to municipal underwritings, Wells Fargo and its predecessors have been underwriting municipal bonds for over 40 years. Through acquisitions and a focused dedication to the municipal market, the Firm has grown in size and stature to our current position as one of the most active underwriters of municipal bonds in the United States. Since the start of 2013, Wells Fargo has served as senior or sole managing underwriter on 1,834 bond issues nationally with an aggregate par amount of more than \$143.8 billion.³

Distribution Capabilities. Wells Fargo will utilize its diverse distribution network to distribute the County's bond offerings to three different tax-exempt (and taxable, if applicable) investor groups: institutional, middle market, and retail.



Sources: Wells Fargo Internal Database, December 31, 2018. ¹Services provided by WFS. ²Services provided by WFA.

Among Wells Fargo's differentiating factors is an ability to reach middle market investors. Our middle-market distribution channel represents a sales force of approximately 200 professionals located around the country, which cover around 10,000 "Tier 2" and "Tier 3" investors (e.g., small

³ Thomson Reuters SDC. Represents negotiated and competitive issues; January 1, 2013 – November 20, 2019 (true economics to book runner).

corporations, insurance companies, trust departments, specialty funds, regional banks, and local money managers). Many of these sales professionals work in the same locations as our institutional and syndicate teams, leading to effective collaboration. Additionally, Will Illingworth, Vice President in Municipal Syndicate, has a special focus on connecting with middle market sales professionals on all Wells Fargo transactions.

These accounts are less price-sensitive than the typical institutional investors due to their smaller size and their general “buy-and-hold” nature. More importantly, these accounts are generally not covered by all investment banks as Wells Fargo has grown these relationships from its legacy regional banking coverage; thus, many of these relationships are considered exclusive to Wells Fargo. Additionally, our Firm has a three-person middle market specialist team on the trading desk that is dedicated to providing liquidity to these buyers. This coverage gives these investors the confidence to participate in transactions where Wells Fargo is serving as a senior manager because they trust that they will be allocated bonds after doing their credit work and that there will be a secondary market for the bonds after the sale, if needed.

Our focus on this market enables us to secure priority orders from these accounts, which are often overlooked or not covered by most underwriters. As a Senior Manager, we will be able to best utilize this distribution channel on behalf of the County. As noted in the nearby graphic, we have been able to bring new investors to credits for which we senior managed, as we did for Birdville ISD, UMBA, and Carnegie Mellon.

Middle Markets	Who Are They?	Why Do They Matter?			
	Corporations, insurance companies, trust departments, specialty funds, local money managers, non-profits and governments	<ul style="list-style-type: none"> Less price sensitive vs. institutional Buy-and-hold strategy Higher interest in the 11-20 year range relative to institutional and retail investors Diversifies investor base 	Client	Deal	# New Investors
			Birdville ISD	2019	1
			UMBA	2019-1	30
			Carnegie Mellon	2019A	16

Source: Wells Fargo Internal Database, December 31, 2018. Wells Fargo Senior Managed Transactions

The table below highlights select transactions on which Wells Fargo served as co-manager, yet secured priority orders and allotments, increasing demand for our clients' bonds, which further demonstrates our strength in reaching investors that are not covered by other underwriters.

Sale Date	Issuer	Par Amt*		Orders (\$000)			Allots (\$000s)
		(\$000s)	Liability	Priority	Total	Orders	
9/10/2019	Mississippi Home Corporation	65,325	15.00%	5,000	47,130	72.15%	1,820
8/22/2019	City of Houston	493,315	16.00%	15,000	167,680	33.99%	21,410
8/22/2019	City of Charlotte	58,750	50.00%	10,000	42,230	71.88%	8,300
8/12/2019	City of Odessa	81,000	20.00%	15,300	32,770	40.46%	1,220
7/24/2019	Vermont Edu. and Health Buildings Financing Agency	49,840	25.00%	35,680	35,705	71.64%	3,600
7/10/2019	New York City Municipal Water Finance Authority	450,000	3.00%	10,000	55,880	12.42%	2,130
7/10/2019	New Caney Independent School District	59,230	25.00%	8,000	23,000	38.83%	2,250
6/26/2019	North Carolina Medical Care Commission	306,985	7.50%	8,350	33,350	10.86%	4,500
5/15/2019	Allegheny County Hospital Development Authority	726,650	10.00%	6,600	98,050	13.49%	595
4/24/2019	Connecticut Housing Finance Authority	86,995	2.00%	5,000	23,095	26.55%	10
3/27/2019	State of Oregon	56,235	5.00%	21,740	56,395	100.28%	4,650
3/06/2019	State of Oregon	100,610	5.00%	16,770	37,190	36.96%	1,500
2/13/2019	State of Oregon	466,355	2.50%	20,000	20,350	4.36%	3,150
1/23/2019	Lake Travis Independent School District	92,705	15.00%	6,720	22,950	24.76%	6,720
1/17/2019	Salado Independent School District	46,180	20.00%	7,990	18,390	39.82%	7,890
1/15/2019	Birdville Independent School District	157,635	17.50%	10,400	42,765	27.13%	8,770

BALDWIN COUNTY, ALABAMA



RESPONSE TO REQUEST FOR PROPOSALS

DUE DATE: NOVEMBER 22, 2019

RAYMOND JAMES®

Matt Adams
2900 Highway 280
Birmingham, Alabama 35223
(205) 802-4275
Matt.Adams@RaymondJames.com

Tim James
7460 Halcyon Pointe Drive
Montgomery, Alabama 36117
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Brad.Green@RaymondJames.com

RAYMOND JAMES®

November 22, 2019

Ronald Cink
Budget Director
Baldwin County, AL
322 Courthouse Square
Bay Minette, AL 36507
rcink@baldwincountyal.gov

Reid Cavnar
Managing Director
Stifel
2660 Eastchase Lane, Suite 400
Montgomery, AL 36117
cavnarr@stifel.com

Ken Funderburk
Managing Director
Stifel
2660 Eastchase Lane, Suite 400
Montgomery, AL 36117
funderburkk@stifel.com

Dear Mr. Cink, Mr. Cavnar, and Mr. Funderburk,

On behalf of Raymond James & Associates, Inc. ("Raymond James") or (the "Firm"), we are pleased to submit our response to Baldwin County's (the "County") Request for Proposals for Underwriting Services.

Our experience, capital base, and our ability to distribute bonds/warrants gives us a unique advantage against the firms that we compete against.

Our firm is extremely familiar with serving issuers in Alabama (the "State"). Over the last 10 years we are the largest underwriter of Alabama debt (underwriting 272 transactions with a par of over \$6 billion). We have senior managed over \$426 million in Alabama general obligation credits since the beginning of 2017, which is the largest of any underwriter in Alabama and accounts for about 19% of the general obligation bond issuance in the State during that time. Also, as a Firm, we have extensive experience structuring and bringing lease/appropriation transactions to market. Since 2017, Raymond James has served in some underwriting capacity on 116 such transactions for a total par amount of over \$14 billion. We believe our exposure to lease/appropriation credits provides us with an added advantage in developing a marketing plan for the proposed issue that would educate prospective investors on Baldwin County's robust underlying credit strength in order to attract the broadest base of investors possible to the transaction.

Raymond James currently has nearly \$6.5 billion in equity capital, including over \$1 billion in excess net capital. This financial strength allows our firm to underwrite bonds. It is one thing to have the ability and quite another to have the willingness to use the capital for the benefit of our clients. In Appendix A, you will find a listing of recent underwritings where Raymond James has used its capital to support the issuance process by underwriting balances of bonds. We will aggressively price and support the County's debt by committing capital to the transaction as needed with the goal of achieving the lowest interest rate possible for the County.

In addition, our sales force is tailor made to cover each segment of potential investors in the County's warrants. Raymond James covers the largest institutional investors with 23 dedicated municipal group salesmen. 160 fixed income generalists cover smaller institutions, Alabama banks, insurance companies and other lower tier investors. Our 8,239 retail advisors with over \$667 billion in assets under management cover retail investors, which account for about 44% of municipal bonds investors.

Finally, our Fairhope and Orange Beach offices, which employ 6 associates, give us a local presence in Baldwin County and provide us with a vested interest in making sure that the County's financings are executed in a flawless manner.

Thank you very much for the opportunity to respond to this RFP. We look forward to serving the County by developing and executing creative financing ideas to help you accomplish your goals.

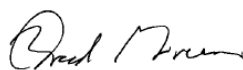
Respectfully submitted,

A handwritten signature in black ink that reads "Matt Adams". The script is fluid and cursive.

Matt Adams
Managing Director
(205) 802-4275

A handwritten signature in black ink that reads "Tim James". The script is fluid and cursive.

Tim James
Senior Vice President
(334) 213-4110

A handwritten signature in black ink that reads "Brad Green". The script is fluid and cursive.

Brad Green
Senior Vice President
(205) 802-4274

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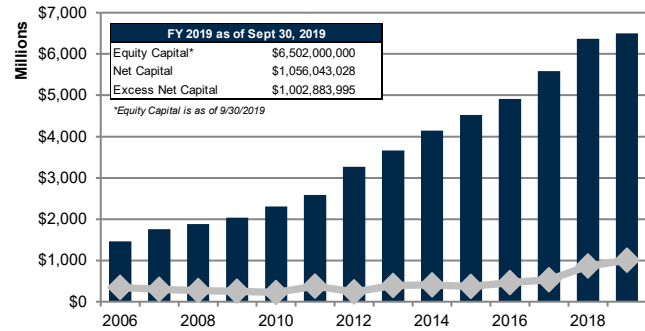
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Appendix A: Alabama Bonds Underwritten

Appendix B: Resumes

1. Describe the firm's net capital position and its underwriting ability and willingness to underwrite bonds.

Underwriting Capacity. Raymond James remains well-capitalized and continues to grow with approximately \$6.5 billion in equity capital. As public finance and municipal bond underwriting make up a significant portion of Raymond James' business, we have the ability to allocate a large portion of our excess net capital towards these practices. Our excess net capital is \$1.0 billion, allowing us to underwrite an estimated \$14.3 billion in municipal bonds at any one time. This strong capital base allows the Firm to be an aggressive underwriter and enables us to effectively serve clients like the County.



Willingness to Underwrite. Our willingness to prudently commit capital toward the underwriting of transactions in unfavorable market conditions is a testament to our dedication to public finance clients and the municipal bond industry. We have underwritten bonds on several issues over the past couple of years. A summary of these transactions can be found in Appendix A.

Our process concerning our decision of whether to underwrite bonds begins with going to market with spreads and a coupon structure that we believe represent a fair price for a particular issue when incorporating a multitude of criteria including credit quality, block sizes, competing supply, market tone, syndicate price views, and preliminary conversations with investors. If short term movements in the market cause demand to move away materially from the levels where we initially set the pricing, we are willing to take down the bonds to ensure a fair price for our clients.

Examples of Raymond James' Capital Commitment in Alabama. Raymond James consistently puts its commitment to municipal clients to the test by standing ready to prudently commit its capital on every deal it underwrites, no matter the size. As a leading municipal investment banking firm, Raymond James has been in the position of senior managing numerous transactions where our liability was significant. To the extent that there are a reasonable amount of unsold bonds at the end of the order period, our underwriting desk makes an underwriting commitment to ensure a successful pricing. Raymond James' underwriting and investment banking team will make every effort possible to fully communicate to the County and the other members of the underwriting team the status of the bond sale and any potential decisions regarding underwriting unsold balances. In the table below, we provide a sampling of issues where Raymond James has recently committed capital to underwrite unsold balances for numerous transactions in the state of Alabama. A full list of transactions that have occurred in Alabama since 2015 where the Firm has underwritten bonds is included in Appendix A.

Sale Date	Issuer	State	Par Amount (\$000)	Amount Underwritten (\$000)	% of Par Underwritten
10/29/19	City of Jacksonville, AL	AL	10,975	1,745	16%
05/01/19	Scottsboro	AL	4,170	760	18%
01/08/19	County Board of Education of Cherokee County	AL	15,055	1,755	12%
11/08/18	City of Saraland	AL	10,335	1,195	12%
10/25/18	City of Boaz	AL	2,035	650	32%
10/10/18	Tarrant City Board of Education	AL	2,215	350	16%
07/17/18	Birmingham Jefferson Civic Center Authority	AL	48,805	13,800	28%
05/15/18	Fort Payne	AL	44,200	7,570	17%
04/03/18	Jasper Waterworks and Sewer Board, Inc.	AL	10,615	1,265	12%
03/22/18	Tarrant City Board of Education	AL	4,180	425	10%
01/17/18	City of Heflin	AL	2,130	490	23%

2. Discuss your proposed transaction team which would be assigned to serve as underwriter to the County. Be specific regarding the person(s) who would have primary and/or day-to-day responsibility for directing your firm's efforts. Provide a resume for each individual assigned to the County's service including their title, address, phone, and e-mail.

Financing Team. In order to provide the County with our firm's full commitment and the highest level of service possible, Raymond James uses a team approach in our public finance engagements. Our finance team consists of bankers, underwriters and professionals that have expertise in different aspects of debt financing. In Alabama specifically, the team assigned to the County's financings has senior managed more transactions when measured by par amount than any other underwriter over the past 10 years. This demonstrates that our team based structure has consistently proven to be the most effective in meeting our clients' needs and providing them with the maximum access to the full range of talents and expertise available from Raymond James.

Raymond James	Title	Role	Address	Location	Experience	Phone	Email
Banking Team							
Matt Adams	Managing Director	Lead Banker	2900 Highway 280, Suite 100	Birmingham, AL 35223	17 Years	205.802.4275	matt.adams@raymondjames.com
Tim James	Senior Vice President	Supporting Banker	7460 Halcyon Pointe Drive	Montgomery, AL	7 Years	334.213.4110	tim.james
Kent Boyd	Senior Vice President	Quantitative Analyst	2900 Highway 280, Suite 100	Birmingham, AL 35223	30 Years	205.874.4367	kent.boyd
Brad Green	Senior Vice President	Supporting Banker	2900 Highway 280, Suite 100	Birmingham, AL 35223	10 Years	205.802.4274	brad.green
Underwriting							
Jock Wright	Managing Director	Large Issuer Underwriting	535 Madison Ave., Suite 1000	New York, NY 10022	25 Years	212.909.4047	jock.wright
Stephen Scott	Managing Director	Alabama Underwriting	3050 Peachtree Road, N.W., Suite 702	Atlanta, GA 30305	35 Years	404.240.6811	stephen.scott
Credit Research							
Lindsay Wilhelm	Senior Vice President	Credit Research	535 Madison Ave., Suite 1000	New York, NY 10022	8 Years	212.909.4042	lindsay.wilhelm

Matt Adams will be the individual at Raymond James with primary responsibility for the execution of the transaction and will coordinate Raymond James' resources to complete the proposed financing in a timely and effective manner. Mr. Adams has the experience necessary to lead successful underwritings for the County. In recent years, he has served as lead banker on several successful large deals in Alabama including a \$338 million Jefferson County transaction, a \$256 million Birmingham-Jefferson Civic Center transaction, and a \$69 million City of Mobile transaction. In addition, Mr. Adams serves as financial advisor to several Alabama issuers including the University of Alabama and also served as underwriter for the University's only Public Building Authority transaction. This provides additional knowledge and insight into how to best serve the County in the role of underwriter on its proposed financings. Located in Birmingham, Mr. Adams can make himself available with short notice and intends to make the County's financings a top priority. **Tim James** will provide banking support services and will utilize his experience serving issuers in the County to advise on the specifics of transaction. **Kent Boyd** will provide deal oversight and analytical support. **Brad Green** will provide back-up banking and analytical support for the transactions. All members of the Raymond James' team will be deeply involved in the financing and will make themselves available for meetings and conference calls. We will ensure that all resources necessary to execute the transactions will be made available to the County.

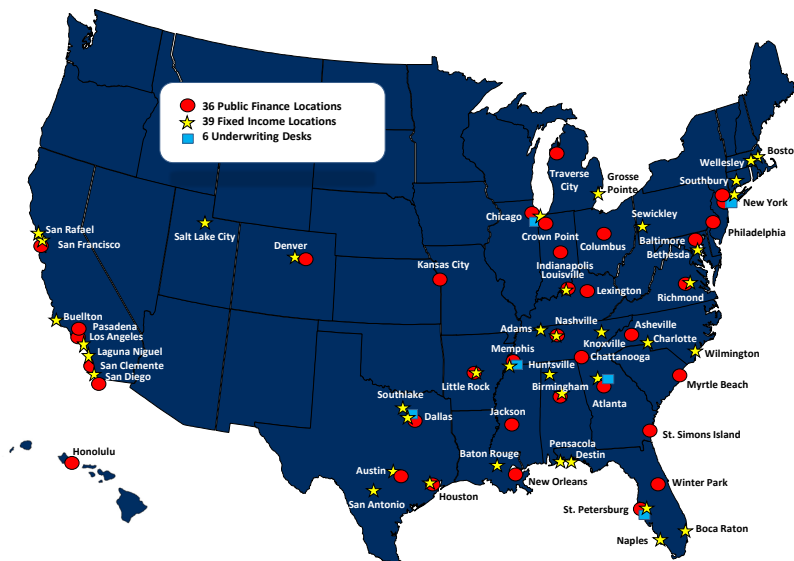
Additionally, the banking group is supported by a team of underwriters and specialists with a wide range of experience and expertise. **Jock Wright**, one of our large issue underwriting specialists, will manage the marketing, sale, and pricing of the warrant issues and has the ability to commit our Firm's capital. Mr. Wright has underwritten the majority of the Firm's large senior managed credits. Mr. Wright will be joined in the underwriting efforts by **Steve Scott**. Mr. Scott has been Raymond James' primary underwriter for Alabama issues for over 15 years, and has developed a deep understanding of the intricacies of Alabama's credits and retail investors. Our underwriting team looks forward to applying the knowledge they have gained in their extensive experience to providing the County with the lowest attainable cost of borrowing.

Lindsay Wilhelm, a former ratings analyst, will provide insightful desk-based credit analysis and be available to speak with prospective investors as needed. She will also assist in determining the appropriate approach to various credit features and to the rating agencies. To the extent needed, other individuals within Raymond James will also be available to the County's financing team.

A brief bio and contact information for each member of the deal team can be found in **Appendix B**.

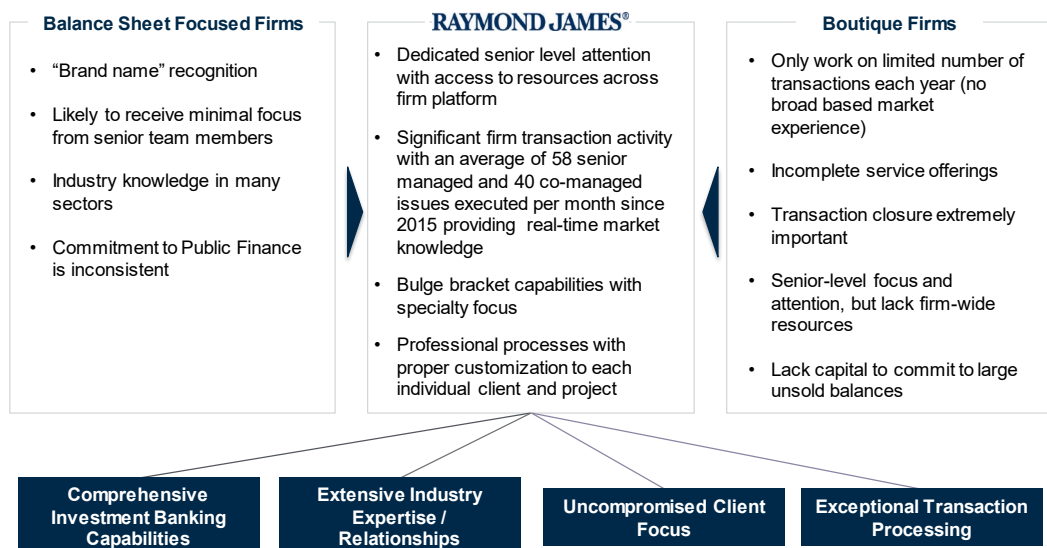
3. Provide number of full-time employees dedicated to municipal bond sales.

Raymond James Public Finance Department. Raymond James' Public Finance investment banking practice consists of 174 professionals in 36 locations throughout the country. Our powerful municipal distribution platform consists of 458 institutional fixed income sales, trading, support, and underwriting professionals. Raymond James also has 8,239 financial advisors in the U.S. serving 3 million accounts with total client assets of over \$667 billion. The Firm's institutional distribution network includes a 23 member dedicated institutional municipal sales force that is one of the largest in the country and 160 fixed income generalists who cover small and medium sized institutions. Our commitment to municipal finance, underwriting, and trading has never been stronger and is an important aspect of the Firm.



Contrary to numerous firms retrenching their respective municipal bond efforts due to industry conditions, Raymond James is prudently and proactively growing its public finance department. Raymond James' fixed income team is currently staffed with professionals dedicated solely to municipal finance, encompassing the full spectrum of investment banking, advisory, trading, underwriting, institutional sales, and research for these product lines. The continued growth in this area of the Firm clearly demonstrates Raymond James' commitment to its fixed income clients.

Raymond James strives to be a leading fixed income capital markets franchise in municipal sales and trading with a focus on middle market depository clients and other institutional investors. Raymond James' philosophy combines the full service capabilities of large investments banks and the attention of boutiques to offer clients the best possible focus and execution as detailed in the graphic below.



4. Does your firm maintain a public finance office or a retail and/or brokerage office in the State of Alabama? If so, please provide the address and phone number for this office and primary contact person.

Alabama Presence. The state of Alabama is vital to Raymond James' public finance practice, and our dedication to Alabama is reflective of that.

In 2018, the 305 individuals that the Firm employs in Alabama had a combined payroll of approximately \$44 million. These employees work at 44 offices located in 26 cities across the state. The cities of Montgomery and Birmingham are home to two of the Firm's public finance locations (depicted on the map to the right).

Our public finance offices' centralized locations allow us cover all regions of the State. The firm is heavily invested in the State as our clients in Alabama make up a significant portion of both our public finance and combined Firm's business. Therefore, it's essential that we continue to maintain and develop our relationships with various issuers across Alabama. In order to effectively accomplish this, it's important that we are able to meet in person with our current and prospective clients on short notice, and broad based presence in the State allows us to do so. Contact information for Matt Adams, our primary point of contact in the State can be found in the business card below. If additional office address and phone number information is necessary, please let us know, and we'd be happy to provide it.



MATT ADAMS

Managing Director
Public Finance

RAYMOND JAMES®

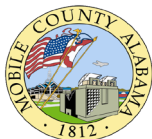
T 205.802.4275

2900 Highway 280, Suite 100
Birmingham, AL 35223
matt.adams@raymondjames.com
www.raymondjames.com

Finally, as increased issuance starts to lead to investor saturation, especially among larger Tier 1 buyers, we continue to place an increased reliance on our local retail network as a medium for placing bonds/warrants in the hands of retail investors and smaller, local institutional firms. In the State, we manage over 76,000 retail accounts with a total assets under management of over \$15 billion. Several of these accounts are based in the Baldwin County area. These investor's funds are managed by our advisors based in the Fairhope and Orange Beach offices in the County. This local investor base makes for a receptive market to focus our initial marketing efforts in order to cultivate competition in our pricings. Having one of the largest retail presences in the State serves as a differentiating factor among our competitors, and has allowed us to continue to effectively execute transactions in the State as the tide of issuance begins to rise in this ongoing low rate environment.

5. Provide no more than three (3) case studies which describe the experience of your assigned professionals with general obligation and public building authority transactions.

The members assigned to the financing team for the County's proposed transactions have experience serving an array of issuers of various credit types, we feel confident that we can leverage this experience to achieve successful execution on both of the County's proposed issues. In addition, the Firm as a whole has served as senior manager on several lease/appropriation secured credits. Our underwriters' and sales teams are familiar with distributing issues of this security type, and over the years have established relationships with investor accounts that are likely to participate in these offerings. On the following pages, we have provided 3 case studies, 2 are for recent general obligation issues that we have senior managed in the State, while the last one is a lease/appropriation issue. We believe these case studies highlight the breadth of our experience and our ability to lead both of the County's proposed issues.



**Mobile County, Alabama
General Obligation Warrants
\$17,220,000 Series 2019**

On March 20, 2019, Raymond James served as senior manager for Mobile County, Alabama's General Obligation Warrants, Series 2019. Raymond James has extensive experience serving as underwriter for issuers located in Mobile County, having served as senior manager on a total of 46 transactions for a total par amount of over \$1 billion for these issuers. Mobile County itself is also a long term client of the Firms. Previously, Raymond James has senior managed the County's Series 2002A, Series 2002B, Series 2012A, Series 2012B, Series 2012C, Series 2014A, Series 2014B, Series 2015, and Series 2017. In order to maintain this longstanding relationship, the Firm provided regular updates on refinancing opportunities as well as trends in the overall municipal market.

In January, the County and its financial advisor distributed a request for proposals for underwriting services for the purpose of obtaining an underwriter to bring its proposed new money warrants to market. The warrants were to be issued in order to provide funds for various capital projects that were a part of the County's overall long-term capital improvement plan. The firm highlighted our long tenure of serving the County and successfully bringing both its issues as well as other similar G.O. issues in the state to market to win the RFP.

The transactional process was expedited as the issuer and financial advisor were eager to lock in the low cost of borrowing offered by the municipal market that had been trending lower in recent months. Raymond James was given about a month to review offering documents, advise on the structuring of the transaction, and develop a marketing plan to attract a broad base of buyers to the underwriting.

Leading up to pricing, the financing team ensured an early posting of the POS, which allowed investors to familiarize themselves with the transaction well before pricing day. In addition, our sales team made targeted calls to investors who had participated in the County's previous offerings in order to generate initial traction for the offering. Based on these interactions, the Firm was able to convince the County's financial advisor to allow the incorporation of sub 5% coupons on the short end of the structure in an attempt to appeal to smaller institutional investors.

On the day of pricing, the Firm's underwriting desk went to market with an aggressive scale that was based on comparable transactions as well as how the County's debt was trading in the secondary market. Due to the Firm's efforts and an accommodative market, a strong and diverse investor base consisting of 26 different buyers including Alabama retail, investment managers, bank/trusts, and pension funds across the maturity spectrum participated in the financing, resulting in a total of \$62,235,000 orders which equated to an oversubscription of 3.6x. The large oversubscription allowed the Firm to lower the client's cost of borrowing by up to 6 bps in oversubscribed maturities.



\$138,175,000

Jefferson County, AL

\$111,360,000 General Obligation Refunding Warrants, Series 2018-A

\$26,815,000 General Obligation Refunding Warrants (Delayed Delivery), Series 2018-B

Background

On the southern extension of the Appalachian Mountains, Jefferson County (pop. 660,367) is Alabama's most populous county and the principal center of finance, trade, manufacturing, transportation, health care and education in the State. Originally based on steel production, the area's economy has diversified as healthcare, banking and professional services emerged to become leading industries. Heavy industry also continues to be a key ingredient with Mercedes-Benz, Honda and Hyundai all operating major automotive assembly facilities within an 85-mile radius of the County, plus several automotive suppliers in the area.

The County emerged from Chapter 9 bankruptcy on December 3, 2013.

Transaction

The Series 2018 Warrants refunded certain general obligation debt issued in 2003, 2004, and 2013 as well as lease payments under a 2006 agreement with The Jefferson County Public Building Authority. The Series 2018-A Warrants closed May 31, 2018, and the Series 2018-B warrants were sold for delayed delivery on September 17, 2018, to fall within 90 days of the December 3 call date on the refunded 2013 debt. Raymond James (book) was Co-Senior with Stifel on the transaction. Citigroup, Securities Capital, Piper and Drexel were co-managers. PRAG (New York) and Terminus were co-financial advisors. The 2018 Warrants were rated "AA-" by S&P and Fitch and "A3" by Moody's.

The Warrants experienced strong investor demand during the order period. The County was seeking to sell \$138 million of debt. The Warrants offered did not contain any new money for the County, nor did they extend the final maturity of debt being refunded. Overall the County received \$809 million in orders for the Warrants from many different types of investors including retail investors, separately managed accounts, institutional money managers, bond funds, insurance companies and hedge funds.

Results

The Series 2018-A Warrants carried a True Interest Cost ("TIC") of 2.60% and the Series 2018-B Warrants carried a TIC of 2.49%. The strong demand allowed the County to lower the TIC on the combined 2018 Warrants to 2.59% during the pricing period. The Jefferson County Commission saved \$14.24 million or \$12.35 million on a present value basis in interest costs by refunding existing debt of the County at a lower interest cost.

Together with the Limited Obligation Refunding Warrants, Series 2017, the two transactions represent a significant financial improvement and an important step towards achieving the County Commission's goals for Jefferson County.



\$100,460,000

The Public Educational Building Authority of the City of Tuscaloosa

Student Housing Revenue Bonds

**Ridgecrest Student Housing, LLC University of Alabama Ridgecrest Residential Project),
Series 2008**

The financing team served as senior manager on the Public Educational Building Authority of the City of Tuscaloosa's Student Housing Revenue Bonds, Series 2008 in December of 2008. The bonds were issued to provide funds for the construction and furnishing of a 1,593 student housing facility to provide accommodations for the University of Alabama's burgeoning student body. The new facility was named the Ridgecrest Residential Community. The community is comprised of two separate housing communities: the Ridgecrest North Community (629 beds) and the Ridgecrest South Community (960 beds & a 950 car parking garage).

The University's previous student housing projects had been funded by bond issues that were secured by the University's general revenues. However, the University's general revenues are finite. In order to optimize the usage of the University's resources, the financing team proposed a unique structure that would allow the Ridgecrest Student Housing Project to be self-sustaining, removing the need for the University to commit its general revenues to the project, helping to maintain the University's borrowing capacity for academic and athletically oriented projects that would likely have a more substantial impact on the overall educational experience that the University seeks to provide its students.

The structure consisted of the establishment of the Public Education Building Authority of the City of Tuscaloosa (PEBA) as a conduit issuer for the sole purpose of serving as a conduit issuer for bonds to finance projects to be used by the University of Alabama. The PEBA and Ridgecrest Student Housing, LLC entered into an agreement whereby the LLC would make lease payments in an amount great enough to pay the principal and interest on the bonds. The lease payments were made from revenues generated through room rentals to students.

What made the structure relatively unique was the fact that the University itself agreed to manage the student housing facilities for a small management fee, allowing the new Ridgecrest community to be operated in the same manner as all existing, University owned student housing facilities. This level of involvement helped to ensure an A- rating from S&P, an attractive rating relative to other similar student housing financings. This creative structure allowed the project to be completed at a reasonable all-in-borrowing cost.




The Series 2008 Bonds were issued in a time of great uncertainty. Fixed income markets were in turmoil. The "great recession" was beginning and many events rocked the financial markets during 2008. Consumer confidence fell to its lowest point since 1980, the auction rate market collapsed, over a one month period the variable rate market saw rate spikes to approximately 8%, and credit spreads (the interest rate percentage above the market an investor demands at different credit levels) widened to the highest point in recent history. Some of the world's largest financial firms collapsed. The result was that a number of offerings were pulled or failed to be marketed in late 2008.

Though the market environment was volatile, financing team was able to achieve a very successful pricing for the PEBA's issue. In total, the firm was able to generate over \$107 million orders on the \$100 million financing. The orders themselves came from a diverse set of investors. Of the orders Morgan Keegan brought to the transaction, nearly 48% were placed by retail investors. This was a direct result of Morgan Keegan and the University's aggressive premarketing efforts that consisted of reaching out to alumni, a presentation by Dr. Robert Witt to retail brokers in the state, and mass newspaper and email advertising through the University Alumni association.

We're confident that the substantial retail participation in the transaction, coupled with the broad base of small to midsize institutional accounts that placed orders, led to an attractive cost of borrowing relative to what could have been achieved by any competing firm.

Alabama Public Building Authority Experience. Members of the financing team have additional experience structuring lease revenue transactions for issuers in Alabama. The tombstones below provide a sampling of these issues. We're confident that through the expertise in lease/appropriation transactions the financing team has garnered through the management of these and other issues, we'll be able to provide value added ideas and advice on the structuring specifics of the County's proposed PBA issue.

Lease/Appropriation Experience in Alabama

 <p>\$128,020,000</p> <p>Alabama Public School and College Authority</p> <p>Capital Improvement Refunding Bonds Series 2015A, B, C</p> <p>Senior Manager</p>	 <p>\$6,550,000</p> <p>St. Clair County Public Building Authority</p> <p>Revenue Warrants (DHR Building Project) Series 2012A</p> <p>Sole Manager</p>	 <p>\$86,415,000</p> <p>The Public Building Authority of the City of Huntsville, AL</p> <p>Lease Revenue Capital Improvement Refunding Bonds, Series 2007</p> <p>Senior Manager</p>
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- Discuss any pending or threatened investigations or litigation by any private or public entity or any lapse or other deficiency in insurance coverage or professional licensure which may impact your firm's ability to serve as underwriter.

In the normal course of business, Raymond James is subject to routine regulatory investigations. Raymond James cooperates fully with all regulatory requests. For a discussion of resolved disciplinary matters involving Raymond James and Morgan Keegan we would direct your attention to the Form BD, copies of which will be provided upon request. For a discussion of material regulatory investigations and litigation, please refer to Raymond James' website at https://raymondjames.com/publicfinance/rfp_pass_login.asp with the password of PublicFinance. To the best of our knowledge, there are no regulatory matters or litigation pending that would jeopardize our Firm's ability to perform the requested services contemplated in this RFP.

- Has any municipal finance professional or associated person within your firm made or directed any political contributions, provided things of value, or provided financial advice to the extent it would be disqualified, under current laws, rules, and regulations, from acting as underwriter of the bonds?

To our knowledge, no political contributions, gifts, or financial advice that would disqualify the Firm from serving as underwriter on the prospective warrants have been provided.

- Please provide any other information the County should consider when assessing your firm's ability to serve as underwriter.

Some of the factors we believe differentiate the Firm from our competitors are our value added marketing and distribution strategies, our long term commitment to serving issuers in the state of Alabama, and our array of experience underwriting various types of lease appropriation transactions across the country. These factors are discussed in additional detail in the paragraphs that follow.

Value Added Marketing & Distribution. Simply put, the better Raymond James can distribute the County's warrants, the lower the interest rate the County will achieve on its debt offering. Raymond James has a comprehensive distribution network that sells bonds/warrants to all sizes and classes of investors. The Firm differentiates itself from other top tier firms by focusing on retail and middle market institutional investors in our primary market distribution strategy. The typical bulge bracket distribution strategy focuses strictly on the largest market participants, preventing issuers from benefiting from the increased competition for warrants that can be generated by a broader marketing effort.

Raymond James' strategy best suits issuers like the County by providing access to the primary market for those middle market investors who may prefer a more advantageous coupon structure or be willing to accept a lower yield in the primary market since they are used to buying warrants that have been marked up in the secondary market by various intermediaries. These investors are often buy-and-hold investors, which helps the County in knowing its warrants are not going to be flipped at the end of the underwriting period.

Successful outreach to retail investors and middle market accounts can also attract additional institutional accounts to the sale, or induce these investors to purchase the County's debt at more competitive rates. As proof of the success of this strategy, we have provided the table below showing that Raymond James-led syndicates provide significantly more distribution to investors. The table below shows the average number of institutional accounts allotted bonds by deal size, and it proves that our unique marketing and sales strategy provides significant benefit to our clients. By expanding the universe of available buyers, Raymond James can help the County realize the best value for its pricing.

	Raymond James*	Competitor Firms **
Par Amount	Avg # Inst Accts	Avg # Inst Accts
>\$100M	42.6	37.3
>\$75M	32.1	21.3
>\$50M	28.3	19.7
>\$25M	22.3	15.8
>\$10M	15.5	11.5
Under \$10M	9.4	7.6
Overall Averages	24.39	19.43

*RJ info based on Allotment information from IPREO of RJ-Led syndicate deals from 2015-2017

** Competitor info based on 255 Groupnet settlement letters (2016-Jan2018 where RJ was co-manager)

Following our establishment of interest among retail and middle market investors, Raymond James also targets larger institutions that have a high probability of participating in the offering. In order to find these institutions, we primarily focus on top holders of similar credit types. The tables below page illustrate the top 20 bondholders of Alabama lease/appropriation debt with ratings greater than Aa3/AA- as well as top holders of Alabama general obligation debt.

Top 20 Holders of AL Lease/Appropriation Bonds		Top 20 Holders of AL General Obligation Debt	
Firm	Amount (in \$000s)	Firm	(in \$000s)
Franklin Resources	29,205	Vanguard Group	259,899
Invesco LTD	25,790	Blackrock	125,857
Oppenheimer Holdings Inc.	20,000	Wells Fargo & Company	122,885
Federated Investors Inc.	15,250	Fmr Uic	94,750
Jpmorgan Chase & Co.	10,765	Capital Group Companies Inc	83,595
Legg Mason	10,390	Tennessee Farmers Group	54,215
Bank Of Montreal	9,650	Invesco Ltd	43,116
Tennessee Farmers Group	5,100	Jpmorgan Chase & Co	40,938
Securian Asset Management Inc.	4,455	Berkshire Hathaway Inc	38,946
New York Life Group	3,650	Securian Asset Management Inc	37,055
Charles Schwab Corporation	3,040	Franklin Resources	35,315
Dupree Investment Advisers	2,625	Northern Trust Corporation	32,424
Transamerica Investment Services	2,010	Auto Owners Group	30,175
Goldman Sachs Group Inc.	1,955	Alliance Bernstein	28,530
Allstate Investments LLC	1,950	Berkley Dean & Co Inc	26,555
First Trust	1,725	State Farm Mutual Auto Insurance	26,138
Sun Life Financial Inc.	1,665	State Street Corp	24,148
Ambac Assurance Corp.	1,533	Allstate Investments Llc	23,825
Blackrock	1,485	Tiaa-Cref	23,796
Disciplined Growth Investors LLC	1,175	Dimensional Fund Advisors Lp	22,785

Source: eMAXX as of November 19, 2019

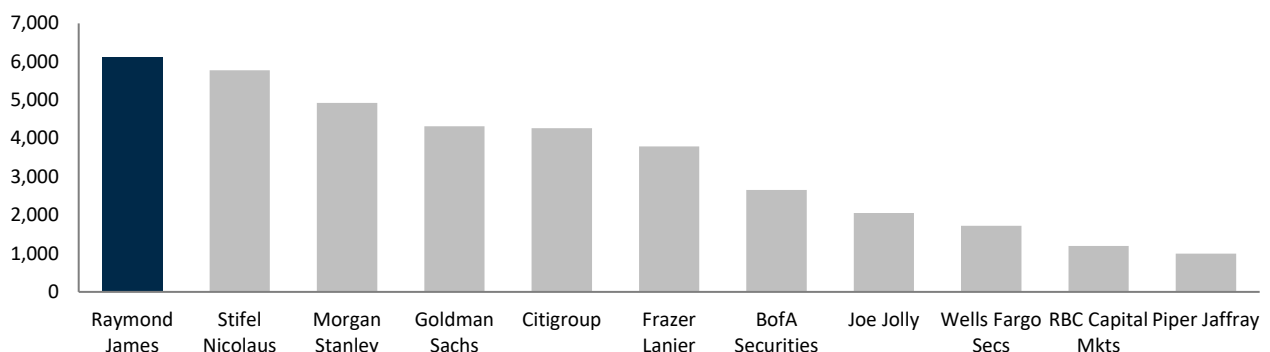
The charts on the previous page highlight in blue those investors that are found in both categories. As underwriter, we would look to “return to the well” and target these investors that have shown previous interest in the offerings of issuer’s like the County. Further, we would look to expand the base of buyers by attracting investors who are not presently active purchasers of lease/appropriation or general obligation debt in Alabama in order to foster competition among investors of various sizes for the opportunity to purchase the County’s proposed warrant issues. We believe this strategy to be the most effective approach in lowering the cost of funds for our clients.

Alabama Specific Expertise. Raymond James has completed 16 senior managed, negotiated transactions in Alabama over the last 12 months totaling a par amount of over \$243 million. Please note, these transactions do not include transactions where Raymond James served as financial advisor or as co-manager.

Sale Date	Issuer	State	Amount (\$ mils)	Lead Manager
10/30/19	City of Irondale	AL	12.670	Raymond James
10/29/19	City of Jacksonville	AL	10.975	Raymond James
10/23/19	Oneonta BOE	AL	7.905	Raymond James
10/17/19	Centreville Water Works	AL	3.045	Raymond James
10/16/19	City of Scottsboro	AL	5.265	Raymond James
07/25/19	Douglas Water Authority	AL	9.885	Raymond James
05/22/19	UAB Medicine Finance Authority	AL	103.385	Raymond James
05/01/19	Scottsboro Indus Dev Board	AL	2.470	Raymond James
05/01/19	City of Scottsboro	AL	4.200	Raymond James
03/20/19	Mobile County	AL	17.220	Raymond James
03/12/19	DeKalb County Board of Education	AL	7.490	Raymond James
01/31/19	Northeast Alabama Water, Sewer & Fire	AL	6.410	Raymond James
01/23/19	Stevenson Utilities Board	AL	2.995	Raymond James
01/16/19	City of Chelsea	AL	9.250	Raymond James
01/08/19	Cherokee County BOE	AL	15.060	Raymond James
11/29/18	City of Mobile	AL	24.350	Raymond James

A longer view of our history in Alabama shows the depth of our experience in the state. From 2009 to present, Raymond James lead all underwriters in par amount senior managed in Alabama. During this ten year period, Raymond James senior managed 272 Alabama bond issues for a total par value of over \$6.1 billion, proving our commitment to providing Alabama issuers with the highest level of service. The chart below shows the top eleven firms by par amount in Alabama over the last ten years.

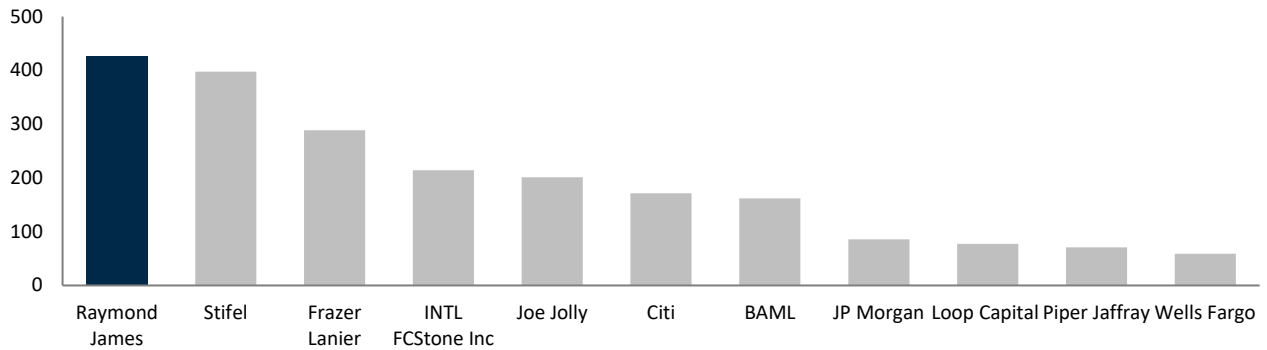
Alabama Par Amount Underwritten Since 2009



General Obligation Focus in Alabama. From 2017 to present, we have senior managed 21 general obligation transactions in Alabama for a total par of about \$425 million. **In aggregate, we senior managed nearly 19% of the total par amount of general obligation transactions issued in the State since the beginning of 2017, making us the top underwriter of general obligation credits in Alabama over that time horizon.** Because of this exposure, we are extremely familiar with the legal environment and the credit issues of general obligation

financings in the State. This provides Raymond James with an added advantage when quarterbacking these types of issues.

Alabama General Obligation Par Amount Underwritten Since 2017



Firmwide Lease/Appropriation Experience. As a firm, Raymond James has extensive experience bringing lease/appropriation backed credits to market. Since 2016, the Firm has served in some underwriting capacity on 155 lease/appropriation secured issues for a total combined par amount of nearly \$19 billion. This experience has allowed us to develop procedures for managing each step of the transactional process for this credit type. First, we would coordinate with the financial advisor to ensure that the structure of the financing optimizes the amount of proceeds the County can obtain given its budgetary restrictions. Second, we would lean on our exposure to lease/appropriation issues in our assistance in the drafting and reviewing of the legal documents. Third, we would work with our internal credit team as well as the financial advisor to prepare a rating presentation that highlights the County's stellar credit quality. We have several templates of previous lease/appropriation rating presentations at our disposal that we can tailor to communicate the County's specific credit merits in order to accomplish this goal. Lastly, bonds issued to finance correctional facilities or jails are often penalized by investors due to the negative connotation of the bond's intended purpose. As a part of our marketing strategy, we would look to decouple the warrants intended purpose from the strength of the issue's underlying credit by preparing an investor presentation and modifying language in the offering documents if the County so chooses. The tombstones below provide a sampling of our Firm's recent lease/appropriation experience.

Raymond James Lease/Approp. Underwriting Experience (2016-Present)						
		Senior		Co-Managed		Combined
Year	# of Issues	Par Amount (\$ mils)	# of Issues	Par Amount (\$ mils)	# of Issues	Par Amount (\$ mils)
2019*	19	465	15	2,103	34	2,567
2018	22	1,092	14	4,323	36	5,414
2017	18	948	28	5,501	46	6,449
2016	14	562	25	3,853	39	4,415
Total	73	\$ 3,067	82	\$ 15,779	155	\$ 18,846

Raymond James Senior Managed Lease/Appropriation Experience

 <p>\$20,915,000 Maryland Stadium Authority Ocean City Convention Facility Expansion Lease Revenue Bonds Series 2019C</p>	 <p>\$10,575,000 The Industrial Development Authority of City of Tucson Tax-Exempt Lease Revenue Refunding Bonds Series 2019</p>	 <p>\$20,000,000 The Boulder Municipal Property Authority Taxable COPs Series 2019</p>	 <p>\$20,000,000 Rhode Island Health and Educational Building Corporation Providence Public Schools Revenue Bonds Financing Program Revenue Bonds Series 2019A</p>	 <p>\$55,000,000 Maryland Stadium Authority Sports Facilities Lease Revenue Bonds Series 2019A,B</p>
 <p>\$65,435,000 City of Syracuse Industrial Development Agency School Facility Revenue Bonds Series 2019A</p>	 <p>\$17,060,000 Nevada County Finance Authority County Operations Center Lease Revenue Bonds Series 2019</p>	 <p>\$51,285,000 State of Ohio (Treasurer of State) Capital Facilities Lease- Appropriation Refunding Bonds (Adult Correctional Facilities) Series 2019A</p>	 <p>\$170,000,000 State of Ohio (Treasurer of State) Capital Facilities Lease- Appropriation Bonds Series 2018A,B</p>	 <p>\$50,510,000 Maine Governmental Facilities Authority Lease Rental Revenue Bonds Series 2018A</p>

DISCLAIMER

The information contained herein is solely intended to facilitate discussion of potentially applicable financing applications and is not intended to be a specific buy/sell recommendation, nor is it an official confirmation of terms. Any terms discussed herein are preliminary until confirmed in a definitive written agreement. While we believe that the outlined financial structure or marketing strategy is the best approach under the current market conditions, the market conditions at the time any proposed transaction is structured or sold may be different, which may require a different approach.

The analysis or information presented herein is based upon hypothetical projections and/or past performance that have certain limitations. No representation is made that it is accurate or complete or that any results indicated will be achieved. In no way is past performance indicative of future results. Changes to any prices, levels, or assumptions contained herein may have a material impact on results. Any estimates or assumptions contained herein represent our best judgment as of the date indicated and are subject to change without notice. Examples are merely representative and are not meant to be all-inclusive.

Raymond James shall have no liability, contingent or otherwise, to the recipient hereof or to any third party, or any responsibility whatsoever, for the accuracy, correctness, timeliness, reliability or completeness of the data or formulae provided herein or for the performance of or any other aspect of the materials, structures and strategies presented herein. Raymond James is neither acting as your financial advisor nor Municipal Advisor (as defined in Section 15B of the Exchange Act of 1934, as amended), and expressly disclaims any fiduciary duty to you in connection with the subject matter of this Proposal.

Municipal Securities Rulemaking Board ("MSRB") Rule G-17 requires that we make the following disclosure to you at the earliest stages of our relationship, as underwriter, with respect to an issue of municipal securities: the underwriter's primary role is to purchase securities with a view to distribution in an arm's-length commercial transaction with the issuer and it has financial and other interests that differ from those of the issuer.

Raymond James does not provide accounting, tax or legal advice; however, you should be aware that any proposed transaction could have accounting, tax, legal or other implications that should be discussed with your advisors and/or legal counsel.

Raymond James and affiliates, and officers, directors and employees thereof, including individuals who may be involved in the preparation or presentation of this material, may from time to time have positions in, and buy or sell, the securities, derivatives (including options) or other financial products of entities mentioned herein. In addition, Raymond James or affiliates thereof may have served as an underwriter or placement agent with respect to a public or private offering of securities by one or more of the entities referenced herein.

This Proposal is not a binding commitment, obligation, or undertaking of Raymond James. No obligation or liability with respect to any issuance or purchase of any Bonds or other securities described herein shall exist, nor shall any representations be deemed made, nor any reliance on any communications regarding the subject matter hereof be reasonable or justified unless and until (1) all necessary Raymond James, rating agency or other third party approvals, as applicable, shall have been obtained, including, without limitation, any required Raymond James senior management and credit committee approvals, (2) all of the terms and conditions of the documents pertaining to the subject transaction are agreed to by the parties thereto as evidenced by the execution and delivery of all such documents by all such parties, and (3) all conditions hereafter established by Raymond James for closing of the transaction have been satisfied in our sole discretion. Until execution

and delivery of all such definitive agreements, all parties shall have the absolute right to amend this Proposal and/or terminate all negotiations for any reason without liability therefor.

APPENDIX A



Alabama Bonds Underwritten

Sale Date	Issuer	State	Par Amount (\$000)	Amount Underwritten (\$000)	% of Par Underwritten
10/30/19	City of Irondale	AL	12,670	475	4%
10/29/19	City of Jacksonville, AL	AL	10,975	1,745	16%
10/23/19	Oneonta City Board of Education	AL	7,905	190	2%
07/25/19	Douglas Water Authority	AL	9,885	935	9%
05/01/19	Scottsboro	AL	4,170	760	18%
03/20/19	Mobile County	AL	17,220		0%
03/12/19	DeKalb County Board of Education	AL	7,490	570	8%
01/30/19	Northeast Alabama Water, Sewer & Fire Protection District	AL	6,405	340	5%
01/15/19	City of Chelsea	AL	9,250	590	6%
01/08/19	County Board of Education of Cherokee County	AL	15,055	1,755	12%
11/08/18	City of Saraland	AL	10,335	1,195	12%
11/06/18	Talladega County Board of Education	AL	10,280	610	6%
10/25/18	City of Boaz	AL	2,035	650	32%
10/10/18	Tarrant City Board of Education	AL	2,215	350	16%
07/17/18	Birmingham Jefferson Civic Center Authority	AL	48,805	13,800	28%
07/17/18	Birmingham Jefferson Civic Center Authority	AL	17,810	915	5%
07/17/18	Birmingham Jefferson Civic Center Authority	AL	79,570	1,335	2%
05/22/18	City of Boaz	AL	12,275	1,150	9%
05/15/18	Fort Payne	AL	44,200	7,570	17%
04/03/18	Jasper Waterworks and Sewer Board, Inc.	AL	10,615	1,265	12%
03/22/18	Tarrant City Board of Education	AL	4,180	425	10%
02/15/18	Troy University	AL	25,000	1,210	5%
02/07/18	Board of Education of Blount County	AL	14,245	1,160	8%
01/30/18	Northeast Morgan County Water and Sewer Authority	AL	5,765	255	4%
01/17/18	City of Heflin	AL	2,130	490	23%
12/05/17	City of Margaret	AL	2,830	295	10%
11/15/17	City of Mobile	AL	57,705	1,350	2%
09/26/17	Northeast Alabama Water, Sewer & Fire Protection District	AL	5,160	905	18%
09/13/17	Talladega County Board of Education	AL	19,765	2,290	12%
08/30/17	Talladega	AL	1,320	265	20%
08/29/17	City of Pell	AL	8,045	100	1%
08/24/17	County Board of Education of Cherokee County	AL	14,570	6,000	41%
08/17/17	Opelika Utilities Board	AL	23,380	1,425	6%
06/06/17	Pine Bluff Water Authority	AL	1,650	810	49%
06/01/17	University of South Alabama	AL	38,105	695	2%
05/23/17	City of Jasper	AL	10,980	1,460	13%
05/11/17	Opelika Utilities Board	AL	27,265	900	3%
04/24/17	Talladega	AL	3,120	715	23%
04/19/17	UAB Health System	AL	180,355	1,750	1%
04/05/17	Talladega County Board of Education	AL	19,965	830	4%
03/01/17	City of Tarrant	AL	1,880	620	33%
02/14/17	St Clair County Board of Education	AL	5,060	445	9%
01/25/17	City of Mobile	AL	69,040	6,720	10%
01/11/17	Dekalb County Emergency Communications District	AL	6,215	2,025	33%
12/15/16	The Electric Power Board of the City of Scottsboro	AL	7,685	1,045	14%
12/13/16	Dale County Board of Education	AL	11,485	1,850	16%
11/17/16	City of Huntsville	AL	10,425	610	6%
10/19/16	UAB Health System	AL	302,530	7,500	2%
09/14/16	City of Lincoln, Alabama	AL	1,110	730	66%
09/14/16	City of Lincoln, Alabama	AL	6,685	315	5%
06/28/16	Northeast Morgan County Water and Sewer Authority	AL	6,250	1,525	24%
06/15/16	Marshall County	AL	11,890		0%
06/09/16	Scottsboro	AL	2,760	655	24%
05/25/16	Walnut Hill Water Authority	AL	4,510	950	21%
04/27/16	Randolph County Health Care Authority	AL	21,160	3,140	15%
04/20/16	County Board of Education of Shelby County	AL	36,700	500	1%
04/05/16	Talladega County Emergency Management Communication D	AL	2,680	100	4%
03/22/16	Talladega County Board of Education	AL	12,465	1,635	13%
03/16/16	Dekalb-Jackson Cooperative District	AL	19,545	3,690	19%

Sale Date	Issuer	State	Par Amount (\$000)	Amount Underwritten (\$000)	% of Par Underwritten
03/09/16	Fort Payne	AL	7,395	560	8%
02/17/16	Calhoun County Board of Education	AL	51,410	6,110	12%
02/04/16	Satsuma City Board of Education	AL	1,900	180	9%
01/27/16	Gordo	AL	2,505	775	31%
01/20/16	Northeast Alabama Water, Sewer & Fire Protection District	AL	9,995	605	6%
12/09/15	Mountain Brook City Board of Education	AL	4,650	200	4%
10/30/15	Cleburne County	AL	5,940	170	3%
10/20/15	City of Irondale	AL	21,025	2,155	10%
10/20/15	City of Irondale	AL	3,915	1,215	31%
09/22/15	St. Clair County	AL	9,860	1,040	11%
09/16/15	St Clair County Board of Education	AL	9,815	55	1%
09/03/15	City of Rainsville	AL	1,500	510	34%
08/13/15	Satsuma City Board of Education	AL	2,940	1,660	56%
08/12/15	Lawrence County Board of Education	AL	10,985	2,330	21%
08/06/15	City of Jasper	AL	4,780	160	3%
07/29/15	City of Moulton	AL	2,305	930	40%
07/16/15	DCH Health Care Authority	AL	28,095	4,615	16%
07/10/15	City of Odenville	AL	2,210	355	16%
05/19/15	Mulga	AL	5,595	150	3%
05/06/15	City of Pell	AL	26,400	5,890	22%
04/16/15	Alabama Public School and College Authority	AL	128,020	19,315	15%
04/08/15	Odenville Utilities Board	AL	6,150	845	14%
03/31/15	City of Huntsville	AL	8,185	5,180	63%
03/30/15	Birmingham Water Works and Sewer Board	AL	175,965	12,945	7%
03/19/15	Talladega	AL	2,760	85	3%
03/19/15	Talladega	AL	4,700	950	20%
02/26/15	The Utilities Board of the City of Cullman	AL	42,110	1,980	5%
02/10/15	Cherokee County	AL	4,130	750	18%
01/22/15	Northeast Alabama Water, Sewer & Fire Protection District	AL	5,655	615	11%
01/14/15	Baldwin County Board of Education	AL	83,500	650	1%
01/08/15	Springville	AL	3,495	2,050	59%

APPENDIX B



Resumes

Banking Team

MATT ADAMS
Managing Director
Public Finance

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Matt Adams, Managing Director – Mr. Adams is Managing Director of the Alabama Public Finance Group at Raymond James. Mr. Adams joined the company in 2002 as a public finance analyst. In his current role Mr. Adams works to raise capital for various clients through the bond market through an underwriting or municipal advisor role. Over the last 16 years Mr. Adams has extensive experience with Alabama issuers in a variety of financings including serving as Book-Running Manager to Jefferson County on its recent Series 2017 \$339 million Limited Obligation

offering and to the City of Mobile on its Series 2017 A&B \$69 million General Obligation issue. In addition, he has senior managed issues in excess of \$100 million for various Alabama issuers including the state of Alabama, the Alabama Public School & College Authority, the University of Alabama, the City of Birmingham, Birmingham Water, the City of Huntsville and Huntsville Utilities. He has served as municipal advisor to Jefferson County BOE on public offerings and private placements in excess of \$175 million. He has served as municipal advisor to the University of Alabama since 2004, where he oversees their capital improvement plan and assisted the University in issuing over \$1.2 billion.

Mr. Adams is a native of Jasper, Alabama and a product of their public school system. He graduated cum laude from Union University with a BSBA in Economics and Finance. He also graduated with a Master's in Business Administration from Vanderbilt University.

KENT BOYD
Senior Vice President
Public Finance

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Kent Boyd, Senior Vice President – Mr. Boyd joined Raymond James in 2007 as the senior quantitative analyst in the Birmingham office. Crafting public finance solutions since 1984, he helps issuers achieve financial goals by matching needs and constraints with investor preferences and market opportunities. Mr. Boyd draws on over 30 years of public finance experience including escrow verification, arbitrage rebate, financial advisory, and investment banking. In this time he has closed over \$20,000,000,000 in transactions, including general

obligation, tax pledge, annual appropriation, and revenue financings; fixed, variable and synthetic fixed rates; tax-exempt, taxable, AMT and bank-qualified; SIFMA, LIBOR and hybrid swaps and swaptions; regular and forward delivery transactions; new money, current and advance refundings. Mr. Boyd entered escrow verification in 1984, where he soon became a primary resource for sorting out intricate transactions and Federal tax questions. While most verifiers strictly 'checked the numbers', Mr. Boyd actively advocated for borrowers, improving multiple issuer outcomes by \$50,000 or more, and on other occasions identifying and resolving legal pitfalls before they could become fatal. Mr. Boyd joined a financial advisory firm in 1995 and a regional underwriter in 2001, where he assisted clients with the state's first Tax Increment Financing; employing a little-used tax rule to save \$1,000,000 in rebate liability; monetizing a \$300 million municipal utility to fund school improvements; a bond call model to save ratepayers \$2,000,000 per year; and a successful lobbying effort to preserve state tax-exemption for a municipal SPE. Well known for his analytical skills, Mr. Boyd has also provided leadership and support on substantive tax-exempt matters to three former Presidents of the National Association of Bond Lawyers and the former Chairman of the ABA's Tax-Exempt Subcommittee. Mr. Boyd holds a B.S. in management from the Georgia Institute of Technology.

BRAD GREEN
Senior Vice President
Public Finance

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Brad Green, Vice President– Mr. Green is a Vice President in the Birmingham Public Finance Group at Raymond James. Mr. Green has been with the company since 2009 and assists clients throughout the entire process of issuing bonds. He helps in the preparation of financial models and projections that are specially designed for each engagement, monitors the refunding potential of existing issues and assists in the closing of bond transactions. Mr. Green received his B.S. in Finance from the College of Commerce and

Business Administration at The University of Alabama where he graduated Magna Cum Laude.

Underwriting

JOCK WRIGHT
Managing Director
Public Finance

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Jock Wright, Managing Director – Mr. Wright is a Managing Director on the municipal underwriting desk of Raymond James’ Fixed Income Banking Department. He joined Raymond James in 2006 after 10 years with State Street Bank in Boston where he served as head of municipal trading and underwriting. With 20 years of municipal industry experience, Mr. Wright serves as one of the Firm’s primary underwriters of tax-exempt debt and has long-running experience underwriting some of the firm’s largest issues. Over the past several

years, Mr. Wright has underwritten every tax-exempt, Alabama transaction with a par amount greater than \$25 million that Raymond James has brought to market. Mr. Wright holds a B.S. in Finance from Babson College and maintains NASD Series 7 and Series 63 licenses.

STEPHEN SCOTT
Managing Director
Public Finance

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Steve Scott, Managing Director – Joined Raymond James in April 2012 following the firm’s acquisition of Morgan Keegan. Mr. Scott began his career in the finance industry in 1982 with J.C. Bradford & Co. in Nashville, Tennessee. His 35-year career as an underwriter provides our clients with one of the most respected and experienced underwriters in the industry. Mr. Scott manages Raymond James’ regional trading and municipal bond desk in Atlanta, Georgia. He is responsible for providing secondary market

trading and underwriting support for five states – the Carolinas, Georgia, Florida, and Alabama. As manager of the region, he is responsible for the pricing and distribution of new issues. Mr. Scott is a graduate of Clemson University, where he earned a Bachelor of Science degree. He currently holds the Series 7, Series 52, Series 53, and Series 63 licenses.

Credit Research

LINDSAY WILHELM
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Lindsay Wilhelm, Senior Vice President – Joined Raymond James in 2015 as a Senior Vice President in the firm’s Municipal Credit Group. Prior to Raymond James, Ms. Wilhelm was a Director at Standard & Poor’s in their U.S. Public Finance group where she covered local government credits (and was the primary credit analyst for New York City), including several issuers throughout South Carolina. She also has experience in the public sector, with the New York City

Economic Development Corp., and in tax consulting with Ernst & Young LLP in Chicago. Ms. Wilhelm holds a B.B.A with a concentration in Accounting and Finance from the Stephen M. Ross School of Business at the University of Michigan - Ann Arbor, and a M.P.P. from the University of Chicago's Harris School. She currently holds Series 52 and Series 63 securities licenses

BALDWIN COUNTY, ALABAMA



RESPONSE TO REQUEST FOR STATEMENT OF QUALIFICATIONS UNDERWRITING SERVICES

PROPOSED GENERAL OBLIGATION WARRANTS SERIES 2019

Piper Jaffray

Walter D. Lewis
Managing Director
(205) 414-7511
walter.d.lewis@pjc.com

MINNEAPOLIS | BIRMINGHAM | ATLANTA | MEMPHIS | NASHVILLE | CHARLOTTE | NEW YORK

November 22, 2019

Mr. Ronald Cink
County Administrator
Baldwin County, Alabama
322 Courthouse Square
Bay Minette, Alabama 36507
rcink@baldwincountyal.gov

Mr. Reid Cavnar
STIFEL
2660 Eastchase Lane
Suite 400
Montgomery, Alabama 36117
CavnarR@stifel.com

Mr. Ken Funderburk
STIFEL
2660 Eastchase Lane
Suite 400
Montgomery, Alabama 36117
FunderburkK@stifel.com

Dear Mr. Cink, Mr. Cavnar and Mr. Funderburk:

On behalf of Piper Jaffray & Co., thank you for the opportunity to respond to Baldwin County's (the "County") request for qualifications for bond underwriting services for the County's upcoming General Obligation Warrants Issue and the Public Building Authority Revenue Warrants ("the Warrants" or "the Issue").

Piper Jaffray & Co. is a regionally-based full service investment banking firm that has been providing financial services to a wide variety of clients since 1895. Piper Jaffray prides itself on offering its Alabama clients local financing expertise supported by a national platform. Providing investment banking services to municipal issuers has been an important part of Piper Jaffray's business and is a major focus for the firm's future. Below are some of the key advantages of working with Piper Jaffray.

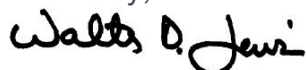
- ◆ **Regional Firm with strong Public Finance focus and commitment** – Piper Jaffray has a unique position among underwriting firms – we are a large, well established and well capitalized regional firm. In 2018, Piper Jaffray ranked #2 nationally by number of issues in negotiated underwritings and private placements while serving as Senior/Sole Manager on 438 transactions totaling \$11.7 billion in par amount. From 2014 to 2018, Piper Jaffray served as Senior or Sole Managing underwriter on 4,048 transactions for a total par amount of over \$85 billion. This extensive experience demonstrates our ability to reach a wide buyer based and achieve the lowest cost of capital for the County.
- ◆ **Local Presence and Expertise** – Piper Jaffray is committed to serving issuers throughout the state of Alabama. Walter Lewis, the proposed Lead Banker, has 26 years of experience serving issuers in the state and is supported by an experienced banking analytical team in Memphis, Tennessee. Since 2013, Piper Jaffray has served as Underwriter or Placement Agent on 61 transactions for a total par amount of \$2.6 billion in Alabama, 47 of which were as Senior/Sole Manager for a par amount of \$1 Billion. **Additionally, since starting in Alabama Public Finance in 1992 and prior to joining Piper Jaffray, Walter Lewis served as Investment Banker on over \$18 billion in financings in Alabama.**
- ◆ **Extensive Distribution Capabilities** – The banking team is supported by a top caliber underwriting, sales, and distribution team which stretches from California to Minneapolis to the Southeast to New York City. Piper Jaffray's fixed income sales effort

is structured to provide comprehensive coverage of every tier of municipal investor. The firm's 139-person institutional sales force enables Piper Jaffray to provide the County access to virtually every investor sector including Alabama retail buyers, major institutional buyers of Alabama municipal bonds and small/medium sized institutional investors.

- ◆ **Direct Placement Experience & Capabilities** – Piper Jaffray is one of only a few investment banks with a Loan Placement Group focused exclusively on direct placements maintaining relationships with a national network of over 2,000 banks/lenders. Since 2012, Piper Jaffray has completed over 1,000 private placements for a total par amount of \$13.3 billion; in 2018 alone Piper successfully executed 122 private placement transactions totaling \$1.04 billion. We are one of only a handful of “super-regional” firms that boasts capabilities and expertise on par with national firms.
- ◆ **Strong Continuing Commitment to Municipal Finance** –Piper Jaffray has committed to growing its municipal effort while many of its competitors have been forced to scale back or exit the municipal market completely. The firm has added 35 bankers, 82 salespersons and 16 underwriter/traders and opened new municipal offices around the country, including **Alabama**, since 2011. Public Finance and municipal underwriting have historically represented 30% of our firm's staffing resources. Our organizational structure ensures that all levels of management are committed to providing the staff and financial resources needed to effectively serve our clients, including committing capital to underwrite bonds. In October of 2015 Piper acquired BMO Capital Markets GKST, Inc., a broker dealer subsidiary of BMO Financial Group. This acquisition further strengthens and adds scale to Piper's fixed income sales and trading business by adding approximately 40 personnel to our middle market sales staff.

For the reasons described above, we feel that Piper Jaffray is uniquely positioned and completely capable of assisting the County in accessing the lowest cost of financing with the best structure possible in the marketplace. We look forward to the opportunity to serve the County as Underwriter on its upcoming transaction. If we can provide additional information or assistance, please do not hesitate to contact us.

Sincerely,



Walter D. Lewis
Managing Director
205.414.7511

Walter.D.Lewis@pjc.com

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Appendices

- A. PJC Alabama Underwriting Experience
- B. PJC Select General Obligation Underwriting Experience



I. Capital Position and Willingness to Underwrite

Describe the firm's net capital position and its underwriting ability and willingness to underwrite bonds.

Piper Jaffray Capital Position

Piper Jaffray has no debt in its capital structure. The firm's uncommitted excess net capital as of September 30, 2019 was \$178 million. Our underwriting desk has standing authority to take down \$100 million worth of bonds in a single transaction and may commit more on a per-transaction basis with management approval. Additionally, our theoretical limitation on underwriting liability is \$2.55 billion. Detailed capital numbers are in the table below.

	9/30/2019	9/30/2018	12/31/2017
Total Capital	\$696,741,000	\$700,211,000	\$693,332,000
Equity Capital	696,741,000	700,211,000	693,332,000
Net Capital	179,887,000	199,000,000	137,617,000
Uncommitted Excess Net Capital	178,887,000	198,000,000	136,617,000

Source: Piper Jaffray, September 30, 2019

Piper Jaffray Willingness to Commit Capital

Piper Jaffray utilizes a significant amount of its capital for the municipal business. Unlike banks and Wall Street firms with a wide variety of business lines that are capital-dependent, our only focus is on three business lines: fixed income, equity capital markets and asset management. Piper Jaffray has significant underwriting capacity for municipal issues. Piper maintains average daily inventory of approximately \$500 million of long-term municipal bonds. We consistently use our capital to assure that our clients' transactions are fairly priced and to deliver the lowest possible cost of capital. Below is a summary of Piper's demonstrated willingness to underwrite unsold balances to support its clients' transactions.

Year	# of Transactions	Par Amount	Underwriting Commitment	% Underwritten
2019 Totals:	540	\$11,125,008,307	\$ 932,242,637	8.38%
2018 Totals:	427	\$11,811,368,693	\$1,400,847,834	11.86%
2017 Totals:	541	\$14,493,333,989	\$1,455,669,000	10.04%
2016 Totals:	722	\$17,050,407, 517	\$2,099,057,786	12.31%
2015 Totals:	692	\$13,684,201,361	\$1,973,570,395	14.42%



II. Piper Jaffray Financing Team

Discuss your proposed transaction team which would be assigned to serve as underwriter to the County. Be specific regarding the person(s) who would have primary and/or day-to-day responsibility for directing your firm's efforts. Provide a resume for each individual assigned to the County's service including their title, address, phone, and e-mail.

Professionals

Piper Jaffray brings a variety of individuals with significant experience in various sectors of the municipal finance industry. The proposed project team will be led by Walter Lewis as lead banker, who will manage the transaction and will interface with County regarding events related to the transaction. Mr. Lewis has 26 years of experience and is responsible for over \$18 billion in financings for Alabama issuers. Mr. Lewis will be supported by Jonathan McCoy and Mary Kathryn Phillips out of Piper Jaffray's Memphis office. Stephen Cavalier, the Head of Municipal Trading at Piper Jaffray, will lead the underwriting and sales effort at time of pricing for Piper Jaffray. Mr. Cavalier will be available as needed. Each individual and other personnel as necessary will be made available to the County over the course of the transaction. A brief description of each persons role, more detailed resumes, and professional certifications are included below.

PROJECT TEAM		Available
Walter Lewis <i>Managing Director</i> (205) 414-7511 walter.d.lewis@pjc.com 2100 Southbridge Pkwy, Suite 650 Birmingham, AL 35209	Project Manager, 26 Years of Experience <ul style="list-style-type: none">Coordinate all Piper Jaffray resourcesDevelop/implement financing planNegotiate terms of the financingRating/ credit enhancement presentations	Daily Basis
Jonathan McCoy <i>Vice President</i> (901) 302-1643 jonathan.b.mccoy@pjc.com 3400 Player's Club Pkwy, Ste. 150 Memphis, TN 38125	Quantitative Analysis, 9 Years of Experience <ul style="list-style-type: none">Banking and Analytical expertiseProvide banking advice on financing planAssist with Transaction ExecutionQuantitative and credit analytical support	Daily Basis
Mary Kathryn Phillips <i>Analyst</i> (901) 302-1647 mary.k.phillips@pjc.com 3400 Player's Club Pkwy, Suite 150 Memphis, TN 38125	Quantitative Analysis, 9 Years of Experience <ul style="list-style-type: none">Banking and Analytical expertiseProvide banking advice on financing planAssist with Transaction ExecutionQuantitative and credit analytical support	Daily Basis
Stephen Cavalier <i>Managing Director</i> (612) 303-8235 stephen.a.cavalier@pjc.com 800 Nicollet Mall, Ste. 1000 Minneapolis, MN 55402	Lead Underwriter, 27 Years of Experience <ul style="list-style-type: none">General transaction processing assistanceDevelop/implement financing planTransaction Execution support	As Needed



Walter Lewis – Managing Director, Alabama Public Finance: Walter D. Lewis joined Piper Jaffray in 2015 as Managing Director in Public Finance in Alabama. After playing football at the University of Alabama and a 2 year stint in the NFL, Mr. Lewis entered the securities industry in 1992 with the Public Finance department of Compass Bank. While at Compass Bank he developed his technical skill in structuring municipal financings in approximately 33 transactions totaling over \$500 Million. In 1996, Mr. Lewis joined Gardnry Michael Capital, Inc. as a Senior Vice President responsible for marketing and public finance activities in Mississippi, Florida, and Alabama. Since that point, he has managed over \$17 Billion in municipal underwritings. While at Benchmark Securities from 2012 to 2015, LLC, Mr. Lewis was responsible for \$220,775,000 in sole managed transactions and \$270,220,000 in co-managed transactions. Since joining Piper Jaffray as Managing Director, Mr. Lewis has served as lead banker on 36 Senior/ Sole Managed transactions for a total par amount of \$550,500,000 and as Lead Banker for Piper on 15 Co-Managed transactions for a total par amount of \$1.7 billion. Mr. Lewis has a B.S in Electrical Engineering from the University of Alabama and holds a Municipal Advisor Series 50 License, Municipal Securities Principal Series 53 License, and a Uniform Securities Agent Series 63 License.

Jonathan McCoy – Vice President: Mr. McCoy, a Mobile native, joined Piper Jaffray in 2011 and heads the Southeast's analytics. He provides banking and senior analytical support for an array of clients including states, cities, counties, school districts and other local government issuers. His experience includes debt structure and refunding analysis, cash flow modeling, financial analysis of local governments, project finance and interest rate derivative analysis. Prior to joining Piper, Mr. McCoy gained 2 years' experience as an analyst in public finance. Mr. McCoy has a Bachelor of Arts degree in Business and Economics from Rhodes College. He holds a General Securities Registered Representatives Series 7 License and Uniform Securities Agent Series 63 License.

Mary Phillips - Analyst: Mary Kathryn Phillips is an investment banking analyst in the Memphis, Tennessee office and has been with Piper Jaffray & Co. since June 2017. Phillips's responsibilities include financial modeling and refunding analysis, market research, bond sale preparation and pricing, as well as other support functions for the Southeast's senior bankers. Phillips graduated cum laude from the University of Mississippi in May 2017 with a Bachelor of Science degree in Integrated Marketing and Communications and Business Administration.

Stephen Cavalier – Managing Director/ Head of Municipal Underwriting and Trading: Mr. Cavalier is a Managing Director of Municipal Underwriting and Trading based in Minneapolis, MN. He is currently a senior underwriter for both negotiated and competitive issues. Mr. Cavalier has over twenty years of experience in the municipal markets. Mr. Cavalier has served as an institutional trader and underwriter throughout his career which began at Gruntal & Co. in San Francisco, CA. He moved to Kemper Securities as a Regional Manager in 1992 and proceeded to join Seattle Northwest Securities as a Senior Institutional Trader before coming to Piper Jaffray in 2003. He graduated from Santa Clara University in 1987 with a BSC in Finance.



III. Municipal Bond Sales - Employees

Provide number of full-time employees dedicated to municipal bond sales.

Piper Jaffray maintains a sales force of 106 full time individuals plus 28 traders and 9 underwriters in 22 different offices. Below is a more detailed description of our underwriting, distribution, and sales capabilities.

UNDERWRITING DISTRIBUTION OVERVIEW

- One of the nation's largest institutional sales forces, covering large, mid-market and small institutions;
- A comprehensive retail distribution network including deep relationships of asset managers and registered investment advisors; and
- The capital and willingness to underwrite unsold balances.

Piper Jaffray's marketing and sales approach for every transaction we underwrite is to place bonds in the hands of investors at the lowest interest cost. Obtaining the lowest interest rates for our clients is a function of identifying the active buyers of each type of security and maturity offered to target the widest universe of investors possible. Piper Jaffray's industry leading national distribution network reaches both institutional and retail accounts across the country, ensuring the County's financings are effectively marketed to a deep investor audience and our underwriters are able to price bonds aggressively and achieve the lowest cost of financing.

Piper Jaffray consistently ranks as one of the nation's leading municipal underwriters in terms of number of issues brought to market, having senior managed over 4,048 municipal bond offerings from 2014 - 2018 representing more than \$85 billion in par value. Our regionally based team of 9 underwriters, with desks in Minneapolis, New York, Portland and San Francisco, are in the market every day participating in transactions from under \$1 million to over \$1 billion, both negotiated and competitive, in every market sector. As a result, Piper Jaffray is able to provide our issuer clients up-to-the moment, regionally and sector focused, insight to market trends. In addition to our extensive underwriting capabilities, the firm maintains trading desks in Baltimore, Charlotte, Chicago, El Segundo, Lincoln, Milwaukee, Minneapolis, New York, Red Bank and Seattle staffed with a total of 28 traders. Our geographically oriented trading desks provide excellent access to the primary and secondary bond market within the regions we serve. The traders are responsible for assuring that we have an adequate supply of bonds to meet the needs of our investor clients as well as maintaining a secondary market for the bonds of each of our issuing clients.

As Piper Jaffray prices over 400 new long-term issues each year and perennially ranks in the top ten underwriters nationally based on the number of issues sole or senior managed, it is essential to have an effective distribution system and be able to adapt to the rapid changes that occur in the market. Piper Jaffray focusses a significant amount of resources on our sales



orientation and distribution capabilities by doing the following:

- Maintaining deep relationships with traditional buyers;
- Continually expanding coverage of alternative buyers;
- Enhancing our focus on mid-tier money managers and high net worth wrap accounts for retail investors.

INSTITUTIONAL INVESTOR SALES CAPABILITY

Piper Jaffray's underwriting effort is supported by a 106 member institutional sales force, one of the largest in the industry, located in 22 offices across the U.S., including Minneapolis, Chicago, New York, San Francisco, Kansas City, Denver and St. Louis. Such broad regional coverage allows us to develop deep relationships with accounts unsurpassed by other industry firms. We believe our presence in regional markets is one way we broaden the investor base for the District's bonds, and is a key competitive advantage of working with Piper Jaffray.

Another competitive advantage of working with Piper Jaffray is our ability to broaden the investor base by reaching not only Tier 1 institutions, but Tier 2 and Tier 3 institutions as well. Piper Jaffray's dedicated staff of 27 salespeople provides extensive coverage of the top 250 Tier I institutional investors, the largest most recognizable firms that manage multi-billions of dollars in assets. These accounts typically focus on liquidity, more familiar names and larger block sizes (\$1+ million per maturity) and are commonly the focal point of our larger competitors. As Tier 1 accounts often provide anchor orders to help distribute larger transactions, our strong relationships and daily interaction with these buyers ensure maximum exposure for each transaction we underwrite.

While Piper Jaffray recognizes the importance of Tier 1 investors to a transaction, we believe it is equally important to stimulate demand from the more than 3,000 Tier 2 and Tier 3 investors in order to provide balanced distribution and broaden demand to achieve the lowest borrowing cost for the District. Piper Jaffray maintains a complimentary 85 member sales team focused on building strong relationships with Tier 2 and Tier 3 institutional investors, as well as smaller regional institutional investors, community banks, and money management firms serving individual investors ("professional retail"). Piper Jaffray's middle market sales focus provides a distinct marketing advantage over other members of the underwriting syndicate because the size of our sales force and its deep footprint throughout the U.S. allows us to reach investors not given the same attention by other firms.



Sales

The fixed income sales team consists of 106 sales people in 22 locations who specialize in selling municipal bonds to large, national institutional investors. This sales group covers Tier I institutions (defined by Wall Street as top 100 accounts), investment funds of all types, insurance companies, large banks and trust departments, and money managers. The institutional ability of Piper Jaffray to distribute municipal bonds is unsurpassed within our region and exceeds many national firms. Our sales force is among the nation's largest and provides us with the capability to sell a wide variety of taxable and other specialized fixed-income products, in addition to our traditional municipal bond business.

Trading

Piper Jaffray has 28 traders located in Baltimore, Charlotte, Chicago, El Segundo, Lincoln, Milwaukee, Minneapolis, New York, Red Bank, and Seattle. In 2018, these traders completed 411 negotiated and competitive sole or senior managed municipal bond issues (*Source: Thomson Reuters*). Our traders are committed to making a primary and secondary market in as many municipal securities as possible, including both larger, rated issues and smaller, unrated issues. The traders are responsible for assuring an adequate supply of bonds to meet the needs of our institutional sales forces and our investor clients. The fixed income services group carries an average fixed income daily bond inventory of approximately \$400 million in long- and short-term municipal bonds. This capital commitment to the secondary market varies due to changes to economic conditions and/or investor demand.

Private Placement Desk

Piper Jaffray also maintains a private placement desk with 2 dedicated placement sales people who manage relationships with over 200 banks and investors interested in private placements and syndicated loans. Our placement team maintains daily communications with these investors, developing extensive knowledge of their criteria and portfolio holdings and providing issuer clients up-to-date knowledge of market conditions. Piper Jaffray's Private Placement team has handled over 1,000 private placements raising over \$13.3 billion in capital since 2012.

RETAIL DISTRIBUTION EFFORT

Although Piper Jaffray's sales force does not include traditional retail brokers dedicated to individual investors, we do have five sales professionals that cover 2,000 high net worth retail accounts, as well as a dedicated team to cover "professional retail" accounts. To complement our in-house capability, Piper Jaffray maintains a retail redistribution agreement with Charles Schwab & Co., the largest retail firm in the U.S, with an in-house sales force covering 2,000 high net worth individuals through 1,300 Financial Consultants, 7,500 Registered Investment Advisors and \$1.55 trillion in assets under management. As Piper Jaffray's client, you will have



access to Charles Schwab’s network of retail investors. In our view there are 2 types of “retail” investors in the current market environment:

- **“Direct retail”**: individual investors acting through individual brokers that purchase bonds in lots from roughly \$10m to \$500m. Direct Retail investors make up between 1 and 5% of the investor base in today’s municipal market and Direct Retail orders generally do not influence the pricing of a large transaction.
- **“Professional retail”**: institutions acting on behalf of individuals that purchase bonds in lots ranging from \$100m to \$2mm+ (SMA’s, Trust Departments, Private Client Services) Examples include Nuveen SMA, Eaton Vance SMA, Northern Trust, and Franklin PCS. For the right credit, the inclusion of professional retail can be influential in the pricing of a transaction.

PROFESSIONAL RETAIL SALES CAPABILITY

Recent advancements in technology have changed how bonds are delivered to the end investor. Increased use of privately managed accounts, sometimes called “wrap accounts”, and reduced compensation for retail brokers led to a reduction in bonds sold directly to individual investors by retail brokers in the primary market. Conversely, money flows into bank/trust portfolios, professional money managers and registered investment advisors – all of whom manage funds on behalf of retail investors – have grown substantially. This investor base, often referred to as “professional retail” is a key component of Piper Jaffray’s Tier 1, Tier 2 and Tier 3 coverage described above. Piper Jaffray has a dedicated sales liaison to these accounts reflecting a concerted effort to enhance our coverage of professional retail investors in response to the increasing shift of retail funds. Piper Jaffray focuses heavily on retail wrap accounts and professional money management firms, and some of our most active professional retail accounts are listed below.

Boston Company	Eaton Vance	Northern Trust
Breckenridge Capital Advisors	Franklin PCS	Nuveen Asset Management
Buckingham Asset Management	Goldman Asset Management	Pimco
Charles Fish Investments	J.P. Morgan Investment/Advisors	Payden & Rygel Investment Counsel
Columbia Management	Lord Abbett	Wasmer Schroeder & Company Inc.
Dodge & Cox	Merrill PPG, Merrill Wrap	Wells Fargo Private

Additional Retail Distribution Channels: Piper Jaffray utilizes a wide range of online trading platforms, or Electronic Trading Networks (ETNs), to help market and trade municipal securities in the retail market. We utilize BondDesk Group, MuniCenter, Trade Web, Knight Trading and Bloomberg Trade Book to reach over 100,000 retail brokers. With these platforms, a retail broker can view the inventory of dozens of brokerage firms, not just the firm for which they work. These ETNs create the greatest amount of exposure and demand for a bond issue.



IV. Alabama Office & Underwriting Experience



Does your firm maintain a public finance office or a retail and/or brokerage office in the State of Alabama? If so, please provide the address and phone number for this office and primary contact person.

Yes, Piper Jaffray is committed to serving issuers throughout the State of Alabama and maintains a local office at 2100 Southbridge Pkwy, Suite 650, Birmingham, AL 35209. Walter Lewis is the primary contact person at (205) 414-7511 or walter.d.lewis@pjc.com.



V. Experience – GO & Public Building Authority Case Studies

Provide no more than three (3) case studies which describe the experience of your assigned professionals with general obligation and public building authority transactions.

Since January 2013, Piper Jaffray has served as underwriter or placement agent on 61 transactions in the State of Alabama with a total par amount of over \$2.6 Billion. This experience includes 47 senior or sole managed transactions (total par amount of \$1 Billion). This also includes serving as Co-Manager on 14 transactions. (total par amount of \$1.6 Billion). A complete list of Piper’s Alabama experience since January 2013 is in Appendix A. Piper is intimately familiar with the buyers of Alabama municipal bonds and has the experience to provide the lowest cost of capital and solid execution.

City of Tuscaloosa Series 2016-B General Obligation Warrant (\$32,750,000) - Piper Jaffray served as Placement Agent for the City of Tuscaloosa on its \$32,750,000 Series 2016-B General Obligation Warrant, a refunding which generated approximately \$3.3 million in net present value savings or 12% of the par refunded. Prior to marketing and subsequently placing the Warrant, Piper Jaffray as underwriter guided the City through the entire process of preparing the warrants for sale. Piper assisted the City in preparation of financing documents and an official statement as well as the facilitation of two rating agency presentations and discussions.

After the national election on November 8th, 2016 bond markets were in disarray and the equity markets rallied as market participants digested the unexpected results and their potential impact on economic and business conditions for the future. As investors poured into equities, bond prices began to fall and interest rates increased dramatically over the course of several weeks. Throughout the weeks of extreme volatility, deals that did price were characterized by wide credit spreads at the onset of pricing and were subject to swings of 5 to 10 bps pricing adjustments based on buyer participation. Underwriters were forced to start cheap and tighten where possible after marketing.

During the initial period of the market volatility described above, the City’s pricing changed drastically due to the unexpected 2016 election results and the estimated savings deteriorated overnight. Prior to pricing the public offering, Piper Jaffray changed gears and was able to find a direct lender who provided a competitive rate and allowed the City to achieve significant refunding savings in line with expectations prior to the market shift. This flexibility and expertise demonstrates Piper Jaffray’s ability and commitment to serve its underwriting and placement clients to achieve the optimal financing terms available.

Shelby County Public Building Authority Series 2019 Building Revenue Warrants (DHR Project) (\$4,895,000) – Piper Jaffray served as Underwriter for the Shelby County Public Building Authority on its \$4,895,000 Building Revenue Warrants (DHR Project) in May 2019. The Warrants were issued to fund the construction of an office and administration building for the Shelby County branch of the Alabama Department of Human Resources. The project cost of about \$5.1 million included the construction of the building, as well as new equipment and installation costs and the costs of various professional services.



Piper Jaffray received \$6,890,000 in orders for the \$4,895,000 issue and achieved an all in true interest rate of 3.147%. Piper Jaffray worked with the State Department of Human Resources and the local members of the Public Building Authority, along with the other deal team members, to complete the financing successfully.

City of Gadsden, Alabama Series 2017 General Obligation Warrants (\$20,615,000) – Piper Jaffray served as Underwriter for the City of Gadsden, Alabama’s issuance of its Series 2017 General Obligation Warrants in November 2017. The City issued the Warrants in order to fund various improvements throughout the City. The Warrants were structured to wrap around the City’s existing debt service and keep the term short within 2030.

The City was interested in exploring shorter call features to provide additional flexibility and Piper Jaffray was able to assist the City in valuing the difference between a 7 year call and a 10 year call. The City ultimately chose to issue the Warrants with a 10 year call, but the City retained the option right up until pricing day to make that choice. Additionally, in order to generate the maximum amount of interest in the Warrants, Piper Jaffray structured certain maturities with bifurcated coupons (2% and 5%) and (3% and 5%). This allowed the City to achieve the lowest interest cost available in the market at the time of pricing of 2.82%.



VI. Litigation

Discuss any pending or threatened investigations or litigation by any private or public entity or any lapse or other deficiency in insurance coverage or professional licensure which may impact your firm’s ability to serve as underwriter.

No members of the assigned team are the subject of any litigation, investigations or any enforcement or disciplinary actions or any pending controversies or other situations which would damage such person’s or the firm’s professional standing. Piper Jaffray has no reason to believe that current and past regulatory matters or litigation will affect in any way Piper's ability to provide any of the services contemplated by this Request for Qualifications.

VII. Political Contributions

Has any municipal finance professional or associated person within your firm made or directed any political contributions, provided things of value, or provided financial advice to the extent it would be disqualified, under current laws, rules, and regulations, from acting as underwriter of the bonds?

None of the municipal finance professionals or associated people from Piper Jaffray have directed any political contributions, provided things of value or provided financial advice to the extent it would be disqualify us from underwriting the bonds.

VIII. Additional Information

Please provide any other information the County should consider when assessing your firm’s ability to serve as underwriter.

Piper Jaffray is uniquely suited to serve the County as Sole Book-Running Manager for the Warrants. If not chosen as Sole Manager, we would be honored to serve the County in a capacity determined by the County. Piper Jaffray is a leading middle market investment bank with a vast amount of expertise in Public Finance both nationally, in the Southeast, and in the State of Alabama specifically. Piper Jaffray’s banking team is composed of seasoned investments bankers with local expertise supported by a national underwriting platform. Piper is nimble and specialized enough to tailor its services to the needs of its local clients while simultaneously providing the capital base and national distribution network necessary to sole manage large and small transactions across a variety of credit types and geographic sectors.

We have provided below a summary of Piper Jaffray’s experience on General Obligation issues and Public Building Authority or conduit issuer transactions.

National General Obligation and Local Authority Underwriting Experience

Since January 2016, Piper Jaffray has served as Underwriter on 2,207 General Obligation transactions for a total par amount of \$101 Billion including private placements, negotiated offerings, or competitive offerings. This experience includes 1,590 transactions as Senior or Sole Manager with a total par amount of \$47.8 Billion and 437 transactions as Co-Manager for a total par amount of \$53.2 Billion. Of the total 2,027 deals, 1,453 were negotiated



offerings. Lastly, since 2016 Piper Jaffray has served as Underwriter on 436 transactions with a total par amount of \$22.9 Billion for issues that were Local Authority's, like the Public Building Authority of Baldwin County

A complete list of Piper Jaffray's General Obligation experience since January 2016 can be found in Appendix B. This extensive sampling of our underwriting experience demonstrates that we have the market penetration and experience to underwrite the County's warrants, reach a broad range of investors, and achieve the lowest cost of capital available in the marketplace.

Additional Piper Jaffray Case Study – Washington South Correctional Entity Facility Public Development Authority, \$50,385,000 Refunding Bonds, Series 2019

The South Correctional Entity ("SCORE") Facility Public Development Authority was formed for the purpose of issuing bonds to finance the South Correctional Entity Facility located in southern King County, WA and operated by SCORE, a governmental administrative agency formed via an interlocal agreement. The facility has a capacity to hold 802 inmates.

Piper Jaffray served as Sole Managing Underwriter for the Authority on its issuance of the 2019 Refunding Bonds which refunded all of the outstanding 2009 bonds. SCORE's bonds are secured by the full faith and credit pledge of each of the owner cities, all of which are rated in the "AA" category by both Moody's and S&P – hence SCORE's rating of Aa2/AA+.

The bonds priced during the week of November 11 which marked one of the largest weekly issuances this year. Piper initially marketed the bonds with considerably aggressive rates compared to similar pricings around the same time despite the heavy issuance. Notwithstanding the strong underlying full faith and credit of the owner cities, Piper's marketing feedback revealed a negative sentiment in the market around jail/correctional credits. Despite those factors, Piper Jaffray offered to purchase the bonds at yields which generated \$11.1 million in NPV Savings (or 15.5% of the par refunded) by taking 54% of the bonds into inventory at the agreed upon remarketed levels. The financing resulted in a True Interest Cost of 2.68%.

This transaction demonstrates Piper Jaffray's ability to market bonds for conduit issuers in any market environment and also use our capital to achieve successful results for our clients.

Appendix A

PJC Alabama Underwriting Experience Since January 2013

Sale Date	Amount	Issuer	Description	Role	Sale Type
11/5/2019	336,380,000	Birmingham Water Works Board	Series 2019 Senior Water Revenue Bonds	Senior	Negotiated
10/2/2019	2,940,000	Pickens County Water Authority	Series 2019 Water Revenue Refunding Bonds	Sole	Negotiated
5/16/2019	9,515,000	City of Daphne	Series 2019 General Obligation Warrants	Sole	Negotiated
5/15/2019	4,895,000	Shelby County PBA	Series 2019 Building Revenue Warrants	Sole	Negotiated
12/27/2018	3,470,000	Bullock County PBA	Series 2018 Building Revenue Warrants	Sole	Negotiated
12/27/2018	5,500,000	Etowah Sports Complex Authority	Series 2018 Special Tax Bonds	Sole	Priv. Place.
10/24/2018	86,815,000	Birmingham Water Works Board	Senior Water Revenue Bonds, Series 2018	Senior	Negotiated
8/1/2018	4,590,000	Electric Board of Guntersville	Electric Revenue Bond, Series 2018	Sole	Priv. Place.
5/23/2018	26,815,000	Jefferson County	Series 2018-B GO Warrants	Co-Mgr	Negotiated
5/23/2018	111,360,000	Jefferson County	Series 2018-A GO Warrants	Co-Mgr	Negotiated
12/19/2017	675,000	City of Center Point	Series 2017-B General Obligation Warrants (Federally Taxable)	Sole	Negotiated
12/19/2017	1,430,000	City of Center Point	Series 2017-A General Obligation Warrants	Sole	Negotiated
12/19/2017	27,455,000	City of Bessemer	Series 2017 Water Revenue Warrants	Sole	Negotiated
12/18/2017	30,610,000	Gadsden Water Works & Sewer Board	Series 2017 Water & Sewer Revenue Bonds	Sole	Negotiated
12/12/2017	37,465,000	Mobile County	Series 2017 General Obligation Warrants	Co-Mgr	Negotiated
11/21/2017	20,615,000	City of Gadsden	Series 2017-B General Obligation Warrants	Sole	Negotiated
10/26/2017	48,710,000	Bessemer GUSC	Series 2017 Water Supply Revenue Bonds	Sole	Negotiated
9/13/2017	12,195,000	City of Sylacauga	Series 2107-A and 2017-B GO Warrants	Sole	Negotiated
8/2/2017	2,445,000	City of Brewton	Series 2017A General Obligation Warrants (Taxable)	Sole	Negotiated
8/2/2017	685,000	City of Brewton	Series 2017B General Obligation Gas Revenue Warrants	Sole	Negotiated
7/13/2017	338,925,000	Jefferson County	Series 2017 Limited Obligation Warrants	Co-Mgr	Negotiated
6/20/2017	556,620,000	AL Federal Aid Highway Fin. Auth.	Series 2017-A and 2017-B Special Obligation Rev. Bonds	Co-Mgr	Negotiated
2/22/2017	6,505,000	Russell County Public Building Authority	Series 2017 Revenue Warrants	Sole	Priv. Place.
12/22/2016	32,750,000	City of Tuscaloosa	Series 2016 General Obligation Warrant	Sole	Priv. Place.
12/13/2016	152,130,000	Tuscaloosa City Board of Education	Series 2016 School Tax Warrants	Senior	Negotiated
12/12/2016	735,000	City of East Brewton	General Obligation Warrants	Sole	Negotiated
11/15/2016	236,395,000	AL Federal Aid Highway Fin. Auth.	Series 2016-A Special Obligation Revenue Bonds	Co-Mgr	Negotiated
11/9/2016	2,275,000	Brewton Water Works Board	Series 2016 Water Revenue Bonds	Sole	Negotiated
10/19/2016	15,000	City of Brewton	General Obligation Warrants, Series 2016-B	Sole	Negotiated
10/19/2016	5,640,000	City of Brewton	General Obligation Warrants, Series 2016-A	Sole	Negotiated
9/14/2016	1,525,000	Town of Butler	General Obligation Warrants	Sole	Negotiated
8/12/2016	8,515,000	City of Clanton	Series 2016 General Obligation Warrants	Sole	Negotiated
6/28/2016	68,615,000	City of Hoover	Series 2016 General Obligation Warrants	Co-Mgr	Negotiated
6/21/2016	6,795,000	Ozark Utilities Board	Series 2016 Water and Sewer Revenue Bonds	Sole	Negotiated
5/19/2016	1,965,000	City of Jemison	Series 2016-A Water and Sewer Revenue Warrants	Sole	Negotiated
5/19/2016	1,690,000	City of Jemison Public Building Authority	Series 2016-B Revenue Bonds	Sole	Negotiated
5/17/2016	6,745,000	Blount County Water Authority	Series 2016 Water Revenue Bonds	Sole	Negotiated
3/7/2016	6,640,000	Cullman County	Series 2016 Water Revenue Warrants	Sole	Negotiated
1/28/2016	16,940,000	City of Cullman	Series 2016 General Obligation Warrants	Sole	Negotiated
12/10/2015	280,000	Conecuh County Public Building Authority	Series 2015-A Revenue Warrants	Sole	Negotiated
12/10/2015	6,270,000	Conecuh County Public Building Authority	Series 2015-B Revenue Warrants	Sole	Negotiated
9/23/2015	26,285,000	County of Mobile	General Obligation Warrants, Series 2015	Co-Mgr	Negotiated
9/2/2015	8,320,000	Cullman County	Water Revenue Warrants, Series 2015	Sole	Negotiated
7/20/2015	17,550,000	City of Sylacauga	General Obligation Warrants Series 2015	Sole	Negotiated
7/8/2015	12,730,000	AltaPointe Health Systems, Inc.	Tobacco Tax Revenue Bonds, Series 2015-A	Sole	Negotiated
4/30/2015	7,090,000	Dekalb-Cherokee Counties Gas District	Gas Rev Bonds, Series 2015-A	Sole	Negotiated
4/15/2015	270,000	Conecuh County	Taxable GO Refunding Warrants 2015-B	Sole	Negotiated
4/15/2015	1,930,000	Conecuh County	GO Refunding Warrants 2015-A	Sole	Negotiated
3/30/2015	75,760,000	Birmingham Water Works Board	Water Revenue Bonds, Series 2015-B	Co-Mgr	Negotiated
3/30/2015	100,205,000	Birmingham Water Works Board	Water Revenue Refunding Bonds, Series 2015-A	Co-Mgr	Negotiated
2/26/2015	42,110,000	Utilities Board of the City of Cullman	Water Rev Bonds (Duck River Reservoir Project), Series 2015	Senior	Negotiated
2/9/2015	9,260,000	City of Fultondale	GO Ref Warrants, Series 2015	Sole	Negotiated
10/22/2014	44,980,000	City of Birmingham	GO Ref Bonds, Series 2014A-A	Co-Mgr	Negotiated
10/22/2014	40,610,000	City of Birmingham	GO Ref Warrants, Series 2014-B	Co-Mgr	Negotiated
6/25/2014	7,510,000	City of Gadsden	GO School Warrants, Series 2014-B	Senior	Negotiated
6/25/2014	2,105,000	City of Gadsden	GO Warrants, Series 2014-C	Senior	Negotiated
2/26/2014	9,850,000	Cullman Board of Education	Special Tax School Warrants, Tax-Exempt Series 2014-A	Co-Mgr	Negotiated
2/26/2014	2,700,000	Cullman Board of Education	Special Tax School Warrants, Taxable Series 2014-B	Co-Mgr	Negotiated
9/11/2013	34,680,000	Mobile Co Communications District	Capital Imp Rev Bonds (E-911 Equipment Financing) Series 2013	Sole	Priv. Place.
1/29/2013	1,635,000	Gas Board of the City of Fultondale	Gas Rev Ref Bonds, Series 2013A	Sole	Priv. Place.
1/29/2013	1,750,000	Gas Board of the City of Fultondale	Taxable Gas Rev Ref Bonds, Series 2013B	Sole	Priv. Place.

Appendix B

Piper Jaffray General Obligation Negotiated Underwriting Experience

Sale Date	Par Size (MM's)	Issuer	State	Description	Security Type	Bid Type	Piper Role
11/20/19	317	Oregon	OR	Series 2019 B Hway User Tax Sub Lien	GO	NEG	CO-MGR
11/20/19	289	Oregon	OR	Series 2019 A Hway User Tax Sub Lien	GO	NEG	CO-MGR
11/20/19	23	Allegheny Co (Gateway) SD	PA	General Obligation Bonds	GO	NEG	SOLE
11/20/19	163	Wyandotte (Kansas) USD #500	KS	GO Refunding Bonds	GO	NEG	SOLE
11/19/19	7	Beatrice City-Nebraska	NE	Series 2019 Sales Tx & GO Fire Station	GO	NEG	SOLE
11/15/19	3	Dakota City-Nebraska	NE	Series 2019 GO Various Purpose Bonds	GO	NEG	SOLE
11/15/19	41	Kendall Co (Boerne) ISD	TX	Series 2019 Refunding Bonds	GO	NEG	CO-MGR
11/15/19	34	Santa Clarita Comm College Dt	CA	2019 Series GO Refunding Bonds	GO	NEG	SOLE
11/14/19		Elgin City-Nebraska	NE	Series 2019 GO Various Purpose Bonds	GO	NEG	SOLE
11/14/19		Friend City-Nebraska	NE	Series 2019 Hway Alloc Fund Pledge Ref	GO	NEG	SOLE
11/14/19	113	Solano Comm College Dt	CA	2019 Series GO Refunding Bonds	GO	NEG	LEAD
11/13/19	1	Columbus-Kansas	KS	Series 2019 GO Refunding Bonds	GO	NEG	SOLE
11/13/19	1	Dorchester Village-Nebraska	NE	Series 2019 GO Water Refunding Bonds	GO	NEG	SOLE
11/07/19	30	Lane Co (Bethel) SD #52	OR	Series 2019 GO Refunding Bonds	GO	NEG	SOLE
11/06/19	26	King Co (Highline) SD #401	WA	Series 2019 GO Refunding Bonds	GO	NEG	SOLE
11/06/19	1	Osmond City-Nebraska	NE	Series 2019 GO Swimming Pool Bonds	GO	NEG	SOLE
11/05/19	4	Lubbock Co (Lubbock-Cooper) ISD	TX	Series 2019 A Unlimited Tax Refunding	GO	NEG	CO-MGR
11/05/19	4	Titus Co-Texas	TX	Series 2019 Refunding Bonds	GO	NEG	CO-MGR
11/05/19	30	Titus Co-Texas	TX	Series 2019 Refunding Bonds	GO	NEG	CO-MGR
11/01/19	1	Hickman Rural Fire Protect Dt	NE	Series 2019 B Fire Station and Refunding	GO	NEG	SOLE
11/01/19	10	Leavensworth Co (Tonganoxie) #464	KS	Series 2019 B GO Refunding Bonds	GO	PVT	SOLE
11/01/19	4	Cloud Co (Concordia) UFSD #333	KS	Series 2019 GO Refunding Bonds	GO	PVT	SOLE
10/31/19	95	Denton Co (Northwest) ISD	TX	Series 2019 Unltd Tax Refunding Bonds	GO	NEG	CO-MGR
10/31/19		Ponca Hills Fire Dt #9	NE	Series 2019 Ltd Oblig Fire Equipment	GO	NEG	SOLE
10/30/19	5	Plum Borough-Pennsylvania	PA	Series B of 2019 General Obligation	GO	NEG	SOLE
10/30/19	20	Plum Borough-Pennsylvania	PA	Series A of 2019 General Obligation	GO	NEG	SOLE
10/30/19	45	Collin Co (Prosper) ISD	TX	Series 2019 A School Building Bonds	GO	NEG	LEAD
10/30/19	12	Chambers Co (Barbers Hill) ISD	TX	Series 2019 School Building Bonds	GO	NEG	LEAD
10/29/19	60	Chambers Co (Barbers Hill) ISD	TX	Series 2019 Refunding Bonds	GO	NEG	CO-MGR
10/29/19	91	King Co (Northshore) SD #417	WA	Series 2019 GO Unlimited Tax Bonds	GO	NEG	SOLE
10/29/19	8	Verrado Western Overlay CFD	AZ	Series 2019 GO Refunding Bonds	GO	PVT	SOLE
10/25/19	3	Falls City-Nebraska	NE	Series 2019 GO Water Bonds	GO	NEG	SOLE
10/25/19	140	Riverside Comm College Dt	CA	2019 & Series F GO & Refunding Bonds	GO	NEG	SOLE
10/23/19	6	Johnson (Warrensburg) SD #R-6	MO	Series 2019 GO Refunding Bonds	GO	NEG	SOLE
10/23/19	7	Seward Co-Nebraska	NE	Series 2019 Limited Tax GO Refunding	GO	NEG	SOLE
10/22/19	180	Sonoma Co Junior College Dt	CA	Series B General Obligation Bonds	GO	NEG	LEAD
10/22/19	125	University of Kansas Hosp Auth	KS	Series 2019 B Health Facilities Revenue	GO	NEG	LEAD
10/22/19	353	Harris Co (Cypress-Fairbanks) ISD	TX	Series 2019 A Unltd Tax School Building	GO	NEG	CO-MGR
10/22/19	81	Sonoma Co Junior College Dt	CA	2019 Series GO Refunding Bonds	GO	NEG	LEAD
10/18/19	12	Bell Co (Killeen) ISD	TX	Series 2019 Refunding Bonds	GO	NEG	SOLE
10/17/19	20	Saline Co (Crete) PSD #0002	NE	Series 2019 B GO Refunding Bonds	GO	NEG	SOLE
10/17/19	9	Saline Co (Crete) PSD #0002	NE	Series 2019 A GO Refunding Bonds	GO	NEG	SOLE
10/17/19	67	Montgomery Co (Conroe) ISD	TX	Series 2019 Refunding Bonds	GO	NEG	LEAD
10/17/19	17	Montgomery Co (New Caney) ISD	TX	Series 2019 Unltd Tax Refunding Bonds	GO	NEG	CO-MGR
10/17/19	4	Plymouth Town-Connecticut	CT	Issue of 2019 GO Refunding Bonds	GO	NEG	SOLE
10/16/19	7	Bradford Co (Athens) ASD	PA	Series 2019 General Obligation Bonds	GO	NEG	SOLE
10/11/19	8	Interboro SD Authority	PA	Series of 2019 General Obligation Bonds	GO	NEG	SOLE
10/11/19	1	Douglas Co Sanit & Imp Dt #573	NE	Series 2019 General Obligation Bonds	GO	NEG	SOLE
10/10/19	9	Bethel Park Municipal Authority	PA	Series 2019 General Obligation Bonds	GO	NEG	SOLE
10/10/19	12	Oxford Town-Connecticut	CT	Issue of 2019Ser A&B GO & Refunding	GO	NEG	SOLE
10/10/19	10	Oxford Town-Connecticut	CT	Issue of 2019 Ser C GO Refunding Bonds	GO	NEG	SOLE
10/09/19	5	Collin Co (Anna) ISD	TX	Series 2019 A Refunding Bonds	GO	NEG	SOLE
10/08/19	12	Neosho Co (Chanute) USD #413	KS	Series 2019 GO Refunding Bonds	GO	NEG	SOLE
10/08/19	176	Oregon	OR	2019 Series L,P,Q General Obligation	GO	NEG	CO-MGR
10/08/19	191	Oregon	OR	2019 Series K,M,N,O General Obligation	GO	NEG	CO-MGR

Piper Jaffray General Obligation Negotiated Underwriting Experience

Sale Date	Par Size (MM's)	Issuer	State	Description	Security Type	Bid Type	Piper Role
10/08/19	67	Long Beach Comm College Dt	CA	2019 Series H GO Refunding Bonds	GO	NEG	LEAD
10/08/19	130	Long Beach Comm College Dt	CA	2019 Series C General Obligation Bonds	GO	NEG	LEAD
10/04/19	13	Blair Co (Spring Cove) SD	PA	Series A & B of 2019 GO Refunding Bonds	GO	NEG	SOLE
10/04/19	3	Somerset Co (Meyersdale) ASD	PA	Series 2019 General Obligation Bonds	GO	NEG	SOLE
10/03/19	36	Imperial Community College Dt	CA	2019 Series GO Refunding Bonds	GO	NEG	SOLE
10/02/19	3	Sedgwick Co (Maize) USD #266	KS	Series 2019-B General Obligation Bonds	GO	NEG	SOLE
10/02/19	108	Sedgwick Co (Maize) USD #266	KS	Series 2019-A General Obligation Bonds	GO	NEG	SOLE
10/02/19	4	Buffalo Co (Pleasanton) SD #105	NE	Series 2019 GO Refunding Bonds	GO	NEG	SOLE
10/01/19	5	Dickinson (Abilene) USD #435	KS	Series 2019 GO Refunding Bonds	GO	NEG	SOLE
10/01/19	1	Hartington Rural Fire Protection Dt	NE	Series 2019 Ltd Oblig Fire Station Ref	GO	NEG	SOLE
09/27/19	52	Leavensworth Co (Tonganoxie) #464	KS	Series 2019-A GO Refunding &	GO	NEG	SOLE
09/26/19	2	Fairview City-Oregon	OR	Series 2019 B Full Faith & Credit Fin Notes	GO	PVT	SOLE
09/26/19	2	Connecticut Regional SD #10	CT	Series 2019 GO Refunding Bonds	GO	NEG	SOLE
09/26/19	6	Neosho Co (Erie) USD #101	KS	Series 2019 GO Refunding Bonds	GO	NEG	SOLE
09/25/19	10	Butler Co (Augusta) USD #402	KS	Series 2019 GO Refunding Bonds	GO	NEG	SOLE
09/25/19	2	Cedar Co-Nebraska	NE	Series 2019 Highway Alloc Fund Pledge	GO	NEG	SOLE
09/25/19	1	Lyman Village-Nebraska	NE	Series 2019 GO Water Refunding Bonds	GO	NEG	SOLE
09/24/19	40	Collin Co (Melissa) ISD	TX	Series 2019 Unltd Tax School Building	GO	NEG	CO-MGR
09/24/19	15	Sedgwick Co (Valley Ctr) USD #262	KS	Series 2019 GO Refunding Bonds	GO	NEG	SOLE
09/24/19	3	Williamsburg City-Iowa	IA	Series 2019 GO Refunding Bonds	GO	NEG	SOLE
09/20/19	8	Marley Park Comm Facs Dt	AZ	Series 2019 General Obligation Bonds	GO	NEG	SOLE
09/19/19	6	West Mifflin Boro-Pennsylvania	PA	Series of 2019 General Obligation Bonds	GO	NEG	SOLE
09/18/19	1	Otoe Co (Palmyra) SD #501	NE	Series 2019 Ltd Tax Obligation School	GO	NEG	SOLE
09/18/19	2	Saguaro Springs CFD	AZ	Series 2019 General Obligation Bonds	GO	NEG	SOLE
09/18/19	29	Skagit Co Public Hospital Dt #1	WA	Series 2019 Unltd Tax GO Bonds	GO	PVT	SOLE
09/17/19	35	Harris Co (Deer Park) ISD	TX	Series 2019 School Building Bonds	GO	NEG	LEAD
09/17/19	1	Polk Co (Dallas) SD #2	OR	Series 2019	GO	PVT	SOLE
09/17/19	18	Cabrillo Comm College Dt	CA	2019 Series B GO Refunding Bonds	GO	NEG	SOLE
09/17/19	41	Cabrillo Comm College Dt	CA	2019 Series A GO Refunding Bonds	GO	NEG	SOLE
09/13/19	2	Central Cass Co Fire Protect Dt	MO	Series 2019 General Obligation Bonds	GO	PVT	SOLE
09/13/19	11	Dickinson Co (Herington) SD #487	KS	Series 2019 GO Refunding Bonds	GO	NEG	SOLE
09/12/19	5	Clark Co (Camas) SD #117	WA	Series 2019 Ltd General Obligation Bonds	GO	PVT	SOLE
09/12/19	35	Multnomah Co (Parkrose) SD #3	OR	Series 2019 GO Refunding Bonds	GO	NEG	SOLE
09/12/19	104	Fresno Co USD	CA	2019 Series GO Refunding Bonds	GO	NEG	CO-MGR
09/11/19	5	Hillsboro City-Kansas	KS	Series B 2019 GO Refunding Bonds	GO	NEG	SOLE
09/11/19	25	Maricopa Co (Creighton) ESD #14	AZ	Series B (2019) School Improvement	GO	NEG	SOLE
09/11/19	85	Dallas Co (Mesquite) ISD	TX	Series 2019 School Building Bonds	GO	NEG	CO-MGR
09/11/19	2	Henry Co (Winfield-Mt Union) CSD	IA	Series 2019 GO School Capital Loan	GO	PVT	SOLE
09/10/19	6	Arnolds Park City-Iowa	IA	Series 2019 GO Capital Loan Notes	GO	NEG	SOLE
09/10/19	55	Austin City-Texas	TX	Series 2019 Pub Imp Bonds & Certs of	GO	NEG	CO-MGR
09/10/19	177	Austin City-Texas	TX	Series 2019 Pub Imp & Ref & Certs of	GO	NEG	CO-MGR
09/10/19	69	San Jose-Evergreen Comm Coll Dt	CA	2019 Series GO Refunding Bonds	GO	NEG	SOLE
09/06/19	8	Snyder Co (Selinsgrove) ASD	PA	Series C of 2019 General Obligation	GO	NEG	SOLE
09/06/19	1	Kenesaw Village-Nebraska	NE	Series 2019 GO Paving Bonds	GO	NEG	SOLE
09/05/19	8	Leavenworth Co (Basehor-Linwood) USD #458	KS	Series 2019 GO Refunding Bonds	GO	PVT	SOLE
09/05/19	98	Ohlone Community College Dt	CA	2019 Series GO Refunding Bonds	GO	NEG	SOLE
09/05/19	82	California	CA	Various Purpose GO Bonds	GO	NEG	CO-MGR
09/05/19	2324	California	CA	Various Purpose GO & Ref Bonds	GO	NEG	CO-MGR
08/29/19	2	Briscoe Co (Silverton) ISD	TX	Series 2019 Unltd Tax School Building	GO	NEG	SOLE
08/29/19	11	Corcoran Hospital Dt	CA	Series 2019 GO Refunding Bonds	GO	PVT	SOLE
08/28/19	93	Indiana Co (Armstrong) SD	PA	Series A & B of 2019 GO Refunding Bonds	GO	NEG	SOLE
08/28/19	1	Grant Co (Dayville) SD #16J	OR	Series 2019 General Obligation Bonds	GO	PVT	SOLE
08/28/19	2	Orland Park Village-Illinois	IL	Series 2019 GO Refunding Bonds	GO	PVT	SOLE
08/28/19	4	West Sacramento City-California	CA	Series 2019 Special Tax Bonds	GO	NEG	SOLE
08/27/19	2	Shasta Co (Black Butte) UESD	CA	Series 2019 B General Obligation Bonds	GO	NEG	SOLE

Piper Jaffray General Obligation Negotiated Underwriting Experience

Sale Date	Par Size (MM's)	Issuer	State	Description	Security Type	Bid Type	Piper Role
08/22/19	5	Ohio Twp-Pennsylvania	PA	Series of 2019 GO Refunding Bonds	GO	NEG	SOLE
08/21/19	57	Rancho-Santiago Comm College Dt	CA	2019 Series C General Obligation Bonds	GO	NEG	SOLE
08/20/19	5	Clackamas Co (West Linn) SD #3JT	OR	Series 2019 General Obligation Bonds	GO	PVT	SOLE
08/19/19	70	Jefferson Co (Nederland) ISD	TX	Series 2019 Untd Tx School Building	GO	NEG	CO-MGR
08/16/19	12	Derby City-Connecticut	CT	Issue of 2019 Ser B General Obligation	GO	NEG	SOLE
08/16/19	11	Derby City-Connecticut	CT	Issue of 2019 Ser A General Obligation	GO	NEG	SOLE
08/15/19	3	Northeast Bradford SD	PA	Series of 2019 General Obligation Bonds	GO	NEG	SOLE
08/15/19	7	Snyder Co (Selinsgrove) ASD	PA	Series A & B of 2019 General Obligation	GO	NEG	SOLE
08/15/19	2	Clackamas Co (Oregon City) SD #62	OR	Series 2019 Full Faith and Credit	GO	PVT	SOLE
08/14/19	4	Whatcom Co (Mount Baker) SD #507	WA	Serues 2019 Ltd General Obligation	GO	PVT	SOLE
08/14/19	63	Alameda USD	CA	Series C General Obligation Bonds	GO	NEG	CO-MGR
08/14/19	3	Williamsburg City-Iowa	IA	Series 2019 GO Corporate Purpose Bonds	GO	NEG	SOLE
08/13/19	34	Collin Co (Community) ISD	TX	Series 2019 School Building Bonds	GO	NEG	CO-MGR
08/13/19	18	Yamhill Co (Dayton) SD #8	OR	Series 2019 GO & Refunding Bonds	GO	NEG	SOLE
08/08/19	9	Douglas(Winston-Dillard)Sd 116	OR	Series 2019 B General Obligation Bonds	GO	NEG	SOLE
08/08/19	7	Douglas(Winston-Dillard)Sd 116	OR	Series 2019 A GO Deferred Interest Bonds	GO	NEG	SOLE
08/08/19	1	Fairfield City-Iowa	IA	Series 2019 GO Capital Loan Notes	GO	PVT	SOLE
08/07/19	3	Maricopa Co (Fowler) ESD #45	AZ	Series D 2019 School Improvement Bonds	GO	NEG	SOLE
08/07/19	98	Honolulu City & Co-Hawaii	HI	Series 2019 I & J General Obligation	GO	NEG	CO-MGR
08/07/19	176	Honolulu City & Co-Hawaii	HI	Series 2019 E General Obligation Bonds	GO	NEG	CO-MGR
08/07/19	265	Honolulu City & Co-Hawaii	HI	Series 2019 C D& G General Obligation	GO	NEG	CO-MGR
08/07/19	85	Santa Clarita Comm College Dt	CA	Series 2019 General Obligation Bonds	GO	NEG	SOLE
08/06/19	109	Galveston Co (Clear Creek) ISD	TX	Series 2019 Unltd Tax School Building	GO	NEG	LEAD
08/06/19	4	Lake Co (North Lake) SD #14	OR	Series 2019 General Obligation Bonds	GO	NEG	SOLE
08/01/19	73	Bexar Co (Southwest) ISD	TX	Series 2019 Unltd Tax School Building	GO	NEG	CO-MGR
08/01/19	17	East Lyme Town-Connecticut	CT	Issue of 2019 General Obligation Bonds	GO	NEG	SOLE
08/01/19	25	Tahoe Forest Hospital Dt	CA	2019 Series GO Refunding Bonds	GO	NEG	CO-MGR
07/31/19	13	Elwood Village-Illinois	IL	Series 2019 B GO Refunding Bonds	GO	NEG	SOLE
07/31/19	2	Elwood Village-Illinois	IL	Series 2019 A GO Refunding Bonds	GO	NEG	SOLE
07/31/19	29	Collin Co (McKinney) ISD	TX	Series 2019 School Building & Refunding	GO	NEG	LEAD
07/30/19	4	Imperial Co (El Centro) ESD	CA	Series B General Obligation Bonds	GO	NEG	SOLE
07/30/19	178	Bexar Co (Northside) ISD	TX	Series 2019 A Unltd Tax Refunding Bond	GO	NEG	CO-MGR
07/30/19	18	Linn Co (Santiam Canyon) SD #129J	OR	Series 2019 General Obligation Bonds	GO	NEG	SOLE
07/25/19	56	Bell Co (Killeen) ISD	TX	Series 2019 Unltd Tax School Building	GO	NEG	CO-MGR
07/25/19	59	Bell Co (Killeen) ISD	TX	Series 2019 Unltd Tax School Building	GO	NEG	CO-MGR
07/25/19	36	Collin Co (Celina) ISD	TX	Series 2019 Unltd Tax School Building	GO	NEG	LEAD
07/24/19	7	Lycoming Co (Muncy) SD	PA	Series of 2019 General Obligation Bonds	GO	NEG	SOLE
07/24/19	13	Washington Co (Charleroi) ASD	PA	Series of 2019 GO Refunding Bonds	GO	NEG	SOLE
07/24/19	153	Clark Co (Vancouver) SD #37	WA	Series 2019 General Obligation Bonds	GO	NEG	LEAD
07/23/19	4	Coraopolis Borough-Pennsylvania	PA	Series of 2019 General Obligation Bonds	GO	NEG	SOLE
07/23/19	23	Collin Co (Blue Ridge) ISD	TX	Series 2019 School Building Bonds	GO	NEG	LEAD
07/17/19	32	Guadalupe Co (Seguin) ISD	TX	Series 2019 Unltd Tax School Building	GO	NEG	CO-MGR
07/16/19	60	Harris Co (Goose Creek) CISD	TX	Series 2019 A Unltd Tx School Building	GO	NEG	CO-MGR
07/16/19	27	Flower Mound Town-Texas	TX	Series 2019 Certificates of Obligation	GO	NEG	LEAD
07/16/19	6	White Salmon Valley SD #405-17	WA	Series 2019 General Obligation Bonds	GO	NEG	SOLE
07/10/19	5	Darien-Woodridge Fire Prot Dt	IL	Series 2019 Ref Fire Protection Notes	GO	PVT	SOLE
07/10/19	1	Lake Winnebago City-Missouri	MO	Series 2019 General Obligation Bonds	GO	PVT	SOLE
07/10/19	59	Montgomery Co (New Caney) ISD	TX	Series 2019 Unltd Tax School Building	GO	NEG	CO-MGR
07/10/19	5	Whatcom Co (Blaine) SD #503	WA	Series 2019 Limited GO Bonds	GO	PVT	SOLE
07/09/19	37	Denton Co (Lewisville) ISD	TX	Series 2019 Unltd Tax School Building	GO	NEG	LEAD
07/09/19	72	Denton Co (Lewisville) ISD	TX	Series 2019 Unltd Tax School Building	GO	NEG	LEAD
06/28/19	5	Iowa Co (Williamsburg) CSD	IA	Series 2019 GO School Capital Loan	GO	PVT	SOLE
06/27/19	3	Penn Hills Municipality-Pennsylvania	PA	Series B of 2019 GO Refunding Bonds	GO	NEG	SOLE
06/27/19	4	Penn Hills Municipality-Pennsylvania	PA	Series A of 2019 GO Refunding Bonds	GO	NEG	SOLE
06/27/19	72	King Co (Issaquah) SD #411	WA	Series 2019 Unltd Tax GO Bonds	GO	NEG	SOLE

Piper Jaffray General Obligation Negotiated Underwriting Experience

Sale Date	Par Size (MM's)	Issuer	State	Description	Security Type	Bid Type	Piper Role
06/26/19	13	Roseville City-California	CA	Series 2019 Special Tax Bonds	GO	NEG	SOLE
06/25/19	14	Ada Co (Boise City) ISD	ID	Series 2019 General Obligation Bonds	GO	NEG	SOLE
06/25/19	50	Ada Co (Boise City) ISD	ID	Series 2019 General Obligation Bonds	GO	NEG	SOLE
06/24/19	48	Titus Co (Mount Pleasant) ISD	TX	Series 2019 Unltd Tax School Building	GO	NEG	CO-MGR
06/20/19	34	Rockwall Co (Royse) ISD	TX	Series 2019 Unltd Tax School Building	GO	NEG	CO-MGR
06/20/19	7	North Kingstown Town-Rhode Island	RI	2019 Series A General Obligation Bonds	GO	NEG	SOLE
06/19/19	31	Williamson Co (Liberty Hill) ISD	TX	Series 2019 Refunding Bonds	GO	NEG	LEAD
06/18/19	18	Brazoria Co (Pearland) ISD	TX	Series 2019 A School Building Bonds	GO	NEG	LEAD
06/18/19	9	South Windsor Town-Connecticut	CT	Issuer of 2019 Ser B GO Refunding Bonds	GO	NEG	SOLE
06/13/19	47	District of Columbia Hsg Fin Agy	DC	Series 2019 A MultiFamAssitedLiving Prog	GO	PVT	SOLE
06/13/19	191	Fort Bend Co (Katy) ISD	TX	Series 2019 Unltd Tax Sch Bldg Bonds	GO	NEG	LEAD
06/13/19	25	Orange Co (Placentia-Yorba Linda) USD	CA	2019 Series General Obligation Ref Bonds	GO	NEG	SOLE
06/12/19	3	Newport Twp Fire Protection Dt	IL	Series 2019 General Obligation Bonds	GO	NEG	SOLE
06/12/19	124	Pierce Co (Bethel) SD #403	WA	Series 2019 General Obligation Bonds	GO	NEG	LEAD
06/07/19	5	Los Angeles Co (Redondo Beach) USD	CA	Series D General Obligation Bonds	GO	NEG	SOLE
06/07/19	5	Allegheny Co (Wilkinsburg Boro) SD	PA	Series B of 2019 General Obligation	GO	NEG	SOLE
06/07/19	12	Los Angeles Co (Redondo Beach) USD	CA	2020 Series GO Refunding Bonds	GO	NEG	SOLE
06/05/19	4	Tigard-Tualatin SD #23J	OR	Series 2019 B GO Refunding Bonds	GO	NEG	LEAD
06/05/19	90	Tigard-Tualatin SD #23J	OR	Series 2019 A General Obligation Bonds	GO	NEG	LEAD
06/05/19	5	Lafayette-Oregon	OR	Series 2019 General Obligation Bonds	GO	NEG	SOLE
06/05/19	4	Riley Co (Manhattan) USD #383	KS	Series 2019 GO Refunding Bonds	GO	NEG	SOLE
06/04/19	2	Tama Co (GMG) CSD	IA	Series 2019 GO School Refunding Bonds	GO	NEG	SOLE
05/31/19	24	Wisconsin Hlth & Ed Fac Auth (WHEFA)	WI	Series 2019 Revenue Bonds	GO	PVT	SOLE
05/30/19	45	Maricopa Co (Phoenix) UHSD #210	AZ	Series B 2019 School Improvement Bonds	GO	NEG	LEAD
05/29/19	150	North Orange Co Comm College Dt	CA	Series B General Obligation Bonds	GO	NEG	CO-MGR
05/24/19	55	Canyon Co (Vallivue) SD #139	ID	Series 2019 A & B GO Sales Tax Guaranty	GO	NEG	SOLE
05/23/19	5	Bradford Co (Sayre) ASD	PA	Series of 2019 General Obligation Notes	GO	NEG	SOLE
05/23/19	46	Maricopa Co (Deer Valley) USD #97	AZ	Series E 2019 School Improvement Bonds	GO	NEG	LEAD
05/22/19	8	Allegheny Co (Wilkinsburg Boro) SD	PA	Series A of 2019 General Obligation	GO	NEG	SOLE
05/22/19	63	Snohomish Co (Everett) SD #2	WA	Series 2019 GO & Refunding Bonds	GO	NEG	CO-MGR
05/21/19	70	Denton Co (Argyle) ISD	TX	Series 2019 Unltd Tax School Building	GO	NEG	CO-MGR
05/21/19	23	Upshur Co (Gilmer) ISD	TX	Series 2019 Unltd Tax School Bldg Bonds	GO	NEG	CO-MGR
05/16/19	10	Bradford Co (Troy) ASD	PA	Series of 2019 General Obligation Bonds	GO	NEG	SOLE
05/16/19	10	Daphne City-Alabama	AL	Series 2019 GO Ref & Improvement	GO	NEG	SOLE
05/15/19	13	Allegheny Co (Shaler) ASD	PA	Series of 2019 General Obligation Bonds	GO	NEG	SOLE
05/15/19	10	Collin Co (Richardson) ISD	TX	Series 2019 Unltd Tax School Building	GO	NEG	CO-MGR
05/15/19	107	Collin Co (Richardson) ISD	TX	Series 2019 Unltd Tax School Building	GO	NEG	CO-MGR
05/15/19	16	Kootenai Co (Post Falls) SD #273	ID	Series 2019 General Obligation Bonds	GO	NEG	SOLE
05/14/19	11	Solano Co (Dixon) USD	CA	Series 2019 General Obligation Bonds	GO	NEG	SOLE
05/10/19	8	Wayne Co (Western Wayne) SD	PA	Series of 2019 General Obligation Bonds	GO	NEG	SOLE
05/09/19	2	Woodburn City-Oregon	OR	Series 2019 Full Faith&Credit Fin Agree	GO	PVT	SOLE
05/08/19	27	Stanislaus Co (Modesto) ESD	CA	Series A General Obligation Bonds	GO	NEG	CO-MGR
05/08/19	8	Williamson Co (Leander) ISD	TX	Series 2019 C School Building Bonds	GO	NEG	CO-MGR
05/08/19	77	Williamson Co (Leander) ISD	TX	Series 2019 C School Building Bonds	GO	NEG	CO-MGR
05/07/19	9	Fresno Co (Kerman) USD	CA	Series 2019 General Obligation Bonds	GO	NEG	SOLE
05/03/19	13	Douglas Co (Eastmont) SD #206	WA	Series 2019 Ltd General Obligation Bonds	GO	NEG	SOLE
05/03/19	65	Lower Kennebec Region Sch Unit #1	ME	Series 2019 General Obligation Bonds	GO	NEG	SOLE
05/01/19	9	Bradford Co (Wyalusing) ASD	PA	Series 2019 General Obligation Bonds	GO	NEG	SOLE
05/01/19	135	El Paso Co (Socorro) ISD	TX	Series 2019 School Building Bonds	GO	NEG	LEAD
05/01/19	71	Pierce Co (Peninsula) SD #401	WA	Series 2019 General Obligation Bonds	GO	NEG	SOLE
04/30/19	1	Alton City-Iowa	IA	Series 2019 Capital Loan Notes	GO	PVT	SOLE
04/30/19	20	Iowa Finance Authority	IA	2019 Series C Single Family Mortgage	GO	NEG	CO-MGR
04/29/19	92	Denton Co (Northwest) ISD	TX	Series 2019 Unltd Tax School Building	GO	NEG	CO-MGR
04/25/19	76	Dallas Co (Coppell) ISD	TX	Series 2019 School Building Bonds	GO	NEG	CO-MGR
04/25/19	11	Johnson Co (Joshua) ISD	TX	Series 2019 Unlimited Tax Refunding	GO	NEG	CO-MGR

Piper Jaffray General Obligation Negotiated Underwriting Experience

Sale Date	Par Size (MM's)	Issuer	State	Description	Security Type	Bid Type	Piper Role
04/25/19	27	Round Rock City-Texas	TX	Series 2019 Comb Tax & Ltd Rev Certs of	GO	NEG	CO-MGR
04/25/19	14	Yakima Co (Sunnyside) SD #201	WA	Series 2019 Unlimited Tax GO BOnDs	GO	NEG	SOLE
04/24/19	7	North Fayette Twp-Pennsylvania	PA	Series of 2019 GO Refunding Bonds	GO	NEG	SOLE
04/24/19	44	Carrollton City-Texas	TX	Series 2019 GO Improvement & Ref	GO	NEG	LEAD
04/24/19	265	Collin Co (Frisco) ISD	TX	Series 2019 Unit Tx School Bldg & Ref	GO	NEG	CO-MGR
04/24/19	239	University of Connecticut	CT	2019 & Ref Series A General Obligation	GO	NEG	LEAD
04/23/19	20	University of Minnesota Regents	MN	Series 2019 C General Obligation Bonds	GO	NEG	CO-MGR
04/23/19	132	Fort Bend ISD	TX	Series 2019 B Unlimited Tax Refunding	GO	NEG	CO-MGR
04/23/19	155	University of Minnesota Regents	MN	Series 2019 A & B GO & Refunding Bonds	GO	NEG	CO-MGR
04/23/19	21	Dallas Co (Coppell) ISD	TX	Series 2019 A Unlimited Tax Refunding	GO	NEG	CO-MGR
04/23/19	7	Collin Co (Prosper) ISD	TX	Series 2019 Unlimited Tax Refunding	GO	NEG	SOLE
04/22/19	4	Collin Co (Anna) ISD	TX	Series 2019 Unlimited Tax Refunding	GO	NEG	SOLE
04/22/19	20	Temple City-Texas	TX	Series 2019 GO Refunding Bonds	GO	NEG	LEAD
04/18/19	10	Berks Co (Conrad Weiser) ASD	PA	Series of 2019 General Obligation Bonds	GO	NEG	SOLE
04/17/19	22	Mississippi Development Bank	MS	Series 2019 Special Obligation BOnDs	GO	NEG	LEAD
04/16/19	56	Maricopa Co (Mesa) USD #4	AZ	Series A (2019) School Improvement	GO	NEG	CO-MGR
04/16/19	4	Tehama Co (Red Bluff) UHSD	CA	Series 2019 General Obligation Bonds	GO	NEG	SOLE
04/11/19	2024	California	CA	Various Purpose GO & Ref Bonds	GO	NEG	CO-MGR
04/09/19	18	Multnomah Co (Gresham-Barlow) SD #10JT	OR	Series 2019 B General Obligation Bonds	GO	NEG	SOLE
04/09/19	32	Multnomah Co (Gresham-Barlow) SD #10JT	OR	Series 2019 A GO Deferred Interest Bonds	GO	NEG	SOLE
04/09/19	9	Douglas Co (Reedsport) SD #105	OR	Series 2019 GO Refunding Bonds	GO	PVT	SOLE
04/05/19	9	Hutto City-Texas	TX	Series 2019 B General Obligation Bonds	GO	NEG	LEAD
04/05/19	15	Hutto City-Texas	TX	Series 2019 A General Obligation Bonds	GO	NEG	LEAD
04/02/19	11	Gavilan Jt Community College Dt	CA	Series A-1 General Obligation Bonds	GO	NEG	SOLE
04/02/19	27	Gavilan Jt Community College Dt	CA	Series A General Obligation Bonds	GO	NEG	SOLE
04/02/19	3	Pleasant Prairie Vlg-Wisconsin	WI	Series 2019 GO promissory Notes	GO	NEG	SOLE
04/02/19	6	Pleasant Prairie Vlg-Wisconsin	WI	Series 2019 GO Promissory Notes	GO	NEG	SOLE
04/02/19	8	Pleasant Prairie Vlg-Wisconsin	WI	Series 2019 GO Promissory Notes	GO	NEG	SOLE
04/01/19	5	Burlington City-Iowa	IA	Series 2019 A GO Corporate Purpose	GO	NEG	SOLE
03/29/19	96	Connecticut	CT	2019 Series A-7 General Obligation Bonds	GO	NEG	CO-MGR
03/29/19	8	Connecticut	CT	2019 Series A-6 General Obligation Bonds	GO	NEG	CO-MGR
03/29/19	15	Connecticut	CT	2019 Series A-5 General Obligation Bonds	GO	NEG	CO-MGR
03/29/19	153	Connecticut	CT	2019 Series A-5 General Obligation Bonds	GO	NEG	CO-MGR
03/29/19	2	Connecticut	CT	2019 Series A-4 General Obligation Bonds	GO	NEG	CO-MGR
03/29/19	33	Connecticut	CT	2019 Series A-4 General Obligation Bonds	GO	NEG	CO-MGR
03/29/19	68	Connecticut	CT	2019 Series A-3 General Obligation Bonds	GO	NEG	CO-MGR
03/29/19	317	Connecticut	CT	2019 Series A-3 General Obligation Bonds	GO	NEG	CO-MGR
03/29/19	7	Connecticut	CT	2019 Series A-2 General Obligation Bonds	GO	NEG	CO-MGR
03/29/19	95	Connecticut	CT	2019 Series A-2 General Obligation Bonds	GO	NEG	CO-MGR
03/29/19	49	Connecticut	CT	2019 Series A-1 General Obligation Bonds	GO	NEG	CO-MGR
03/29/19	159	Connecticut	CT	2019 Series A-1 General Obligation Bonds	GO	NEG	CO-MGR
03/28/19	39	Ohlone Community College Dt	CA	Series D General Obligation Bonds	GO	NEG	SOLE
03/28/19	5	Wyandotte Co (Turner) USD #202	KS	Series 2019-B General Obligation Bonds	GO	NEG	SOLE
03/28/19	44	Wyandotte Co (Turner) USD #202	KS	Series 2019-A General Obligation Bonds	GO	NEG	SOLE
03/28/19	273	Travis Co-Texas	TX	Series 2019 A Certificates of Obligation	GO	NEG	CO-MGR
03/28/19	12	Clinton Town-Connecticut	CT	Issue of 2019 GO Refunding Bonds	GO	NEG	SOLE
03/27/19	8	Los Angeles Co (Paramount) USD	CA	Series 2019 B GO Capital Appreciation	GO	NEG	CO-MGR
03/27/19	22	Los Angeles Co (Paramount) USD	CA	Series 2019 B General Obligation Bonds	GO	NEG	CO-MGR
03/26/19	49	Travis Co (Pflugerville) ISD	TX	Series 2019 B Unltd Tax School Building	GO	NEG	CO-MGR
03/26/19	38	Travis Co (Pflugerville) ISD	TX	Series 2019 A Unltd Tax School Building	GO	NEG	CO-MGR
03/26/19	137	Travis Co (Pflugerville) ISD	TX	Series 2019 A Unltd Tax School Building	GO	NEG	CO-MGR
03/26/19	150	West Valley-Mission Comm Coll Dt	CA	Series 2019 A General Obligation Bonds	GO	NEG	CO-MGR
03/21/19	9	Allegheny Co (Keystone Oaks) SD	PA	Series A & B of 2019 GO Refunding Bonds	GO	NEG	SOLE
03/20/19	3	Ventura Co (Moorpark) USD	CA	20149 Series E General Obligation Bonds	GO	PVT	SOLE
03/19/19	10	San Mateo Co (Portola Valley) SD	CA	Series 2019 A General Obligation Bonds	GO	NEG	SOLE

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Sale Date	Par Size (MM's)	Issuer	State	Description	Security Type	Bid Type	Piper Role
03/19/19	176	Collin Co (Prosper) ISD	TX	Series 2019 Unltd Tax School Building	GO	NEG	LEAD
03/19/19	3	San Mateo Co (Portola Valley) SD	CA	2020 Series GO Refunding Bonds	GO	NEG	SOLE
03/15/19	6	Redmond City-Oregon	OR	Series 2019 C Full Faith & Credit Bonds	GO	NEG	SOLE
03/15/19	3	Redmond City-Oregon	OR	Series 2019 B-2 Full Faith & Credit Bonds	GO	NEG	SOLE
03/15/19	31	Redmond City-Oregon	OR	Series 2019 A & B-1 Full Faith & Credit Ref	GO	NEG	SOLE
03/15/19	1	New London City-Connecticut	CT	Issue of 2019 General Obligation Bonds	GO	NEG	SOLE
03/15/19	15	New London City-Connecticut	CT	Issue of 2019 General Obligation Bonds	GO	NEG	SOLE
03/11/19	6	Kankakee Co Comm Coll Dt #520	IL	Series 2019 Debt Certificates	GO	PVT	SOLE
03/08/19	9	Maricopa Co (Pendergast) ESD #92	AZ	Series C (2018) School Improvement	GO	NEG	SOLE
03/07/19	38	Minnesota Housing Fin Agency	MN	2019 Series C Residential Hsg Finance	GO	NEG	CO-MGR
03/07/19	98	Minnesota Housing Fin Agency	MN	2019 Series B Residential Hsg Finance	GO	NEG	CO-MGR
03/07/19	8	Minnesota Housing Fin Agency	MN	2019 Series A Residential Hsg Finance	GO	NEG	CO-MGR
03/05/19	171	Brazoria Co (Alvin) ISD	TX	Series 2019 Unltd Tax Schoolhouse & Ref	GO	NEG	CO-MGR
02/28/19	57	Chester Co-Pennsylvania	PA	Series of 2019 General Obligation Bonds	GO	NEG	LEAD
02/27/19	27	Williamson Co (Franklin) SSD	TN	Series 2019 Ltd Tax School Improvement	GO	NEG	SOLE
02/26/19	53	Alameda Co (San Leandro) USD	CA	Series B-C General Obligation Bonds	GO	NEG	SOLE
02/26/19	3	York Center Fire Protection Dt	IL	Series 2019 General Obligation Bonds	GO	PVT	SOLE
02/22/19	20	Clatsop Co-Oregon	OR	Series 2019 General Obligation Bonds	GO	NEG	SOLE
02/18/19	1	Itasca Park Dt	IL	Series 2019 B Debt Certificates	GO	PVT	SOLE
02/18/19	2	Itasca Park Dt	IL	Series 2019 A GO Limited Tax Park Bonds	GO	PVT	SOLE
02/15/19	2	Illinois Comm College Dt #518	IL	Series 2019 B GO Refunding Comm Coll	GO	PVT	SOLE
02/15/19	1	Illinois Comm College Dt #518	IL	Series 2019 A GO Refunding Bonds	GO	NEG	SOLE
02/14/19	80	Sierra Joint Comm College Dt	CA	Series A General Obligation Bonds	GO	NEG	SOLE
02/14/19	1	Canyon Co (Middleton) SD #134	ID	Series 2019 General Obligation Bonds	GO	PVT	SOLE
02/14/19	9	Northbrook Park Dt	IL	Series 2019 GO Limited Tax Park Bonds	GO	NEG	SOLE
02/13/19	4	Wasco Co (Dufur) SD #29	OR	Series 2019 General Obligation Bonds	GO	NEG	SOLE
02/13/19	20	Oregon	OR	2019 Series C General Obligation Bonds	GO	NEG	CO-MGR
02/13/19	40	Oregon	OR	2019 Series B General Obligation Bonds	GO	NEG	CO-MGR
02/13/19	466	Oregon	OR	2019 Series A & D General Obligation	GO	NEG	CO-MGR
02/12/19	13	Marion Co (Woodburn) SD #103	OR	Series 2019 Full Faith & Credit & Ref Oblig	GO	NEG	SOLE
02/08/19	4	Greater Round Lake Fire Protect Dt	IL	Series 2019 General Obligation Bonds	GO	NEG	SOLE
02/07/19	2	Marion Co (Woodburn) SD #103	OR	Series 2019 General Obligation Bonds	GO	NEG	SOLE
02/07/19	30	Minnesota Housing Fin Agency	MN	2019 Series B Homeownership Finance	GO	NEG	CO-MGR
02/07/19	36	Minnesota Housing Fin Agency	MN	2019 Series A Homeownership Finance	GO	NEG	CO-MGR
02/06/19	143	San Jacinto Comm College Dt	TX	Series 2019 A & B Ltd Tax GO Building &	GO	NEG	CO-MGR
02/06/19	75	Brazoria Co (Pearland) ISD	TX	Series 2019 Unltd Tax School Building	GO	NEG	LEAD
02/05/19	5	Kenosha City-Wisconsin	WI	Seris 2019 A GO Promissory Notes	GO	NEG	SOLE
02/05/19	12	Bolingbrook Park Dt	IL	Series 2019 B GO Parks Bonds	GO	NEG	SOLE
02/05/19	2	Bolingbrook Park Dt	IL	Series 2019 A GO Parks Bonds	GO	NEG	SOLE
02/05/19	34	Kenosha City-Wisconsin	WI	Series 2019 A GO Promissory Notes	GO	NEG	SOLE
02/05/19	9	Kenosha City-Wisconsin	WI	Series 2019 GO Refunding Bonds	GO	NEG	SOLE
01/31/19	4	Bridgeport City-Connecticut	CT	2019 Series B General Obligation Bonds	GO	NEG	CO-MGR
01/31/19	34	Bridgeport City-Connecticut	CT	2019 Series A General Obligation Bonds	GO	NEG	CO-MGR
01/30/19	21	Warrenton-Hammond SD #30	OR	Series 2019 B General Obligation Bonds	GO	NEG	SOLE
01/30/19	17	Warrenton-Hammond SD #30	OR	Series 2019 A General Obligation Bonds	GO	NEG	SOLE
01/30/19	241	El Paso ISD	TX	Series 2019 Unlimited Tax School Bldg	GO	NEG	CO-MGR
01/29/19	98	Harris Co (Spring) ISD	TX	Series 2019 Unlimited Tax School Bldg	GO	NEG	CO-MGR
01/24/19	70	Marin Comm College Dt	CA	Series B General Obligation Bonds	GO	NEG	SOLE
01/24/19	98	Marin Comm College Dt	CA	Series 2019 B-1 General Obligation Bonds	GO	NEG	SOLE
01/24/19	33	Galveston City-Texas	TX	Series 2019 Comb Tax & Rev Certs of	GO	NEG	CO-MGR
01/24/19	49	Kerr Co (Kerrville) ISD	TX	Series 2019 Unltd Tax School Building	GO	NEG	CO-MGR
01/23/19	4	Merced Co (Loma Prieta) JUESD	CA	Series A General Obligation Bonds	GO	NEG	SOLE
01/23/19	250	Honolulu City & Co-Hawaii	HI	Series 2019 A & B General Obligation	GO	NEG	CO-MGR

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Sale Date	Par Size (MM's)	Issuer	State	Description	Security Type	Bid Type	Piper Role
01/23/19	18	Clatsop Co (Astoria) SD #1C	OR	Series 2019 General Obligation Bonds	GO	NEG	SOLE
01/23/19	52	Clatsop Co (Astoria) SD #1C	OR	Series 2019 General Obligation Bonds	GO	NEG	SOLE
01/23/19	68	Dallas ISD	TX	Series 2019 Unlimited Tax Refunding	GO	NEG	CO-MGR
01/22/19	5	Pflugerville City-Texas	TX	Series 2019 Ltd Tx & Comb Tx & Ltd Rev	GO	NEG	CO-MGR
01/22/19	19	Pflugerville City-Texas	TX	Series 2019 Ltd Tx & Comb Tx & Ltd Rev	GO	NEG	CO-MGR
01/17/19	48	Maricopa Co (Tempe) ESD #3	AZ	Series B (2019) School Improvement	GO	NEG	SOLE
01/17/19	46	Bell Co (Salado) ISD	TX	Series 2019 Unlimited Tax School Bldg	GO	NEG	CO-MGR
01/17/19	260	Harris Co (Cypress-Fairbanks) ISD	TX	Series 2019 Unlimited Tax Refunding	GO	NEG	CO-MGR
01/17/19	21	Woodland City-California	CA	Series 2019 Special Tax Bonds	GO	NEG	SOLE
01/16/19	1	North Central Educ Svc Dt #171	WA	Series 2019 Limited Tax GO Bonds	GO	PVT	SOLE
01/16/19	8	Sangamon (Rochester) CUSD #3A	IL	Series 2019 GO School Refunding Bonds	GO	PVT	SOLE
01/15/19	21	Tarrant Co (Birdville) ISD	TX	Series 2019 Unlimited Tax School Bldg	GO	NEG	CO-MGR
01/15/19	136	Tarrant Co (Birdville) ISD	TX	Series 2019 Unlimited Tax School Bldg	GO	NEG	CO-MGR
01/15/19	93	Williamson Co (Liberty Hill) ISD	TX	Series 2019 Unltd Tax School Building	GO	NEG	CO-MGR
01/10/19	22	Maricopa Co (Madison) ESD #38	AZ	Series C 2019 School Improvement Bonds	GO	NEG	SOLE
12/21/18	9	Coralville City-Iowa	IA	Series 2018 K General Obligation Bonds	GO	PVT	SOLE
12/21/18	7	Coralville City-Iowa	IA	Series 2018 J General Obligation Bonds	GO	PVT	SOLE
12/21/18	11	Coralville City-Iowa	IA	Series 2018 I General Obligation Bonds	GO	PVT	SOLE
12/21/18	12	Coralville City-Iowa	IA	Series 2018 F-2 General Obligation Bonds	GO	PVT	SOLE
12/21/18	3	Coralville City-Iowa	IA	Series 2018 F-1 General Obligation Bonds	GO	PVT	SOLE
12/20/18	10	Wheeling City-West Virginia	WV	Series 2018 Comb Wtrwrks & Sewerage	GO	PVT	SOLE
12/19/18	36	Grays Harbor Co Pub Hosp Dt #2	WA	Series 2018 Limited Tax GO & Refunding	GO	NEG	SOLE
12/19/18	1	Lake Bluff Park Dt	IL	Series 2018 Refunding Debt Certificates	GO	PVT	SOLE
12/14/18	4	Coralville City-Iowa	IA	Annual Appro GO Lease Purch COPs	GO	PVT	SOLE
12/13/18	25	Coralville City-Iowa	IA	Series 2018 E GO Annual Appropriation	GO	NEG	SOLE
12/13/18	6	Grays Co (Hoquiam) SD #28	WA	Series 2018 Unlimited Tax GO Bonds	GO	NEG	SOLE
12/12/18	7	Lewis Co (Toledo) SD #237	WA	Series 2018 Unlimited Tax GO Bonds	GO	NEG	SOLE
12/12/18	40	Montana Board of Housing	MT	2019 Series A Single Family Program	GO	NEG	CO-MGR
12/11/18	7	Clackamas Co (Oregon City) SD #62	OR	Series 2018 C GO & Refunding Bonds	GO	NEG	SOLE
12/11/18	128	Clackamas Co (Oregon City) SD #62	OR	Series 2018 B GO & Refunding Bonds	GO	NEG	SOLE
12/11/18	22	Clackamas Co (Oregon City) SD #62	OR	Series 2018 A GO & Refunding Bonds	GO	NEG	SOLE
12/06/18	53	Maricopa Co (Paradise Valley) USD #69	AZ	Series D (2018) School Improvement	GO	NEG	SOLE
12/06/18	8	Tillamook Co-Oregon	OR	Series 2018 General Obligation Bonds	GO	NEG	SOLE
12/04/18	110	Clackamas (No Clackamas) SD #12	OR	Series 2018 General Obligation Bonds	GO	NEG	SOLE
11/29/18	3	Arlington Heights Park Dt	IL	Series 2018 B Ref Debt Certificates	GO	PVT	SOLE
11/29/18	36	Oregon Education Dt	OR	Series 2018 Full Faith & Credit Pension	GO	NEG	SOLE
11/29/18	1	Reno Co-Kansas	KS	Series 2018 General Obligation Bonds	GO	NEG	SOLE
11/28/18	1	Riley Co (Manhattan) USD #383	KS	Series 2018 B General Obligation Bonds	GO	NEG	SOLE
11/28/18	130	Riley Co (Manhattan) USD #383	KS	Series 2018 A General Obligation Bonds	GO	NEG	SOLE
11/28/18	95	Snohomish (Stanwood) SD #401	WA	Series 2018 Unlimited Tax GO Bonds	GO	NEG	SOLE
11/28/18	7	Weston Town-Connecticut	CT	Issue of 2018 Ser B GO Refunding Bonds	GO	NEG	SOLE
11/28/18	3	Weston Town-Connecticut	CT	Issue of 2018 Ser A GO Refunding Bonds	GO	NEG	SOLE
11/27/18	102	King Co Public Hospital Dt #1	WA	Series 2018 Ltd Tax GO & Refunding	GO	NEG	SOLE
11/20/18	1	Niles Park Dt	IL	Series 2018 GO Ltd Park Bonds	GO	PVT	SOLE
11/15/18	7	Hampton Twp-Pennsylvania	PA	Series of 2018 General Obligation Bonds	GO	NEG	SOLE
11/15/18	5	Arlington Heights Park Dt	IL	Series 2018 A GO Limited Tax Park Bonds	GO	NEG	SOLE
11/15/18	3	Bear Lake Co-Idaho	ID	Series 2018 General Obligation Bonds	GO	NEG	SOLE
11/15/18	4	Dundee Twp Park Dt	IL	Series 2018 GO Alt Rev Source Ref Pk	GO	PVT	SOLE
11/14/18	2	Ohio Twp-Pennsylvania	PA	Series of 2018 General Obligation Bonds	GO	NEG	SOLE
11/14/18	1	Iowa Central Comm College	IA	Series 2018-2 GO Plant Fund Capital Loan	GO	NEG	SOLE
11/14/18	3	Hinckley-Big Rock CUSD #429	IL	Series 2018 GO Refunding School Bonds	GO	PVT	SOLE
11/12/18	2	Addison Park Dt	IL	Series 2018 GO Ltd Tax Park Bonds	GO	PVT	SOLE

Piper Jaffray General Obligation Negotiated Underwriting Experience

Sale Date	Par Size (MM's)	Issuer	State	Description	Security Type	Bid Type	Piper Role
11/09/18	2	Johnson Co (Spring Hill) USD #230	KS	Series 2018-B GO Refunding Bonds	GO	NEG	SOLE
11/09/18	72	Johnson Co (Spring Hill) USD #230	KS	Series 2018 A General Obligation Bonds	GO	NEG	SOLE
11/08/18	3	Fairview City-Oregon	OR	Series 2018 Full Faith & Credit Fin	GO	PVT	SOLE
11/08/18	52	Leavenworth Co (Basehor-Linwood) USD #458	KS	Series 2018 General Obligation Bonds	GO	NEG	SOLE
11/06/18	5	Canyon Co (Parma) Sd 137	ID	Series 2018 General Obligation Bonds	GO	NEG	SOLE
11/06/18	1	Pleasant Prairie Vlg-Wisconsin	WI	Series 2018 GO Promissory Notes	GO	NEG	SOLE
11/06/18	172	Portland Community College Dt	OR	Series 2018 Full Faith & Credit Pension	GO	NEG	SOLE
11/01/18	8	Everett Public Facilities Dt	WA	Series 2018 B Limited GO Refunding	GO	NEG	SOLE
11/01/18	12	Everett Public Facilities Dt	WA	Series 2018 A Limited GO Refunding	GO	NEG	SOLE
10/31/18	10	Naperville Park Dt	IL	Series 2018 B GO Limited Tax Park Bonds	GO	NEG	SOLE
10/31/18	1	Naperville Park Dt	IL	Series 2018 A GO Limited Tax Park Bonds	GO	NEG	SOLE
10/31/18	2	Wilmette Park Dt	IL	Series 2018 A GO Limited Park Bonds	GO	PVT	SOLE
10/31/18	15	Rockwall ISD	TX	Series 2018 Unltd Tax School Building	GO	NEG	CO-MGR
10/31/18	27	Rockwall ISD	TX	Series 2018 Unltd Tax School Building	GO	NEG	CO-MGR
10/30/18	1	Yuba Co (Marysville) JUSD	CA	Series 2018 General Obligation Bonds	GO	NEG	SOLE
10/30/18	12	Yuba Co (Marysville) JUSD	CA	Series 2018 General Obligation Bonds	GO	NEG	SOLE
10/30/18	9	Galveston Co (Santa Fe) ISD	TX	Series 2018 Unlimited Tax Refunding	GO	NEG	CO-MGR
10/25/18	16	North Strabane Twp-Pennsylvania	PA	Series of 2018 General Obligation Bonds	GO	NEG	SOLE
10/24/18	1	Johnson Co Fire Protection Dt	MO	Series 2018 General Obligation Bonds	GO	PVT	SOLE
10/24/18	59	King Co (Highline) SD #401	WA	Series 2018 Unlimited Tax GO Bonds	GO	NEG	SOLE
10/23/18	6	Johnson (Warrensburg) SD #R-6	MO	Series 2018 GO Refunding Bonds	GO	NEG	SOLE
10/18/18	189	Mississippi	MS	Series 2018 A General Obligation Bonds	GO	NEG	LEAD
10/18/18	26	Chambers Co (Barbers Hill) ISD	TX	Series 2018 Unlimited Tax Refunding	GO	NEG	SOLE
10/17/18	5	Jefferson Co-Illinois	IL	Series 2018 GO Alt Rev Source Ref Bonds	GO	PVT	SOLE
10/16/18	4	Kenosha City-Wisconsin	WI	Series 2018 C GO Promissory Notes	GO	NEG	SOLE
10/16/18	5	Kenosha City-Wisconsin	WI	Series 2018 GO Promissory Notes	GO	NEG	SOLE
10/11/18	422	Maricopa Co Spec Health Care Dt	AZ	Series C 2018 General Obligation Bonds	GO	NEG	CO-MGR
10/11/18	5	Fresno Co (Kerman) USD	CA	Series 2018 General Obligation Bonds	GO	NEG	SOLE
10/11/18	45	Grant Co (Moses Lake) SD #161	WA	Series 2018 Unlimited Tax GO Bonds	GO	NEG	SOLE
10/11/18	72	King Co (Kent) SD #415	WA	Series 2018 General Obligation Bonds	GO	NEG	LEAD
10/03/18	110	Clark Co (Vancouver) SD #37	WA	Series 2018 Unlimited Tax GO Bonds	GO	NEG	LEAD
10/02/18	1	Woodridge Park Dt	IL	Series 2018 Limited Park Bonds	GO	PVT	SOLE
10/01/18	1	Lockport Twp Park Dt	IL	Series 2018 GO Limited Tax Park Bonds	GO	PVT	SOLE
09/28/18	6	North Kingstown Town-Rhode Island	RI	2018 Series A GO Refunding Bonds	GO	NEG	SOLE
09/26/18	35	Collin Co (Melissa) ISD	TX	Series 2018 Unltd Tax School Building	GO	NEG	LEAD
09/25/18	6	McHenry Co (Huntley) CSD #158	IL	Series 2018 GO Refunding School Bonds	GO	NEG	SOLE
09/21/18	5	Denton Co (Pilot Point) ISD	TX	Series 2018 Unlimited Tax Refunding	GO	NEG	SOLE
09/21/18	31	King Co (Tukwila) SD #406	WA	Series 2018 General Obligation Bonds	GO	NEG	SOLE
09/18/18	4	Ludlow Town-Massachusetts	MA	Series of 2018 Unltd Tax GO Muni Purp	GO	NEG	SOLE
09/18/18	1	Thornton Village-Illinois	IL	Series 2018 GO Refunding Bonds	GO	PVT	SOLE
09/18/18	34	Pasadena City-Texas	TX	Series 2018 Comb Tax & Revenue Certs	GO	NEG	CO-MGR
09/14/18	2	South Hutchinson City-Kansas	KS	Series 2018 General Obligation Bonds	GO	NEG	SOLE
09/13/18	2	Highpointe Park Metropolitan Dt	CO	Series 2018 GO Refunding & Improvement	GO	PVT	SOLE
09/11/18	86	Oklahoma City Economic Dev Trust	OK	Series 2018 Annual Appropriation Bonds	GO	NEG	CO-MGR
09/10/18	46	Snohomish Co (Everett) SD #2	WA	Series 2018 Unlimited Tax GO Bonds	GO	NEG	CO-MGR
09/06/18	56	Riverside Co (Moreno Valley) USD	CA	Series B General Obligation Bonds	GO	NEG	SOLE
09/05/18	10	Madison (Newman Grove) CSD #13	NE	Series 2018 GO School Building Bonds	GO	NEG	SOLE
09/04/18	1	Rockwall Co (Royse) ISD	TX	Series 2018 Unlimited Tax School Bldg	GO	NEG	CO-MGR
09/04/18	24	Rockwall Co (Royse) ISD	TX	Series 2018 Unlimited Tax School Bldg	GO	NEG	CO-MGR
08/30/18	7	North Lincoln Fire & Rescue Dt #1	OR	Series 2018 General Obligation Bonds	GO	NEG	SOLE
08/29/18	3	Port of Alsea-Oregon	OR	Series 2018 General Obligation Bonds	GO	NEG	SOLE
08/29/18	727	Massachusetts	MA	2018 of Series E & C GO Consolidated	GO	NEG	CO-MGR

Piper Jaffray General Obligation Negotiated Underwriting Experience

Sale Date	Par Size (MM's)	Issuer	State	Description	Security Type	Bid Type	Piper Role
08/28/18	4	Maple Valley-Washington	WA	Series 2018 Limited Tax GO Bonds	GO	NEG	SOLE
08/28/18	298	Texas Public Finance Auth (TPFA)	TX	Series 2018 GO & Refunding Bonds	GO	NEG	LEAD
08/27/18		Ellsworth City-Kansas	KS	Series 2018 GO Temporary Notes	GO	PVT	SOLE
08/24/18	3	Minnesota Tax & Aid Borrow Program	MN	Series 2018 A Certs of Participation Aid	GO	NEG	SOLE
08/24/18	1	Baxter Springs City-Kansas	KS	Series 2018 General Obligation Bonds	GO	NEG	SOLE
08/22/18	15	Rockland Co Solid Waste Mgmt Auth	NY	Series 2018 A GO Refunding Bonds	GO	NEG	SOLE
08/21/18	4	Banks Fire Dt #13	OR	Series 2018 General Obligation Bonds	GO	NEG	SOLE
08/21/18	10	Hartley-Melvin Sanborn CSD	IA	Series 2018 GO School Bonds	GO	NEG	SOLE
08/16/18	239	Connecticut	CT	2018 Series F GO Refunding Bonds	GO	NEG	CO-MGR
08/16/18	254	Connecticut	CT	2018 Series E-4 General Obligation Bonds	GO	NEG	CO-MGR
08/16/18	26	Connecticut	CT	2018 Series E-3 General Obligation Bonds	GO	NEG	CO-MGR
08/16/18	75	Connecticut	CT	2018 Series E-2 General Obligation Bonds	GO	NEG	CO-MGR
08/16/18	45	Connecticut	CT	2018 Series E-1 General Obligation Bonds	GO	NEG	CO-MGR
08/16/18	45	Connecticut	CT	2018 Series A-2 General Obligation Bonds	GO	NEG	CO-MGR
08/16/18	205	Connecticut	CT	2018 Series A-1 General Obligation Bonds	GO	NEG	CO-MGR
08/15/18	185	Honolulu City & Co-Hawaii	HI	Series 2018 E & F General Obligation	GO	NEG	CO-MGR
08/15/18	5	Honolulu City & Co-Hawaii	HI	Series 2018 C & D General Obligation	GO	NEG	CO-MGR
08/15/18	279	Honolulu City & Co-Hawaii	HI	Series 2018 A & B General Obligation	GO	NEG	CO-MGR
08/14/18	50	El Camino Community College Dt	CA	Series 2018 B General Obligation Bonds	GO	NEG	LEAD
08/14/18	44	Whatcom Co (Bellingham) SD #501	WA	Series 2018 Unlimited Tax GO Bonds	GO	NEG	SOLE
08/14/18	75	Denton Co ISD	TX	Series 2018 Unltd Tax School Building	GO	NEG	LEAD
08/14/18	325	Denton Co ISD	TX	Series 2018 Unltd Tax School Building	GO	NEG	LEAD
08/14/18	29	Lubbock Co (Lubbock-Cooper) ISD	TX	Series 2018 Unltd Tax School Building	GO	NEG	LEAD
08/13/18	14	Scott Co (Pleasant Valley) CSD	IA	Series 2018 Infra Sales Svcs & Use Tx	GO	PVT	SOLE
08/09/18	12	Riverside Co (Beaumont) USD	CA	Series E General Obligation Bonds	GO	NEG	SOLE
08/09/18	26	Riverside Co (Beaumont) USD	CA	2018Series C GO Refunding Bonds	GO	NEG	SOLE
08/08/18	24	Tualatin City-Oregon	OR	Series 2018 GO & Refunding Bonds	GO	NEG	SOLE
08/07/18	7	Linn Co (Harrisburg) SD #7	OR	Series 2018 B General Obligation Bonds	GO	NEG	SOLE
08/07/18	2	Linn Co (Harrisburg) SD #7	OR	Series 2018 A General Obligation Bonds	GO	NEG	SOLE
08/07/18		Johnson Co-Missouri	MO	Series 2018 Neighborhood Imp District	GO	PVT	SOLE
08/02/18	23	Tillamook (Nestucca Vly) JSD #101	OR	Series 2018 B General Obligation Bonds	GO	NEG	SOLE
08/02/18	3	Tillamook (Nestucca Vly) JSD #101	OR	Series 2018 A General Obligation Bonds	GO	NEG	SOLE
08/01/18	10	Imperial Community College Dt	CA	Series 2018 C General Obligation Bonds	GO	NEG	SOLE
08/01/18	22	Imperial Community College Dt	CA	Series 2018 C General Obligation Bonds	GO	NEG	SOLE
07/31/18	3	Gladstone-Oregon	OR	Series 2018 Full Faith & Credit Fin	GO	PVT	SOLE
07/26/18	24	Denton Co (Little Elm) ISD	TX	Series 2018 A Unltd Tax School Building	GO	NEG	CO-MGR
07/24/18	115	Harris Co (Humble) ISD	TX	Series 2018 Unltd Tax School Building	GO	NEG	CO-MGR
07/18/18	117	Benton Co (Corvallis) SD #509-J	OR	Series 2018 B General Obligation Bonds	GO	NEG	SOLE
07/18/18	43	Benton Co (Corvallis) SD #509-J	OR	Series 2018 A General Obligation Bonds	GO	NEG	SOLE
07/17/18	10	Flower Mound Town-Texas	TX	Series 2018 A Certificates of Obligation	GO	NEG	LEAD
07/17/18	3	DuPage Co (York) CUSD #205	IL	Series 2018 Refunding Debt Certificates	GO	PVT	SOLE
07/12/18	2	Dubuque Co-Iowa	IA	Series 2018 B General Obligation Project	GO	PVT	SOLE
07/12/18	3	Millersburg City-Oregon	OR	Series 2018 Full Faith & Credit Ref Oblig	GO	PVT	SOLE
07/12/18	5	Pacific Co (South Bend) SD #118	WA	Series 2018 Unlimited Tax GO Bonds	GO	NEG	SOLE
07/12/18	148	Harris Co (Aldine) ISD	TX	Series 2018 Unltd Tax School Building	GO	NEG	LEAD
07/12/18	50	Montana Board of Housing	MT	2018 Series B Single Family Mortgage	GO	NEG	CO-MGR
07/11/18	2	Washington Co (Charleroi) ASD	PA	Series of 2018 General Obligation Bonds	GO	NEG	SOLE
07/11/18	3	Kittitas Co Public Hosp Dt #1	WA	Series 2018 Limited Tax GO & Refunding	GO	PVT	SOLE
07/11/18	383	Marion Co (Salem-Keizer) SD #24-J	OR	Series 2018 General Obligation Bonds	GO	NEG	SOLE
07/11/18	48	Texas Water Development Board	TX	Ser 18B Sub B2 GO Wtr Fin Assistance	GO	NEG	LEAD
07/11/18	191	Texas Water Development Board	TX	Ser 18B Sub B1 & B3 GO Wtr Fin	GO	NEG	LEAD
06/29/18	5	Sangamon (Rochester) CUSD #3A	IL	Series 2018 GO School Refunding Bonds	GO	PVT	SOLE

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Sale Date	Par Size (MM's)	Issuer	State	Description	Security Type	Bid Type	Piper Role
06/28/18	3	Whatcom Co (Mount Baker) SD #507	WA	Series WA Ltd Tax General Obligation	GO	PVT	SOLE
06/28/18	3	Newport City-Oregon	OR	Series 2018 Full Faith & Credit Fin Agency	GO	PVT	SOLE
06/28/18	3	Madera Co (Yosemite) USD	CA	2018-2019 Series A TRANS	GO	NEG	SOLE
06/27/18	153	King Co (Northshore) SD #417	WA	Series 2018 Unlimited Tax GO Bonds	GO	NEG	SOLE
06/27/18	113	Fort Bend Co (Lamar) CISD	TX	Series 2018 Unltd Tax Schoolhouse	GO	NEG	CO-MGR
06/27/18	176	Fort Bend Co (Lamar) CISD	TX	Series 2018 Unltd Tax Schoolhouse	GO	NEG	CO-MGR
06/27/18	12	Rio Vista City-California	CA	Series 2018 Special Tax Bonds	GO	NEG	CO-MGR
06/26/18	18	Bowie Co (Pleasant Grove) ISD	TX	Series 2018 Unltd Tax School Building	GO	NEG	LEAD
06/26/18	41	Bexar Co (San Antonio) ISD	TX	Series 2014 B Unlimited Tax Refunding	GO	NEG	CO-MGR
06/26/18	127	California Sch Cash Reserve Auth	CA	2018-2019 Series A-C TRANS	GO	NEG	SOLE
06/20/18	22	Los Angeles Co (Claremont) USD	CA	Series B General Obligation Bonds	GO	NEG	SOLE
06/20/18	6	Navarro Co (Rice) ISD	TX	Series 2018 Unltd Tax School Bldg Bonds	GO	NEG	SOLE
06/20/18	24	Bridgeport City-Connecticut	CT	2018 Series C General Obligation Bonds	GO	NEG	CO-MGR
06/20/18	208	Grossmont-Cuyamaca Comm Coll Dt	CA	2018 & Series B GO & Refunding Bonds	GO	NEG	CO-MGR
06/19/18	177	Port of Houston Auth	TX	Series 2018 A Unlimited Tax Refunding	GO	NEG	CO-MGR
06/18/18	6	Grayson Co (Howe) ISD	TX	Series 2018 Unltd Tax School Building	GO	NEG	CO-MGR
06/18/18	10	Grayson Co (Howe) ISD	TX	Series 2018 Unltd Tax School Building	GO	NEG	CO-MGR
06/15/18	11	Whitman Co Public Hosp Dt #1A	WA	Series 2018 Limited Tax GO & Rev Ref	GO	PVT	SOLE
06/14/18	15	Williamson Co (Leander) ISD	TX	Series 2018 A Unltd Tax School Building	GO	NEG	LEAD
06/14/18	58	Williamson Co (Leander) ISD	TX	Series 2018 A Unltd Tax School Building	GO	NEG	LEAD
06/13/18	15	Monroe Town-Connecticut	CT	Series A & B of 2018 GO & Refunding	GO	NEG	SOLE
06/13/18	87	King Co (Issaquah) SD #411	WA	Series 2018 Unltd Tx General Obligation	GO	NEG	SOLE
06/11/18	15	Skagit Co Public Hospital Dt #2	WA	Series 2018 Limited Tax GO Bonds	GO	PVT	SOLE
06/07/18	175	Harris Co (Houston) ISD	TX	Series 2018 Maintenance Tax Notes	GO	NEG	CO-MGR
06/07/18	25	Minnesota Housing Fin Agency	MN	2018 Series C Residential Housing	GO	NEG	CO-MGR
06/07/18	44	Minnesota Housing Fin Agency	MN	2018 Series B Residential Housing	GO	NEG	CO-MGR
06/07/18	29	Minnesota Housing Fin Agency	MN	2018 Series A Residential Housing	GO	NEG	CO-MGR
06/06/18		Lake Winnebago City-Missouri	MO	Series 2018 General Obligation Bonds	GO	PVT	SOLE
06/06/18	80	Cleveland City-Ohio	OH	Series 2018 Various Purpose GO Bonds	GO	NEG	CO-MGR
06/05/18	30	Garland City-Texas	TX	Series 2018 Comb Tax & Rev Certs of	GO	NEG	CO-MGR
06/04/18	2	Douglas Co (Eastmont) SD #206	WA	Seris 2018 Limited GO Bonds	GO	PVT	SOLE
06/01/18	12	Coffeyville City-Kansas	KS	Series 2018-A GO Electric Utility Sys Ref	GO	NEG	SOLE
06/01/18	10	Grimes City-Iowa	IA	Series 2018 A GO Corporate Purpose	GO	NEG	SOLE
05/31/18	85	Ada Co (West Ada) JSD #2	ID	Series 2018 A & B General Obligation	GO	NEG	SOLE
05/31/18	17	Whitman Co (Colfax) SD #300	WA	Series 2018 General Obligation Bonds	GO	NEG	SOLE
05/30/18	25	Maricopa Co (Roosevelt) ESD #66	AZ	Series A & 2018 School Improvement &	GO	NEG	CO-MGR
05/30/18	6	Denton Co (Northwest) ISD	TX	Series 2018 Unltd Tax School Building	GO	NEG	CO-MGR
05/30/18	89	Denton Co (Northwest) ISD	TX	Series 2018 Unltd Tax School Building	GO	NEG	CO-MGR
05/24/18	27	Jefferson Co-Alabama	AL	Series 2018-B GO Refunding Warrants	GO	NEG	CO-MGR
05/24/18	111	Jefferson Co-Alabama	AL	Series 2018-A GO Refunding Warrants	GO	NEG	CO-MGR
05/24/18	42	Clark Co (La Center) SD #101	WA	Series 2018 Unlimited Tax GO Bonds	GO	NEG	SOLE
05/24/18	13	Folsom Ranch Financing Auth	CA	Series 2018 Special Tax Revenue Bonds	GO	NEG	SOLE
05/23/18	7	Ridgefield City-Washington	WA	Series 2018 Limited Tax GO Bonds	GO	NEG	SOLE
05/22/18	84	West Virginia	WV	Series 2018 A GO State Road Bonds	GO	NEG	CO-MGR
05/22/18	84	West Virginia	WV	Series 2018 A GO State Road Bonds	GO	NEG	CO-MGR
05/18/18	38	Fresno Co USD	CA	Series A General Obligation Bonds	GO	NEG	LEAD
05/18/18	39	Fresno Co USD	CA	Series A General Obligation Bonds	GO	NEG	LEAD
05/16/18	57	Cowlitz Co (Kalama) SD #402	WA	Series 2018 Unltd Tx General Obligation	GO	NEG	SOLE
05/16/18	22	San Diego Co (San Dieguito) UHSD	CA	2018 Series D-2 General Obligation Bonds	GO	NEG	SOLE
05/16/18	3	San Diego Co (San Dieguito) UHSD	CA	2018 Series D-1 General Obligation Bonds	GO	NEG	SOLE
05/15/18	4	San Jose-Evergreen Comm Coll Dt	CA	Series A General Obligation Bonds	GO	NEG	SOLE
05/15/18	35	San Jose-Evergreen Comm Coll Dt	CA	Series A General Obligation Bonds	GO	NEG	SOLE

Piper Jaffray General Obligation Negotiated Underwriting Experience

Sale Date	Par Size (MM's)	Issuer	State	Description	Security Type	Bid Type	Piper Role
05/15/18	31	Bonneville-Bingham Cos JSD #93	ID	Series 2018 General Obligation Bonds	GO	NEG	SOLE
05/15/18	11	Mountlake Terrace City-Washington	WA	Series 2018 Unlimited Tax GO Bonds	GO	NEG	SOLE
05/15/18	132	Harris Co (Spring Branch) ISD	TX	Series 2018 Schoolhouse Bonds	GO	NEG	CO-MGR
05/15/18	46	San Jose-Evergreen Comm Coll Dt	CA	2018 Series A-1 General Obligation Bonds	GO	NEG	SOLE
05/14/18	1	Fairfield City-Iowa	IA	Series 2018 GO Capital Loan Notes	GO	PVT	SOLE
05/11/18	4	Summit Co-Utah	UT	Series 2018 B Special Assessment Bonds	GO	PVT	SOLE
05/11/18	22	Summit Co-Utah	UT	Series 2018 A Special Assessment Bonds	GO	PVT	SOLE
05/10/18	83	Bexar Co (Alamo Heights) ISD	TX	Series 2018 A Unlimited Tax School Bldg	GO	NEG	LEAD
05/09/18	28	Maricopa Co (Gilbert) USD #41	AZ	Series B 2018 GO School Improvement	GO	NEG	CO-MGR
05/09/18	10	Orange Co (Buena Park) SD	CA	Series 2018 General Obligation Bonds	GO	NEG	SOLE
05/09/18	15	Oregon City-Oregon	OR	Series 2018 Full Faith & Credit Obligations	GO	NEG	SOLE
05/09/18	202	Bexar Co (Northside) ISD	TX	Series 2018 Unlimited Tax Refunding	GO	NEG	CO-MGR
05/09/18	30	Oregon	OR	2018 Series C & D General Obligation	GO	NEG	CO-MGR
05/09/18	40	Oregon	OR	2018 Series B General Obligation Bonds	GO	NEG	CO-MGR
05/09/18	70	Oregon	OR	2018 Series A General Obligation Bonds	GO	NEG	CO-MGR
05/08/18	6	Iowa Higher Ed Loan Authority	IA	Series 2018 A RANs	GO	NEG	SOLE
05/03/18	2	Clallam Co (Sequim) SD #323	WA	Series 2018 Limited GO Bonds	GO	PVT	SOLE
05/02/18	6	Wamego City-Kansas	KS	Series 2018-A GO Refunding	GO	NEG	SOLE
05/02/18	2	Wamego City-Kansas	KS	Series 2018-1 GO Temporary Notes	GO	NEG	SOLE
05/02/18	1	Genesee City-Idaho	ID	Series 2018 General Obligation Bonds	GO	PVT	SOLE
05/02/18	3	Genesee Rural Fire Dt	ID	Series 2018 General Obligation Bonds	GO	PVT	SOLE
05/02/18	3	Nine Mile Falls SD #325-179	WA	Series 2018 Limited GO Bonds	GO	PVT	SOLE
05/01/18	2	Lane Co (Bethel) SD #52	OR	Series 2018 Full Faith & Credit Fin	GO	PVT	SOLE
05/01/18	133	Fort Bend ISD	TX	Series 2018 Unlimited Tax Refunding	GO	NEG	LEAD
05/01/18	9	Denton Co (Lewisville) ISD	TX	Series 2018 Unlimited Tax Refunding	GO	NEG	CO-MGR
04/26/18	30	Chester Co-Pennsylvania	PA	Series of 2018 General Obligation Bonds	GO	NEG	LEAD
04/26/18	2	Keizer City-Oregon	OR	Series 2018 Full Faith & Credit Obligations	GO	PVT	SOLE
04/26/18	27	Collin Co (Anna) ISD	TX	Series 2018 Unltd Tax School Building	GO	NEG	LEAD
04/26/18	10	Connecticut Regional SD #5	CT	Issue of 2018 GO Refunding Bonds	GO	NEG	SOLE
04/26/18	81	West Contra Costa USD	CA	2018 Series GO Refunding Bonds	GO	NEG	CO-MGR
04/19/18	276	University of Connecticut	CT	2018 Series A General Obligation Bonds	GO	NEG	CO-MGR
04/18/18	2	Guthrie Co (Panorama) CSD	IA	Series 2018 A B C GO School Refunding	GO	PVT	SOLE
04/17/18	148	Maricopa Co (Phoenix) UHSD #210	AZ	Series 2018 School Improvement Bonds	GO	NEG	CO-MGR
04/17/18	2147	California	CA	Various GO & Refunding Bonds	GO	NEG	CO-MGR
04/13/18	5	Aspen Grove Business Imp Dt	CO	Series 2018 Limited Tax GO Refunding	GO	PVT	SOLE
04/12/18	20	Minnesota Housing Fin Agency	MN	2018 Series D Homeownership Finance	GO	NEG	CO-MGR
04/12/18	30	Minnesota Housing Fin Agency	MN	2018 Series C Homeownership Finance	GO	NEG	CO-MGR
04/11/18	10	Allegheny Co (Hampton) TSD	PA	Series A & B of 2018 General Obligation	GO	NEG	SOLE
04/09/18	1	Dallas Co (Adel-DeSoto) CSD	IA	Series 2018 GO School Capital Loan	GO	PVT	SOLE
04/09/18	3	Lyon Co (West Lyon) CSD	IA	Series 2018 GO School Bonds	GO	PVT	SOLE
04/05/18	28	Union Co-Ohio	OH	Series 2018 General Obligation Bonds	GO	NEG	SOLE
04/04/18	40	Coos Co (Coos Bay) SD #9	OR	Series 2018 General Obligation Bonds	GO	NEG	SOLE
04/03/18	10	Kenosha City-Wisconsin	WI	Series 2018 B GO Promissory Notes	GO	NEG	SOLE
04/03/18	15	Kenosha City-Wisconsin	WI	Series 2018 A GO Promissory Notes	GO	NEG	SOLE
04/03/18	1	Palatine Park Dt	IL	Series 2018 Limited Tax Park Bonds	GO	PVT	SOLE
04/03/18	2	Roscoe Village-Illinois	IL	Series 2018 GO Ref Alt Telecom Tx Alt	GO	PVT	SOLE
04/03/18	135	Denton Co (Little Elm) ISD	TX	Series 2018 Unlimited Tax School Bldg	GO	NEG	CO-MGR
04/02/18	8	Burlington City-Iowa	IA	Series 2018 A GO Corporate Purpose	GO	NEG	SOLE
03/29/18	3	Newberg City-Oregon	OR	Series 2018 B Full Faith & Credit	GO	PVT	SOLE
03/29/18		Newberg City-Oregon	OR	Series 2018 A Full Faith & Credit	GO	PVT	SOLE
03/28/18	4	Mills Co (Glenwood) CSD	IA	Series 2018 GO School Capital Loan	GO	NEG	SOLE
03/28/18	51	Chambers Co (Barbers Hill) ISD	TX	Series 2018 Unlimited Tax School Bldg	GO	NEG	LEAD

Piper Jaffray General Obligation Negotiated Underwriting Experience

Sale Date	Par Size (MM's)	Issuer	State	Description	Security Type	Bid Type	Piper Role
03/28/18	276	Connecticut	CT	2018 Series B GO Refunding Bonds	GO	NEG	CO-MGR
03/28/18	15	Connecticut	CT	2018 Series A-4 General Obligation Bonds	GO	NEG	CO-MGR
03/28/18	16	Connecticut	CT	2018 Series A-3 General Obligation Bonds	GO	NEG	CO-MGR
03/28/18	177	Connecticut	CT	2018 Series A-2 General Obligation Bonds	GO	NEG	CO-MGR
03/28/18	42	Connecticut	CT	2018 Series A-1 General Obligation Bonds	GO	NEG	CO-MGR
03/27/18	177	Collin Co (Prosper) ISD	TX	Series 2018 Unltd Tax School Bldg Bonds	GO	NEG	LEAD
03/21/18	7	Pendleton City-Oregon	OR	Series 2018 Full Faith & Credit Ref Bonds	GO	PVT	SOLE
03/20/18	185	Portland Community College Dt	OR	Series 2018 General Obligation Bonds	GO	NEG	SOLE
03/14/18	10	Indiana Co (Armstrong) SD	PA	Series of 2018 General Obligation Bonds	GO	NEG	SOLE
03/14/18	89	Franklin Co (Pasco) SD #1	WA	Series 2018 Unlimited Tax GO Bonds	GO	NEG	SOLE
03/13/18	10	Pend Oreille Co Pub Hosp Dt #1	WA	Series 2018 Unlimited Tax GO Bonds	GO	NEG	SOLE
03/07/18	20	Jackson Co (Phoenix-Talent) SD #4	OR	Series 2018 B General Obligation Bonds	GO	NEG	SOLE
03/07/18	48	Jackson Co (Phoenix-Talent) SD #4	OR	Series 2018 A General Obligation Bonds	GO	NEG	SOLE
03/06/18	155	Galveston Co (Clear Creek) ISD	TX	Series 2018 Unltd Tax School Building	GO	NEG	CO-MGR
03/05/18	109	Travis Co (Lake Travis) ISD	TX	Series 2018 A Unlimited Tax School Bldg	GO	NEG	CO-MGR
03/05/18	1	Marion Co (Knoxville) CSD	IA	Series 2018 GO School Capital Loan	GO	PVT	SOLE
03/01/18	14	Monterey Co (Salinas) ESD	CA	Series C General Obligation Bonds	GO	NEG	LEAD
03/01/18	1	Lisle Park Dt	IL	Series 2018 A Limited Park Bonds	GO	PVT	SOLE
03/01/18	2	Elk Grove Park Dt	IL	Series 2018 Limited Tax Park Bonds	GO	PVT	SOLE
03/01/18	13	Monterey Co (Salinas) ESD	CA	2018 Series A & C GO & Refunding Bonds	GO	NEG	LEAD
03/01/18	12	Monterey Co (Salinas) ESD	CA	2018 Series A GO Refunding Bonds	GO	NEG	LEAD
02/22/18	6	Lane Co (Oakridge) SD #76	OR	Series 2018 General Obligation Bonds	GO	NEG	SOLE
02/15/18	5	Troutdale-Oregon	OR	Series 2018 Full Faith & Credit Obligations	GO	NEG	SOLE
02/14/18	4	Lane Co (Lowell) SD #71	OR	Series 2018 General Obligation Bonds	GO	NEG	SOLE
02/06/18	27	Marion Co (No Marion) SD #15	OR	Series 2018 B General Obligation Bonds	GO	NEG	SOLE
02/06/18	15	Marion Co (No Marion) SD #15	OR	Series 2018 A General Obligation Bonds	GO	NEG	SOLE
02/06/18	26	Harris Co (Deer Park) ISD	TX	Series 2018 Unltd Tax School Building	GO	NEG	LEAD
01/31/18	17	Sonoma Co (Rincon Vly) USD	CA	Series B General Obligation Bonds	GO	NEG	SOLE
01/30/18	24	Washington (Sherwood) SD #88J	OR	Series 2018 B General Obligation Bonds	GO	NEG	SOLE
01/30/18	95	Washington (Sherwood) SD #88J	OR	Series 2018 A General Obligation Bonds	GO	NEG	SOLE
01/25/18	5	Carroll CSD	IA	Series 2018 GO School Capital Loan	GO	NEG	SOLE
01/25/18	1	Golf Maine Park Dt	IL	Series 2018 GO Limited Tax Park Bonds	GO	PVT	SOLE
01/17/18	120	Harris Co (Pasadena) ISD	TX	Series 2018 Unltd Tax School Building	GO	NEG	LEAD
01/11/18	133	Harris Co (Tomball) ISD	TX	Series 2018 Unltd Tax School Building	GO	NEG	CO-MGR
01/10/18	10	Mercer Co (Farrell) ASD	PA	Series of 2018 General Obligation Bonds	GO	NEG	SOLE
01/10/18	61	Texas Water Development Board	TX	Series 2018 A Wtr Financial Assist & Ref	GO	NEG	CO-MGR
12/29/17	7	Prineville-Oregon	OR	Series 2017 Full Faith & Credit Ref Fin	GO	PVT	SOLE
12/28/17	4	Cherokee Co (Galena) USD #499	KS	Series 2017 GO Refunding Bonds	GO	PVT	SOLE
12/28/17	40	Virginia Small Business Fin Auth	VA	Series 2017 Qual Energy Conservation	GO	PVT	SOLE
12/20/17	37	Wyandotte Co/Kansas City Uni Gov Pub Bldg	KS	Series 2017 D GO Refunding Bonds	GO	NEG	SOLE
12/19/17	1	Center Point City-Alabama	AL	Series 2017-B General Obligation	GO	NEG	SOLE
12/19/17	1	Center Point City-Alabama	AL	Series 2017-A General Obligation	GO	NEG	SOLE
12/19/17	49	Pima Co (Tucson) USD #1	AZ	Series 2017 Refunding Bonds	GO	NEG	CO-MGR
12/18/17	3	McHenry Co (Cary) CCSD #26	IL	Series 2017 C Ceht Certificates	GO	PVT	SOLE
12/18/17	19	Lafayette Co (Oxford) SD	MS	Series 2017 GO Refunding Bonds	GO	NEG	LEAD
12/15/17	7	Merced Co (Weaver) USD	CA	Series 2017 A General Obligation Bonds	GO	NEG	SOLE
12/15/17	9	Longview City-Washington	WA	Series 2017 Limited Tax GO & Refunding	GO	NEG	SOLE
12/15/17	27	Gavilan Jt Community College Dt	CA	2017 Series GO Refunding Bonds	GO	NEG	LEAD
12/14/17	72	Chester Co-Pennsylvania	PA	Series of 2017 General Obligation Bonds	GO	NEG	CO-MGR
12/14/17	174	Montgomery Co (Conroe) ISD	TX	Series 2018 Unltd Tax School Building	GO	NEG	CO-MGR
12/14/17	4	Mason Co-Washington	WA	Series 2017 Limited Tax GO Refunding	GO	NEG	SOLE
12/13/17	4	San Joaquin Co (Escalon) USD	CA	Series 2017 C General Obligation Bonds	GO	NEG	SOLE

Piper Jaffray General Obligation Negotiated Underwriting Experience

Sale Date	Par Size (MM's)	Issuer	State	Description	Security Type	Bid Type	Piper Role
12/13/17	37	Mobile Co-Alabama	AL	Series 2017 General Obligation Bonds	GO	NEG	CO-MGR
12/13/17	103	Oregon	OR	2017 Series U & V General Obligation	GO	NEG	CO-MGR
12/12/17	10	Sedgwick Co (Valley Ctr) USD #262	KS	Series 2017 GO Refunding Bonds	GO	NEG	SOLE
12/12/17	268	Washington Co (Hillsboro) SD #1J	OR	Series 2017 General Obligation Bonds	GO	NEG	SOLE
12/12/17	46	San Bernardino Comm College Dt	CA	2017 Series A & B GO Refunding Bonds	GO	NEG	SOLE
12/11/17	1	San Juan (Orcas Island) SD #137	WA	Series 2017 B Unlimited Tax GO Bonds	GO	NEG	SOLE
12/11/17	9	San Juan (Orcas Island) SD #137	WA	Series 2017 A Unlimited Tax GO Bonds	GO	NEG	SOLE
12/07/17	7	Polk Co (Dallas) SD #2	OR	Series 2017 General Obligation Bonds	GO	NEG	SOLE
12/07/17	7	Santa Clara Co (Orchard) SD	CA	2017 Series GO Refunding Bonds	GO	NEG	SOLE
12/06/17	2	Genoa Twp Park Dt	IL	Series 2017 B GO Refunding Park Bonds	GO	PVT	SOLE
12/06/17	9	Arlington Heights Park Dt	IL	Series 2017 A & B GO Ltd Tx Park Bonds	GO	NEG	SOLE
12/06/17	8	Hartsville City-South Carolina	SC	Series 2017 Combined Syste Imp Rev	GO	PVT	SOLE
12/06/17	51	Sedgwick Co (Maize) USD #266	KS	Series 2017 GO Refunding Bonds	GO	NEG	SOLE
12/06/17	71	Rancho-Santiago Comm College Dt	CA	2017 Series B General Obligation Bonds	GO	NEG	SOLE
12/05/17	6	Pleasant Prairie Vlg-Wisconsin	WI	Series 2017 GO Refunding Promissory	GO	NEG	SOLE
12/04/17	19	Osborne Co-Kansas	KS	Series 2017 GO & Temporary Bonds	GO	PVT	SOLE
12/01/17	42	Bexar Co (North East) ISD	TX	Series 2017 Unlimited Tax Refunding	GO	NEG	CO-MGR
11/30/17	10	North Strabane Twp-Pennsylvania	PA	Series of 2017 General Obligation Bonds	GO	NEG	SOLE
11/30/17	9	Jackson Co (Holton) USD #336	KS	Series 2017-B GO Refunding Bonds	GO	NEG	SOLE
11/30/17	93	Seward Co (Liberal) USD #480	KS	Series 2017-B GO Refunding Bonds	GO	NEG	SOLE
11/30/17	2	Helena City-Montana	MT	Series 2017 Limited Tax GO Bonds	GO	PVT	SOLE
11/30/17	32	Thurston Co (North Thurston) PSD	WA	Series 2017 General Obligation Bonds	GO	NEG	SOLE
11/30/17	4	Woodburn City-Oregon	OR	Series 2017 GO Refunding Bonds	GO	PVT	SOLE
11/30/17	16	Imperial Community College Dt	CA	2017 Series GO Refunding Bonds	GO	NEG	SOLE
11/29/17	6	Fulton Co (Southern Fulton) SD	PA	Series of 2017 General Obligation Bonds	GO	NEG	SOLE
11/29/17	18	Johnson Co (Olathe) USD #233	KS	Series 2017 B GO Refunding Bonds	GO	NEG	SOLE
11/29/17	78	Cook Co Comm College Dt #508	IL	Series 2017 Unltd Tax GO Dedicated Rev	GO	NEG	CO-MGR
11/22/17	25	Scott Co USD #466	KS	Series 2017-A General Obligation Bonds	GO	NEG	SOLE
11/22/17	21	Gadsden City-Alabama	AL	Series 2017 B General Obligation	GO	NEG	SOLE
11/21/17	42	Geary Co USD #475	KS	Series 2017 C General Obligation Bonds	GO	NEG	SOLE
11/21/17	77	Geary Co USD #475	KS	Series 2017 A & B GO & Refunding Bonds	GO	NEG	SOLE
11/21/17	26	West Haven City-Connecticut	CT	Issue of 17 Ser A&B General Obligation	GO	NEG	SOLE
11/17/17	9	Johnson Co (Grandview) ISD	TX	Series 2017 Unlimited Tax Refunding	GO	NEG	SOLE
11/17/17	10	McHenry Co (Huntley) CSD #158	IL	Series 2017 GO Refunding School Bonds	GO	NEG	SOLE
11/17/17	14	Waterbury City-Connecticut	CT	Issue of 2017 Ser B GO Refunding Bonds	GO	NEG	SOLE
11/17/17	50	Waterbury City-Connecticut	CT	Issue of 2017 Ser A General Obligation	GO	NEG	SOLE
11/16/17	3	Wolcott Town-Connecticut	CT	Issue of 2017 Ser B GO Refunding Bonds	GO	NEG	SOLE
11/16/17	83	Long Beach Comm College Dt	CA	2017 Series G GO Refunding Bonds	GO	NEG	CO-MGR
11/16/17	18	Falmouth Town-Maine	ME	2017 Series GO Refunding Bonds	GO	NEG	SOLE
11/16/17	49	Marin Comm College Dt	CA	2017 Series GO Refunding Bonds	GO	NEG	SOLE
11/15/17	8	Bethel Park Municipal Authority	PA	Series of 2017 General Obligation Bonds	GO	NEG	SOLE
11/14/17	59	Bridgeport City-Connecticut	CT	Series 2017 B & C GO Refunding Bonds	GO	NEG	CO-MGR
11/14/17	19	Pflugerville City-Texas	TX	Series 2017 A & B Comb Tax & Ltd Rev	GO	NEG	CO-MGR
11/14/17	35	Bridgeport City-Connecticut	CT	Series 2017 A General Obligation Bonds	GO	NEG	CO-MGR
11/14/17	110	Champaign CUSD #4	IL	Series 2017 GO School Building Bonds	GO	NEG	CO-MGR
11/14/17	31	Pflugerville City-Texas	TX	Series 2017 Limited Tax & Refunding	GO	NEG	CO-MGR
11/14/17	51	Clark Co (Camas) SD #117	WA	Series 2017 Unlimited Tax GO Bonds	GO	NEG	SOLE
11/14/17	1	Niles Park Dt	IL	Series 2017 GO Limited Park Bonds	GO	PVT	SOLE
11/10/17	62	Johnson Co (Olathe) USD #233	KS	Series 2017 A GO School Bonds	GO	NEG	SOLE
11/09/17	72	Williamson Co (Georgetown) ISD	TX	Series 2017 A Unlimited Tax Refunding	GO	NEG	CO-MGR
11/08/17	10	Allegheny Co (So Allegheny) SD	PA	Series A & B of 2017 GO & Refunding	GO	NEG	SOLE
11/08/17	140	Southwestern Comm College Dt	CA	Series A General Obligation Bonds	GO	NEG	LEAD

Piper Jaffray General Obligation Negotiated Underwriting Experience

Sale Date	Par Size (MM's)	Issuer	State	Description	Security Type	Bid Type	Piper Role
11/08/17	4	Sycamore-Illinois	IL	Series 2017 GO Refunding Bonds	GO	PVT	SOLE
11/07/17	2	Madison Co SD #321	ID	Series 2017 B General Obligation Bonds	GO	NEG	SOLE
11/07/17		Pleasant Prairie Vlg-Wisconsin	WI	Series 2017 B GO Promissory Notes	GO	NEG	SOLE
11/07/17	21	Madison Co SD #321	ID	Series 2017 A & B General Obligation	GO	NEG	SOLE
11/07/17	69	Pierce Co (Franklin Pierce) SD #402	WA	Series 2017 Unlimited Tax GO Bonds	GO	NEG	SOLE
11/03/17	14	Greeley Co Unified Govt	KS	Series 2017-B GO Refunding &	GO	NEG	SOLE
11/03/17	25	Bristol City-Connecticut	CT	Ser B Issue of 2017 GO Refunding Bonds	GO	NEG	SOLE
11/01/17	3	Mattoon City-Illinois	IL	Series 2017 B GO Refunding Alt Rev	GO	PVT	SOLE
11/01/17	4	Mattoon City-Illinois	IL	Series 2017 A GO Refunding Alt Rev	GO	PVT	SOLE
10/25/17	5	North Fayette Twp-Pennsylvania	PA	Series of 2017 GO Refunding Bonds	GO	NEG	SOLE
10/25/17	4500	Illinois	IL	Ser of Nov 2017 D General Obligation	GO	NEG	CO-MGR
10/24/17	44	King Co (Renton) SD #403	WA	Series 2017 Unlimited Tax GO Refunding	GO	NEG	SOLE
10/23/17	8	Hamilton Co (Webster City) CSD	IA	Series 2017 GO School Refunding Bonds	GO	PVT	SOLE
10/18/17	5	Oregon	OR	2017 Series T General Obligation Bonds	GO	NEG	CO-MGR
10/18/17	12	Oregon	OR	2017 Series S General Obligation Bonds	GO	NEG	CO-MGR
10/18/17	17	Oregon	OR	2017 Series R General Obligation Bonds	GO	NEG	CO-MGR
10/17/17	21	San Bernardino City USD	CA	Series E General Obligation Bonds	GO	NEG	SOLE
10/17/17	32	San Bernardino City USD	CA	Series D General Obligation Bonds	GO	NEG	SOLE
10/17/17	4	Loves Park City-Illinois	IL	Series 2017 GO Refunding Bonds	GO	PVT	SOLE
10/16/17	2	Flossmoor Vlg-Illinois	IL	Series 2017 GO Refunding Bonds	GO	NEG	SOLE
10/12/17	91	Fort Bend ISD	TX	Series 2017 E Unlimited Tax Refunding	GO	NEG	CO-MGR
10/12/17	75	Maricopa Co Spec Health Care Dt	AZ	Series 2017 B General Obligation Bonds	GO	PVT	SOLE
10/11/17	25	Trumbull Town-Connecticut	CT	Issue of 2017 Ser B GO Refunding Bonds	GO	NEG	LEAD
10/04/17	3	Boone Co-Iowa	IA	Series 2017 GO Refunding Capital Loan	GO	PVT	SOLE
10/04/17	2	East Syracuse-Minoa CSD	NY	Series 2017 GO School Dt Ref Serial	GO	NEG	SOLE
10/02/17	1	Woodridge Park Dt	IL	Series 2017 Limited Tax Park Bonds	GO	PVT	SOLE
09/28/17	63	San Joaquin Co (Manteca) USD	CA	Series B General Obligation Bonds	GO	NEG	LEAD
09/28/17	1	Reno Co-Kansas	KS	Series 2017-A .55General Obligation	GO	PVT	SOLE
09/28/17	85	Collin Co (Richardson) ISD	TX	Series 2017 Unlimited Tax Refunding	GO	NEG	CO-MGR
09/28/17	5	Cowlitz Co (Longview) SD #122	WA	Series 2017 GO Refunding Bonds	GO	NEG	SOLE
09/28/17	4	Klamath Falls City-Oregon	OR	Series 2017 GO Refunding Bonds	GO	PVT	SOLE
09/26/17	160	Chabot-Las Positas Comm Coll Dt	CA	Series A General Obligation Bonds	GO	NEG	LEAD
09/21/17	9	Ridgefield City-Washington	WA	Series 2017 Limited Tax GO Bonds	GO	NEG	SOLE
09/20/17	4	Oswegoland Park Dt	IL	Series 2017 A & B Ltd Park Bonds &	GO	PVT	SOLE
09/20/17	24	Los Angeles Co (Redondo Beach) USD	CA	2017 Series GO Refunding Bonds	GO	NEG	SOLE
09/14/17	10	Sylacauga City-Alabama	AL	Series 2017-B General Obligation	GO	NEG	SOLE
09/14/17	2	Sylacauga City-Alabama	AL	Series 2017-A General Obligation	GO	NEG	SOLE
09/14/17	51	Oregon	OR	2017 Series N P Q GO & Refunding Bonds	GO	NEG	CO-MGR
09/13/17	9	Yakima Co (Sunnyside) SD #201	WA	Series 2017 Unlimited Tax GO Refunding	GO	NEG	SOLE
09/12/17	100	West Valley-Mission Comm Coll Dt	CA	Series 2017 C General Obligation Bonds	GO	NEG	CO-MGR
09/12/17	4	Madera Co (Golden Valley) USD	CA	2017 Series GO Refunding Bonds	GO	NEG	SOLE
09/12/17	24	Madera Co (Golden Valley) USD	CA	2017 Series GO Refunding Bonds	GO	NEG	SOLE
09/07/17	2	Tama-Iowa	IA	Series 2017 GO Corporate Purpose & Ref	GO	PVT	SOLE
08/31/17	12	Owyhee Co (Marsing) JSD #363	ID	Series 2017 General Obligation Bonds	GO	NEG	SOLE
08/31/17	1	South Hutchinson City-Kansas	KS	Series 2017 General Obligation Bonds	GO	PVT	SOLE
08/30/17	1	New Lenox Comm Park Dt	IL	Series 2017 GO Limited Tax Bonds	GO	PVT	SOLE
08/29/17	5	Austin City-Texas	TX	Series 2017 Pub Imp & Certs of Oblig &	GO	NEG	LEAD
08/29/17	25	Austin City-Texas	TX	Series 2017 Public Improvement Bonds	GO	NEG	LEAD
08/29/17	30	Austin City-Texas	TX	Series 2017 Certificates of Obligation	GO	NEG	LEAD
08/29/17	64	Austin City-Texas	TX	Series 2017 Public Improvement Bonds	GO	NEG	LEAD
08/29/17	9	Canyon Co (Nampa) SD #131	ID	Series 2017 GO Refunding Bonds	GO	NEG	SOLE
08/29/17	2538	California	CA	GO Various Purpose & Ref Bonds	GO	NEG	CO-MGR

Piper Jaffray General Obligation Negotiated Underwriting Experience

Sale Date	Par Size (MM's)	Issuer	State	Description	Security Type	Bid Type	Piper Role
08/24/17	81	Kendall Co (Boerne) ISD	TX	Series 2017 Unltd Tax School Building	GO	NEG	CO-MGR
08/24/17	4	Clallam Co (Sequim) SD #323	WA	Series 2017 Limited General Obligation	GO	PVT	SOLE
08/24/17	9	Pendleton City-Oregon	OR	Series 2017 General Obligation Bonds	GO	NEG	SOLE
08/23/17	4	Minnesota Tax & Aid Borrow Prog	MN	Series 2017 A Aid Anticipation COPs	GO	NEG	SOLE
08/23/17	26	Norwalk City-Connecticut	CT	Issue of 2017 Ser B GO Refunding Bonds	GO	NEG	SOLE
08/23/17	56	West Contra Costa USD	CA	2017 Series A-2 GO Refunding Bonds	GO	NEG	CO-MGR
08/23/17	4	West Contra Costa USD	CA	2017 Series A-1 GO Refunding Bonds	GO	NEG	CO-MGR
08/22/17	100	Miracosta Community College Dt	CA	Series A General Obligation Bonds	GO	NEG	LEAD
08/22/17	17	Kenosha City-Wisconsin	WI	Series 2017 A GO Promissory Notes	GO	NEG	SOLE
08/22/17	47	Howard Co (Big Spring) ISD	TX	Series 2017 Unlimited Tax Refunding	GO	NEG	CO-MGR
08/22/17	98	Montgomery Co (Conroe) ISD	TX	Series 2017 Unlimited Tax Refunding	GO	NEG	LEAD
08/22/17	10	Kenosha City-Wisconsin	WI	Series 2017 GO Refunding Bonds	GO	NEG	SOLE
08/21/17	33	Fayette Co (La Grange) ISD	TX	Series 2017 Unlimited Tax School Bldg	GO	NEG	CO-MGR
08/17/17	165	Cook Co-Illinois	IL	Series 2017 Sales Tax Revenue Bonds	GO	NEG	CO-MGR
08/17/17	5	Lake Delhi Comb Rec Fac & Wtr Quality Dt	IA	GO Refunding Bonds	GO	PVT	SOLE
08/16/17	20	Honolulu City & Co-Hawaii	HI	Series 2017 G General Obligation Bonds	GO	NEG	CO-MGR
08/16/17	4	Honolulu City & Co-Hawaii	HI	Series 2017 F General Obligation Bonds	GO	NEG	CO-MGR
08/16/17	4	Honolulu City & Co-Hawaii	HI	Series 2017 C General Obligation Bonds	GO	NEG	CO-MGR
08/16/17	389	Honolulu City & Co-Hawaii	HI	Series 2017 ABD&E General Obligation	GO	NEG	CO-MGR
08/16/17	18	Harris Co (Deer Park) ISD	TX	Series 2017 Limited Tax Refunding Bonds	GO	NEG	CO-MGR
08/16/17	9	Okanogan Co Pub Hospital Dt #4	WA	Series 2017 Unltd Tax GO Refunding	GO	NEG	SOLE
08/16/17	67	Whatcom Co (Bellingham) SD #501	WA	Series 2017 Unltd Tax GO & Refunding	GO	NEG	SOLE
08/15/17	160	Clackamas (Lake Oswego) SD #7J	OR	Series 2017 General Obligation Bonds	GO	NEG	CO-MGR
08/15/17	9	Tillamook SD #9	OR	Series 2017 GO & Refunding Bonds	GO	NEG	SOLE
08/10/17	2	Utmatilla Co (Pilot Rock) SD #2R	OR	Series 2017 GO Refunding Bonds	GO	PVT	SOLE
08/10/17	4	South Windsor Town-Connecticut	CT	Issue of 2017 Ser B GO Refunding Bonds	GO	NEG	SOLE
08/09/17	104	King Co (Issaquah) SD #411	WA	Series 2017 Unlimited Tax GO Bonds	GO	NEG	SOLE
08/03/17	6	Brewton City-Alabama	AL	Series 2017-A & B GO & Gas Revenue	GO	NEG	SOLE
08/03/17	3	Jackson Co (Rogue River) SD #35	OR	Series 2017 General Obligation Bonds	GO	PVT	SOLE
08/03/17	9	Saline Co (Salina) USD #305	KS	Series 2017 GO Refunding Bonds	GO	NEG	SOLE
08/03/17	77	New Haven City-Connecticut	CT	Issue of 2017Ser A&B GO & Refunding	GO	NEG	CO-MGR
08/02/17	79	Collin Co (McKinney) ISD	TX	Series 2017 Unlimited Tax School Bldg	GO	NEG	CO-MGR
08/02/17	180	San Antonio City-Texas	TX	Series 2017 Gen Imp Bonds & Comb Tax	GO	NEG	LEAD
08/01/17	3	Columbia Co (Clatskanie) SD #6J	OR	Series 2017 GO Refunding Bonds	GO	PVT	SOLE
08/01/17	1	Fremont Co (Hamburg) CSD	IA	Series 2017 GO School Capital Loan	GO	PVT	SOLE
08/01/17	3	Union Co (North Powder) SD #8J	OR	Series 2017 General Obligation Bonds	GO	NEG	SOLE
08/01/17	5	Cotton Ranch Metropolitan Dt	CO	GO Refunding Notes	GO	PVT	SOLE
07/27/17	8	Allegheny Co (Sto-Rox) SD	PA	Series of 2017 General Obligation Bonds	GO	NEG	SOLE
07/27/17	5	Emmet (Estherville Lincoln) CCSD	IA	Series 2017 GO School Refunding Bonds	GO	PVT	SOLE
07/26/17	60	Contra Costa Co (Liberty) UHSD	CA	Series A General Obligation Bonds	GO	NEG	SOLE
07/26/17	3	Dunkirk City-New York	NY	Series 2017 Pub Improvment Ref Serial	GO	NEG	SOLE
07/26/17	63	Kitsap Co (Central Kitsap) SD #401	WA	Series 2017 General Obligation Bonds	GO	NEG	SOLE
07/26/17	13	Bloomfield Town-Connecticut	CT	Issue of 2017 GO Refunding Bonds	GO	NEG	SOLE
07/24/17	6	Kirkwood Community College	IA	Series 2017 GO School Refunding Bonds	GO	NEG	SOLE
07/21/17	20	Erie Co-Pennsylvania	PA	Series of 2017 GO Refunding Bonds	GO	NEG	SOLE
07/21/17	12	Marion Co (Jefferson) SD #14-J	OR	Series 2017 B General Obligation Bonds	GO	NEG	SOLE
07/21/17	2	Marion Co (Jefferson) SD #14-J	OR	Series 2017 A General Obligation Bonds	GO	NEG	SOLE
07/20/17	3	Columbia Co (Vernonia) SD #47J	OR	Series 2017 B General Obligation Bonds	GO	NEG	SOLE
07/20/17	1	Dubuque Co-Iowa	IA	Series 2017 General Obligation BANs	GO	PVT	SOLE
07/19/17	4	Allegheny Co (Wilkinsburg Boro) SD	PA	Series of 2017 General Obligation Bonds	GO	NEG	SOLE
07/19/17		Linn Co (Center Point-Urbana) CSD	IA	Series 2017 B GO School Bonds	GO	PVT	SOLE
07/19/17	4	Columbia Co (Vernonia) SD #47J	OR	Series 2017 A General Obligation Bonds	GO	NEG	SOLE

Piper Jaffray General Obligation Negotiated Underwriting Experience

Sale Date	Par Size (MM's)	Issuer	State	Description	Security Type	Bid Type	Piper Role
07/18/17	28	Flower Mound Town-Texas	TX	Series 2017 & A GO Refunding & Certs of	GO	NEG	LEAD
07/18/17	20	Titus Co-Texas	TX	Series 2017 Unlimited Tax Refunding	GO	NEG	CO-MGR
07/18/17		Lake Winnebago City-Missouri	MO	Series 2017 General Obligation Bonds	GO	PVT	SOLE
07/18/17	12	Pleasant Prairie Vlg-Wisconsin	WI	Series 2017 GO Refunding Bonds	GO	NEG	SOLE
07/14/17	3	South Hutchinson City-Kansas	KS	Series 2017 GO Temporary Notes	GO	NEG	SOLE
07/13/17	152	Linn Co (Greater Albany) SD #8J	OR	Series 2017 General Obligation Bonds	GO	NEG	SOLE
07/11/17	2	Mill City-Oregon	OR	Series 2017 Full Faith & Credit Ref Oblig	GO	PVT	SOLE
06/28/17	4	Pitcairn Boro-Pennsylvania	PA	Series of 2017 General Obligation Bonds	GO	NEG	SOLE
06/28/17	9	Seward Co (Liberal) USD #480	KS	Series 2017 GO Refunding Bonds	GO	NEG	SOLE
06/27/17	4	Wethersfield Town-Connecticut	CT	Series 2017 A GO Refunding Bonds	GO	NEG	SOLE
06/27/17	40	Dallas Co (Sunnyvale) ISD	TX	Series 2017 Unltd Tax School Building	GO	NEG	CO-MGR
06/26/17	1	Fremont Co (Hamburg) CSD	IA	Series 2017 GO School Capital Loan	GO	PVT	SOLE
06/22/17	15	Waterford Town-Connecticut	CT	Issue of 2017 GO Refunding Bonds	GO	NEG	SOLE
06/21/17	8	Imperial Co (El Centro) ESD	CA	Series A General Obligation Bonds	GO	NEG	SOLE
06/21/17	2	Rice Co (Sterling) USD #376	KS	Series 2017 GO Refunding Bonds	GO	NEG	SOLE
06/20/17	4	Pleasant Prairie Vlg-Wisconsin	WI	Series 2017 B GO Promissory Notes	GO	NEG	SOLE
06/20/17	3	Lockport Twp Park Dt	IL	Series 2017 GO Limited Tax Park Bonds	GO	PVT	SOLE
06/20/17	8	Port of Skagit Co-Washington	WA	Series 2017 Limited Tax GO Bonds	GO	NEG	SOLE
06/19/17	21	Pleasant Prairie Vlg-Wisconsin	WI	Series 2017 GO Promissory Notes	GO	NEG	SOLE
06/16/17		King Co (Vashon Island) SD #402	WA	Series 2017 B General Obligation Bonds	GO	NEG	SOLE
06/16/17	9	King Co (Vashon Island) SD #402	WA	Series 2017 A General Obligation Bonds	GO	NEG	SOLE
06/15/17	7	Huntington Trails Metro Dt	CO	Series 2017 GO Limited Tax Refunding	GO	NEG	SOLE
06/14/17	3	El Paso Co (Socorro) ISD	TX	Series 2017 B Unlimited Tax Refunding	GO	NEG	LEAD
06/14/17	126	El Paso Co (Socorro) ISD	TX	Series 2017 B Unlimited Tax Refunding	GO	NEG	LEAD
06/14/17	36	El Paso Co (Socorro) ISD	TX	Series 2017 A Unlimited Tax Refunding	GO	NEG	LEAD
06/14/17	1	Roseburg City-Oregon	OR	Series 2017 Full Faith & Credit Ref Oblig	GO	PVT	SOLE
06/13/17	6	Los Angeles Co (Sulphur Springs) USD	CA	Series 2017 D General Obligation Bonds	GO	NEG	SOLE
06/13/17	26	Los Angeles Co (Paramount) USD	CA	Series 2017 A General Obligation Bonds	GO	NEG	LEAD
06/13/17	5	Emmet (Estherville Lincoln) CCSD	IA	Series 2017 GO School Refunding Bonds	GO	PVT	SOLE
06/12/17	1	Greeley Co-Kansas	KS	Series 2017-A General Obligation Bonds	GO	PVT	SOLE
06/07/17	7	Imperial Co (Brawley) ESD	CA	2017 & Series A GO & Refunding Bonds	GO	NEG	SOLE
06/06/17	106	Brazoria Co (Pearland) ISD	TX	Series 2017 Unlimited Tax School Bldg	GO	NEG	LEAD
06/06/17	17	Riverside Co (Beaumont) USD	CA	Series 2017 GO Refunding Bonds	GO	PVT	SOLE
06/05/17	2	Wabuansee (Mill Creek) USD #329	KS	Series 2017 GO Refunding Bonds	GO	PVT	SOLE
06/05/17	800	Los Angeles Co-California	CA	2017-18 Series TRANS	GO	NEG	CO-MGR
06/01/17	7	Kern Co (Lost Hills) USD	CA	Series A General Obligation Bonds	GO	NEG	SOLE
06/01/17	51	Nez Perce Co (Lewiston) ISD #1	ID	Series 2017 A & B General Obligation	GO	NEG	SOLE
06/01/17	12	Orange Co (Buena Park) SD	CA	Series 2017 General Obligation Bonds	GO	NEG	SOLE
05/31/17	20	Clackamas Comm College Dt	OR	Series 2017 B General Obligation Bonds	GO	NEG	SOLE
05/31/17	25	Clackamas Comm College Dt	OR	Series 2017 A General Obligation Bonds	GO	NEG	SOLE
05/31/17	219	Travis Co (Austin) ISD	TX	Series 2017 Unltd Tax School Bldg & Ref	GO	NEG	LEAD
05/24/17	4	Castle Shannon Boro-Pennsylvania	PA	Series of 2017 General Obligation Bonds	GO	NEG	SOLE
05/24/17	91	Denton Co (Northwest) ISD	TX	Series 2017 Unltd Tax School Building	GO	NEG	CO-MGR
05/23/17	30	Los Angeles Co (Claremont) USD	CA	Series A General Obligation Bonds	GO	NEG	SOLE
05/23/17	16	Richland City-Washington	WA	Series 2017 Limited Tax GO & Refunding	GO	NEG	SOLE
05/17/17	8	Monroeville Municipality-Pennsylvania	PA	Series of 2017 General Obligation Bonds	GO	NEG	SOLE
05/17/17	2	Dolan-West Dolan Fire Protect Dt	MO	Series E 2017 General Obligation Bonds	GO	PVT	SOLE
05/17/17	4	Ada Co (Kuna) JSD #3	ID	Series 2017 B General Obligation Bonds	GO	NEG	SOLE
05/17/17	31	Ada Co (Kuna) JSD #3	ID	Series 2017 A & B General Obligation	GO	NEG	SOLE
05/17/17	2	Lewis Co (Boistfort) SD #234	WA	Series 2017 Unlimited Tax GO Bonds	GO	NEG	SOLE
05/17/17	5	Lynden City-Washington	WA	Series 2017 Ltd Tax & GO Refunding	GO	NEG	SOLE
05/17/17	27	Maricopa Co (Phoenix) UHSD #210	AZ	Series 2017 Refunding Bonds	GO	NEG	SOLE

Piper Jaffray General Obligation Negotiated Underwriting Experience

Sale Date	Par Size (MM's)	Issuer	State	Description	Security Type	Bid Type	Piper Role
05/16/17	4	Riverside Co (Beaumont) USD	CA	Series D General Obligation Bonds	GO	NEG	SOLE
05/16/17	10	Riverside Co (Beaumont) USD	CA	Series D General Obligation Bonds	GO	NEG	SOLE
05/16/17	70	Clackamas Co (Oregon Trail) SD #46	OR	Series 2017 GO Refunding Bonds	GO	NEG	CO-MGR
05/16/17	2	Grays Harbor Co (Ocosta) SD #172	WA	Series 2017 Limited General Obligation	GO	PVT	SOLE
05/16/17	37	Snohomish (Stanwood) SD #401	WA	Series 2017 Unlimited Tax GO Bonds	GO	NEG	SOLE
05/12/17	8	Lower Kennebec Region Sch Unit #1	ME	2017 Series GO Refunding Bonds	GO	NEG	SOLE
05/11/17	1	Arnolds Park City-Iowa	IA	Series 2017 GO Capital Loan Notes	GO	NEG	SOLE
05/09/17	6	Iowa Higher Ed Loan Authority	IA	Series 2017 A RANs	GO	NEG	SOLE
05/08/17	2	Hampton-Iowa	IA	Series 2017 GO Capital Loan Notes	GO	PVT	SOLE
05/05/17	4	Lyon Co (Emporia) USD #253	KS	Series 2017 GO Refunding Bonds	GO	NEG	SOLE
05/04/17	100	Riverside USD	CA	Series A General Obligation Bonds	GO	NEG	SOLE
05/04/17	5	Johnson Co (Spring Hill) USD #230	KS	Series 2017-B GO Refunding Bonds	GO	NEG	SOLE
05/03/17	72	Clark Co (Ridgefield) SD #122	WA	Series 2017 General Obligation Bonds	GO	NEG	SOLE
05/03/17	31	Crawford Co (Pittsburg) USD #250	KS	Series 2017 General Obligation Bonds	GO	NEG	SOLE
05/02/17	71	Alameda Co (San Leandro) USD	CA	Series A & 2017 GO & Refunding Bonds	GO	NEG	SOLE
05/02/17	85	Ada Co (Boise City) ISD	ID	Series 2017 General Obligation Bonds	GO	NEG	SOLE
05/01/17	9	Jackson Co (Holton) USD #336	KS	Series 2017 GO Refunding Bonds	GO	NEG	SOLE
05/01/17	16	Pleasant Prairie Vlg-Wisconsin	WI	Series 2017 GO Promissory Notes	GO	NEG	SOLE
05/01/17	22	Pleasant Prairie Vlg-Wisconsin	WI	Series 2017 Note Anticipation Notes	GO	NEG	SOLE
04/27/17	31	Bucks Co-Pennsylvania	PA	Series of 2017 General Obligation Bonds	GO	NEG	LEAD
04/27/17	29	Round Rock City-Texas	TX	Series 2017 General Obligation Bonds	GO	NEG	CO-MGR
04/26/17	149	Washington Co (Beaverton) SD #48J	OR	Series 2017 D General Obligation Bonds	GO	NEG	SOLE
04/26/17	33	Washington Co (Beaverton) SD #48J	OR	Series 2017 C General Obligation Bonds	GO	NEG	SOLE
04/26/17	76	Washington Co (Beaverton) SD #48J	OR	Series 2017 B General Obligation Bonds	GO	NEG	SOLE
04/26/17	39	Washington Co (Beaverton) SD #48J	OR	Series 2017 A General Obligation Bonds	GO	NEG	SOLE
04/26/17	12	Fresno Co (Kerman) USD	CA	Series 2017 General Obligation Bonds	GO	NEG	SOLE
04/25/17	7	Allegheny Co (Hampton) TSD	PA	Series of 2017 General Obligation Bonds	GO	NEG	SOLE
04/25/17	1	Merced Co CSD	CA	Series 2017 General Obligation Bonds	GO	NEG	SOLE
04/25/17	11	Merced Co CSD	CA	Series 2017 General Obligation Bonds	GO	NEG	SOLE
04/20/17	300	California	CA	Series 2017 C High-Speed Passenger	GO	NEG	CO-MGR
04/20/17	300	California	CA	Series 2017 B High-Speed Passenger	GO	NEG	CO-MGR
04/20/17	648	California	CA	Series 2017 A High-Speed Passenger	GO	NEG	CO-MGR
04/20/17	4	Jackson Co-Oregon	OR	Series 2017 GO Refunding Bonds	GO	PVT	SOLE
04/19/17	59	Clark Co (Vancouver) SD #37	WA	Series 2017 Unlimited Tax GO Bonds	GO	NEG	LEAD
04/19/17	9	Imperial Co (Calxico) USD	CA	2017 Series Refunding GO Bonds	GO	NEG	SOLE
04/18/17	262	Fort Bend Co (Katy) ISD	TX	Series 2017 Unltd Tax School Building	GO	NEG	CO-MGR
04/12/17	2	Asbury City-Iowa	IA	Series 2017 GO Corporate Purpose Bonds	GO	NEG	SOLE
04/12/17	50	Santa Clarita Comm College Dt	CA	Series 2017 General Obligation Bonds	GO	NEG	SOLE
04/12/17	3	Sioux Co (Sioux Center) CSD	IA	Series 2017 Infra Sales Svcs & Use Tx	GO	PVT	SOLE
04/11/17	2	Okoboji City-Iowa	IA	Series 2017 GO Capital Loan Notes	GO	PVT	SOLE
04/11/17	102	Palomar Community Coll Dt	CA	2017 Series GO Refunding Bonds	GO	NEG	SOLE
04/10/17	8	El Paso Co (Canutillo) ISD	TX	Series 2017 Unlimited Tax Refunding	GO	NEG	LEAD
04/06/17	90	Solano Comm College Dt	CA	Series C General Obligation Bonds	GO	NEG	LEAD
04/05/17	8	Galesburg City-Illinois	IL	Series 2017 GO Refunding Bonds	GO	NEG	CO-MGR
04/04/17	4	Chemeketa Community College Dt	OR	Series 2017 Refunding Financing	GO	PVT	SOLE
04/04/17	149	Oregon	OR	2017 Series L GO Higher Education Bonds	GO	NEG	CO-MGR
04/04/17	54	Oregon	OR	2017 Series J GO Higher Education Bonds	GO	NEG	CO-MGR
04/04/17	126	Oregon	OR	2017 Series I GO Higher Education Bonds	GO	NEG	CO-MGR
04/03/17	2	New Hampton City-Iowa	IA	Series 2017 GO Capital Loan Notes	GO	PVT	SOLE
04/03/17	1	Palatine Park Dt	IL	Limited Park Bonds	GO	PVT	SOLE
03/29/17	5	Grimes City-Iowa	IA	Series 2017 GO Refunding Bonds	GO	NEG	SOLE
03/29/17	23	Connecticut	CT	2017 Series B-3 GO Refunding Bonds	GO	NEG	CO-MGR

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Sale Date	Par Size (MM's)	Issuer	State	Description	Security Type	Bid Type	Piper Role
03/29/17	142	Connecticut	CT	2017 Series B-2 GO Refunding Bonds	GO	NEG	CO-MGR
03/29/17	32	Connecticut	CT	2017 Series B-1 GO Refunding Bonds	GO	NEG	CO-MGR
03/29/17	60	Connecticut	CT	2017 Series A-6 General Obligation Bonds	GO	NEG	CO-MGR
03/29/17	18	Connecticut	CT	2017 Series A-5 General Obligation Bonds	GO	NEG	CO-MGR
03/29/17	134	Connecticut	CT	2017 Series A-4 General Obligation Bonds	GO	NEG	CO-MGR
03/29/17	56	Connecticut	CT	2017 Series A-3 General Obligation Bonds	GO	NEG	CO-MGR
03/29/17	274	Connecticut	CT	2017 Series A-2 General Obligation Bonds	GO	NEG	CO-MGR
03/29/17	7	Connecticut	CT	2017 Series A-1 General Obligation Bonds	GO	NEG	CO-MGR
03/28/17	8	Glenn Co (Willows) USD	CA	2017 Series A General Obligation Bonds	GO	NEG	SOLE
03/23/17	23	Imperial Co (Calexico) USD	CA	Series A General Obligation Bonds	GO	NEG	SOLE
03/23/17	19	Solano Co (Dixon) USD	CA	Series 2017 General Obligation Bonds	GO	NEG	SOLE
03/22/17	139	Palomar Community Coll Dt	CA	Series D General Obligation Bonds	GO	NEG	SOLE
03/22/17	4	Chicago Heights City-Illinois	IL	Series 2017 B General Obligation Bonds	GO	NEG	SOLE
03/22/17	5	Chicago Heights City-Illinois	IL	Series 2017 A GO Refunding Bonds	GO	NEG	SOLE
03/22/17	4	Niskayuna Town-New York	NY	Series 2017 GO Public Imp Ref Serial	GO	NEG	SOLE
03/21/17	10	Indiana Co (Armstrong) SD	PA	Series of 2017 General Obligation Bonds	GO	NEG	SOLE
03/21/17	49	Brazoria Co (Alvin) ISD	TX	Series 2017 Unlimited Tax Schoolhouse	GO	NEG	LEAD
03/21/17	6	Rensselaer Co (Lansingburgh) CSD	NY	Series 2017 School District Ref Serial	GO	NEG	SOLE
03/16/17	34	Antelope Valley Community Coll Dt	CA	Series A-1 General Obligation Bonds	GO	NEG	CO-MGR
03/16/17	116	Antelope Valley Community Coll Dt	CA	Series A General Obligation Bonds	GO	NEG	CO-MGR
03/16/17	10	Burlington City-Iowa	IA	Series 2017 B GO Corporate Purpose	GO	NEG	SOLE
03/15/17	3	Buena Vista Co (Storm Lake) CSD	IA	Series 2017 B GO School Refunding	GO	PVT	SOLE
03/15/17	1	Jefferson City-Iowa	IA	Series 2017 Urban Renewal Corp Purpose	GO	PVT	SOLE
03/14/17	4	Klamath Co SD	OR	Series 2017 Full Faith & Credit Obligations	GO	NEG	SOLE
03/14/17	4	Plainfield Town-Connecticut	CT	Issue of 2017 General Obligation Bonds	GO	NEG	SOLE
03/13/17	9	Shawnee (Auburn-Wash) USD #437	KS	Series 2017 GO Refunding Bonds	GO	NEG	SOLE
03/13/17	24	Minnesota Housing Fin Agency	MN	2017 Series C Homeownership Finance	GO	NEG	CO-MGR
03/10/17	9	Johnson Co (Spring Hill) USD #230	KS	Series 2017-A GO Refunding Bonds	GO	NEG	SOLE
03/09/17	139	Texas Public Finance Auth (TPFA)	TX	Series 2017 A GO Refunding Bonds	GO	NEG	CO-MGR
03/09/17	4	Linn-Benton Comm Coll Dt	OR	Series 2017 Ltd Tax GO Financing	GO	PVT	SOLE
03/09/17	6	Navajo (Heber-Overgard) USD #6	AZ	Series 2017 Refunding Bonds	GO	PVT	SOLE
03/08/17	20	Coast Community College Dt	CA	Series 2017 E General Obligation Bonds	GO	NEG	CO-MGR
03/08/17	280	Coast Community College Dt	CA	Series 2017 D General Obligation Bonds	GO	NEG	CO-MGR
03/08/17	201	Tigard-Tualatin SD #23J	OR	Series 2017 General Obligation Bonds	GO	NEG	LEAD
03/06/17	7	Kenosha City-Wisconsin	WI	Series 2017 A GO Promissory Notes	GO	NEG	SOLE
03/06/17	3	Grundy Co (Dike-New Hartford) CSD	IA	Series 2017 GO School Refunding Bonds	GO	PVT	SOLE
03/02/17	12	Fresno Co (Fowler) USD	CA	Series A General Obligation Bonds	GO	NEG	SOLE
02/28/17	66	Clatsop (Seaside) SD #10	OR	Series 2017 B General Obligation Bonds	GO	NEG	SOLE
02/28/17	15	Clarksburg City-West Virginia	WV	Series 2017 A Sales Tax BANs	GO	PVT	SOLE
02/28/17	31	Clatsop (Seaside) SD #10	OR	Series 2017 A General Obligation Bonds	GO	NEG	SOLE
02/28/17	2	Elk Grove Park Dt	IL	Series 2017 Limited Park Bonds	GO	PVT	SOLE
02/28/17	4	Mason Co (North Mason) SD #403	WA	Series 2017 Limited General Obligation	GO	NEG	SOLE
02/28/17	1	Norwich City-Connecticut	CT	Issue of 2017 Ser B General Obligation	GO	NEG	SOLE
02/28/17	7	Norwich City-Connecticut	CT	Issue 2017 Series A General Obligation	GO	NEG	SOLE
02/27/17	5	Miami Co (Paola) USD #368	KS	Series 2017 GO Refunding Bonds	GO	NEG	SOLE
02/23/17	2	Genesee Co-New York	NY	Series 2017 GO Public Improvement Ref	GO	NEG	SOLE
02/22/17	47	Columbia Co (St Helens) SD #502	OR	Series 2017 General Obligation Bonds	GO	NEG	SOLE
02/22/17	5	Duvall City-Washington	WA	Series 2017 Limited Tax GO Bonds	GO	PVT	SOLE
02/22/17	1	Huntley Park Dt	IL	Series 2017 GO Ltd Tax Park Bonds	GO	PVT	SOLE
02/17/17	5	Helena City-Montana	MT	Series 2017 GO Refunding Bonds	GO	NEG	SOLE
02/16/17	33	Galveston Co (Santa Fe) ISD	TX	Series 2017 Unltd Tax School Bldg Bonds	GO	NEG	CO-MGR
02/15/17	6	Benton Co (Monroe) SD #1J	OR	Series 2017 General Obligation Bonds	GO	NEG	SOLE

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Sale Date	Par Size (MM's)	Issuer	State	Description	Security Type	Bid Type	Piper Role
02/14/17	168	Multnomah Co (Gresham-Barlow) SD #10JT	OR	Series 2017 B General Obligation Bonds	GO	NEG	SOLE
02/14/17	7	Burlington City-Iowa	IA	Series 2017 A GO Refunding Bonds	GO	NEG	SOLE
02/14/17	73	Multnomah Co (Gresham-Barlow) SD #10JT	OR	Series 2017 General Obligation Bonds	GO	NEG	SOLE
02/09/17	10	Adams Co SD #90	NE	Series 2017 GO School Building Bonds	GO	NEG	SOLE
02/08/17	17	Oregon	OR	2017 Series F General Obligation Bonds	GO	NEG	CO-MGR
02/08/17	48	Oregon	OR	2017 Series E General Obligation Bonds	GO	NEG	CO-MGR
02/08/17	25	Oregon	OR	2017 Series D General Obligation Bonds	GO	NEG	CO-MGR
02/08/17	106	Oregon	OR	2017 Series C General Obligation Bonds	GO	NEG	CO-MGR
02/08/17	43	Oregon	OR	2017 Series B General Obligation Bonds	GO	NEG	CO-MGR
02/08/17	238	Oregon	OR	2017 Series A General Obligation Bonds	GO	NEG	CO-MGR
02/07/17	39	Maricopa Co (Phoenix) UHSD #210	AZ	Series E (2017) School Improvement	GO	NEG	CO-MGR
02/07/17	4	Crow-Applegate-Lorane SD #66	OR	Series 2017 General Obligation Bonds	GO	NEG	SOLE
02/02/17	8	Malheur Co (Vale) SD #84	OR	Series 2017 General Obligation Bonds	GO	NEG	SOLE
01/31/17	2	Siskiyou Co (Yreka) UHSD	CA	Series B General Obligation Bonds	GO	NEG	SOLE
01/31/17	182	Clackamas (No Clackamas) SD #12	OR	Series 2017 B General Obligation Bonds	GO	NEG	LEAD
01/31/17	140	Clackamas (No Clackamas) SD #12	OR	Series 2017 A General Obligation Bonds	GO	NEG	LEAD
01/25/17	3	Barrington Park Dt	IL	Series 2017 B GO Refunding Park Bonds	GO	PVT	SOLE
01/25/17	59	Washington (Sherwood) SD #88J	OR	Series 2017 B General Obligation Bonds	GO	NEG	SOLE
01/25/17	1	Barrington Park Dt	IL	Series 2017 A GO Limited Tax Park Bonds	GO	PVT	SOLE
01/25/17	69	Washington (Sherwood) SD #88J	OR	Series 2017 A General Obligation Bonds	GO	NEG	SOLE
01/25/17	11	Twin Falls Co (Filer) SD #413	ID	Series 2017 GO Refunding Bonds	GO	NEG	SOLE
01/24/17	199	Harris Co (Spring) ISD	TX	Series 2017 Unltd Tax School Building	GO	NEG	CO-MGR
01/24/17	35	Williamson Co (Georgetown) ISD	TX	Series 2017 Unltd Tax School Building	GO	NEG	CO-MGR
01/24/17	3	Iowa Great Lakes Sanitary Dt	IA	Series 2017 GO Capital Loan Notes	GO	PVT	SOLE
01/24/17	29	San Mateo (Belmont-Redwood Shores) SD	CA	2017 Series B GO Refunding Bonds	GO	NEG	LEAD
01/24/17	16	San Mateo (Belmont-Redwood Shores) SD	CA	2017 Series A GO Refunding Bonds	GO	NEG	LEAD
01/20/17	11	San Juan Co (Bloomfield) SD #6	NM	Series 2017 GO Refunding Bonds	GO	NEG	CO-MGR
01/18/17	8	Arrowhead Metropolitan Dt	CO	Series 2017 GO Refunding Bonds	GO	PVT	SOLE
01/12/17	34	University of Connecticut	CT	Series 2017 A GO Refunding Bonds	GO	NEG	LEAD
01/12/17	311	University of Connecticut	CT	Series 2017 A General Obligation Bonds	GO	NEG	LEAD
01/11/17	7	Riverside Co (Moreno Valley) USD	CA	2016 Series Special Tax Bonds	GO	NEG	SOLE
01/10/17	3	Latah Co (Genesee) JSD #282	ID	Series 2017 GO Refunding Bonds	GO	NEG	SOLE
01/06/17	1	Oakbrook Terrace Comm Park Dt	IL	Series 2017 GO Limited Tax Park Bonds	GO	PVT	SOLE
01/05/17	4	McHenry Co (Cary) CCSD #26	IL	Series 2017 B Refunding School Bonds	GO	PVT	SOLE
01/05/17	1	McHenry Co (Cary) CCSD #26	IL	Series 2017 A Refunding School Bonds	GO	PVT	SOLE
01/05/17	168	El Paso Co (Ysleta) ISD	TX	Series 2017 Unltd Tax School Building	GO	NEG	LEAD
01/04/17	182	El Paso ISD	TX	Series 2017 Unltd Tax School Building	GO	NEG	LEAD
12/28/16	1	Chicago Heights Park Dt	IL	Series 2016 A Limited Tax Park Bonds	GO	PVT	SOLE
12/22/16	33	Tuscaloosa City-Alabama	AL	Series 2016 B General Obligation	GO	PVT	SOLE
12/22/16	1	Lewis Co Fire Protection Dt #3	WA	Series 2016 GO Unlimited Tax Bonds	GO	PVT	SOLE
12/16/16	4	Waitsburg SD #401-100	WA	Series 2016 GO Unlimited Tax Bonds	GO	NEG	SOLE
12/15/16	10	Reno Co (Hutchinson) USD #308	KS	Series 2017 GO Refunding Bonds	GO	NEG	SOLE
12/15/16	9	Port of Olympia-Washington	WA	Series 2016 B Limited Tax GO Refunding	GO	PVT	SOLE
12/15/16	9	Port of Olympia-Washington	WA	Series 2016 A Limited Tax GO &	GO	PVT	SOLE
12/13/16	10	Mercer Co (Farrell) ASD	PA	Series of 2016 General Obligation Bonds	GO	NEG	SOLE
12/13/16	100	Los Angeles Co (Montebello) USD	CA	Series A General Obligation Bonds	GO	NEG	CO-MGR
12/13/16	1	East Brewton City-Alabama	AL	Series 2016 General Obligation Warrants	GO	NEG	SOLE
12/13/16	71	Pierce Co (Franklin Pierce) SD #402	WA	Series 2016 General Obligation Bonds	GO	NEG	SOLE
12/13/16	10	New London City-Connecticut	CT	Issue of 2016 GO Refunding Bonds	GO	NEG	SOLE
12/12/16	2	Story Co (Ballard) CSD	IA	Series 2017 GO School Capital Loan	GO	PVT	SOLE
12/08/16	20	Pottawatomie (Wamego) USD #320	KS	Series 2017 A General Obligation Bonds	GO	NEG	SOLE
12/06/16	189	Mississippi	MS	Series 2016 B General Obligation Bonds	GO	NEG	CO-MGR

Piper Jaffray General Obligation Negotiated Underwriting Experience

Sale Date	Par Size (MM's)	Issuer	State	Description	Security Type	Bid Type	Piper Role
12/05/16	2	Highpointe Park Metropolitan Dt	CO	Series 2016 A & B General Obligation	GO	PVT	SOLE
12/01/16	9	Wyandotte (Kansas) USD #500	KS	Series 2016 B GO Refunding Bonds	GO	NEG	SOLE
12/01/16	48	Montgomery Co-Texas	TX	Series 2016 A Limited Tax Refunding	GO	NEG	CO-MGR
12/01/16	74	Montgomery Co-Texas	TX	Series 2016 A Road Bonds	GO	NEG	CO-MGR
12/01/16	227	Wyandotte (Kansas) USD #500	KS	Series 2016 A GO Improvement Bonds	GO	NEG	SOLE
12/01/16	119	Portland Community College Dt	OR	Series 2016 GO Refunding Bonds	GO	NEG	LEAD
11/30/16	9	Lewis Co (Chehalis) SD #302	WA	Series 2016 Unlimited Tax GO Bonds	GO	NEG	SOLE
11/29/16	2	Williamsburg City-Iowa	IA	Series 2016 B GO Corporate Purpose	GO	NEG	SOLE
11/23/16	7	Butler Co (Augusta) USD #402	KS	Series 2017 GO Refunding Bonds	GO	NEG	SOLE
11/17/16	14	Indiana Co (Armstrong) SD	PA	Series of 2016 General Obligation Bonds	GO	NEG	SOLE
11/17/16	38	Marin Comm College Dt	CA	Series A-1 General Obligation Bonds	GO	NEG	SOLE
11/17/16	60	Marin Comm College Dt	CA	Series A General Obligation Bonds	GO	NEG	SOLE
11/17/16	40	Oregon	OR	2016 Series J & K GO & Refunding Bonds	GO	NEG	CO-MGR
11/16/16	25	Los Angeles (Hermosa Beach) CSD	CA	Series A General Obligation Bonds	GO	NEG	SOLE
11/16/16	146	Sonoma Co Junior College Dt	CA	2016 & Series A GO & Refunding Bonds	GO	NEG	LEAD
11/15/16	42	Garland City-Texas	TX	Series 2016 GO Refunding Bonds	GO	NEG	CO-MGR
11/15/16	47	Snohomish Co (Everett) SD #2	WA	Series 2016 Ultd Tax General Obligation	GO	NEG	CO-MGR
11/15/16	8	Fremont-Madison Cos JSD #215	ID	Series 2016 GO Refunding Bonds	GO	NEG	SOLE
11/15/16	9	Bloomfield Town-Connecticut	CT	Issuer of 2016 GO Refunding Bonds	GO	NEG	SOLE
11/10/16	7	De Kalb Co CUSD #300	IL	Series 2016 A Debt Certificates	GO	PVT	SOLE
11/10/16	7	Kennewick City-Washington	WA	Series 2016 Limited Tax GO Bonds	GO	NEG	SOLE
11/09/16	3	Grain Valley City-Missouri	MO	Series 2016 Limited General Obligation	GO	NEG	SOLE
11/09/16	4	Lane Co (Bethel) SD #52	OR	Series 2016 GO Refunding Bonds	GO	PVT	SOLE
11/08/16	1	Kenosha Co-Wisconsin	WI	Series 2016 B GO Promissory Notes	GO	NEG	SOLE
11/08/16	10	McHenry Co (Cary) CCSD #26	IL	Series 2016 A GO Refunding School	GO	PVT	SOLE
11/08/16	8	Kenosha Co-Wisconsin	WI	Series 2016 GO Promissory Notes	GO	NEG	SOLE
11/08/16	1	King Co (Tukwila) SD #406	WA	Series 2016 Limited GO Bonds	GO	PVT	SOLE
11/03/16	36	Leander City-Texas	TX	Series 2016 Ref & Comb Tx & Rev Cert of	GO	NEG	LEAD
11/03/16	5	Emporia City-Kansas	KS	Series 2016 GO Refunding & Improvement	GO	NEG	SOLE
11/03/16	7	Miami Co (Paola) USD #368	KS	Series 2016 GO Refunding Bonds	GO	NEG	SOLE
11/03/16	76	Tacoma Metropolitan Park Dt	WA	Series 2016 Unlimited Tax GO & Ref	GO	NEG	SOLE
11/03/16	13	Meriden City-Connecticut	CT	Issue of 2016 Ser B GO Refunding Bonds	GO	NEG	SOLE
11/02/16	10	Seward Co (Liberal) USD #480	KS	Series 2016 GO Refunding Bonds	GO	NEG	SOLE
11/02/16	18	Alameda Co (San Leandro) USD	CA	2016 Series Refunding GO Bonds	GO	NEG	SOLE
11/01/16	20	Santa Clarita Comm College Dt	CA	Series of 2016 General Obligation Bonds	GO	NEG	SOLE
11/01/16	2	Miller Co Nursing Home Dt	MO	Series 2016 GO Refunding Bonds	GO	PVT	SOLE
11/01/16	2	Waukon City-Iowa	IA	Series 2016 GO Corporate Purpose Bonds	GO	PVT	SOLE
10/26/16	5	Carnegie Boro-Pennsylvania	PA	Series of 2016 General Obligation Bonds	GO	NEG	SOLE
10/26/16	9	Penn Hills Municipality-Pennsylvania	PA	Series of 2016 General Obligation Bonds	GO	NEG	SOLE
10/26/16	2	Genesee Co (Le Roy) CSD	NY	Series 2016 GO School District Ref Bonds	GO	NEG	SOLE
10/26/16	2	Palo Pinto Co (Graford) ISD	TX	Series 2016 Refunding Bonds	GO	NEG	SOLE
10/26/16	35	Snohomish Co Pub Hospital Dt #3	WA	Series 2016 GO Refunding Bonds	GO	NEG	SOLE
10/26/16	1300	New Jersey Trans Trust Fund Au	NJ	2016 Subseries A-2 Revenue Notes	GO	NEG	CO-MGR
10/26/16	1441	New Jersey Trans Trust Fund Au	NJ	2016 Subseries A-1 Revenue Notes	GO	NEG	CO-MGR
10/25/16	25	Clark Co (Washougal) SD #112-6	WA	Series 2016 Unlimited Tax GO Bonds	GO	NEG	SOLE
10/20/16		Brewton City-Alabama	AL	Series 2016 B General Obligation	GO	NEG	SOLE
10/20/16	6	Brewton City-Alabama	AL	Series 2016 A General Obligation	GO	NEG	SOLE
10/20/16	2	Shasta Co (Black Butte) UESD	CA	Series 2016 A General Obligation Bonds	GO	NEG	SOLE
10/20/16	4	El Dorado Co (Camino) USD	CA	Series 2016 General Obligation Bonds	GO	NEG	SOLE
10/20/16	1	Fayette Co (Oelwein) CSD	IA	Series 2016 GO School Capital Loan	GO	PVT	SOLE
10/19/16	9	Allegheny Co (Allegheny Valley) SD	PA	Series of 2016 General Obligation Bonds	GO	NEG	SOLE
10/19/16	6	Naperville Park Dt	IL	Series 2016 GO Ltd Tax Ref Park Bonds	GO	PVT	SOLE

Piper Jaffray General Obligation Negotiated Underwriting Experience

Sale Date	Par Size (MM's)	Issuer	State	Description	Security Type	Bid Type	Piper Role
10/19/16	65	Connecticut	CT	2016 Series F-1 General Obligation Bonds	GO	NEG	CO-MGR
10/19/16	15	Connecticut	CT	2016 Series E-6 General Obligation Bonds	GO	NEG	CO-MGR
10/19/16	94	Connecticut	CT	2016 Series E-5 General Obligation Bonds	GO	NEG	CO-MGR
10/19/16	134	Connecticut	CT	2016 Series E-4 General Obligation Bonds	GO	NEG	CO-MGR
10/19/16	91	Connecticut	CT	2016 Series E-3 General Obligation Bonds	GO	NEG	CO-MGR
10/19/16	204	Connecticut	CT	2016 Series E-2 General Obligation Bonds	GO	NEG	CO-MGR
10/19/16	47	Connecticut	CT	2016 Series E-1 General Obligation Bonds	GO	NEG	CO-MGR
10/18/16	3	Pleasant Prairie Vlg-Wisconsin	WI	Series 2016 GO Promissory Notes	GO	NEG	SOLE
10/17/16	1	Lake Bluff Park Dt	IL	Series 2016 GO Ltd Tax Park Bonds	GO	PVT	SOLE
10/17/16	8	Plainfield Fire Protection Dt	IL	Series 2016 GO Ref Alt Rev Source Bonds	GO	PVT	SOLE
10/14/16	10	Hampton Twp-Pennsylvania	PA	Series of 2016 General Obligation Bonds	GO	NEG	SOLE
10/14/16	12	Westport Town-Connecticut	CT	Issue of 2016 Ser B GO Refunding Bonds	GO	NEG	SOLE
10/13/16	10	Yolo Co (Washington) USD	CA	Series B General Obligation Bonds	GO	NEG	SOLE
10/13/16	2	Shasta Co (Junction) ESD	CA	Series A General Obligation Bonds	GO	NEG	SOLE
10/13/16		Bolingbrook Park Dt	IL	Series 2016 B GO Limited Park Bonds	GO	NEG	SOLE
10/13/16	7	Bolingbrook Park Dt	IL	Series 2016 A & C GO Ltd Park Bonds &	GO	NEG	SOLE
10/13/16		Williamson Co (Leander) ISD	TX	Series 2016 A Unlimited Tax Refunding	GO	NEG	CO-MGR
10/13/16		Williamson Co (Leander) ISD	TX	Series 2016 A Unlimited Tax Refunding	GO	NEG	CO-MGR
10/13/16	30	Williamson Co (Leander) ISD	TX	Series 2016 A Unlimited Tax Refunding	GO	NEG	CO-MGR
10/13/16	160	Williamson Co (Leander) ISD	TX	Series 2016 A Unlimited Tax Refunding	GO	NEG	CO-MGR
10/13/16	29	Titus Co-Texas	TX	Series 2016 Refunding Bonds	GO	NEG	CO-MGR
10/13/16	129	Victoria ISD	TX	Series 2016 Unlimited Tax Refunding	GO	NEG	CO-MGR
10/13/16	5	Canyon Co (Vallivue) SD #139	ID	Series 2016 GO Refunding Bonds	GO	NEG	SOLE
10/13/16	8	Clackamas Education Svc Dt	OR	Series 2016 FullFaith&Credit Proj & Ref	GO	NEG	SOLE
10/12/16	10	South Elgin Village-Illinois	IL	Series 2016 B GO Alt Rev Source	GO	NEG	SOLE
10/11/16	6	Florida Capital Trust Agency	FL	Series 2016 A Retirement Facility BANs	GO	PVT	CO-MGR
10/05/16	144	Honolulu City & Co-Hawaii	HI	Series 2016 D General Obligation Bonds	GO	NEG	CO-MGR
10/05/16	235	Honolulu City & Co-Hawaii	HI	Series 2016 A B C General Obligation	GO	NEG	CO-MGR
10/05/16	150	Dallas Co (Garland) ISD	TX	Series 2016 Unltd Tax School Building	GO	NEG	CO-MGR
10/05/16	100	Harris Co (Tomball) ISD	TX	Series 2016 Unlimited Tx Sch Bldg & Ref	GO	NEG	LEAD
10/05/16	20	King Co (Vashon Island) SD #402	WA	Series 2016 Unltd Tax GO Refunding	GO	NEG	SOLE
10/05/16	43	Oregon	OR	2016 Series I General Obligation Bonds	GO	NEG	CO-MGR
10/05/16	16	Grafton Co-New Hampshire	NH	2016 Series GO Refunding Bonds	GO	NEG	SOLE
10/04/16	92	Galveston Co (Dickinson) ISD	TX	Series 2016 A & B Unltd Tax Schoolhouse	GO	NEG	CO-MGR
10/04/16	11	South Elgin Village-Illinois	IL	Series 2016 A GO Alt Rev Source Ref	GO	PVT	SOLE
10/04/16	96	Williamson Co (Round Rock) ISD	TX	Series 2016 Unltd Tax School Bldg & Ref	GO	NEG	CO-MGR
10/04/16	1	South Hutchinson City-Kansas	KS	Series 2016 GO Temporary Notes	GO	NEG	SOLE
10/04/16	18	Monterey Co (Carmel) USD	CA	2016 Series GO Refunding Bonds	GO	NEG	SOLE
09/30/16	27	Geary Co-Kansas	KS	Series 2016 GO Refunding Bonds	GO	NEG	SOLE
09/29/16	18	Pierce Co (Bethel) SD #403	WA	Series 2016 Unlimited Tax GO Refunding	GO	NEG	SOLE
09/28/16	19	Allegheny Co (Gateway) SD	PA	Series of 2016 General Obligation Bonds	GO	NEG	SOLE
09/28/16	3	Johnson Co (Spring Hill) USD #230	KS	Series 2016-C GO Refunding Bonds	GO	NEG	SOLE
09/28/16	5	Crawford Co (Pittsburg) USD #250	KS	Series 2016-B GO Refunding Bonds	GO	NEG	SOLE
09/28/16	82	Johnson Co (Spring Hill) USD #230	KS	Series 2016-B GO Improvement Bonds	GO	NEG	SOLE
09/28/16	21	Breckenridge Mountain Metro Dt	CO	Series 2016 GO Refunding & Improvement	GO	NEG	LEAD
09/28/16	4	Dubuque Co-Iowa	IA	Series 2016 General Obligation Bonds	GO	PVT	SOLE
09/28/16	7	Essex Co (Schroon Lake) CSD	NY	Series 2016 School District Ref Serial	GO	NEG	SOLE
09/28/16	101	New Hampshire Muni Bond Bank	NH	2016 Series D Refunding Bonds	GO	NEG	CO-MGR
09/27/16	34	Galveston Co (Santa Fe) ISD	TX	Series 2016 Unlimited Tax Refunding	GO	NEG	CO-MGR
09/27/16	55	Goodyear City-Arizona	AZ	Series 2016 GO Refunding Bonds	GO	NEG	SOLE
09/27/16	5	Warren Co (Carlisle) CSD	IA	Series 2016 GO School Capital Loan	GO	PVT	SOLE
09/23/16	3	Huntley Park Dt	IL	Series 2016 Debt Certificates	GO	PVT	SOLE

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Sale Date	Par Size (MM's)	Issuer	State	Description	Security Type	Bid Type	Piper Role
09/22/16	7	Coral Canyon Special Service Dt	UT	Series 2016 GO Refunding Bonds	GO	NEG	SOLE
09/22/16	20	Los Angeles Co (Claremont) USD	CA	2016 Series GO Refunding Bonds	GO	NEG	SOLE
09/20/16	5	Napa Co (St Helena) USD	CA	Series D General Obligation Bonds	GO	NEG	SOLE
09/20/16	5	Kern Co (Lost Hills) USD	CA	2016 Series GO Refunding Bonds	GO	NEG	SOLE
09/19/16	54	Sta Clara (Los Gatos-Saratoga) JUHSD	CA	Series B General Obligation Bonds	GO	NEG	CO-MGR
09/15/16	10	Adams Co SD #90	NE	Series 2016 GO School Building Bonds	GO	NEG	SOLE
09/15/16	2	Butler-Alabama	AL	Series 2016 General Obligation Warrants	GO	NEG	SOLE
09/15/16	2	Yakima Co (Sunnyside) SD #201	WA	Series 2016 Limited General Obligation	GO	PVT	SOLE
09/14/16	18	Fresno Co USD	CA	Series 2016 B GO Refunding Bonds	GO	NEG	LEAD
09/14/16	132	Fresno Co USD	CA	Ser F & 2016 A & B GO & Refunding	GO	NEG	LEAD
09/13/16	28	Whatcom Co (Blaine) SD #503	WA	Series 2016 Unlimited Tax GO Bonds	GO	NEG	SOLE
09/09/16	13	Gorham Town-Maine	ME	Series B General Obligation Bonds	GO	NEG	SOLE
09/09/16	17	Clay Co-Kansas	KS	Series 2016 GO Refunding & Improvement	GO	NEG	SOLE
09/08/16	41	Riverside Co (Val Verde) USD	CA	Series 2016 A & C GO & Refunding Bonds	GO	NEG	SOLE
09/08/16		McFarland City- Kansas	KS	Series 2016 A General Obligation Bonds	GO	PVT	SOLE
09/08/16		McLennan Co (Bosqueville) ISD	TX	Series 2016 Refunding Bonds	GO	NEG	CO-MGR
09/08/16	1	McLennan Co (Bosqueville) ISD	TX	Series 2016 Refunding Bonds	GO	NEG	CO-MGR
09/08/16	17	Ada Co (Kuna) JSD #3	ID	Series 2016 GO Refunding Bonds	GO	NEG	SOLE
09/08/16	1	Alton City-Iowa	IA	Series 2016 GO Capital Loan Notes	GO	PVT	SOLE
09/07/16	23	Austin City-Texas	TX	Series 2016 Pub Property Fin &	GO	NEG	CO-MGR
09/07/16	44	Austin City-Texas	TX	Series 2016 Certificates of Obligation	GO	NEG	CO-MGR
09/07/16	98	Austin City-Texas	TX	Series 2016 Public Improvement & Ref	GO	NEG	CO-MGR
09/07/16	21	Austin Co-Texas	TX	Series 2016 Public Imp Bonds & Certs of	GO	NEG	CO-MGR
09/07/16	3	North Bend City-Oregon	OR	Series 2016 Full Faith & Credit Ref Oblig	GO	PVT	SOLE
09/01/16	8	West View Borough-Pennsylvania	PA	Series of 2016 General Obligations Bonds	GO	NEG	SOLE
09/01/16	2	Lincoln Co (Dietrich) SD #314	ID	Series 2016 General Obligation Bonds	GO	NEG	SOLE
09/01/16	3	St Lawrence Co (Hermon-De Kalb) CSD	NY	Series 2016 GO School District Ref Bonds	GO	NEG	SOLE
08/31/16	203	Arizona Transportation Board	AZ	Series 2016 Highway Revenue Refunding	GO	NEG	CO-MGR
08/30/16	48	Houston Community College (HCC)	TX	Series 2016 Ltd & Maintenance Tax Ref	GO	NEG	CO-MGR
08/30/16	66	Hood River Co SD	OR	Series 2016 GO & Refunding Bonds	GO	NEG	SOLE
08/26/16	5	Bedford Hills Fire Dt	NY	Series 2016 Fire District Ref Serial Bonds	GO	NEG	SOLE
08/25/16	1	Westmoreland Co-Pennsylvania	PA	Series B of 2016 General Obligation	GO	NEG	CO-MGR
08/25/16	16	Westmoreland Co-Pennsylvania	PA	Series A of 2016 General Obligation	GO	NEG	CO-MGR
08/25/16	61	Johnson Co (Olathe) USD #233	KS	Series 2016 C GO Refunding Bonds	GO	NEG	SOLE
08/25/16	2	Fairfax City-Iowa	IA	Series 2016 A & B Sewer Revenue BANs	GO	PVT	SOLE
08/25/16	15	Longview City-Texas	TX	Series 2016 GO Improvement & Refunding	GO	NEG	CO-MGR
08/25/16		Defiance City-Ohio	OH	Series 2016 GO Water System Imp Ref	GO	NEG	SOLE
08/25/16	3	Defiance City-Ohio	OH	Series 2016 GO Water System Imp Ref	GO	NEG	SOLE
08/24/16	3	Washington Co (Fort Cherry) SD	PA	Series of 2016 General Obligation Bonds	GO	NEG	SOLE
08/24/16	6	Minnesota Tax & Aid Borrow Prog	MN	Series 2016 A Certs of Participation Aid	GO	NEG	SOLE
08/24/16	3	Lewis Co (Mossyrock) SD #206	WA	Series 2016 Unlimited Tax GO Refunding	GO	NEG	SOLE
08/24/16	6	Plainville Town-Connecticut	CT	Issue of 2016 GO Refunding Bonds	GO	NEG	SOLE
08/23/16	226	Phoenix City-Arizona	AZ	Series 2016 GO Refunding Bonds	GO	NEG	LEAD
08/23/16	3	Long Beach Comm College Dt	CA	2016 Series D General Obligation Bonds	GO	NEG	LEAD
08/23/16	73	Long Beach Comm College Dt	CA	2016 Series B General Obligation Bonds	GO	NEG	LEAD
08/23/16	9	Long Beach Comm College Dt	CA	2016 Series A General Obligation Bonds	GO	NEG	LEAD
08/19/16	3	Wyoming Co-New York	NY	Series 2016 GO Public Imp Ref Serial	GO	NEG	SOLE
08/18/16	74	San Jacinto Comm College Dt	TX	Series 2016 C Limited Tax GO Refunding	GO	NEG	CO-MGR
08/18/16	4	Umatilla (Athena-Weston) SD #29RJ	OR	Series 2016 General Obligation Bonds	GO	NEG	SOLE
08/17/16	1	Northern Illinois Special Rec Association	IL	Series 2016 B Refunding Debt Certificates	GO	PVT	SOLE
08/17/16		Northern Illinois Special Rec Association	IL	Series 2016 A Refunding Debt Certificates	GO	PVT	SOLE
08/17/16	13	Cowlitz Co (Longview) SD #122	WA	Series 2016 Unlimited Tax GO Refunding	GO	NEG	SOLE

Piper Jaffray General Obligation Negotiated Underwriting Experience

Sale Date	Par Size (MM's)	Issuer	State	Description	Security Type	Bid Type	Piper Role
08/17/16	88	Southwestern Comm College Dt	CA	2016 Series A & B GO Refunding Bonds	GO	NEG	LEAD
08/16/16	6	North Fayette Twp-Pennsylvania	PA	Series of 2016 GO Refunding Bonds	GO	NEG	SOLE
08/16/16	4	South Hutchinson City-Kansas	KS	Series 2016 GO Refunding & Improvement	GO	NEG	SOLE
08/15/16	7	Cherokee Co (Baxter Springs) USD #508	KS	Series 2016 GO Refunding Bonds	GO	NEG	SOLE
08/11/16	8	Allegheny Co (Hampton) TSD	PA	Series of 2016 General Obligation Bonds	GO	NEG	SOLE
08/11/16	9	Clanton-Alabama	AL	Series 2016 General Obligation Warrants	GO	NEG	SOLE
08/11/16	5	Malheur Co (Adrian) SD #61	OR	Series 2016 GO & Refunding Bonds	GO	NEG	SOLE
08/10/16	198	Johnson Co (Olathe) USD #233	KS	Series 2016 B GO Refunding Bonds	GO	NEG	SOLE
08/10/16	129	Johnson Co (Olathe) USD #233	KS	Series 2016 A GO School Bonds	GO	NEG	SOLE
08/10/16	7	Malheur Co (Nyssa) SD #26	OR	Series 2016 General Obligation Bonds	GO	NEG	SOLE
08/09/16	30	Coast Community College Dt	CA	Series 2016 C General Obligation Bonds	GO	NEG	CO-MGR
08/09/16	2	Olympia Fields Park Dt	IL	Series 2016 A GO Alt Rev Source Park	GO	PVT	SOLE
08/09/16	5	Lebanon-Tennessee	TN	Series 2016 GO Refunding Bonds	GO	NEG	SOLE
08/05/16	2	Newcastle-Washington	WA	Series 2016 B Limited Tax GO Bonds	GO	NEG	SOLE
08/05/16	3	Newcastle-Washington	WA	Series 2016 A Limited Tax GO Bonds	GO	NEG	SOLE
08/05/16	28	Sacramento Co (Center) USD	CA	Series 2016 & 2016 E GO & Refunding	GO	NEG	SOLE
08/04/16	63	Pima Co (Tucson) USD #1	AZ	Series 2016 Refunding Bonds	GO	NEG	CO-MGR
08/04/16	4	Lane Co (Mapleton) SD #32	OR	Series 2016 General Obligation Bonds	GO	NEG	SOLE
08/04/16	4	North Castle Fire Dt #2	NY	Series 2016 GO Fire Dt Refunding Serial	GO	NEG	SOLE
08/04/16	8	Ontario Co-New York	NY	Series 2016 GO Refunding Serial Bonds	GO	NEG	SOLE
08/03/16	17	Monterey Co (North Monterey) USD	CA	Series B & 2016 GO & Refunding Bonds	GO	NEG	SOLE
08/03/16	9	Deschutes Co (Sisters) SD #6	OR	Series 2016 B General Obligation Bonds	GO	NEG	SOLE
08/03/16	85	Brazoria Co (Alvin) ISD	TX	Series 2016 A Schoolhouse & Refunding	GO	NEG	LEAD
08/03/16	2	Deschutes Co (Sisters) SD #6	OR	Series 2016 A General Obligation Bonds	GO	NEG	SOLE
08/03/16	9	Douglas Co (Baldwin) USD #348	KS	Series 2016 GO Refunding Bonds	GO	NEG	SOLE
08/03/16	26	Vancouver Library Cap Fac Area	WA	Series 2016 Unlimited Tax GO Refunding	GO	NEG	SOLE
08/02/16	86	Harris Co (Humble) ISD	TX	Series 2016 C Unlimited Tax Refunding	GO	NEG	CO-MGR
08/02/16	186	Harris Co (Humble) ISD	TX	Series 2016 A & B School Building &	GO	NEG	CO-MGR
07/28/16		Holden City-Missouri	MO	Series 2016 E GO Refunding &	GO	PVT	SOLE
07/28/16	2	Monroeville Vlg-Ohio	OH	GO Refunding Bonds	GO	PVT	SOLE
07/26/16	1	Plumas Hospital Dt	CA	Series 2016 B General Obligation Bonds	GO	PVT	SOLE
07/26/16	22	Whatcom Co (Lynden) SD #504	WA	Series 2016 Unlimited Tax GO Bonds	GO	NEG	SOLE
07/26/16	88	Yamhill Co (McMinnville) SD #40	OR	Series 2016 General Obligation Bonds	GO	NEG	SOLE
07/21/16	12	Gaston SD #511-555JT	OR	Series 2016 General Obligation Bonds	GO	NEG	SOLE
07/21/16	2	Sigourney City-Iowa	IA	Series 2016 GO Capital Loan Notes	GO	NEG	SOLE
07/20/16	71	Bandera Co (Medina Valley) ISD	TX	Series 2016 Unlimited Tax School Bldg	GO	NEG	CO-MGR
07/20/16	76	Austin Community College Dt	TX	Series 2016 Limited Tax & Refunding	GO	NEG	LEAD
07/20/16	15	Cook Co (Hinsdale) THSD #86	IL	Series 2016 GO Limited Tax Ref School	GO	PVT	SOLE
07/20/16	1	Knob Noster City-Missouri	MO	Series 2016 General Obligation Bonds	GO	NEG	SOLE
07/20/16	14	Yamhill-Carlton SD #1	OR	Series 2016 General Obligation Bonds	GO	NEG	SOLE
07/19/16	16	Lane Co (South Lane) SD #45J3	OR	Series 2016 B General Obligation Bonds	GO	NEG	SOLE
07/19/16	12	Kenosha City-Wisconsin	WI	Series 2016 A GO Promissory Notes	GO	NEG	SOLE
07/19/16	20	Lane Co (South Lane) SD #45J3	OR	Series 2016 A General Obligation Bonds	GO	NEG	SOLE
07/19/16	4	Clatsop Community College Dt	OR	Series 2016 GO Refunding Bonds	GO	PVT	SOLE
07/15/16	23	San Bernardino (Ontario-Montclair) SD	CA	2016 Series A & B GO & Crossover	GO	NEG	SOLE
07/14/16	3	Umatilla Co (Echo) SD #5	OR	Series 2016 B General Obligation Bonds	GO	NEG	SOLE
07/14/16	1	Umatilla Co (Echo) SD #5	OR	Series 2016 A GO Deferred Interest Bonds	GO	NEG	SOLE
07/14/16	23	Eagle River Fire Protection Dt	CO	Series 2016 General Obligation Bonds	GO	NEG	SOLE
07/14/16	15	Lane Co (Junction City) SD #69	OR	Series 2016 General Obligation Bonds	GO	NEG	SOLE
07/13/16	135	King Co (Issaquah) SD #411	WA	Series 2016 Unlimited Tax GO Bonds	GO	NEG	SOLE
07/13/16	247	Chabot-Las Positas Comm Coll Dt	CA	2016 Series GO Refunding Bonds	GO	NEG	LEAD
07/12/16	27	Rogue Community College Dt	OR	Series 2016 A & B GO & Refunding Bonds	GO	NEG	SOLE

Piper Jaffray General Obligation Negotiated Underwriting Experience

Sale Date	Par Size (MM's)	Issuer	State	Description	Security Type	Bid Type	Piper Role
07/12/16	10	Harris Co (Deer Park) ISD	TX	Series 2016 Limited Tax Refunding Bonds	GO	NEG	LEAD
07/12/16	1	Freeport Village-New York	NY	2016 Series B Public Improvement Ref	GO	NEG	CO-MGR
07/12/16	14	Freeport Village-New York	NY	2016 Series A Public Improvement Ref	GO	NEG	CO-MGR
07/11/16	18	Cameron Co (Rio Hondo) ISD	TX	Series 2016 Unlimited Tax School Bldg	GO	NEG	CO-MGR
07/11/16	2	Weston City-Missouri	MO	Series 2016 General Obligation Bonds	GO	NEG	SOLE
07/08/16	19	Rankin Co SD	MS	Series 2016 GO School Refunding Bonds	GO	NEG	LEAD
07/08/16	5	Burien City-Washington	WA	Series 2016 Limited Tax GO Refunding	GO	NEG	SOLE
07/07/16	10	Old Saybrook Town-Connecticut	CT	Issue of 2016 GO Refunding Bonds	GO	NEG	SOLE
07/06/16	97	Chester Co-Pennsylvania	PA	Series A of 2016 General Obligation	GO	NEG	CO-MGR
06/30/16	1	Quakertown General Authority	PA	Series 2016 A-T Healthcare Facs Rev	GO	PVT	SOLE
06/30/16	6	Quakertown General Authority	PA	Series 2016 A Healthcare Facs Rev BANs	GO	PVT	SOLE
06/29/16	69	Hoover City-Alabama	AL	Series 2016 General Obligation Warrants	GO	NEG	CO-MGR
06/29/16	2	Medina Co (Wadsworth City) SD	OH	Series 2016 GO Library Improvement Ref	GO	NEG	SOLE
06/29/16	28	Smith Co Public Bldg Commission	KS	Series 2016 Revenue Anticipation Bonds	GO	PVT	SOLE
06/28/16	25	San Bernardino Co (Colton) JUSD	CA	Series D General Obligation Bonds	GO	NEG	LEAD
06/28/16	2	Pflugerville City-Texas	TX	Series 2016 C Comb Tax & Ltd Rev Certs	GO	NEG	CO-MGR
06/28/16	15	Pflugerville City-Texas	TX	Series 2016 A & B Comb Tax & Ltd Rev	GO	NEG	CO-MGR
06/28/16	109	Johnson Co (Clebume) ISD	TX	Series 2016 Unlimited Tax School Bldg	GO	NEG	CO-MGR
06/28/16	53	Pflugerville City-Texas	TX	Series 2016 Limited Tax & Refunding	GO	NEG	LEAD
06/28/16	13	Columbia Co (Vernonia) SD #47J	OR	Series 2016 GO Refunding Bonds	GO	PVT	SOLE
06/28/16	41	Mohave Co (Kingman) USD #20	AZ	Series 2016 Refunding Bonds	GO	NEG	SOLE
06/28/16	68	Ohlone Community College Dt	CA	Series 2016 GO Refunding Bonds	GO	NEG	SOLE
06/28/16	52	San Bernardino Co (Colton) JUSD	CA	2016 Series B GO Refunding Bonds	GO	NEG	LEAD
06/24/16	2	Independence City-Kansas	KS	Series 2016-a GO Refunding Bonds	GO	NEG	SOLE
06/23/16		Lake Winnebago City-Missouri	MO	Series 2016 General Obligation Bonds	GO	NEG	SOLE
06/23/16	10	East Lyme Town-Connecticut	CT	Issue of 2016 GO Refunding Bonds	GO	NEG	SOLE
06/22/16	42	Altoona City-Iowa	IA	Series 2016 C GO Renewed Refunding	GO	NEG	LEAD
06/22/16	3	De Kalb Co CUSD #300	IL	Series 2016 Debt Certificates	GO	PVT	SOLE
06/22/16	33	North Thurston SD #3	WA	Series 2016 General Obligation Bonds	GO	NEG	SOLE
06/16/16	6	Caddo Parish-Louisiana	LA	Series 2016 GO Refunding Bonds	GO	PVT	SOLE
06/16/16	7	Tillamook Bay Comm Coll Dt	OR	Series 2016 GO Refunding Bonds	GO	PVT	SOLE
06/16/16	8	Upshur Co (Ore City) ISD	TX	Series 2016 Unlimited Tax Refunding	GO	NEG	SOLE
06/16/16	3	Washington (Sherwood) SD #88J	OR	Series 2016 GO Refunding Bonds	GO	PVT	SOLE
06/15/16	4	Allegheny (Elizabeth Forward) SD	PA	Series B & C of 2016 GO & Refunding	GO	NEG	SOLE
06/14/16	6	West Mifflin Boro-Pennsylvania	PA	Series of 2016 GO Refunding Bonds	GO	NEG	SOLE
06/14/16	4	Leavenworth Co (Basehor-Linwood) USD #458	KS	Series 2016-B GO Refunding Bonds	GO	NEG	SOLE
06/10/16	13	Torrington City-Connecticut	CT	Series of 2016 GO Refunding Bonds	GO	NEG	SOLE
06/10/16	3	Torrington City-Connecticut	CT	General Obligation BANs	GO	NEG	SOLE
06/09/16	12	Braxton Co BOE	WV	Series 2016 Public School Refunding	GO	NEG	SOLE
06/09/16	9	Gateway Regional Metropolitan Dt	CO	Series 2016 GO Limited Tax Refunding	GO	NEG	SOLE
06/09/16	2	Madison Town-Maine	ME	Series 2016 GO Refunding Bonds	GO	NEG	SOLE
06/09/16	7	Williamette Education Services Dt	OR	Series 2016 Full Faith & Credit Obligation	GO	PVT	SOLE
06/08/16	4	Fairfield-Iowa	IA	Series 2016 Sewer Project RANs	GO	PVT	SOLE
06/08/16	18	Sedgwick Co (Valley Ctr) USD #262	KS	Series 2016 General Obligation Bonds	GO	NEG	SOLE
06/07/16	500	Idaho	ID	Series 2016 TANs	GO	NEG	SOLE
06/06/16	9	Sherman Co (Goodland) USD #352	KS	Series 2016 GO Refunding Bonds	GO	NEG	SOLE
06/03/16	3	Ellis-Kansas	KS	Series 2016 GO Refunding Bonds	GO	NEG	SOLE
06/02/16	100	North Orange Co Comm College Dt	CA	Series 2016 A General Obligation Bonds	GO	NEG	CO-MGR
06/02/16	11	Lorain Co (Amherst) EVSD	OH	Series 2016 GO Refunding Bonds	GO	PVT	SOLE
06/02/16	8	Port of Newport-Oregon	OR	Series 2016 GO Refunding Bonds	GO	NEG	SOLE
06/02/16	8	San Mateo Co (Portola Valley) SD	CA	Series 2016 GO Refunding Bonds	GO	PVT	SOLE
06/01/16	14	Lane Comm College Dt	OR	Series 2016 GO Refunding Bonds	GO	NEG	SOLE

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Sale Date	Par Size (MM's)	Issuer	State	Description	Security Type	Bid Type	Piper Role
05/31/16	3	Cape Girardeau Co Reorg Swr Dt	MO	Series 2016 BANs	GO	PVT	SOLE
05/31/16	4	Frankfort-Illinois	IL	Series 2016 GO Ltd Tax Ref Debt	GO	NEG	SOLE
05/26/16	30	Los Angeles Co (Sulphur Springs) USD	CA	Series 2016 C General Obligation Bonds	GO	NEG	SOLE
05/26/16	2	Story Co-Iowa	IA	Series 2016 GO Refunding Bonds	GO	PVT	SOLE
05/26/16	8	Vancouver City-Washington	WA	Series 2016 Limited Tax GO Refunding	GO	NEG	SOLE
05/25/16	42	Allegheny Co (Shaler) ASD	PA	Series A-D of 2016 GO & Refunding	GO	NEG	SOLE
05/25/16	3	Ventura Co (Moorpark) USD	CA	Series 2016 D General Obligation Bonds	GO	PVT	SOLE
05/25/16	72	Alamo Community College Dt	TX	Series 2016 Limited Tax Refunding Bonds	GO	NEG	CO-MGR
05/25/16	9	Madison Town-Connecticut	CT	Issue of 2016 GO Refunding Bonds	GO	NEG	SOLE
05/24/16	3	Clark Co (Battle Ground) SD #119	WA	Series 2016 GO Refunding Bonds	GO	NEG	SOLE
05/24/16	8	Saline Co (Salina) USD #305	KS	Series 2016 GO Refunding Bonds	GO	NEG	SOLE
05/24/16	1	Scott Co (Sikeston) R-VI SD	MO	Series 2016 GO Refunding Bonds	GO	PVT	SOLE
05/24/16	7	Shasta Co (Enterprise) ESD	CA	2016 Series & C GO & Refunding Bonds	GO	NEG	SOLE
05/19/16	124	Bexar Co (San Antonio) ISD	TX	Series 2016 School Building & Refunding	GO	NEG	CO-MGR
05/19/16	50	Pacific Communities Health Dt	OR	Series 2016 General Obligation Bonds	GO	NEG	LEAD
05/19/16	8	Cayuga Co-New York	NY	Series 2016 GO Public Improvement Ref	GO	NEG	SOLE
05/18/16	58	San Jose-Evergreen Comm Coll Dt	CA	Series D General Obligation Bonds	GO	NEG	SOLE
05/18/16	17	Pasadena City-Texas	TX	Series 2016 A GO Refunding Bonds	GO	NEG	LEAD
05/18/16	56	King Co (Tukwila) SD #406	WA	Series 2016 General Obligation Bonds	GO	NEG	SOLE
05/18/16	9	Kirkwood Community College	IA	Series 2016 GO Refunding Plant Fund	GO	PVT	SOLE
05/17/16	15	Griswold Town-Connecticut	CT	Series of 2016 GO Refunding Bonds	GO	NEG	SOLE
05/17/16	9	Allegheny (Elizabeth Forward) SD	PA	Series A of 2016 GO Refunding Bonds	GO	NEG	SOLE
05/17/16	344	Nashville-Davidson Co Metro Govt	TN	Series 2016 GO Refunding Bonds	GO	NEG	LEAD
05/17/16	1	Butler Co (Flinthills) USD #492	KS	Series 2016 GO Refunding Bonds	GO	NEG	SOLE
05/17/16	94	Santa Clarita Comm College Dt	CA	2016 Series GO Refunding Bonds	GO	NEG	SOLE
05/16/16		Des Moines (West Burlington) ISD	IA	Series 2016 GO School Capital Loan	GO	PVT	SOLE
05/13/16	6	Plum Borough-Pennsylvania	PA	Series of 2016 General Obligation Bonds	GO	NEG	SOLE
05/13/16	24	Addison Town-Texas	TX	Series 2016 GO Refunding Bonds	GO	NEG	CO-MGR
05/12/16	8	Covington-Washington	WA	Series 2016 Limited Tax GO Refunding	GO	NEG	SOLE
05/12/16	3	Minidoka Co JSD #331	ID	Series 2016 GO Refunding Bonds	GO	NEG	SOLE
05/12/16	18	Northern Inyo Co Local Hosp Dt	CA	Series 2016 General Obligation Bonds	GO	PVT	SOLE
05/12/16	13	Tulare Co (Dinuba) USD	CA	Series 2016 GO Refunding Bonds	GO	NEG	SOLE
05/11/16	41	Oregon	OR	2016 Series H General Obligation Bonds	GO	NEG	CO-MGR
05/11/16	47	Oregon	OR	2016 Series G GO Refunding Bonds	GO	NEG	CO-MGR
05/11/16	181	Oregon	OR	2016 Series F GO Refunding Bonds	GO	NEG	CO-MGR
05/11/16	15	Oregon	OR	2016 Series E General Obligation Bonds	GO	NEG	CO-MGR
05/11/16	67	Oregon	OR	2016 Series D General Obligation Bonds	GO	NEG	CO-MGR
05/10/16	41	Dallas Co (Garland) ISD	TX	Series 2016 Unlimited Tax Refunding	GO	NEG	LEAD
05/10/16	3	Plattsburgh City-New York	NY	Series 2016 Pub Improvement Ref Serial	GO	NEG	SOLE
05/10/16	4	Pottawattamie Co (Treyner) CSD	IA	Series 2016 GO School Refunding Bonds	GO	NEG	SOLE
05/10/16	3	Stevens Co (Valley) SD #70	WA	Series 2016 GO Refunding Bonds	GO	PVT	SOLE
05/05/16	5	Iowa Higher Ed Loan Authority	IA	Series 2016 A RANs	GO	NEG	SOLE
05/04/16	2	Fairfield-Iowa	IA	Series 2016 B GO Refunding Capital Loan	GO	NEG	SOLE
05/04/16	3	Fairfield-Iowa	IA	Series 2016 A GO Refunding Capital Loan	GO	NEG	SOLE
05/04/16	55	Clark Co (Camas) SD #117	WA	Series 2016 GO Unlimited Tax Bonds	GO	NEG	SOLE
05/04/16	2	Wallace Co-Kansas	KS	Series 2016 GO Refunding Bonds	GO	NEG	SOLE
05/04/16	18	Williamson Co (Franklin) SSD	TN	Series 2016 Limited Tax Sch Ref & Imp	GO	NEG	SOLE
05/03/16	3	Burlington City-Iowa	IA	Series 2016 A & B GO Corporate Purpose	GO	NEG	SOLE
05/03/16	26	Monterey Peninsula Comm Coll Dt	CA	Series 2016 GO Refunding Bonds	GO	NEG	SOLE
05/03/16	80	Monterey Peninsula Comm Coll Dt	CA	Series 2016 GO Refunding Bonds	GO	NEG	SOLE
04/28/16	37	Niagara Falls City-New York	NY	Series 2016 GO Refunding Bonds	GO	NEG	CO-MGR
04/28/16	7	Leavenworth Co (Lansing) USD #469	KS	Series 2016 GO Refunding Bonds	GO	NEG	SOLE

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Sale Date	Par Size (MM's)	Issuer	State	Description	Security Type	Bid Type	Piper Role
04/27/16	24	Clackamas (No Clackamas) SD #12	OR	Series 2016 GO Refunding Bonds	GO	NEG	SOLE
04/27/16	6	Grimes City-Iowa	IA	Series 2016 GO Water Improvement & Ref	GO	NEG	SOLE
04/27/16	4	Orange Co (Buena Park) SD	CA	Series 2016 General Obligation BANs	GO	NEG	SOLE
04/26/16	155	Ohlone Community College Dt	CA	Series C General Obligation Bonds	GO	NEG	SOLE
04/26/16	143	Denver City and Co SD #1	CO	Series 2016 GO Refunding Bonds	GO	NEG	CO-MGR
04/26/16	2	Milford City-Iowa	IA	Series 2016 GO Corp Purpose &	GO	NEG	SOLE
04/26/16	53	Riverside USD	CA	2016 Series GO Refunding Bonds	GO	NEG	SOLE
04/22/16	4	Port of Bellingham-Washington	WA	Series 2016 Limited Tax GO Refunding	GO	NEG	SOLE
04/21/16	133	Kitsap Co (Central Kitsap) SD #401	WA	Series 2016 GO Unlimited Tax Bonds	GO	NEG	SOLE
04/21/16	5	Merced Co (Weaver) USD	CA	Series 2016 GO Refunding Bonds	GO	NEG	SOLE
04/21/16	6	Merced Co (Weaver) USD	CA	Series 2016 GO Refunding Bonds	GO	NEG	SOLE
04/20/16	4	Labette Co (Chetopa-St-Paul) USD #505	KS	Series 2016 GO Refunding Bonds	GO	NEG	SOLE
04/19/16	4	Deschutes Co (Bend-La Pine) ASD #1	OR	Series 2016 General Obligation Bonds	GO	PVT	SOLE
04/19/16	8	Grant Co-Kansas	KS	Series 2016 GO Refunding Bonds	GO	NEG	SOLE
04/15/16	1	Golf Maine Park Dt	IL	Series 2016 GO Limited Tax Park Bonds	GO	PVT	SOLE
04/15/16	1	Palatine Park Dt	IL	Series 2016 Limited Park Bonds	GO	PVT	SOLE
04/14/16	153	Harris Co (Klein) ISD	TX	Series 2016 A & B Unlimited Tax	GO	NEG	CO-MGR
04/14/16	13	Harrison Co-Mississippi	MS	Series 2016 GO Refunding Bonds	GO	NEG	SOLE
04/13/16	29	Dutchess Co (Arlington) CSD	NY	Series 2016 School District Refunding	GO	NEG	SOLE
04/13/16	4	North Lincoln Fire & Rescue Dt #1	OR	Series 2016 GO Refunding Bonds	GO	NEG	SOLE
04/13/16	19	Santa Clara Co (Orchard) SD	CA	Series 2016 GO Refunding Bonds	GO	NEG	SOLE
04/12/16	228	Ohio	OH	Series S GO Highway Capital Imp Bonds	GO	NEG	CO-MGR
04/12/16	127	Tarrant Co (Keller) ISD	TX	Series 2016 A & B Unlimited Tax	GO	NEG	LEAD
04/12/16	16	Washington Co (Beaverton) SD #48J	OR	Series 2016 Full Faith&Credit Ref Oblig	GO	NEG	SOLE
04/11/16	25	El Paso Co (Clint) ISD	TX	Series 2016 Unlimited Tax Refunding	GO	NEG	LEAD
04/11/16	37	Boone Co (Columbia) SD	MO	Series 2016 GO Refunding & Improv	GO	NEG	SOLE
04/08/16	4	Cattaraugus Co-New York	NY	Series 2016 GO Public Improvement Ref	GO	NEG	SOLE
04/07/16	18	Madera Co (Golden Valley) USD	CA	Series 2016 GO Refunding Bonds	GO	NEG	SOLE
04/07/16	7	Stanton Co-Kansas	KS	Series 2016 GO Refunding Bonds	GO	NEG	SOLE
04/07/16	39	Victor Valley Comm College Dt	CA	2016 Series B GO Refunding Bonds	GO	NEG	SOLE
04/07/16	63	Victor Valley Comm College Dt	CA	2016 Series A & C GO Refunding Bonds	GO	NEG	SOLE
04/07/16	80	University of Connecticut	CT	2016 Series A GO Refunding Bonds	GO	NEG	CO-MGR
04/07/16	262	University of Connecticut	CT	2016 Series A General Obligation Bonds	GO	NEG	CO-MGR
04/07/16	45	Tahoe Forest Hospital Dt	CA	2016 Series GO Refunding Bonds	GO	NEG	CO-MGR
04/06/16	26	Johnson Co (Spring Hill) USD #230	KS	Series 2016 GO Refunding Bonds	GO	NEG	SOLE
04/05/16	1	Finney Co (Garden City) USD #457	KS	Series 2016 C GO Refunding Bonds	GO	NEG	SOLE
04/05/16	21	Finney Co (Garden City) USD #457	KS	Series 2016 B GO Refunding Bonds	GO	NEG	SOLE
04/05/16	35	Collin Co (McKinney) ISD	TX	Series 2016 Unlimited Tax Refunding	GO	NEG	LEAD
04/05/16	20	Flower Mound Town-Texas	TX	Series 2016 GO Refunding Bonds	GO	NEG	LEAD
04/05/16	2	Killingly Town-Connecticut	CT	Issue of 2016 Ser B General Obligation	GO	NEG	SOLE
04/05/16	9	Killingly Town-Connecticut	CT	Issue of 2016 Ser A General Obligation	GO	NEG	SOLE
04/05/16	4	Keizer Rural Fire Protection Dt	OR	2016 General Obligation Bonds	GO	NEG	SOLE
04/01/16	3	Wilmette Park Dt	IL	Series 2016 A-C Ltd Park & Ref Bonds &	GO	PVT	SOLE
04/01/16	6	Grant Co (Moses Lake) SD #161	WA	Series 2016 GO Refunding Bonds	GO	NEG	SOLE
04/01/16	21	Huntertown Town-Indiana	IN	Series 2016 BANs	GO	PVT	SOLE
04/01/16	1	Woodridge Park Dt	IL	Series 2016 Limited Park Bonds	GO	PVT	SOLE
03/31/16	121	Denton Co-Texas	TX	Series 2016 Permanent Improvement Ref	GO	NEG	CO-MGR
03/30/16	38	Los Angeles Co (Redondo Beach) USD	CA	Series 2016 A & C GO & Refunding Bonds	GO	NEG	SOLE
03/30/16	22	Canyon Co (Nampa) SD #131	ID	Series 2016 GO Refunding Bonds	GO	NEG	SOLE
03/30/16	5	Grays Harbor (Montesano) SD #66	WA	Series 2016 GO Refunding Bonds	GO	NEG	SOLE
03/29/16	122	University of Minnesota Regents	MN	Series 2016 A General Obligation Bonds	GO	NEG	CO-MGR
03/29/16	1	Williamsburg City-Iowa	IA	Series 2016 GO Refunding Bonds	GO	NEG	SOLE

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Sale Date	Par Size (MM's)	Issuer	State	Description	Security Type	Bid Type	Piper Role
03/29/16	8	Darien Town-Connecticut	CT	Issues of 2016 GO Refunding Bonds	GO	NEG	SOLE
03/24/16	46	Texas Water Development Board	TX	Series 2016 A GO Wtr Financial	GO	NEG	LEAD
03/24/16	8	Medina Co (Wadsworth City) SD	OH	Series 2016 GO School Improvement Ref	GO	NEG	SOLE
03/23/16	3	Tarentum Boro-Pennsylvania	PA	Series of 2016 General Obligation Bonds	GO	NEG	SOLE
03/23/16	4	Auburn City-Washington	WA	Series 2016 Limited Tax GO Refunding	GO	PVT	SOLE
03/23/16	7	Nine Mile Falls SD #325-179	WA	Series 2016 GO Unlimited Tax Refunding	GO	NEG	SOLE
03/23/16	1	Solano Co (Dixon) USD	CA	Series 2016 GO Refunding Bonds	GO	NEG	SOLE
03/23/16	4	Solano Co (Dixon) USD	CA	Series 2016 GO Refunding Bonds	GO	NEG	SOLE
03/23/16	7	Solano Co (Dixon) USD	CA	Series 2016 GO Refunding Bonds	GO	NEG	SOLE
03/23/16	27	Fairfield Town-Connecticut	CT	Issue of 2016 GO Refunding Bonds	GO	NEG	SOLE
03/22/16	6	Cheshire Town-Connecticut	CT	Series of 2016 GO Refunding Bonds	GO	NEG	SOLE
03/22/16	3	Stowe Twp-Pennsylvania	PA	Series of 2016 GO Refunding Bonds	GO	NEG	SOLE
03/22/16	35	San Diego Co (Oceanside) USD	CA	Series D General Obligation Bonds	GO	NEG	SOLE
03/22/16	143	De Kalb Co-Georgia	GA	Series 2016 GO Refunding Bonds	GO	NEG	CO-MGR
03/22/16	4	Iowa Great Lakes Sanitary Dt	IA	Series 2016 GO Refunding Capital Loan	GO	NEG	SOLE
03/22/16	22	Scott Co-Kansas	KS	Series 2016 GO Refunding Bonds	GO	NEG	SOLE
03/18/16	4	Columbus City-Indiana	IN	Series 2016 A Redevelopment Dt Ref	GO	NEG	SOLE
03/18/16	3	Iowa Co (H-L-V) CSD	IA	Series 2016 GO School Refunding Bonds	GO	NEG	SOLE
03/17/16	4	Wilmette Park Dt	IL	Series 2016 D GO Limited Refunding Park	GO	PVT	SOLE
03/17/16	65	Finney Co (Garden City) USD #457	KS	Series 2016 A GO Refunding Bonds	GO	NEG	SOLE
03/17/16	3	Benton Co (Belle Plaine) CSD	IA	Series 2016 GO School Refunding Bonds	GO	NEG	SOLE
03/17/16	23	Eagle River Wtr & Sanitation Dt	CO	Series 2016 General Obligation Bonds	GO	NEG	SOLE
03/17/16	10	Fayette (West Central Valley) CSD	IA	Series 2016 GO School Refunding Bonds	GO	NEG	SOLE
03/17/16	2	Lorain Co (Amherst) EVSD	OH	Series 2016 GO Limited TANs	GO	PVT	SOLE
03/16/16	10	Mercer Co ASD	PA	Series of 2016 GO Refunding Bonds	GO	NEG	SOLE
03/16/16	4	Fayetteville-New York	NY	Series 2016 GO Pub Imp Ref Bonds	GO	NEG	SOLE
03/16/16	8	Monroe Co (Greece) CSD	NY	Series 2016 School District Ref Bonds	GO	NEG	SOLE
03/10/16	4	Arnolds Park City-Iowa	IA	Series 2016 A & B GO Refunding Bonds	GO	NEG	SOLE
03/10/16	2	Rush Co-Kansas	KS	Series 2016 GO Refunding Bonds	GO	NEG	SOLE
03/10/16	15	Guilford Town-Connecticut	CT	2016 Series A GO Refunding Bonds	GO	NEG	SOLE
03/09/16	4	Naugatuck Boro-Connecticut	CT	Issue of 2016 GO Refunding Bonds	GO	NEG	SOLE
03/09/16	8	Naugatuck Boro-Connecticut	CT	General Obligation BANs	GO	NEG	SOLE
03/08/16	59	King Co (Renton) SD #403	WA	Series 2016 GO Unlimited Tax Refunding	GO	NEG	SOLE
03/08/16	8	Story Co (Ballard) CSD	IA	Series 2016 GO School Refunding Bonds	GO	NEG	SOLE
03/02/16	11	Avon Town-Connecticut	CT	Series of 2016 GO Refunding Bonds	GO	NEG	SOLE
03/02/16	5	Crawford Co (Pittsburg) USD #250	KS	Series 2016 GO Refunding Bonds	GO	NEG	SOLE
03/01/16	2	Elk Grove Park Dt	IL	Series 2016 Limited Park Bonds	GO	PVT	SOLE
03/01/16	6	Lee Co-Iowa	IA	Series 2016 GO Refunding Bonds	GO	NEG	SOLE
03/01/16	1	Lisle Park Dt	IL	Series 2016 GO Limited Tax Park Bonds	GO	PVT	SOLE
03/01/16	5	McHenry Co (Huntley) CSD #158	IL	Series 2016 Refunding Bonds	GO	PVT	SOLE
03/01/16	43	West Contra Costa USD	CA	2016 Series D & C General Obligation	GO	NEG	LEAD
03/01/16	148	West Contra Costa USD	CA	2016 Series A, C & D GO & Refunding	GO	NEG	LEAD
02/26/16	18	Park Hospital Dt	CO	Series 2016 Loan Agreement & Promisorry	GO	PVT	SOLE
02/25/16	58	Chester Co-Pennsylvania	PA	Series of 2016 General Obligation Bonds	GO	NEG	LEAD
02/25/16	19	San Marcos City-Texas	TX	Series 2016 GO Refunding Bonds	GO	NEG	CO-MGR
02/24/16	116	Lake Co (Willoughby-Eastlake City) SD	OH	Series 2016 GO Unltd Tax School Imp	GO	NEG	SOLE
02/24/16	14	Pierce Co (University Place) SD #83	WA	Series 2016 GO Refunding Bonds	GO	NEG	SOLE
02/23/16	75	Bonneville-Bingham Cos JSD #93	ID	Series 2016 A & B GO & Refunding Bonds	GO	NEG	SOLE
02/23/16	89	Galveston Co (Friendswood) ISD	TX	Series 2016 Unlimited Tax Refunding	GO	NEG	CO-MGR
02/19/16	6	Dickinson Co (Chapman) USD #473	KS	Series 2016 GO Refunding Bonds	GO	NEG	SOLE
02/18/16	41	Marin Comm College Dt	CA	2016 Series GO Refunding Bonds	GO	NEG	SOLE
02/17/16	130	Brazoria Co (Alvin) ISD	TX	Series 2016 Unltd Tax Schoolhouse & Ref	GO	NEG	LEAD

Piper Jaffray General Obligation Negotiated Underwriting Experience

Sale Date	Par Size (MM's)	Issuer	State	Description	Security Type	Bid Type	Piper Role
02/17/16	7	Redding Town-Connecticut	CT	Issue of 2016 GO Refunding Bonds	GO	NEG	SOLE
02/15/16	1	Itasca Park Dt	IL	Series 2016 GO Limited Tax Park Bonds	GO	PVT	SOLE
02/15/16	6	Northbrook Park Dt	IL	Series 2016 GO Limited Tax Park Bonds	GO	PVT	SOLE
02/12/16	8	Pottawatomie (Wamego) USD #320	KS	Sereis 2016 GO Refunding Bonds	GO	NEG	SOLE
02/11/16	3	Beloit City-Kansas	KS	Series 2016-A GO Electric Util Sys Ref	GO	NEG	SOLE
02/11/16	51	Riley Co (Manhattan) USD #383	KS	Series 2016 GO Refunding Bonds	GO	NEG	SOLE
02/11/16	4	Clinton Town-Connecticut	CT	Issue of 2016 Ser B GO Refunding Bonds	GO	NEG	SOLE
02/10/16	41	Brazoria Co (Pearland) ISD	TX	Series 2016 Unlimited Tax Refunding	GO	NEG	LEAD
02/10/16		Okoboji City-Iowa	IA	Series 2016 GO Capital Loan Notes	GO	NEG	SOLE
02/09/16	27	Johnson Co (Joshua) ISD	TX	Series 2016 Unlimited Tax Refunding	GO	NEG	CO-MGR
02/09/16	19	Montgomery Co (Independence) USD #446	KS	Series 2016 GO Refunding Bonds	GO	NEG	SOLE
02/08/16	4	Defiance City-Ohio	OH	Series 2016 A & B GO Water & Sanit Imp	GO	NEG	SOLE
02/04/16	8	Grayson Co (Collinsville) ISD	TX	Series 2016 Unlimited Tax School Bldg	GO	NEG	SOLE
02/04/16	15	Los Angeles Co (Montebello) USD	CA	2016 Series A GO Refunding Bonds	GO	NEG	SOLE
02/04/16	19	San Bernardino Co (Colton) JUSD	CA	2016 Series GO Refunding Bonds	GO	NEG	CO-MGR
02/03/16	55	Bucks Co-Pennsylvania	PA	Series of 2016 General Obligation Bonds	GO	NEG	LEAD
02/03/16	10	Schertz-Cibolo-Universal City ISD	TX	Series 2016 Unlimited Tax Refunding	GO	NEG	CO-MGR
02/03/16	94	Schertz-Cibolo-Universal City ISD	TX	Series 2016 Unlimited Tax Refunding	GO	NEG	CO-MGR
02/02/16	36	St Charles Co (Francis Howell) SD #R-III	MO	Series 2016 GO Refunding Bonds	GO	NEG	CO-MGR
01/26/16	10	Temple City-Texas	TX	Series 2016 GO Refunding Bonds	GO	NEG	LEAD
01/26/16	18	Temple City-Texas	TX	Series 2016 Comb Tax & Rev Certs of	GO	NEG	LEAD
01/25/16	20	Cullman City-Alabama	AL	Series 2016 General Obligation Warrants	GO	NEG	SOLE
01/21/16	9	St Louis Co (University City) SD	MO	Series 2016 GO Refunding Bonds	GO	NEG	CO-MGR
01/20/16	1	Chicago Heights Park Dt	IL	Series 2016 GO Limited Tax Park Bonds	GO	PVT	SOLE
01/20/16	10	Lewis Co (White Pass) SD #303	WA	Series 2016 GO Unlimited Tax Refunding	GO	NEG	SOLE
01/14/16	138	Montgomery Co (Conroe) ISD	TX	Series of 2016 School Building &	GO	NEG	CO-MGR
01/14/16	6	Sisters-Oregon	OR	Series 2016 Full Faith & Credit Ref Bonds	GO	NEG	SOLE
01/14/16	186	El Camino Community College Dt	CA	2016 Series & A GO & Refunding Bonds	GO	NEG	CO-MGR
01/08/16	6	Bremer Co (Wapsie Valley) CSD	IA	Series 2016 GO School Refunding Bonds	GO	NEG	SOLE
01/07/16	216	El Paso Co (Ysleta) ISD	TX	Series 2016 Unltd Tax School Building	GO	NEG	LEAD
01/07/16	18	Redmond City-Washington	WA	Series 2016 Ltd Tax GO & Refunding	GO	NEG	SOLE
01/07/16	9	Los Angeles Co (Bassett) USD	CA	2016 Series GO Refunding Bonds	GO	NEG	SOLE
01/06/16	8	Sparta City-Illinois	IL	Series 2016 GO Refunding Bonds	GO	NEG	SOLE



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THE FRAZER LANIER COMPANY

INCORPORATED
INVESTMENT BANKERS

Baldwin County Commission
312 Courthouse Square, Suite 12
Bay Minette, Alabama 36607

Re: RFQ for Underwriter

Dear Lady and Gentlemen,

We are pleased to respond to your RFQ dated November 13, 2019. It has been our pleasure to represent your County for over 20 years, as well as the cities of Fairhope, Foley, Robertsdale and Bay Minette, and Foley Utilities.

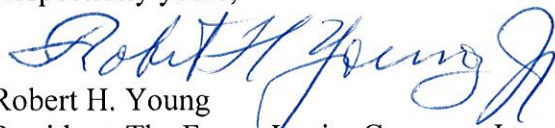
As we state in our response, it was our Firm who had a lead role in reestablishing the excellent credit rating that Baldwin County enjoys today. Our Firm has represented your County on numerous occasions both in front of the rating agencies in New York, on site visits there in the County, as well as conference call presentations. We believe that no firm matches ours in the knowledge of economics and demographics in the County as well as your finances.

One of the contributions that our Firm made to the County to reestablish your rating was the recommendation to begin preparing 5-year financial forecast. At a time where your County lost it's rating, we conferred with the rating agencies and concluded that having a 5-year financial forecast would avoid future calamities, which brought your rating down. Since that time your finance department has regularly forecasted the General Fund, Solid Waste Fund, as well as the Highway Fund. You have always been complimented by both Moody's and S&P on the quality of your work and they believe that this in part safeguards the County's future financial operations.

We also are submitting to you rate comparison tables that we have recently provided to Madison County Board of Education and the City of Tuscaloosa. Each of these credits, like Baldwin County, are highly rated. We share these rate comparisons to ensure that our clients were knowledgeable that we had delivered superior results in terms of pricing and selling their debt. You will notice that the compared issuers were underwritten by firms substantially larger than our Firm, yet our pricing was comparable, and in many maturities actually below the competition. We pride ourselves not only in our abilities to prepare our clients for rating agency presentations and development of the final official statements, but also in delivering excellent interest rates.

We believe that we have addressed all of your questions, but should you have additional matters that you wish for us to address, I would be delighted to respond to them.

Respectfully yours,



Robert H. Young
President, The Frazer Lanier Company Incorporated

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300 WATER STREET
MONTGOMERY, AL 36104

RESPONSE TO BALDWIN COUNTY, ALABAMA

REQUEST FOR STATEMENT OF QUALIFICATIONS: UNDERWRITER

November 13, 2019

1. Describe the firm's net capital position and its underwriting ability and willingness to underwrite bonds.

Unsold balance in primary market municipal underlying.

- Net Capital Position (9/30/19) \$1,047,749
- Underwriting Ability (9/30/19) \$13,500,000

As to our willingness to underwrite, we served as investment banker to the Madison County Board of Education and the City of Auburn. At the end of the sales period, multiple maturities were underwritten in order to complete a successful sale for each client. Each of these sales took place within the last 60 days.

2. Discuss your proposed transaction team which would be assigned to serve as underwriter to the County. Be specific regarding the person(s) who would have primary and/or day-to-day responsibility for directing your firm's efforts. Provide a resume for each individual assigned to the County's service including their title, address, phone, and e-mail.



Robert H. Young, President
300 Water Street Montgomery, AL 36104
(334) 265-8515
bobyoun@fralan.com

Robert H. Young, Jr. graduated from the public schools of Selma, Alabama, and from Auburn University with a Bachelor of Science degree in 1971. After serving in the United States Army, he entered the investment banking field in 1972 and joined The Frazer Lanier Company in 1976. Mr. Young has served as a member of the Auburn University Business School Advisory Committee, and as a Committeeman and Vice-Chairman on the District V Business Conduct Committee of the National Association of Securities Dealers.

Mr. Young will have day-to-day responsibility for the engagement.



S. Ashton Stuckey, Vice Chairman
300 Water Street Montgomery, AL 36104
(334) 265-8516
ashtonstuckey@fralan.com

S. Ashton Stuckey graduated from Rhodes College in 1966 with a Bachelor of Arts degree in Economics. Since 1967, he has been involved in many areas of the securities business. As an Executive Vice President of SouthTrust Corporation, a bank holding company, he managed the Investment Division which included fixed income sales and trading, as well as funding, investment portfolio management and asset liability management. For eight years prior to joining The Frazer Lanier Company, Mr. Stuckey was a Managing Director of a New York Stock Exchange firm where he managed the Fixed Income Division. Responsibilities with The Frazer Lanier Company include fixed income trading, municipal underwriting and management of institutional sales. Mr. Stuckey has served as a member of the Municipal Securities Rulemaking Board and as a member of the MSRB Professional Qualifications Committee.



Chandler Combest, Senior Vice President
300 Water Street Montgomery, AL 36104
(334) 386-3037
chandler@fralan.com

Chandler Combest is a graduate of Auburn University, with degrees in English and business administration, and the University of Alabama School of Law. Prior to joining The Frazer Lanier Company, Chandler was an attorney with Bradley Arant Boult Cummings LLP for seven years, practicing in the fields of public finance, corporate finance and real estate. Mr. Combest has extensive experience in advising governmental entities, financial institutions and private developers and individuals regarding investing in affordable housing and community development projects. He is a member of the Alabama State Bar, the American Bar Association and the National Association of Bond Lawyers.

3. Provide number of full-time employees dedicated to municipal bond sales.

Three

Please see Exhibits A (Madison County Board of Education) and Exhibit B (City of Tuscaloosa) as regards our ability to price and sell bonds. According to the Wells Fargo website, they employ 14,000 brokers. According to the Raymond James site, they employ 8,000 brokers. Our size has not affected our ability to price bonds on the market and to sell them on the market.

4. Does your firm maintain a public finance office or a retail and/or brokerage office in the State of Alabama? If so, please provide the address and phone number for this office and primary contact person.

Montgomery Office	Birmingham Office	Florence Office
300 Water Street Montgomery, AL 36104 (334) 265-8483	110 Office Park Drive Suite 210 Birmingham, AL 35223 (205) 484-0888	402 Cox Creek Parkway 2 nd Floor Florence, AL 35630 (256) 246-7600
Robert H. Young	Jason Grubbs	Johnny Dill

5. Provide no more than three (3) case studies which describe the experience of your assigned professionals with general obligation and public building authority transactions.

CASE 1: In August of 2019, our Firm served as investment banker to Lee County, Alabama in the issuance of \$4,835,000 General Obligation Warrants. The County's credit is rated Aa2 by Moody's Investors Service. Our Firm was involved in all aspects of the transaction including assistance in preparation of the Official Statement, while preparing the County for the rating presentation to Moody's and conducting of that presentation, the sale and the closing of the issue. Our Firm has continuously worked with Lee County since 1982.

CASE 2: In 2015 our Firm served as investment banker for Baldwin County regarding the issuance of \$35,180,000 General Obligation Warrants. The purpose of this issue was to advance refund 3 series of warrants for interest cost savings. The result of this financing generated a savings to the County of \$1,900,000. Our Firm made the County aware of this opportunity and executed the transactions successfully. As with the Lee County issue described above, our Firm worked with bond counsel to prepare the preliminary official statement, submit the issue to Moody's and S&P for their rating, prepared the participants for the presentation with outline material, preceded by a rehearsal and successfully executed the sale.

CASE 3: Our Firm has been engaged in numerous issues throughout the state sold by Public Building Authorities (PBA) and specifically used this vehicle in 2007 to finance a DHR facility in the County. It should be noted that our Firm pioneered the use of PBA financing for DHR and it had the affect of substantially reducing their borrowing costs compared to DHR's previous method of finance. Of course, in the case of a Public Building Authority the ultimate obligor is the lessee, in this case DHR. We assisted bond counsel in the preparation of the official statement, conducted the rating review and successfully executed the sale. We have used this technique for cities and counties who have financed public buildings sourced through this vehicle to avoid the debt being chargeable against their constitutional debt limit. We have also used a similar structure on 2 financings totaling over \$50,000,000 to the Alabama Health Department. In short, our Firm is thoroughly familiar with the public building authority finance structure.

- 6. Discuss any pending or threatened investigations or litigation by any private or public entity or any lapse or other deficiency in insurance coverage or professional licensure which may impact your firm's ability to serve as underwriter.**

There are none, nor have there ever been in the history of The Frazer Lanier Company.

- 7. Has any municipal finance professional or associated person within your firm made or directed any political contributions, provided things of value, or provided financial advice to the extent it would be disqualified, under current laws, rules, and regulations, from acting as underwriter of the bonds?**

No

- 8. Please provide any other information the County should consider when assessing your firm's ability to serve as underwriter.**

I bring to the attention of the Commission that our Firm has represented Baldwin County for some 20 years. When we began working with the County, its credit rating was A- by S&P and Baa by Moody's Investors Service. Since our engagement, the ratings have moved up to AA+ by S&P and Aa1 by Moody's Investors Service. One of the main reasons for the improvement in the County's rating in the early years of our engagement was our suggestion that the County begin a program of financial forecasting. The County had been in financial difficulties in the late 80s and early 90s due to the significant growth in Baldwin County but with property taxes only being reassessed every 3-5 years. In short, the revenues were not keeping up with expenditures. We made this recommendation to the County so that the forecasting could be used as an early warning system that revenues and/or expenses had to be altered if the expense line was going to cross the revenue line. Moody's felt that this was a critical step in insuring that the County did not face financial problems in the future. Obviously, the addition of a county-wide sales tax to the County's General Fund has also been a major contributing factor. It is our assertion that no one knows the County's strengths and the details we do due to our extensive and long-standing experience. We were also involved in the potential financing for the mega-site and in the end recommended that the County go with internal financing so that if property was sold, any debt associated with the mega-site could be immediately repaid. If bonds had been sold, the County would have had to wait until the first call date to take the debt off the market. We received no fee nor reimbursement of expenses for our work.

We believe that at all times Frazer Lanier Company has placed the best interest of Baldwin County first and have always been available to assist the County when a need has arisen.

Exhibit A

RATE COMPARISON

Issuer:	Tuscaloosa, AL						Ben Hill County GA School District		
Type:	GO/LT						GO/ULT		
Underwriter:	The Frazer Lanier Company						Raymond James		
Rating:	Aa1/AAA						Aa1		
	<u>Projected</u>			<u>Final</u>					
	<u>Coupon</u>	<u>Yield</u>	<u>Spread</u>	<u>Coupon</u>	<u>Yield</u>	<u>Spread</u>	<u>Coupon</u>	<u>Yield</u>	<u>Spread</u>
2020	3.00%	1.23%	0.12	3.00%	1.19%	0.08	—	—	—
2021	3.00%	1.26%	0.15	4.00%	1.21%	0.10	4.00%	1.18%	0.05
2022	3.00%	1.29%	0.17	3.00%	1.24%	0.12	4.00%	1.25%	0.11
2023	3.00%	1.33%	0.19	4.00%	1.28%	0.14	4.00%	1.30%	0.14
2024	4.00%	1.39%	0.21	4.00%	1.34%	0.16	5.00%	1.36%	0.16
2025	4.00%	1.47%	0.25	4.00%	1.40%	0.18	5.00%	1.43%	0.18
2026	4.00%	1.58%	0.28	4.00%	1.52%	0.22	3.00%	1.55%	0.22
2027	5.00%	1.69%	0.32	5.00%	1.62%	0.25	5.00%	1.64%	0.25
2028	5.00%	1.78%	0.33	5.00%	1.73%	0.28	5.00%	1.75%	0.28
2029	5.00%	1.88%	0.35	5.00%	1.83%	0.30	5.00%	1.87%	0.31
2030	5.00%	1.98%	0.37	5.00%	1.91%	0.30	5.00%	1.95%	0.33
2031	5.00%	2.04%	0.38	5.00%	1.97%	0.31	5.00%	2.03%	0.34

Exhibit B

RATE COMPARISON

	<u>\$29,685,000</u>	<u>\$23,495,000</u>	
Issuer:	Greensboro, NC	Madison County BOE	BPS Difference
Rating:	Aa2/AA+/AA+	Aa2/AA-	
Underwriter:	Wells Fargo/Loop Capital	Frazer Lanier	
Sale Date:	10-Oct-19	10-Oct-19	

2021	1.878	1.99	0.11
2022	1.94	1.99	0.05
2023	2.03	2.23	0.20
2024	2.13	2.23	0.10
2025	2.32	2.43	0.11
2026	2.47	2.43	-0.04
2027	2.57	2.57	0.00
2028	2.62	2.57	-0.05
2029	2.67	2.67	0.00
2030	2.77	2.67	-0.10
2031	2.87	2.82	-0.05
2032	3.02	2.82	-0.20
2033	3.02	2.92	-0.10
2034	3.07	2.92	-0.15
2035	3.38	3.06	-0.32
2036	3.38	3.16	-0.22
2037	3.38	3.21	-0.17
2038	3.38	3.26	-0.12
2039	3.38	3.31	-0.07
2040	3.51	3.36	-0.15
2041	3.51	3.41	-0.10

Using the scale above, Frazer Lanier was able to deliver approximately \$800,000 more in savings than the Greensboro scale would have produced.